

Instructions and disclaimers

General:

The announcement and report shall be submitted via mail to ASC in **Excel** if not specified differently: certification@asc-aqua.org
If you would like to register a problem or issue with the document or wish to leave feedback and suggestions for improvements, please contact: certification@asc-aqua.org
All fields must be visible in Excel format and no field shall be omitted or deleted. ASC reserves the right to refuse to publish incomplete and low quality reports.
This document has been developed in collaboration with the [ASC Programme Assurance team](#) and [Standards & Science team](#) for ASC single-site, multi-site and group certification farm audits. A copy of all documents (Audit reports templates, confidential annexes etc.) are uploaded on to the ASC website and are publicly available.
Only fully completed forms are accepted by the ASC. Incomplete forms may lead to a delay in the certification process.
In case the audit report template for Multi-site and Group-certification does not contain enough site rows for the amount of sites applicable for a specific applicant, please contact certification@asc-aqua.org

How to use this document

This document layout is formatted into tables for data entry and questions to record answers and evidence.
You can adjust the width of the columns to display all information.
Users shall not delete any rows or columns.

Data filled out in the audit announcement (form 3) sheet, will be automatically populated in the audit report itself.
Be aware that there are 3 different types of audit report templates: 1. Single-site, 2. Multi-site and 3. Group certification.
Next to these 3 templates, there is the Audit Report Template V2.0 Confidential Annex, applicable to the 3 different versions of the audit report template.

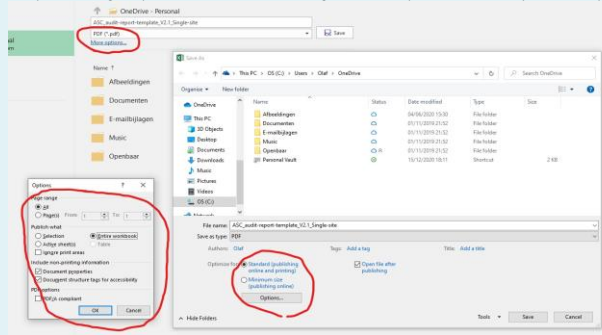
Document type - PDF conversion - Guidance

Always save your document as a **macro-enabled** spreadsheet (click on "File", "Save As" and make sure the document type is saved as "Excel Macro-Enabled Workbook").
If your audit team plans to work on a shared online document - ensure the platform app supports **macro-enabled** functionality.
Please do not delete any rows, columns, fields or sheets (except for irrelevant species sheets). As the template is running multiple macros and formulas in the background, it is NOT possible to take out any sheets and copy paste them back in.

To save the full excel document as a pdf, please follow these steps:

1. Click on "File", "Save As"
2. Select PDF as document type and click on "more options"
3. Click on "options" in the box that shows up
4. Set "page range" to All and set "publish what" to Entire workbook and click on "ok"
5. Click on "Save" and the entire document will be saved as a PDF document in the correct format

Extra note:
The page layout is selected in a way that all tables are visible in the PDF.
Tables may appear small, however it is possible to read them by using the PDF zoom function.



Spelling Check

How to run the excel spell check in this document?
- This can be enabled with the following key combination: Ctrl + Shift + S.
- This opens the spell-check dialogue box, cycling through all the recognised spelling mistakes.
- After the last recognised mistake is address - the dialogue closes and the worksheet is automatically locked again.
- If there are no mistakes the dialogue box does not open.
- This then needs to be repeated for each worksheet with audit data.
(The spell check ignores capitalised abbreviations - but will still identify mix abbreviations as mistakes e.g. UoC)

What if changes are made?

If changes were made e.g. from the announcement to the audit report or when an updated report is submitted to ASC, all cells containing changes shall be highlighted in yellow for transparency reasons, and as far as possible a clear statement on the update shall be made in the respective field.

Confidential information - Data protection and publication of reports

All fields in the announcement and audit report are mandatory and shall be filled out and will be made publicly available. However, should the client or CAB desire to submit certain data confidentially (e.g., for GDPR or commercial sensitivity reasons), this data can be entered in the **confidential annexes**, which are provided in a **separate document** than this audit report template. Please BE AWARE that confidential Annex-3 is always mandatory to be filled out and shall be submitted to the ASC, together with the audit report. Confidential annexes will NOT be made publicly available.

Comments or guidance

For a lot of the fields in the announcement and audit report, a guidance field pops up as soon as the field is selected.
Some fields are marked with a red triangle in the corner, meaning that the field contains a guidance comment.

Data restrictions & conditional formatting

This audit report template contains a lot of fields with data validation and data restriction fields, allowing for only a certain type of data to be filled out in the specific field (For example, date fields, picklists, numbers etc.). Please ONLY fill out the requested type of data. If not filled out correctly, an error message will pop up, giving guidance to enter the correct type of data.
The audit report template also contains a lot of fields with conditional formatting, meaning that cells or field will be highlighted, based on the type of data filled out. Some cells are highlighted with red, these will change to blue when data is entered into the cell. This makes it easier to detect fields that are not filled out, but shall be filled out. Please note that all fields are required and shall be filled out.

Link to all the sheets
Audit Announcement (Form3)
1) General, client-CAB info
2) Audit Information
3) Site information
4) Harvest witnessing
5) Stakeholder engagement
6) Social Audit
7) Traceability risk assessment
8) UoC volumes&Audit Closing
9) Open & Extended NCs
10) BEIA-PSIA checklist
Audit findings "species"

Audit Announcement (Form 3)

Please note that all data entered in this audit announcement sheet will be automatically populated to the specific fields in the sheets of the audit report itself. See the instructions for more information.

2. General client/CAB information

- 1.1 Document Type
- 1.2 Document language
- 1.3 Second document language
- 1.4 Unit of certification type
- 1.4.1 Company name
- 1.4.2 UoC Name
- 1.5 Country where UoC is located
- 1.6 ASC Standard
- 1.7 Standard version
- 1.8 Certification process is subject to CAR version
- 1.9 Name of the Conformity assessment body (if any)

Audit announcement
English
Simeta Sire
MDOW Canada West
Athabasca Point
Cetalia
Silvaco
1.3
2.2

- Abstract**

- Client contact person - from the UoC
- 1.15 First name
 - 1.16 Surname
 - 1.17 Position in the UoC (job title)
 - 1.18 Email address
 - 1.19 Phone number
 - 1.20 Other means of contact e.g. Skype

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2. Audit information

- ## 2.1 ASC standard principles covered by the audit

ASC standard principles	
1	Principle 1 Covered
2	Principle 2 Covered
3	Principle 3 Covered
4	Principle 4 Covered
5	Principle 5 Covered
6	Principle 6 Covered
7	Principle 7 Covered
8	Principle 8 Covered

- 2.2 Activities covered under the scope of the certification and under the scope of the audit.
Activities in the table apply to final product only.

Issue	Priority	Under scope of certification	Under scope of this audit	Notes
2.2.1	Steering	Controlled	Controlled	
2.2.2	Planning	Controlled	Controlled	
2.2.3	Initiating Out	Controlled	Controlled	
2.2.4	Implementing	Controlled	Controlled	
2.2.5	Monitoring	Controlled	Controlled	
2.2.6	Verification	Controlled	Controlled	
2.2.7	Finalising	Controlled	Controlled	
2.2.8	Termination	Controlled	Controlled	
2.3	Storage (if present at farms)	Not Covered	Not Covered	
2.3.10	Processing (if present at farms)	Not Covered	Not Covered	
2.3.11	Feeding (if present at farms)	Not Covered	Not Covered	
2.4	Other (please describe)			

- 2.3 Certification cycle
- 2.4 Audit type
- 2.5 Audit number in c
- 2.6 Will harvesting be
- 2.6.1 If harvest is NOT w
- 2.7 Audit conducted i

2
Recertification audit
1
No
The audit will be remote due to Covid 19
Remote

Please indicate the hours assigned to the different audit activities in the table below, separated by the hours spend on the activities by the environmental and social auditor/s

2.8	2.9	2.10
Time assigned to audit activities	Social Auditor(s)	Environmental auditor(s)
Off-site activities	4	8
On-site activities	4	8
Total man days	3	2

[illegible]

3. Site information

Site name	3.3	3.4	3.6	3.13	3.14	3.15	3.16	3.17	3.18
	Ownership	Primary culture species	Cycle duration	Latitude (N, S) (00.00000000°)	Longitude (E, W) (00.000000°)	Production system ^a	Number of production units	Start date of audit	End date of audit
Albano Point	Demersal	Atlantic salmon (<i>Salmo salar</i>)	Long-cycle species (4+ months)	50.277000	225.878125	Cages – square steel	7	10 March 2021	13 March 2021

4. Stakeholder engagement

4.1	4.2	4.3	4.4	4.5	4.6	4.7	4.8	4.9	4.10
Name of Company/ Organization if applicable	Contact person - First name	Contact person - Surname	Country where stakeholder is based	Email address of contact person/ stakeholder	Stakeholder type	Is stakeholder type "other"? are we covered what type?	Contact date and/or letter	Did the stakeholder submit comments?	Stakeholder comments where relevant to what AIS standard indicator number?
David Suzuki Foundation			Canada	contact@daidsuavis.org	NGO - Environmental		02 February 2021		
BC Council of Forest Industries			Canada	info@bcffi.org	Neighbour		02 February 2021		
BC Seafood Alliance		Christine Burridge	Canada	ExecutiveDirector@BCSeafoodAlliance.ca	Neighbour		02 February 2021		
Howard Green Council			Canada	info@hgwccouncil.ca	Communities		02 February 2021		
City of Campbell River		Mayor Andy Adams	Canada	Mayor.Adams@campbellriver.ca	Communities		02 February 2021		
Central Okanagan Regional District			Canada	advisory@central-krd.org/canada/	Communities		02 February 2021		
Jersey Walrus Trading Company			Canada	jerseywalruscompany@gmail.com	Other	Supplier	02 February 2021		
Alvestra		Jarvis Wilkins	Canada	alvestra@alvestra.com	Other	Supplier	02 February 2021		
Alvestra Consulting Business			Canada	alvestraconsultingbusiness.com	Other	Supplier	02 February 2021		
HydroBC		Chris Pothoven	Canada	chris.pothoven@hydrobc.ca	Other	Supplier	02 February 2021		
BC Centre for Aquatic Health Sciences			Canada	enquiries.cba@vancouver.ca	Other	Research	02 February 2021		
BC Salmon Farmers Association		John Paul Fraser	Canada	john@bcsalmonfarmers.ca	NGO - Environmental		02 February 2021		
Canadian Aquaculture Industry Association		Tim Kennedy		tim.kennedy@caiafish.org	NGO - Environmental		02 February 2021		
United Steelworkers Local 1037		Jim Sheehar	Canada	jim.sheehar@uswlocal1037.com	Labour unions		02 February 2021		
Canadian Free Fishers Association		Tiffany Gao	Canada	tiffanygao@cfffa.ca	Neighbour	Neighbour	02 February 2021		
Wu Wei Tai		Derek Lombard	Canada	derek.lombard@wutai.ca	Neighbour	Neighbour	02 February 2021		
Wu Wei Tai		Paul Smith	Canada	smithpaul@wutai.ca	Neighbour	Neighbour	02 February 2021		
Island Owners Society		Kelly Brockhoff	Canada	brockhoff@islandowners.org	NGO - Environmental		02 February 2021		
Ducks Unlimited			Canada	ducks@ducks.ca	NGO - Environmental		02 February 2021		
Pacific Salmon Foundation		Brian Robbitt	Canada	brobbitt@psf.ca	NGO - Environmental		02 February 2021		

1. General, client/CAB information

- 1.1 Document Type
- 1.2 Document language
- 1.3 Second document language
- 1.4 Unit of certification type
- 1.4.1 Company name
- 1.4.2 UoC name
- 1.5 Country where UoC is located
- 1.6 ASC Standard
- 1.7 Standard version
- 1.8 Certification process is subject to CAR version

Audit announcement
English
Single Site
MOWI Canada West
Althorpe Point
Canada
Salmon
1.3
2.2

- 1.9 Name of the Conformity assessment body (CAB)

DNV GL Business Assurance Norway AS

Client contact person - from the UoC

- 1.15 First name
- 1.16 Surname
- 1.17 Position in the UoC (Job title)
- 1.18 Email address
- 1.19 Phone number
- 1.20 Other means of contact e.g. Skype

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2) Audit information

Date - **Audit announcement** published on ASC website

Date - **Draft report** published on ASC website

Date - **Final report** submitted to ASC
Audit ID
ASC standard principles covered by the audit

29 January 2021	
provided by ASC with publication confirmation	
Principle 1	Covered
Principle 2	Covered
Principle 3	Covered
Principle 4	Covered
Principle 5	Covered
Principle 6	Covered
Principle 7	Covered
Principle 8	Covered

Activities covered under the scope of the certification and under the scope of the audit. *Activities in the table apply to final product only.*

the the audit. product	Activity	Under scope of certification	Under Scope of this audit	Notes
2.6.1	Stocking	Covered	Covered	
2.6.2	Nursing	Covered	Covered	
2.6.3	Growing Out	Covered	Covered	
2.6.4	Transferring	Covered	Covered	
2.6.5	Harvest	Covered	Covered	
2.6.6	Vaccination	Covered	Covered	
2.6.7	Fallowing	Covered	Covered	
2.6.8	Transportation	Covered	Covered	
2.6.9	Storage (if present at farm)	Not Covered	Not Covered	
2.6.10	Processing (if present at farm)	Not Covered	Not Covered	
2.6.11	Packing (if present at farm)	Not Covered	Not Covered	
2.6.12	Other (Please describe)			

Certification cycle
Audit type
Audit number in certification cycle
Will harvesting be witnessed during audit?

If harvest is NOT witnessed, please justify:

Audit conducted (On-site/Remote):

2
Recertification audit
1
No
The audit will be remote due to Covid 19
Remote

Please indicate the hours assigned to the different audit activities in the table below, separated by the hours spend on the activities by the environmental- and social auditor(s):

2.12.1	2.12.2	2.12.3
Time assigned to audit activities	Social Auditor(s)	Environmental auditor(s)
Off-site activities	4	8
On-site activities	4	8
Total man days	1	2

[illegible]

3. Site information

List all sites here, that are included in the certificate.

GIS, polygon data and map on site level validated by auditor?

Yes

3.1	3.2	3.3	3.4	3.5	3.13	3.14	3.15	3.16	3.17	3.18	3.19	3.20	3.21	3.22	3.22.1	3.22.2	3.23	3.23.1	3.24	3.25	3.26	3.26.1	3.27	3.28	3.29	3.30	3.31	3.32
Site ID - provided by ASC with publication confirmation of audit announcement	Site name	Ownership	Primary culture species	Secondary species (choose multiple species as relevant)	Latitude (N, S) (00.000000)*	Longitude (E, W) (00.000000)*	Production system	Number of production units	Production type	Production method	Date of inclusion into the UoC (for scope extension/group/multi-site)	Start date of audit	End date of audit	First date of juvenile stocking for the current production cycle	Estimated Number of months post audit to peak biomass/ first harvest	Status at the time of the current audit	List of other certificates (choose multiple options as relevant)	List of other certificates: If 3.23 is "Other", please list the certificates:	Is the site partially certified?	If partially certified, which part is not in the UoC and why?	The volumes indicated in the fields 3.27-3.30 apply to the following <u>full calendar year</u> .	Type of volumes indicated in 3.27-3.30	ASC-certified production volume (in Kg)	Non ASC-certified production volume (in Kg)	<u>Dispatched or sold</u> as ASC-certified Volume (in Kg)	<u>Dispatched or sold</u> as non ASC-certified Volume (in Kg)	<u>For Bivalve/Abalone</u> : Volumes indicate in 3.27-3.30 are given in live weight equivalent or volume without shell	Note/ Other information
ASC00929	Athorp Point	Owned	Atlantic salmon (Salmo salar)		50.277003	125.828125	Cages - square steel	Cages - square steel	Monoculture	Intensive		10 March 2021	13 March 2021	23-Nov-20	5	On-growing (<75% biomass)	GAA/BAP		No			Estimated volume	2982443			2982443		Production figures was for 2018 yearclass

4. Harvest witnessing

4.1	4.2	4.3	4.4	4.5	4.6	4.7	4.8
Site ID - provided by ASC with publication confirmation of audit announcement.	Site name	Date of witnessed harvest:	Production unit ID:	Volume harvested (in Kg):	Average weight of animals (in g)	Partial harvest / full harvest:	Note/ Other information
ASC00929	Althorp Point						No witnessing of harvest as the site was no harvesting during audit.

5. Stakeholder engagement

ASC takes data protection very seriously. ASC will only use any personal information you give us in connection with the audit report.

For more information please read our privacy policy, which can be found here:

[ASC Privacy policy](#)

Provide stakeholder comments including CAB response, please use the confidential Annex-2 in case of any confidential data not to be published.

This table collects all the information relevant to stakeholders consulted during the audit process. Each stakeholder should be entered into a separate row, even where from the same company/organization

[illegible]

1) General, Client and CAB information

Announcement and audit report

Means of transportation between office and site(s) and between sites within UoC
 Estimated travel time between office and site(s) and between sites within UoC
 Number of complaints received from stakeholders over past 12 months
Number of resolved complaints
Average time to resolve complaints (**days**)
 Last Social Impacts Assessment (SIA) conducted in (**year**)

Sea Taxi and crew boats
1 hour
0
0
14

Name of nearby communities, Indigenous or not and the distance of the UoC to the nearest neighbouring community/-ies or neighbours (**in km**)

Name of nearby community	Indigenous	Distance of the UoC to the nearest neighbouring community/-ies or neighbours (in km)

Social audits performed at UoC

Standard	Certified since (Date)	Certified until (Date)	Date of last audit (Date)
SA8000	N/A	N/A	N/A
BSCI	N/A	N/A	N/A
SMETA	N/A	N/A	N/A
ISO 45000	N/A	N/A	N/A
ASC			
Others (specify)	N/A	N/A	N/A

Subcontractors

Name of subcontractors	Place of work	Areas of work/processes
N/A	N/A	N/A
N/A	N/A	N/A
N/A	N/A	N/A
N/A	N/A	N/A
N/A	N/A	N/A
N/A	N/A	N/A

7. ASC CAR 17.6.1-2 Substitution risk assessment

Please note that auditor training on farm traceability is also covered in the MSC farm traceability module

Activity	Number/ scope of activities
Guidance	
Navigation	
Controlled Out	External
Transferring	
Storage	External
Vaccination	
Following	
Transportation	
Storage (if present at farm)	
Processing (if present at farm)	
Palatine (if present at farm)	
Other (Phase described)	

[illegible][illegible]

7.4	Is feasibility of subcontracting being used for handling, transport, reuse, or in-process certified products?	
	<p>At Company, we have subcontracted services for handling, processing, packing or shipping.</p> <p>Yes</p>	
	<p>At Company, we have subcontracted services for handling, processing, packing or shipping. Within global sites, all the factories for Meisel and not just one.</p> <p>Yes</p>	
	<p>At Company, we have subcontracted services exclusively for storage or transportation.</p> <p>Yes</p>	
	<p>At handling and packaging systems.</p> <p>Subcontractors are OHS certified.</p> <p>Description:</p> <p>City: south for Meisel</p> <p>Contract and/or agreements in place including traceability conditions.</p> <p>Yes</p>	
	<p>Traceability system identification.</p> <p>Yes</p>	
	<p>Contract requires firms to use through the harvest values and to not compromise along the entire collection.</p> <p>Yes</p>	
	<p>Do the traceability systems estimate the origin and subcontracted sites?</p> <p>Yes</p>	
	<p>Site: this harvest for Meisel</p>	

[illegible]

ASC CAR 17.6.3-5 Product flow, traceability and segregation

Please describe the product flow within the Uo

Fish from hatchery to on-growing site (JOCK) to processing plant.

Conduct a traceability test of harvested products. In case of partial certification perform a traceability test and non-ASC products.

Product Identification Code	Atlantic Salmon
-----------------------------	-----------------

74		Details of pre-accessions in process			
		Production stage	Description	Date	Description of final status or documents for product at each stage
	Transport sheet for olive-Philippa ash by the Harwood local Senate days	Harwood	Box 7, 8,100 from Forest field	March 2nd 2020	Date and location which a Philopie ash
	W1		Box 7, 2,175 from Back road		
	W2		Box 10,100		
	W3		Box 12,100		
	W4				
	W5				
	W6				
	W7				
	W8				
	W9				
	W10				
	W11				
	W12				
	W13				
	W14				

7.7	Traceability test(s) successfully conducted	yes
7.8	Traceability information allows to link each stage of handling certified products	yes

ASC CAR 17.5.6.1.2 Traceability determination		
7.9	The traceability and segregation systems in the operation are sufficient to ensure all products identified and sold as certified by the operation originate from the unit of certification.	yes
7.10	The traceability and segregation systems are not sufficient and a separate chain of custody certification for the operation before products can be sold as ASC-certified or may be able to improve the ASC trace.	Cat not needed
7.11	<p>Rationale for the decision:</p> <p>The fish are not sold as ASC and all the UOC is certified and the harvester only works for the UOC.</p>	

ASC CAR 17.8-10.1 Point of First sale / handling		PC-Entity
7.12	First Harby processing plant (Owned by the same company, Mow)	ABC-C00548

ASC CAR 17.6.10.2 The point from which chain of custody is required to begin

7.13	From transportation from the LdC to the first point of sale or handling.
7.13.1	

Product Identification Code	Depth of Investigation Indicators			
	Production stage	Investigation	Time	Description of how levels of generativity felt greatest at each stage.
A0				
B0				
C0				
D0				
E0				
F0				
G0				
H				
I0				
J0				
K0				
L0				

8. UoC volumes & Audit Closing

Please indicate the correct volumes of the applicable quarter and year.

Volume reporting for complete UoC					
Quarter of the year:		Quarter 1	Quarter 2	Quarter 3	Quarter 4
8.1	The volumes indicated in this table apply to the following year:				
8.1.1	Type of volumes indicated in 8.2 - 8.5			Estimated volume	Estimated volume
8.2	ASC-certified production volume (in Kg)			612000	2104200
8.3	Non ASC-certified production volume (in Kg)			0	0
8.4	Dispatched or sold as ASC-certified Volume (in Kg)			0	0
8.5	Dispatched or sold as non ASC-certified Volume (in Kg)			612000	2104200

Decision	
8.6	Certification decision
8.7	Certificate valid from
8.8	Certificate valid till
8.9	Eligibility date

Confidential Annexes	Annex filled in?	Annex submitted to ASC?
8.10 Annex-1 Interviewee information		
8.11 Annex-2 Stakeholder comments		
8.12 Annex-3 Social information		
8.13 Annex-4 Volume data		

9. Open & Extended NCs

Please indicate in the table below **ONLY** the non-conformities detected in the previous audit, which had the status: open or extended in the previous final audit report.

This table is to evaluate the closure of the open/extended non-conformities from the previous audit. Add rows to the tables as needed.

[illegible]

10. B-EIA & p-SIA checklist

Checklist and guideline for auditors on a complete B-EIA & p-SIA process and report.
Please find all requirements for B-EIA and p-SIA in the ASC standards.

Biodiversity-inclusive Environmental Impact Assessment		
	B-EIA checklist	Validated by auditor? Notes
10.1	1. Quality of the B-EIA process (e.g., was it participatory and transparent?). B-EIA carried out by a valid expert in accordance with requirements lined out in the ASC standards.	
10.2	(b) The B-EIA was publicly (locally) communicated with sufficient time for interested parties to participate and/or get informed.	
10.3	(c) Stakeholders are listed and impact descriptions are documented and in preparation of the final B-EIA report, meetings with the listed stakeholders (or by stakeholders chosen representatives) have taken place.	
10.4	(d) These meetings have been recorded and the minutes are attached to the final report; names and contact details of participating stakeholders included.	
10.5	(e) Evidence is provided that draft and final B-EIA reports have been submitted to local government representatives and, if requested by stakeholders, a legally registered civil organization chosen by these stakeholders.	
10.6	(f) Evidence is provided that the final B-EIA reports have been submitted and reviewed by a specialist with appropriate expertise on biodiversity issues.	
10.7	(g) B-EIA completed according to guidance on B-EIA and pSIA relationship (transparency and consultation).	
10.8	2. Risk analysis: actual (past and present) impacts of the current farms, or potential impacts of the intended farm or expansion of existing farm and at least two alternatives (one of these is the "no farm or no expansion" scenario). Concepts to cover include:	
10.9	(a) The type of farming, possible alternatives and a summary of activities likely to affect biodiversity.	
10.10	(b) An analysis of opportunities and constraints for biodiversity (include "no net biodiversity loss" or "biodiversity restoration" alternatives).	
10.11	(c) Expected biophysical changes (in soil, water, air, flora and fauna) resulting from proposed or existing activities or induced by any socioeconomic changes.	
10.12	(d) Spatial and temporal scale of influence, identifying effects on connectivity between ecosystems, and potential cumulative effects.	
10.13	(e) Available information on baseline conditions and any anticipated trends in biodiversity in the absence of the proposal.	
10.14	(f) Likely biodiversity impacts associated with the proposal or current operations in terms of composition, structure and function of surrounding ecosystems.	
10.15	(g) Biodiversity services and values identified in consultation with stakeholders and anticipated magnitude, direction and timeline of changes in these (highlight any irreversible impacts).	
10.16	(h) Possible measures to avoid, minimize or compensate for significant biodiversity damage or loss, making reference to any legal requirements. Information required to support decision making and summary of important gaps.	
10.17	(i) Proposed IA methodology and timescale.	
10.18	3. Impact statement is available and contains all of the requirements listed above along with a clear indication of authors and affiliations.	
10.19	4. Review process, reviewers (decision makers), and decisions clearly documented.	
10.20	5. Clear understanding as to how options for mitigation and offsetting were determined and how avoidance actions were prioritized over compensation	
10.21	6. Names, affiliations and experience of the reviewing specialist are documented and clear understanding of how affected groups were involved and how balanced consideration was given to conservation vs. development goals in the peer review.	
10.22	7. Clear articulation of a biodiversity management system including targets and monitoring strategies for mitigation.	

Participatory Social Impact Assessment		
	p-SIA checklist	Validated by auditor? Notes
10.23	1. Quality of the p-SIA process (e.g., is it participatory and transparent).	
10.24	(a) The intent to conduct a p-SIA is locally publicly communicated with sufficient time for interested parties to participate and/or get informed.	
10.25	(b) In listing stakeholders, in making impact descriptions, and in preparation of a final p-SIA report-document meetings with the listed stakeholders (or by stakeholders chosen representatives) have taken place.	
10.26	(c) These meetings have been minuted and these records are attached to the final report; names and contact details of participating stakeholders are included.	
10.27	(d) Evidence is provided that draft and final p-SIA reports have been submitted to a local government representative and, if stakeholders so desire, to a (by stakeholders chosen) legally registered civil organization.	
10.28	(e) B-EIA done and completed according to guidance in the ASC standards (appropriate accreditation and consultation).	
10.29	2. The risks and actual (past and present) impacts of the current or intended farm and at least two alternatives (one of these is the "no farm or no expansion" scenario). Concepts to cover include:	
10.30	(a) Economic aspects (influence on employment opportunities, influence on other livelihoods in community).	
10.31	(b) Natural resource access and use (land and water tenure, influence on quality and availability of natural resources including water).	
10.32	(c) Human assets (food security, health and safety, education, indigenous knowledge).	
10.33	(d) Physical infrastructure (access to roads, electricity, telephone, housing, waste disposal systems).	
10.34	(e) Social and cultural aspects (indigenous/traditional/customary rights and beliefs, social exclusion/inclusion, gender equity, changes in age composition of the community, local informal institutions and organizations).	
10.35	(f) Governance aspects (influence of aquaculture on norms, taboos, regulations, laws, conflict management and whether these changes add up to more or less transparency, accountability and participation in decision making).	
10.36	3. Research and report probable impacts that are likely to be most important. In doing this, it is important to arrange meetings with stakeholders to let them prioritize and to let them express how they assess/view/feel; identify both positive and negative risks and impacts.	
10.37	4. Do deeper investigations into priority impacts with a focus on the question: "What changes will lead to if they indeed come about?" These include:	
10.38	(a) Physical effects to man-made and natural structures and processes.	
10.39	(b) Likely adaptations and the social and economic effects of making such adaptations.	
10.40	(c) How these effects and indirect effects would compare to having no intervention.	
10.41	(d) How effects may or might be cumulative.	
10.42	5. Make recommendations to maximize the positive and minimize the negative, with consideration to compensation options for those lands and people impacted. Also include recommendations on how to avoid these issues with the intended farm or farm development.	
10.43	6. Propose a mitigation plan assuming the farm development will take place or continue (in an adapted form if that seems appropriate); include a "closure and reclamation plan" explaining how repair or restoration will take place after farm closure or bankruptcy.	
10.44	7. Develop and approve with all stakeholders a monitoring plan and indicators on both positive and negative risks and impacts (make use of FDG and/or PRA methodologies in this step).	
10.45	8. A summary with recommendations and conclusions is made available to all involved in the process and, through local public notices, made accessible to all members of the local community.	

Adjust the column width as needed to show the whole text or provide more space to write
Corresponds to ASC Salmon standard version 1.3

													Proposed by UAC and accepted by CAB	Proposed by UAC and accepted by CAB	Proposed by UAC and accepted by CAB				
Indicator Number	Indicator Text	Audit Evidence	Overall indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	QBA submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
1.1.1	Indicator: Presence of documents demonstrating compliance with local and national regulations and requirements on land and water use Requirement: Yes Applicability: All	All applicable laws are available. The Mow quality management system. The system is called Sharpshot, and the sites are required by DFO to have a copy of the PAIR licence on-site. Licence number ADP 11547 2016/2022. Expiry June 30th 2022. There is a licence of occupation that covers the safflower under the farm that is owned by the crown. This site is located in the Campbell River production area. Combined peak biomass of 2500 tons. Inspections are not legally required however sites occasionally get visits from different divisions such as Berthing division, compliance divisions and fish health divisions. Reports are not made available to the site unless there is non-conformity detected. DFO visits are related to lice, mortalities and occasionally benthic samples. DFO visits are recorded in the visitor book of the site. Government grants: The lease owner is confirmed that national preservation areas are not affected. Maps are in place. http://www.pac.dfo-mpo.gc.ca/fm-gm/maps-cartes/cra-acs/index-eng.html . There have been no changes since the last audit in relation to the site that might impact the licence renewal.	Compliant																
	Indicator: Presence of documents demonstrating compliance with all tax laws Requirement: Yes Applicability: All	Cheque number 402770 and dated 3/7/2020 was paid for site taxes. The tax laws are maintained and reviewed by the companies accountants. Laws are equally available online. The licence and Tenure documents detail the site as an Aquaculture facility.	Compliant																
1.1.3	Indicator: Presence of documents demonstrating compliance with all relevant union and local labour laws and regulations Requirement: Yes Applicability: All	All national labour codes and laws applicable to the farm are available on the Mow Human Resources management system. There have been no inspections that are legally required unless there are serious incidents related to health and safety.	Compliant																
	Indicator: Presence of documents demonstrating compliance with regulations and permits concerning water quality impacts Requirement: Yes Applicability: All	No water impact permits are required for Marine sites.	Compliant																
2.1.1	Indicator: Benthic potential or (S) sulphide levels in sediment outside of the Allowable Zone of Effect (AZE) (6), following the sampling methodology outlined in Appendix I of the Salmon standard v.1.3 Requirement: Benthic potential > 0 mV Sulphide < 1,500 µM(S) Applicability: All farms except, Closed production systems that can demonstrate that they collect and responsibly dispose of > 75% of solid nutrients from the production system are exempt from standards under Criterion 2.1. See Appendix VI for requirements on transparency for 2.1.1, 2.1.2 and 2.1.3.	Maps have been prepared by the Environmental assessment company called Mainstream Biological consulting and are included in the benthic reports previously covered for the last peak biomass which was surveyed September 20th and 23rd 2018. Letter from DFO on October 18th 2019. The DFO limits were not met at the 30m, 40m and 125m and were greater than the 300um and 700um limits allowed for these stations. This requires the site to sample again following harvest and re-sampling will not be allowed until the sampling metrics are compliant. Re-sampling was done in April 2020 and the site was allowed to restrict in November 2020. The bottom is soft. Sulphides are measured. Sampling is done along two transects at stations at edge of cages and 30m and 125m distant. Sampling done inside and outside AZE for DFO authorisation letters in place allowing stocking at the site passed the DFO sulphide requirements. ASC has now allowed a VR for Canada to only have to comply with the national requirements on Sulphides. This VR is number 224. The results from 437um, 404um and 380um for the 3 stations tested. Submitted to ASC by email.	Compliant																
	Indicator: Fecal coliform score indicating good (7) to high ecological quality in sediment outside the AZE, following the sampling methodology outlined in Appendix I of the Salmon standard v.1.3 Requirement: AZE Marine Biotic Index (AMBI3) score < 3.4, or Shannon-Wiener Index score < 3, or Benthic Quality Index (BQI) score < 3.5, or Infaunal Trophic Index (TI) score < 25 Applicability: All farms except, Closed production systems that can demonstrate that they collect and responsibly dispose of > 75% of solid nutrients from the production system are exempt from standards under Criterion 2.1. See Appendix VI for requirements on transparency for 2.1.1, 2.1.2 and 2.1.3.	No longer required due the VR 224.	N/A																
2.1.3	Indicator: Number of macrofaunal taxa in the sediment within the AZE, following the sampling methodology outlined in Appendix I of the Salmon standard v.1.3 Requirement: > 2 highly abundant (9) taxa that are not pollution indicator species Applicability: All farms except, Closed production systems that can demonstrate that they collect and responsibly dispose of > 75% of solid nutrients from the production system are exempt from standards under Criterion 2.1. See Appendix VI for requirements on transparency for 2.1.1, 2.1.2 and 2.1.3.	No longer required due the VR 224.	N/A																
	Indicator: Definition of a site-specific AZE based on a robust and credible (10) modelling system (11) Requirement: Yes Applicability: All farms except, Closed production systems that can demonstrate that they collect and responsibly dispose of > 75% of solid nutrients from the production system are exempt from standards under Criterion 2.1. See Appendix VI for requirements on transparency for 2.1.1, 2.1.2 and 2.1.3.	Mow uses the DEPOMOD modelling tool to determine the AZE. The model allows parameters can be changed to reflect what's happening. The model is based on average feed use of 800g/kg/day and was done in 2013. The site has not changed since then. DEPOMOD is used as the modelling tool and is favoured by DFO. The model was developed in Scotland in conjunction with SEPA. Verification is being done using the sampling results specifically for Sulphides as required in Canada	Compliant																
2.2.1	Indicator: Weekly average percent saturation (S0) of dissolved oxygen (DO) (17) on farm, calculated following methodology in Appendix I of the Salmon standard v.1.3 Requirement: > 70% (18) Applicability: All farms. An exception to this standard shall be made for farms that can demonstrate consistency with a reference site in the same water body Indicator: Maximum percentage of weekly samples from 2.2.1 that fall under 2 mg/L/DO Requirement: 5% Applicability: All	Both continuous monitoring and twice daily recording is done using in situ oxygen recorders. There are 3 farm based automatic recorders set at 5m depth. The site also takes oxygen with a hand held YSI meter when the site takes its other environmental readings in the morning. The reference station for Oxygen is only 0.3km from the cage array and needs to follow the ASC requirement of at least 0.5km. This was determined using Google Earth and co-ordinates for the reference station.	Minor	The reference station for Oxygen is only 0.3km from the cage array and needs to follow the ASC requirement of at least 0.5km. This was determined using Google Earth and co-ordinates for the reference station.	12-Mar-21	12-Jun-21	20-Apr-21	Closed	NA				Coordinates not checked to relation to distance from farm	Coordinates updated	Email with summary of findings sent to auditor. Evidence showed new co-ordinates and Google earth map displaying the same. Evidence accepted. FC 20/4/21.				
	Indicator: For jurisdictions that have national or regional coastal water quality targets (19), demonstration through third-party analysis that the farm is in an area recently (20) classified as having "good" or "very good" water quality (21) Requirement: Yes (22) Applicability: All farms except, Closed production systems that can demonstrate the collection and responsible disposal of > 75% of solid nutrients as well as > 50% of dissolved nutrients through biofiltration, settling and/or other technologies are exempt from standards 2.2.3 and 2.2.4.	The CAB has been told that up until March 2019 that the production area had regional coastal water quality targets. The CCME, Canadian council for ministers of the environment set quality guidelines. The parameters covered in the Marine environment are Nitrate, Nitrite and Phosphorus though Phosphorus has no lower set. The report which is a literature review from Dr Stephen Cross and Sherrington on water quality conditions of Coastal British Columbia and Nutrient release from net cage aquaculture in Quiltsun sound and Central coast. Papers reviewed from 1982 to 2005. Water samples for the area are in place until December 2018. Mainstream biological have supplied a report addendum called MOWI Canada Water nutrient monitoring and Data Analysis 2017 to 2018. Report dated March 2019. In the summary is quoted. At all sites across all production areas, nitrate and ammonia concentrations were well below the 30 day average guidelines and instantaneous maximums. Average phosphate concentrations remained below 1mg/l across all production areas.	Compliant																
2.2.4	Indicator: For jurisdictions without national or regional coastal water quality targets, evidence of monitoring of nitrogen and phosphorus (23) levels on farm and at a reference site, following methodology in Appendix I of the Salmon standard v.1.3 Requirement: Consistency with reference site Applicability: All farms except, Closed production systems that can demonstrate the collection and responsible disposal of > 75% of solid nutrients as well as > 50% of dissolved nutrients through biofiltration, settling and/or other technologies are exempt from standards 2.2.3 and 2.2.4.	The company applies quarterly testing as per the variance allowed number 198. Samples are sent to CHS (Centre for Aquatic Health Sciences). This is done on a site by site basis. The result from the 2020 report has been sent to ASC. The reference station is only 0.3km from the cage array and needs to follow the ASC requirement of at least 0.5km. This was determined using Google Earth and co-ordinates for the reference station.	Minor	The reference station for Nitrogen and Phosphorus is only 0.3km from the cage array and needs to follow the ASC requirement of at least 0.5km. This was determined using Google Earth and co-ordinates for the reference station.	12-Mar-21	12-Jun-21	20-Apr-21	Closed	NA				Coordinates not checked to relation to distance from farm	Coordinates updated	Email with summary of findings sent to auditor. Evidence showed new co-ordinates and Google earth map displaying the same. Evidence accepted. FC 20/4/21.				
	Indicator: Demonstration of calculation of biochemical oxygen demand (BOD)(24) of the farm on a production cycle basis Requirement: Yes Applicability: All	BOD for the previous production cycle (2018) was 3517676 tons O2. The current BOD will be calculated at the end of the current cycle.	Compliant																
2.2.6	Indicator: Appropriate controls are in place that maintain good culture and hygiene conditions on the farm which extends to all chemicals, including veterinary drugs, thereby ensuring that adverse impacts on environmental quality are minimised Requirement: Yes Applicability: All	Materials storage handling and waste disposal ID SPW 963 and this covers oils, fuels etc. The company has established a procedure called Occupational hygiene that includes controlling to hazards such as Chemical exposure. DATS system for training includes SPW 963 and Handling Hazardous materials. Staff were reviewed for training in chemical handling and Hazardous waste disposal by the social auditor. He also reviewed personal training files on the DATS system. Site tours showed no issues with chemical storage and where they were located. Spill kits were evident and well marked and accessible.	Compliant																
	Indicator: Percentage of fines (25) in the feed at point of entry to the farm (26) (calculated following methodology in Appendix I of the Salmon standard v.1.3) Requirement: < 1% by weight of the feed Applicability: All farms except; To be measured every quarter or every three months. Samples that are measured shall be taken consistently. Feed may be sampled immediately prior to delivery to farm for sites with no feed storage where it is not possible to sample on farm. Closed production systems that can demonstrate the collection and responsible disposal of > 75% of solid nutrients and > 50% of dissolved nutrients through biofiltration, settling and/or other technologies are exempt.	Skirting feed is used on the site. There is a VR applied that allows the feed company to conduct the fines testing for the site. Details from quarter 1 to 2020 show that the feeds tested, which include MW Spirit 1000 BGA, MW Premium 1000 70A Bism IT and MW Premium 2000 65A Bism IT all show maximum values of 0.1%.	Compliant																

24/131

15/131

16/131

17/132

[illegible]

Audit findings Salmon

Corresponds to Salmon Standard v.1.3

[illegible]

Audit findings Salmon

Corresponds to Salmon Standard v.1.3

[illegible]

8.23	<p>Indicator: Where relevant, evidence that the farm has undertaken proactive consultation with indigenous communities.</p> <p>Requirement: Yes</p> <p>Applicability: All Small Producers</p>	<p>Mowi own the facility (see P7). The company has an indigenous relations department and where MOWI activities occur then all bands are consulted. MOWI have provided the bands contacts as part of the stakeholder contact information for these audits.</p>	Compliant															
8.25	<p>Indicator: Allowance for stocking smolts produced in cage-culture</p> <p>Requirement: Permitted only if supplying Farms are 2) operated in a region where indigenous salmonids are present of the same species being cultured and 3) the farm is certified to the ASC Freshwater Trout Standard</p> <p>Applicability: open land shell production of smolt</p>	Land based hatcheries.	N/A															
8.26	<p>Indicator: Water quality monitoring matrix completed and submitted to ASC (see Appendix VIII of the Salmon standard v.1.3)</p> <p>Requirement: Yes(171)</p> <p>Applicability: open (not pond) production of smolt</p>	Land based hatcheries.	Compliant															
8.27	<p>Indicator: Minimum oxygen saturation in the outflow (methodology in Appendix VII of the Salmon standard v.1.3)</p> <p>Requirement: 60%(172, 173)</p> <p>Applicability: All Small Producers Using Semi-Closed or Closed Production Systems</p>	Results have shown that all effluent measurements from all the hatcheries are above 60%. Detailed results have been sent to ASC.	Compliant															
8.28	<p>Indicator: Macro-invertebrate surveys downstream from the farm's effluent discharge demonstrate benthic health that is similar or better than surveys upstream from the discharge (methodology in Appendix VII of the Salmon standard v.1.3)</p> <p>Requirement: Yes</p> <p>Applicability: All Small Producers Using Semi-Closed or Closed Production Systems</p>	<p>For Big Tree report dated December 2018 is based on samples taken by Mainstream biological and was analysed and written up by Biologica based in Victoria and is due a new report in 2020 as its been doing so well in previous reports.</p> <p>For Dalrymple report dated July 2019 is based on samples taken by Mainstream biological and was analysed and written up by Biologica based in Victoria. The hatchery was rebuilding and re-structured in 2019 and the facility now has primary treatment in place and is looking at secondary treatment.</p> <p>Mainstream Biological follow the Appendix as specified to them by Mowi.</p> <p>For the Dalrymple hatchery, the Macro-invertebrate report states that water quality is fair downstream compared to good or very good upstream of the hatchery.</p>	Compliant															
8.29	<p>Indicator: Evidence of implementation of biofilter (sludge) Best Management Practices (BMPs) (Appendix VII of the Salmon standard v.1.3)</p> <p>Requirement: Yes</p> <p>Applicability: All Small Producers Using Semi-Closed or Closed Production Systems</p>	<p>Slu-slugde management plan in place as part of the ASC requirements. Last revised February 2020. Big tree creek has 6 collection tanks that can hold 2500 litre each. Removal frequency is 1-2 times per year. Dalrymple has 2 linked tanks with a capacity of 28,000 litres each and emptied as production requires. Flow diagrams for the hatcheries were provided. Mowi confirm that sludge is removed.</p> <p>Hatcheries remove sludge to Renewable resources.</p>	Compliant															

Where the requirement is "None", please use 0 (zero) if requirement is met

Corresponds to ASC Salmon standard version 1.3

[illegible]

[illegible]

[illegible]

7.3.1
Social
Changes undertaken restricting access to vital community resources without community approval
None

Standard:	Salmon
Version:	1.3

Audit Evidence	Overall Indicator evaluation
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NC Code (CAH)	Indicator Number	Indicator Text	Audit Evidence	Overall Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
1	2.2.1	Indicator: Weekly average percent saturation (16) of dissolved oxygen (DO) (17) on farm, calculated following methodology in Appendix 1 of the Salmon standard v1.3 Requirement: ≥ 70% (18) Applicability: All farms. An exception to this standard shall be made for farms that can demonstrate consistency with a reference site in the same water body.	Both continuous monitoring and twice daily recording is done using in situ oxygen recorders. There are 3 farm based automatic recorders set at 5m deep. The site also takes oxygen with a hand held YSI meter when the site raises its other environmental readings in the morning. The reference station is only 0.3km from the cage array and needs to follow the ASC requirement of at least 0.5km. This was determined using Google Earth and co-ordinates for the reference station.	Minor	The reference station for Oxygen is only 0.3km from the cage array and needs to follow the ASC requirement of at least 0.5km. This was determined using Google Earth and co-ordinates for the reference station.	12-Mar-21	12-Jun-21	20-Apr-21	Closed	NA				Coordinates not checked to relation to distance from farm		Coordinates updated	Email with summary of findings sent to auditor. Evidence showed new co-ordinates and Google earth map displaying the same. Evidence accepted. PC 20/4/21.			
2	2.2.4	Indicator: For jurisdictions without national or regional coastal water quality targets, evidence of monitoring of nitrogen and phosphorus (23) levels on farm and at a reference site, following methodology in Appendix 1 of the Salmon standard v1.3 Requirement: Consistency with reference site Applicability: All farms, except, Closed production systems that can demonstrate the collection and responsible disposal of > 75% of solid nutrients as well as > 50% of dissolved nutrients through bio-filtration, settling and/or other technologies) are exempt from standards 2.2.3 and 2.2.4.	The company applies quarterly testing as per the variance allowed number 198. Samples are sent to CAHS (Centre for Aquatic Health Sciences). This is done on a site by site basis. The result from the 2020 report has been sent to ASC. The reference station is only 0.3km from the cage array and needs to follow the ASC requirement of at least 0.5km. This was determined using Google Earth and co-ordinates for the reference station.	Minor	The reference station for Nitrogen and Phosphorus is only 0.3km from the cage array and needs to follow the ASC requirement of at least 0.5km. This was determined using Google Earth and co-ordinates for the reference station.	12-Mar-21	12-Jun-21	20-Apr-21	Closed	NA				Coordinates not checked to relation to distance from farm		Coordinates updated	Email with summary of findings sent to auditor. Evidence showed new co-ordinates and Google earth map displaying the same. Evidence accepted. PC 20/4/21.			
3	4.5.1	Indicator: Presence and evidence of a functioning policy for proper and responsible(83) treatment of non-biological waste from production (e.g., disposal and recycling) Requirement: Yes Applicability: All	Materials storage and waste disposal plan SPW W63 dated July 2020. The declaration is in the plan, and it refers to the ASC standard. Each site has separation of waste for recycling purposes, and this includes domestic and industrial waste. Plastics, Ropes, Wood are the most common waste materials. Also, there are oils used on hamper pads and household waste disposal of. Nets, plastics, oils and ropes are all recycled. The company has an SOP on recycling and each staff member does annual DATS training on recycling. The environmental policy located in the farm office, is dated 2015, and is not the current Mowi Environmental policy that is signed by the current managing director of Mowi Canada West.	Minor	The environmental policy located in the farm office, is dated 2015, and is not the current Mowi Environmental policy that is signed by the current managing director of Mowi Canada West.	12-Mar-21	12-Jun-21	20-Apr-21	Closed	NA				Updated policy not posted	Most recent policy posted		Email with summary of findings sent to auditor. Evidence showed updated policy posted on the site notice board. Evidence accepted. PC 20/4/21.			

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Metric table Where the requirement is "None", please use 0 (zero) if requirement is met

Corresponds to ASC Seriola & Cobia standard version 1.1

[illegible]

[illegible]

[illegible]

6.5.1	6.5.2	7.3.1	7.3.2	7.2.1
Social	Social	Social	Social	Social
Percentage of workers whose basic wage (before overtime and bonuses) is below the minimum wage	The percentage of workers whose basic wage (before overtime and bonuses) is below the basic needs wage 5 years after adoption of the standard.	Changes undertaken restricting access to vital community resources without community approval	Assessments of company's impact on access to resources	Local groups consulted during project design and operation

Summary of Standard Non Conformities (NC)

Standard:

Version:

Salmon

1.3

NC Type	NC Totals
Major	0
Minor	0
Total	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CAB)	Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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Metric table

Where the requirement is "None", please use 0 (zero) if requirement is met

Corresponds to ASC Seabass, Seabream & Meagre standard version 1.1

[illegible]

[illegible]

[illegible]

[illegible]

Summary of Standard Non Conformities (NC)

Standard:

Version:

Salmon

1.3

NC Type	NC Totals
Major	0
Minor	0
Total	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CAB)	Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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Metric table

Where the requirement is "None", please use 0 (zero) if requirement is met

Corresponds to ASC Freshwater Trout standard version 1.2

Indicator No	2.2.1	2.3.1	2.4.1	2.6.1	3.1.1	3.2.1	3.2.2	3.3.4
Impact Category	Other	Wildlife Interaction	Wildlife Interaction	Wildlife Interaction	Water quality	Water quality	Water quality	Water quality
Indicator Text	For new farms installed on land after February 2013 (or for significant expansions), minimum buffer zone between the farm and an adjacent water body in which there is no farm infrastructure that might impede wildlife's access to the water, except for inflow and outflow systems	New introductions of exotic species from the date of the publication of the ASC Freshwater Trout Standard, unless the hatchery/fingerling facility is a closed production system	Allowance for the culture of transgenic trout, including the offspring of genetically engineered trout	Intentional use of lethal predator control	Maximum amount of water that a farm can abstract from a natural flowing water body (such as a river or stream)	Maximum total amount of phosphorus released into the environment per metric ton (mt) of fish produced over a 12-month period (see methodology in Appendix II-A)	Minimum oxygen saturation in the outflow, measured monthly (see methodology in Appendix II-B)	Maximum baseline total phosphorus concentration of the water body (see Appendix II-H)
Requirement/ Site ID								
ASC00929								

3.3.5	3.3.7a	3.3.7b	3.3.8	4.1.7	5.2.2	5.3.1	5.3.2a	5.3.2b
Water quality	Water quality	Water quality	Water quality	Other	Feed	Feed	Feed	Feed
Minimum percent oxygen saturation of water 50 centimetres above bottom sediment (at all oxygen monitoring locations described in Appendix II-G)	Maximum allowed increase in total phosphorus concentration in lake from baseline - for water bodies with a surface area of less than 1,000 km2.	Maximum allowed increase in total phosphorus concentration in lake from baseline - for water bodies with a surface area of 1,000 km2 or greater	Maximum total amount of phosphorus released into the environment per metric ton (t) of fish produced over a 12-month period (see Appendix II-A)	Minimum frequency of inspection of the farm by a designated veterinarian who specializes in aquatic animal health. The inspection must review the farm health plan.	Prior to 100% achievement of 5.2.1, the Fishsource score required for the fisheries from which marine raw material in feed is derived (excluding trimming and by-products)	Fishmeal Forage Fish Dependency Ratio (FFDRm) for grow-out (calculated using formulas in Appendix III, subsection 1)	Option A: Compliance with the following requirement: Fish Oil Forage Fish Dependency Ratio (FFDRo) for grow-out (calculated using formulas in Appendix III, subsection 1) c	option B: Compliance with the following requirement: Maximum level of EPA/DHA content from marine sources as a percentage of fatty acids in the feed (excluding EPA/DHA from trimmings and by-products)

[illegible]

6.5.1	6.6.1	6.7.1	6.8.1
Social	Social	Social	Social
The percentage of employees who are paid a basic needs wage.	Incidences of employees denied freedom to associate, the ability to bargain collectively or denied access to representatives, or representative organizations, chosen by workers	Incidences of abusive disciplinary actions	Violations or abuse of working hours and overtime laws and agreements

Summary of Standard Non Conformities (NC)

Standard:

Version:

Salmon

1.3

NC Type	NC Totals
Major	0
Minor	0
Total	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code	Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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Metric table

Where the requirement is "None", please use 0 (zero) if requirement is met

Corresponds to ASC Bivalve standard version 1.1

[illegible]

Summary of Standard Non Conformities (NC)

Standard:

Version:

Salmon

1.3

NC Type	NC Totals
Major	0
Minor	0
Total	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CAB)	Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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[illegible]

[illegible]

[illegible]

[illegible]

Metric table Where the requirement is "None", please use 0 (zero) if requirement is met

Corresponds to ASC Abalone standard version 1.1

[illegible]

Summary of Standard Non Conformities (NC)

Standard:

Version:

Salmon

1.3

NC Type	NC Totals
Major	0
Minor	0
Total	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CAB)	Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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[illegible]

[illegible]

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[illegible]

Metric table

Where the requirement is "None", please use 0 (zero) if requirement is met

Corresponds to ASC Tilapia standard version 1.2

Indicator No	6.2.1	6.2.2	6.2.3	6.2.6	6.2.7	3.1.1	5.1.1	5.1.2
Impact Category	Chemicals/therapeutants	Chemicals/therapeutants	Chemicals/therapeutants	Chemicals/therapeutants	Chemicals/therapeutants	Feed	Feed	feed
Indicator Text	Allowance for the use of chemicals and therapeutants for disease and pest control that are banned in the importing or producing country	Allowance for the prophylactic use of antibiotics, prior to any evidence of a disease problem	Minimum hold time required before any water in which fish have been fed with feed containing methyl or ethyl testosterone can be released (in Hours)	Allowance for the use of antibiotics critical for human medicine, as categorized by the World Health Organization	Number of treatments of antibiotics over the most recent production cycle	The total amount of phosphorus added to the culture system per metric ton of fish produced per year. Use equations from Appendix III, (in Kg)	Feed Fish Equivalence Ratio (FFER). See Appendix IV for feed calculations.	Allowance for the use of fishmeal and fish oil in tilapia feed containing products from fisheries that are listed on the IUCN's Red List or the species list maintained by the Convention on the International Trade of Endangered Species of Wild Fauna and Flora
Requirement/ Site ID								
ASC00929								

2
3

2
3



50
51
52



2
3



1
0
-1



25
24
23



0.6
0.5
0.4

2
3

5.1.4a Feed	5.1.4b Feed	6.1.1 Mortality/survival/escapes	2.6.1 other	4.1.4 other	4.1.5 other	2.3.1 Water quality	2.4.1 Water quality	2.4.3 Water quality
Prior to achievement of 5.1.3, the average FishSource score characterizing the fishery(ies) from which the fishmeal or fish oil is derived. See Appendix V for explanation of FishSource scoring. (With no individual score)	Prior to achievement of 5.1.3, the average FishSource score characterizing the fishery(ies) from which the fishmeal or fish oil is derived. See Appendix V for explanation of FishSource scoring. (in stock assessment category)	Percent recovery of fish stocked in production stages after they have attained a size of 100 grams	Hectares of allowable wetland conversion since 1999 (in Ha)	In cage culture systems, the minimum distance between the bottom of the cage and the bottom of the receiving water where the cage is placed (in m)	The minimum percentage of males or sterile fish in a culture unit	The percent change in diurnal dissolved oxygen of receiving waters relative to dissolved oxygen at saturation for the water's specific salinity and temperature	Secchi disk visibility limit above which production is not certifiable (in Meters)	Total phosphorus concentration limit in receiving waters (in µg/L)

8	✓	67	✗	2	✓	5	✓	97	✓	63	12	✗	22
9	✓	68	✗	3	✓	6	✓	98	✓	62	13	✗	23
10	✓	69			✓	7	✓	99	✓	61	14	✗	24

[illegible]

✕
✕
✕

6
7
8

✕
✕
✕

22
23
24

✕
✕
✕

12
13
14

✕
✕

2
3

7.4.2 Social	7.4.3 Social	7.5.1 Social
Percentage of health- and safety- related accidents and violations recorded and mitigated through corrective actions	Employer responsibility and proof of insurance (accident/ injury for employee costs in a job-related accident or injury when not covered under national law	The percentage of employees who are paid fair and decent wages

Summary of Standard Non Conformities (NC)

Standard:

Version:

Salmon

1.3

NC Type	NC Totals
Major	0
Minor	0
Total	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CAB)	Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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[illegible]

[illegible]

[illegible]

Metric table

Where the requirement is "None", please use 0 (zero) if requirement is met

Corresponds to ASC Shrimp standard version 1.1

Indicator No	5.3.1	5.3.2	5.3.5	5.3.6	7.2.1a	7.2.1b
Impact Category	Chemicals/therapeutants	Chemicals/therapeutants	Chemicals/therapeutants	Chemicals/therapeutants	Feed	Feed
Indicator Text	Allowance for use of antibiotic and medicated feed on ASC-labelled products (farm can be certified but specific product receiving medicated feed will not be authorized to carry ASC label).	Allowance for the use of antibiotics categorized as critically important by the World Health Organization (WHO), even if authorized by the pertinent national authorities.	Allowance for treating water with pesticides banned or restricted by the Rotterdam Convention on Prior Informed Consent (PIC), the Stockholm Convention on Persistent Organic Pollutants (POPs) or classed as "extremely hazardous" or "highly hazardous" (classes Ia and Ib) by the World Health Organization (WHO).	Allowance for discharge of any hazardous chemicals without previous neutralization	Timeframe for 100% (mass balance) fishmeal and fish oil used in feed to come from fisheries certified by a full ISEAL member that has guidelines specifically promoting ecological sustainability of forage fisheries (In Years)	FishSource score, for the fishery(ies) from which a minimum of 80% of the fishmeal and fish oil by volume is derived (See Appendix IV, subsection 3 for explanation of FishSource scoring) a. for Fishsource Criteria 4 (spawning biomass assessment) (or compliance with alternative interim proposal 7.1.1c)
Requirement/ Site ID						
ASC00929						

7.2.1c Feed	7.2.2 Feed	7.4.1a Feed	7.4.1b Feed	5.1.3a Mortality/survival/escapes	5.1.3b Mortality/survival/escapes	5.1.3c Mortality/survival/escapes	6.2.2a other
FishSource score , for the fishery(ies) from which a minimum of 80% of the fishmeal and fish oil by volume is derived (See Appendix IV, subsection 3 for explanation of FishSource scoring) b. for Fishsource Criteria 1, 2, 3 and 5 (or compliance with alternative interim proposal 7.1.1c)	Percentage of non-marine ingredients from sources certified by an ISEAL member's certification scheme that addresses environmental and social sustainability	Feed Fish Equivalence Ratio (FFER) L. vannamei	Feed Fish Equivalence Ratio (FFER) P. monodon	Annual average farm survival rate (SR): 1) Unfed and non-permanently aerated pond systems	Annual average farm survival rate (SR): 2) Fed but non-permanently aerated pond systems	Annual average farm survival rate (SR): 3) Fed and permanently aerated pond systems.	Percent of total post larvae from closed loop hatchery for L. vannamei, P. indicus, P. stylirostris (i.e., farm-raised broodstock)

6.2.2b other	2.5.3a Water quality	2.5.3b Water quality	7.5.1a Water quality	7.5.1b Water quality	7.5.2a Water quality	7.5.2b Water quality	7.5.4 Water quality	7.5.5 Water quality
Percent of total post larvae from closed loop hatchery for P. monodon (must be increased over time, and reach 100% within six years after the publication of the standard.) (i.e., farm-raised broodstock)	Water-specific conductance in freshwater wells used by the farm or located on adjacent properties. (in µS/cm)	Chloride concentration in freshwater wells used by the farm or located on adjacent properties. (in mg/l)	Nitrogen effluent load per ton of shrimp produced for L. vannamei over a 12-month period.	Nitrogen effluent load per ton of shrimp produced for P. monodon over a 12-month period.	Phosphorous effluent load per ton of shrimp produced for L. vannamei. over a 12-month period	Phosphorous effluent load per ton of shrimp produced for P. Monodon over a 12-month period	Treatment of effluent water from permanently aerated ponds. Evidence that all discharged water goes through a treatment system, and concentration of settleable solids in effluent water (in ml/l)	Percentage change in diurnal dissolved oxygen (DO) relative to DO at saturation in receiving water body for the water's specific salinity and temperature.

[illegible]

Summary of Standard Non Conformities (NC)

Standard:

Version:

Salmon

1.3

NC Type	NC Totals
Major	0
Minor	0
Total	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CAB)	Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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[illegible]

[illegible]

[illegible]

[illegible]

[illegible]

Metric table Where the requirement is "None", please use 0 (zero) if requirement is met

Corresponds to ASC Pangasius standard version 1.2

[illegible]

[illegible]

7.8.3b Social	7.10.2 Social	7.10.3 Social	7.11.2 Social	7.11.3 Social	7.11.5 Social	7.14.3a Social	7.14.3b Social	7.14.3c Social
Minimum time in days/month off for all workers	Maximum length of probation period stated in the contract for workers, other than farm managers and workers with an university degree (in	Maximum length of probation period stated in the contract for farm managers and workers with an university degree	percentage of issues raised by workers which are registered, tracked and responded to by the employer	Percentage of complaints that are resolved within one month after being received	Timeframe in years for the contracting of suppliers and service providers that ensure suitable health and safety conditions for their workers	Percentage of conflicts resolved within 6 months of the date of being filed	Percentage of conflicts resolved within 1 year of the date of being filed	Percentage of conflicts resolved within 2 years of the date of being filed

Summary of Standard Non Conformities (NC)

Standard:

Version:

Salmon

1.3

NC Type	NC Totals
Major	0
Minor	0
Total	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CAB)	Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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[illegible]

[illegible]

[illegible]

Metric table Where the requirement is "None", please use 0 (zero) if requirement is met

Corresponds to ASC Flatfish standard version 1.0

[illegible]

[illegible]

[illegible]

Summary of Standard Non Conformities (NC)

Standard:

Version:

Salmon

1.3

NC Type	NC Totals
Major	0
Minor	0
Total	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CAB)	Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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[illegible]

Q1	Q2	Q3	Q4	Q5	Q6	Q7	Q8	Q9	Q10	Q11	Q12	Q13	Q14	Q15	Q16	Q17	Q18	Q19	Q20	Q21	Q22	Q23	Q24	Q25	Q26	Q27	Q28	Q29	Q30	Q31	Q32	Q33	Q34	Q35	Q36	Q37	Q38	Q39	Q40	Q41	Q42	Q43	Q44	Q45	Q46	Q47	Q48	Q49	Q50	Q51	Q52	Q53	Q54	Q55	Q56	Q57	Q58	Q59	Q60	Q61	Q62	Q63	Q64	Q65	Q66	Q67	Q68	Q69	Q70	Q71	Q72	Q73	Q74	Q75	Q76	Q77	Q78	Q79	Q80	Q81	Q82	Q83	Q84	Q85	Q86	Q87	Q88	Q89	Q90	Q91	Q92	Q93	Q94	Q95	Q96	Q97	Q98	Q99	Q100
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100

Where the requirement is "None", please use 0 (zero) if requirement is met

[illegible]

[illegible]

[illegible]

6.7.1	6.8.2	6.2.1	6.3.1	6.4.2	6.6.1	6.9.1	6.10.1
Social	Social	Social	Social	Social	Social	Social	Social
Percentage of workers who have contracts	Percentage of grievances handled that are addressed within a 90-day timeframe	Number of incidences of child labour	Number of incidences of forced, bonded or compulsory labour	Number of incidences of discrimination	The percentage of workers whose basic wage(before overtime and bonuses) is below the minimum wage	Incidences of excessive or abusive disciplinary actions	Incidences, violations or abuse of working hours and overtime laws

Summary of Standard Non Conformities (NC)

Standard:

Version:

Salmon

1.3

NC Type	NC Totals
Major	0
Minor	0
Total	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CAB)	Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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