#### **Audit Announcement (Form 3)**

Please note that all data entered in this audit announcement sheet will be automatically populated to the specific fields in the sheets of the audit report itself. SiteID(s) is/are provided by ASC in the confirmation email of the publication of this Form 3.

1.1 Document T	ype
----------------	-----

1.2 Document language

1.3 Second document language

1.4 Unit of certification type

1.4.1 Company name

1.4.2 UoC Name

1.5 Country where UoC is located

1.6 ASC Standard

1.7 Standard version

1.8 Certification process is subject to CAR version

1.9 Name of the Conformity assessment body (CAB)

#### 1.3 2.2 Bureau Veritas Certification Denmark A/S

Draft Report

English

Single Site

Cermaq Norway AS

Tuvan

Norway

Salmon

#### Client contact person - from the UoC

1.15 First name

1.16 Surname

1.17 Position in the UoC (Job title)

1.18 Email address

1.19 Phone number

1.20 Other means of contact e.g. Skype

Silje
Ramsvatn
Sustainability Manager
silje.ramsvatn@cermaq.com
0047 411 48 216
cermaq.com

#### 2. Audit information

2.1 ASC standard principles covered by the audit

	ASC standard principles						
2.1.1	Principle 1	Covered					
		Covered					
		Covered					
		Covered					
		Covered					
2.1.6	Principle 6	Covered					
2.1.7	Principle 7	Covered					
2.1.8	Principle 8	Covered					

2.2 Activities covered under the scope of the certification Acti and under the scope of the audit. Activities in the table apply to final product only.

cati	J11				
y.					
	2.2.1	Stocking	Covered	Covered	
	2.2.2	Nursing	Covered	Not Covered	
	2.2.3	Growing Out	Covered	Covered	
	2.2.4	Transferring	Covered	Covered	
	2.2.5	Harvest	Covered	Not Covered	
	2.2.6	Vaccination	Covered	Not Covered	
	2.2.7	Fallowing	Covered	Not Covered	
	2.2.8	Transportation		Not Covered	
	2.2.9	Storage (if present at farm)		Not Covered	
2	.2.10	Processing (if present at farm)		Not Covered	
2	.2.11	Packing (if present at farm)		Not Covered	
2	.2.12	Other (Please describe)			

2.3 Certification cycle

2.4 Audit type

2.5 Audit number in certification cycle

2.6 Will harvesting be witnessed during audit?

2.6.1 If harvest is NOT witnessed, please justify:

2.7 Audit conducted (On-site/Remote):

1
Recertification audit
2
Yes
The witness of harvesting activities followed the requirements of Q&A94. The narvest at the site was witnessed for a group of Cermaq sites. No nonconformities were raised.

Please indicate the hours assigned to the different audit activities in the table below, separated by the hours spend on the activities by the environmental- and social auditor(s):

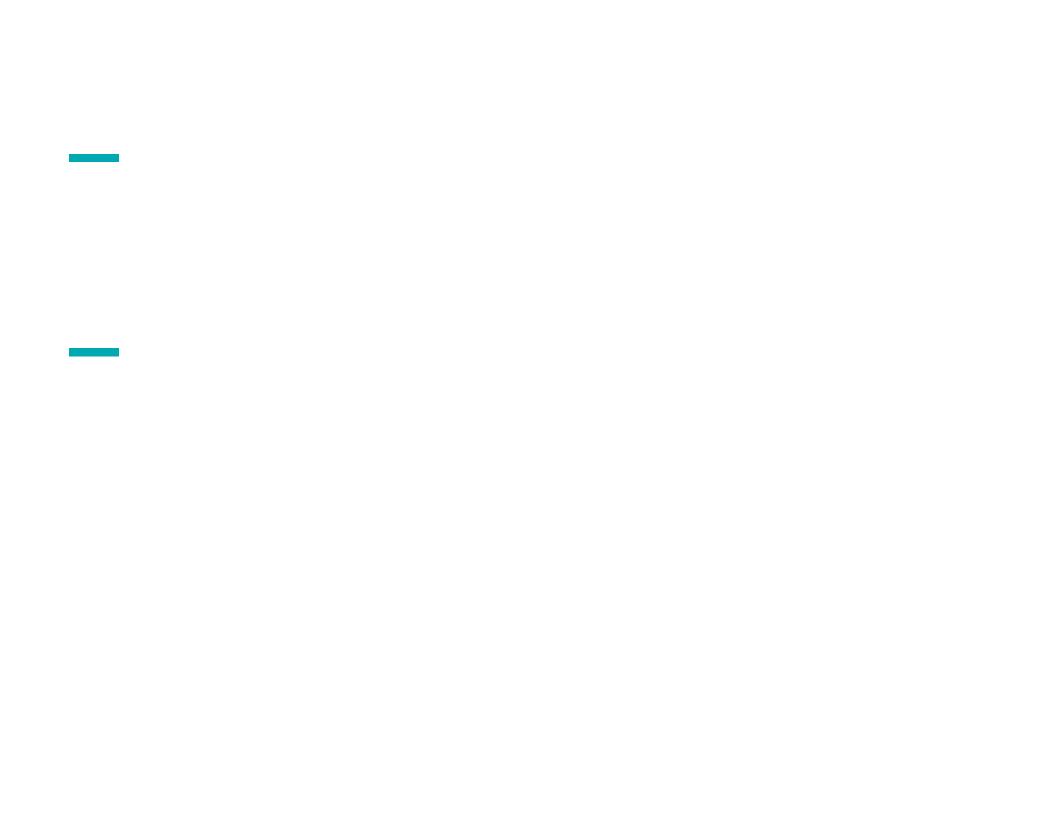
2.8	2.9	2.10			
Time assigned to audit activities	Social Auditor(s)	Environmental auditor(s)			
Off-site activities	4	6			
On-site activities		6			
Total man days	0.5	1.5			

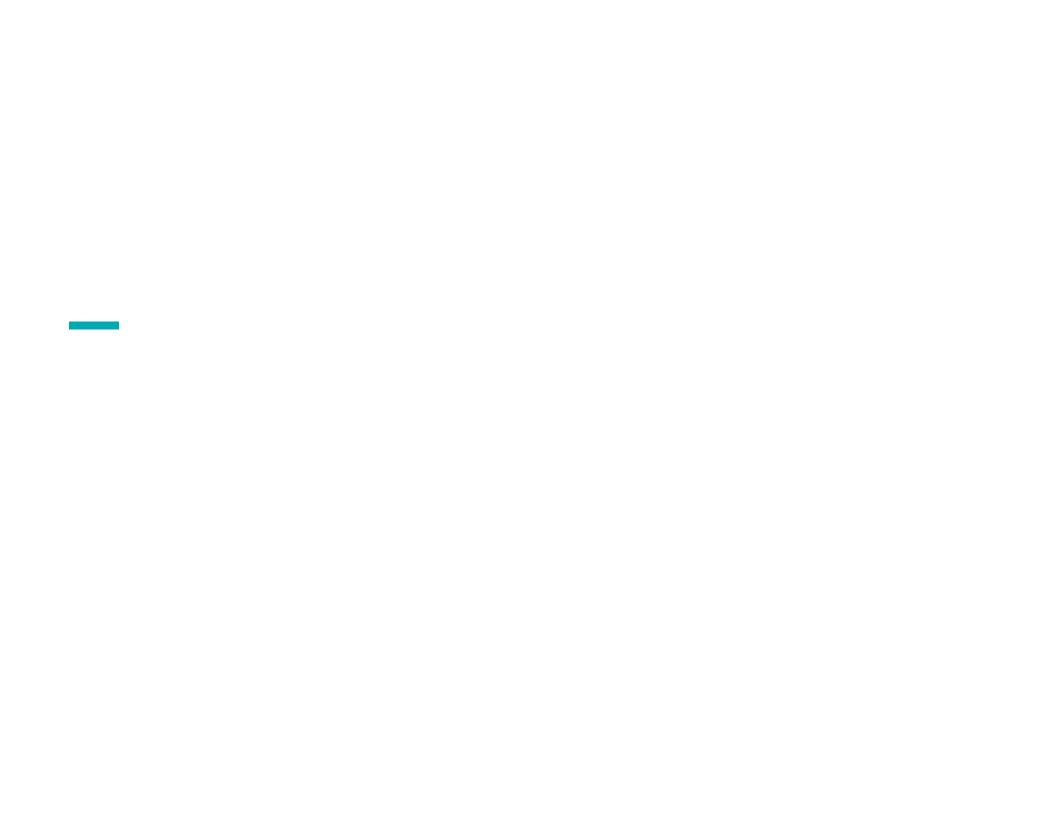
Audit team and other involved persons				
2.11	2.12	2.13	2.14	2.15
Surname	First name	Role	Expertise needed for the audit (required for technical experts only)	Person on-site or remote?
Helle	Trygve	Audit team leader		Remote
Poulsen	Michael	Social Auditor		Remote
Karlsen	Kine Mari	Others (specify activities)	Enviromental Auditor	On-site
Zadeh	Shahram	Technical reviewer		

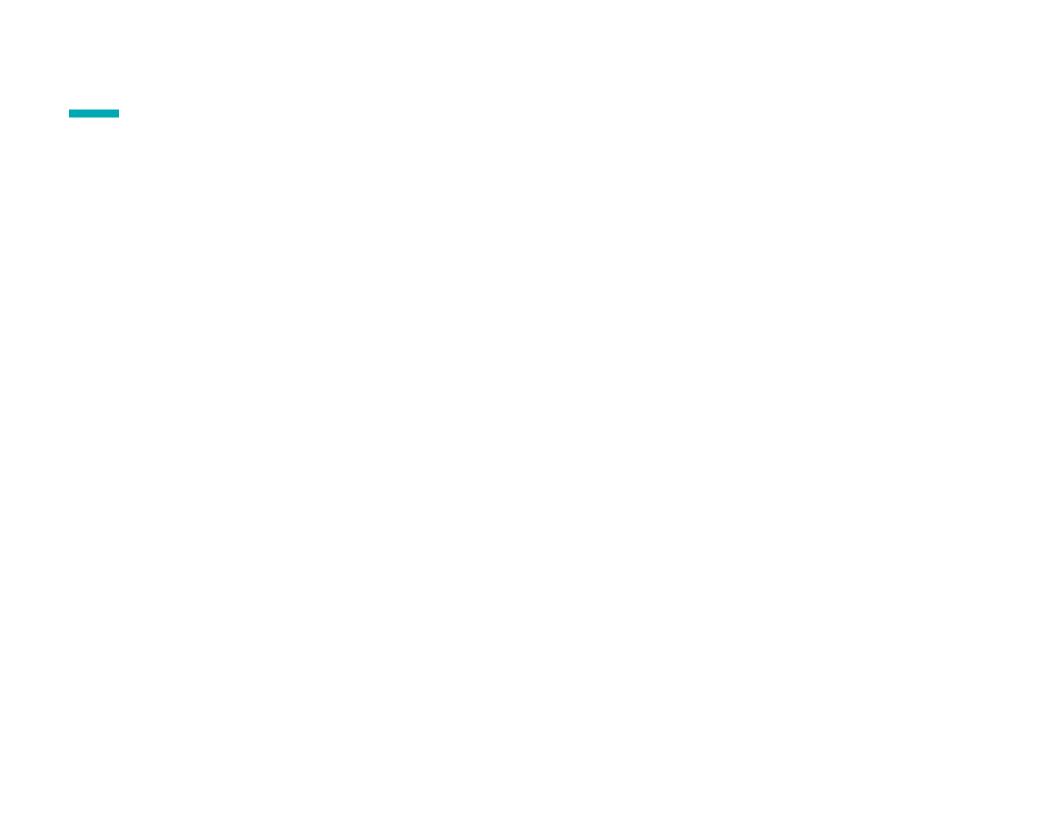
#### 3. Site information

3.2	3.3	3.4	3.6	3.13	3.14	3.15	3.16	3.17	3.18
Site name	Ownership	Primary culture species	Cycle duration	Latitude (N, S)	Longitude (E,W)	Production system*	Number of production units	Start date of audit	End date of audit
				(00.000000)*	(00.000000)*				
Tuvan 10821	Owned	Atlantic salmon (Salmo salar)	Long-cycle species (>6 months)	70.091350	22.709376	Cages - circular plastic	5	Wednesday, May 5, 2021	Friday, May 7, 2021

March   Communication   Special property (Special popular property (Special popular	4.1	4.2	4.3	4.4	4.5	4.6	4.7	4.8	4.9	4.10
March   Marc										
Manual	Name of Company/ Organisation if applicable	Contact person - First hame	contact person - surname	Country where stakeholder is based		Stakeriolder type		Contact date stakenoider		
Marked   M									comments:	
NA   NA   NA   NA   Norway   contiguence   NA   C   2-Apr-21   No   NA   NA   Norway   contiguence   NA   C   2-Apr-21   No   NA   NA   NA   Norway   contiguence   NA   C   2-Apr-21   No   NA   NA   NA   NA   NA   Norway   contiguence   NA   NA   NA   NA   NA   NA   Norway   contiguence   NA   NA   NA   NA   NA   NA   NA   N					Stakenoluei		what type:			
Section   Sect	WWE-Norge	N/A	N/A	Nonway	nost@wwf.no	NGO - Environmental	N/A	27-∆nr-21	No	
order Lakeschery  NA  NA  NA  NA  NA  NA  NA  NA  NA  N	www-worge	N/A	N/A	Norway	post@wwi.no		IN/A	27-Api-21	NO	N/A
Second Communities	Norska Laksaalvar	N/A	N/A	Nonway	noct@lakceelver.no		N/A	27-Apr-21	No	N/A
Section   Sect	IVOISKE LAKSEEIVEI	14/0	N/A	Norway	post@iakseerver.iio		IN/A	27-Api-21	No	13/15
No	Fellesforhundet	N/A	N/A	Nonway	nost@fallesforhundet		N/A	27-Apr-21	No	N/A
atturene@baudet  N/A  N/A  N/A  N/A  N/A  N/A  N/A  N/	renesionalidet	14/0	N/A	Norway		Labour unions	IN/A	27-Api-21	No	13/15
NA   NA   NA   Norway   Post-Propensistation   NA   No.   Provincemental   NA   Provincemental   NA   Provincemental   NA   Provincemental   NA   Provincemental   Provincemental   Provincemental   NA   Provincemental   Provincemental   Provincemental   NA   Provincemental   Provincemental   Provincemental   Provincemental   NA   Provincemental   Provincemental   Provincemental   Provincemental   NA   Provincemental   Province	Naturiernforhundet	N/A	N/A	Nonway		NGO - Environmental	N/A	27-∆nr-21	No	N/A
orges kyntriksfraig         N/A         N/A         Norway         post@norgeskyntriks and page more area area.         N/A         27-Apr-21 No         N/A           battstypet         N/A         N/A         N/A         Norway         postmottak@mattly Authorities         N/A         27-Apr-21 No         N/A           orsk-Drintologisk Forening         N/A         N/A         N/A         Norway         noff@letdiffien on NGO - Environmental area area.         N/A         27-Apr-21 No         N/A           sckerdireiktforatet         N/A         N/A         N/A         Norway         postmottak@fiskerid         Authorities         N/A         27-Apr-21 No         N/A           orges køger- og Fiskerforbund         N/A	ivaturverinorbundet	14/0	N/A				IN/A	27 7451 22	No	13/15
Attatypet NA NA Norway postmottal@mattisky NA NA NA Norway postmottal@mattisky NA NA NOrway postmottal@mattisky NA NA NA NA NA NA NOrway postmottal@mattisky NA NA NA NA NA NA NORWay postmottal@mattisky NA NA NA NA NA NA NA NORWay postmottal@mattisky NA NORWay postmottal@mattisky NA	Norges Kystfiskarlag	N/Δ	N/A				N/A	27-Δnr-21	No	N/A
note Omitologisk Forening  N/A  N/A  N/A  N/A  N/A  N/A  N/A  N/	Troiges Nystristands	.,,,,	147.	To the y			1,7,7	27 7451 22		.,,,,
	Mattilsynet	N/A	N/A	Norway			N/A	27-Anr-21	No	N/A
orsk Ornitologisk Forening  N/A  N/A  N/A  N/A  N/A  N/A  N/A  N/		1,7,1	1,71							.,
area   NA   NA   NA   Norway   postsmottakefiskendi   Authorities   NA   27-Apr-21 No   NA   Norway   NA   NOrway   npffengfi.no   NGO - Environmental   NA   27-Apr-21 No   NA   NOrway   npffengfi.no   NGO - Environmental   NA   27-Apr-21 No   NA   NOrway   npffengfi.no   NGO - Environmental   NA   27-Apr-21 No   NA   NOrway   npffengfi.no   NGO - Environmental   NA   27-Apr-21 No   NA   NOrway   npffengfi.no   NGO - Environmental   NA   27-Apr-21 No   NA   NOrway   npffengfi.no   NGO - Environmental   NA   27-Apr-21 No   NA   NOrway   npffengfi.no   NGO - Environmental   NA   27-Apr-21 No   NA   NOrway   npffengfi.no   NGO - Environmental   NA   27-Apr-21 No   NA   NOrway   npffengfi.no   NA   NOrway   npffengfi.no   NA   NOrway   npffengfi.no   NA   NOrway   npffengfi.no   NA   NA   NOrway   npffengfi.no   NA   NOrway   npffengfi.no   NA   NA   NOrway   npffengfi.no   NA   NA   NOrway   npffengfi.no   NA   NA   NOrway   npffengfi.no   NA   NOrway   npffengfi.no   NA   NA   NOrway   npffengfi.no   NA   NA   NA   NA   Norway   npffengfi.no   NA   NA   NA   NA   NA   Norway   npffengfi.no   NA   NA   NA   NA   NA   NA   Norway   npffengfi.no   NA   NA   NA   NA   NA   NA	Norsk Ornitologisk Forening	N/A	N/A			NGO - Environmental	N/A	27-Apr-21	No	N/A
N/A   N/A   N/A   Norway   postmotakeffiskerid   Authorities   N/A   27-Apr-21   No   N/A								.,		
rorges leger- og Fiskerforbund N/A N/A N/A Norway njffenjff.no NGO - Environmental N/A 27-Apr-21 No N/A Norway njffenjff.no NGO - Environmental N/A 27-Apr-21 No N/A Norway njffenjff.no NGO - Environmental N/A 27-Apr-21 No N/A Norway norførinder N/A Norway norførinder N/A 27-Apr-21 No N/A Norway norførinder N/A Norway norførinder N/A 27-Apr-21 No N/A 27-Apr-21 No N/A N/A Norway norførinder N/A 27-Apr-21 No N/A 27-Apr-21 No N/A N/A Norway norførinder N/A 27-Apr-21 No N/A 27-Apr-21 No N/A N/A Norway norførinder N/A 27-Apr-21 No N/A 27-Apr-21 No N/A N/A Norway norførinder N/A 27-Apr-21 No N/A 27-Apr-21 No N/A N/A Norway norførinder N/A 27-Apr-21 No N/A N/A N/A N/A Norway norførinder N/A 27-Apr-21 No N/A N/A N/A N/A N/A N/A Norway no	Fiskeridirekltoratet	N/A	N/A	Norway	postmottak@fiskeridi		N/A	27-Apr-21	No	N/A
orges Jeger- og Fiskerforbund  N/A  N/A  N/A  N/A  NOrway  niff@nfino  NGO - Environmental area  neg  N/A  N/A  N/A  N/A  NOrway  niff@nfino  NGO - Environmental area  neg  NGO - Environmental area  NGO - Environmental NGO - Environmental area  NGO - Environmental NGO - Environme				1						
	Norges Jeger- og Fiskerforbund	N/A	N/A	Norway		NGO - Environmental	N/A	27-Anr-21	No	N/A
N/A N/A Norway nm(enmf.no NGO - Environmental area area area area area area area ar				1	, 5.9					
area or orges fiskarlage   N/A	Norges Miliøvernforbund	N/A	N/A	Norway	nmf@nmf.no		N/A	27-Apr-21	No	N/A
orges Fiskarlag         N/A         N/A         N/A         Norway         fiskarlaget@fiskarlage to norway         NGO - Environmental area         N/A         27-Apr-21 No         N/A           aligdirektoratet         N/A         N/A         N/A         NOrway         post@mlighdir.no         Authorities         N/A         27-Apr-21 No         N/A           N/A         N/A         N/A         N/A         NOrway         postmottak@atta.kom nune.no         Authorities         N/A         27-Apr-21 No         N/A           egionkontoret Troms og Finnmark         N/A         N/A         N/A         N/A         Authorities         N/A         27-Apr-21 No         N/A           ystverket Troms og Finnmark         N/A         N/A         N/A         N/A         Authorities         N/A         27-Apr-21 No         N/A           ystverket Troms og Finnmark         N/A         N/A         N/A         N/A         Ommunities         N/A         27-Apr-21 No         N/A           ystverket Troms og Finnmark         N/A         N/A         N/A         N/A         N/A         27-Apr-21 No         N/A           ystverket Troms og Finnmark         N/A         N/A         N/A         N/A         N/A         27-Apr-21 No         N/A		1,7,1		,			.,,.,			.,
to area to the fillipidirektoratet N/A N/A NA Norway post@milipidire. N/A 27-Apr-21 No N/A N/A Norway postmottak@effx.no Authorities N/A 27-Apr-21 No N/A N/A Norway post@kystverket.no Communities N/A 27-Apr-21 No N/A N/A Norway nord@fiskarlaget.no. Communities N/A 27-Apr-21 No N/A 27-Apr-21 No N/A 27-Apr-21 No N/A N/A Norway nord@fiskarlaget.no. Communities N/A 27-Apr-21 No N	Norges Fiskarlag	N/A	N/A	Norway	fiskarlaget@fiskarlage		N/A	27-Apr-21	No	N/A
Milyadirektoratet   N/A   N/A   N/A   Norway   post@miljodir.no   postmottak@alta.kom   nune.no   nune.n			'				'	T.		1
ta Kommune  N/A  N/A  N/A  Norway  postmottak@alta.kom mune.no mune.no mune.no postmottak@alta.kom mune.no mune.no mune.no postmottak@alta.kom mune.no mune.no postmottak@alta.kom mune.no mune.no postmottak@alta.kom mune.no postmottak@alta.kom mune.no postmottak@alta.kom mune.no postmottak@alta.kom mune.no postmottak@alta.kom mune.no postmottak@alta.kom duthorities  N/A  27-Apr-21  No  N/A  N/A  N/A  N/A  N/A  Norway	Miljødirektoratet	N/A	N/A	Norway			N/A	27-Apr-21	No	N/A
egionkontoret Troms og Finnmark  N/A  N/A  N/A  Norway  postmottak@tffk.no  Authorities  N/A  27-Apr-21  No  N/A  27-Apr-21  No  N/A  Norway  post@kystverket.no  Communities  N/A  27-Apr-21  No  N/A  Norway  nord@fiskarlaget.no.  Communities  N/A  27-Apr-21  No  N/A  Norway  nord@fiskarlaget.no.  Communities  N/A  27-Apr-21  No  N/A  Norway  mo-thoma@online.no.  Communities  N/A  27-Apr-21  No  N/A  Norway  mo-thoma@online.no.  Communities  N/A  27-Apr-21  No  N/A  Norway  post@origeskystfiskarlag  N/A  N/A  Norway  post@norgeskystfiskarlag  N/A  N/A  Norway  post@norgeskystfiskarlag  N/A  Norway  post@nordeskystfiskarlag  N/A  Norway  post@nordeskystfiskarlag  N/A  Norway  post@nordeskystfiskarlag  N/A  N/A  Norway  N/A  Nor	Alta Kommune							27-Apr-21	No	
stverket Troms og Finnmark  N/A  N/A  N/A  Norway  Norway  N/A  Norway  Norway							'	· ·		
stverket Troms og Finnmark  N/A  N/A  N/A  Norway  Norway  N/A  Norway  Norway	Regionkontoret Troms og Finnmark	N/A	N/A	Norway	postmottak@tffk.no	Authorities	N/A	27-Apr-21	No	N/A
skarlaget Nord N/A N/A Norway nord@fiskarlaget.no. Communities N/A 27-Apr-21 No N/A Norway no-thoma@online.no. Communities N/A 27-Apr-21 No N/A Norway arni-ri@online.no. Communities N/A 27-Apr-21 No N/A Norway arni-ri@online.no. Communities N/A 27-Apr-21 No N/A Norway post@norgeskystfiskarlag N/A N/A Norway post@norgeskystfiskarlag N/A Norway post@norgeskystfiskarlag N/A 27-Apr-21 No N/A Norway post@norgeskystfiskarlag N/A 27-Apr-21 No N/A 27-A							'	·		
skarlaget Nord N/A N/A N/A Norway nord@fiskarlaget.no. Communities N/A 27-Apr-21 No N/A N/A Norway mo-thoma@online.no. Communities N/A 27-Apr-21 No N/A N/A Norway arni-ri@online.no. Communities N/A 27-Apr-21 No N/A N/A Norway post@norgeskystfiskarlag N/A N/A N/A Norway post@norgeskystfiskarlag N/A 27-Apr-21 No N/A N/A Norway post@norgeskystfiska Communities N/A 27-Apr-21 No N/A	Kystverket Troms og Finnmark	N/A	N/A	Norway	post@kystverket.no	Communities	N/A	27-Apr-21	No	N/A
ordiford Fiskarlag  N/A  N/A  Norway  Norway  Norway  N/A  Norway  Norway  N/A  Norway  Norway	· ·				j - '		'	·		
orekorsnes Fiskarlag  N/A  N/A  Norway  arni-ri@online.no. Communities  N/A  27-Apr-21  No  N/A  27-Apr-21  No  N/A  N/A  Norway  post@norgeskystfiska  rlag.no  rlag.no  postmottak@hammer fest.kommune.no  Rest-Finnmark Kystfiskarlag  N/A  N/A  Norway  N/A  Norway  post@norgeskystfiska  Communities  N/A  27-Apr-21  No  N/A  N/A  Norway  postmottak@hammer fest.kommune.no  Rest-Finnmark Kystfiskarlag  N/A  Norway  N/A  Norway  post@norgeskystfiska  Communities  N/A  27-Apr-21  No  N/A	Fiskarlaget Nord	N/A	N/A	Norway	nord@fiskarlaget.no.	Communities	N/A	27-Apr-21	No	N/A
orekorsnes Fiskarlag  N/A  N/A  Norway  arni-ri@online.no. Communities  N/A  27-Apr-21  No  N/A  27-Apr-21  No  N/A  N/A  Norway  post@norgeskystfiska  rlag.no  rlag.no  postmottak@hammer fest.kommune.no  Rest-Finnmark Kystfiskarlag  N/A  N/A  Norway  N/A  Norway  post@norgeskystfiska  Communities  N/A  27-Apr-21  No  N/A  N/A  Norway  postmottak@hammer fest.kommune.no  Rest-Finnmark Kystfiskarlag  N/A  Norway  N/A  Norway  post@norgeskystfiska  Communities  N/A  27-Apr-21  No  N/A							'	·		
orges Kystfiskarlag N/A N/A Norway post@norgeskystfiskarlag Communities N/A 27-Apr-21 No N/A everfjord Båtforening N/A N/A Norway postmottak@hammer fest.kommune.no Communities N/A 27-Apr-21 No N/A est-Finnmark Kystfiskarlag N/A N/A Norway post@norgeskystfiska Communities N/A 27-Apr-21 No N/A est-Finnmark Kystfiskarlag N/A N/A Norway post@norgeskystfiska Communities N/A 27-Apr-21 No N/A	Korsfjord Fiskarlag	N/A	N/A	Norway	mo-thoma@online.no	Communities	N/A	27-Apr-21	No	N/A
orges Kystfiskarlag N/A N/A Norway post@norgeskystfiskarlag Communities N/A 27-Apr-21 No N/A everfjord Båtforening N/A N/A Norway postmottak@hammer fest.kommune.no Communities N/A 27-Apr-21 No N/A est-Finnmark Kystfiskarlag N/A N/A Norway post@norgeskystfiska Communities N/A 27-Apr-21 No N/A est-Finnmark Kystfiskarlag N/A N/A Norway post@norgeskystfiska Communities N/A 27-Apr-21 No N/A										
rlag_no reverfjord Båtforening N/A N/A Norway postmottak@hammer fest.kommune.no rest-Finnmark Kystfiskarlag N/A N/A Norway post@norgeskystfiska Communities N/A 27-Apr-21 No N/A 27-Apr-21 No N/A	Storekorsnes Fiskarlag	N/A	N/A	Norway	arni-ri@online.no.	Communities	N/A	27-Apr-21	No	N/A
everfjord Båtforening N/A N/A Norway postmottak@hammer fest.kommune.no est-Finnmark Kystfiskarlag N/A N/A Norway post@norgeskystfiska Communities N/A 27-Apr-21 No N/A N/A N/A Norway post@norgeskystfiska Communities N/A 27-Apr-21 No N/A	Norges Kystfiskarlag	N/A	N/A	Norway	post@norgeskystfiska	Communities	N/A	27-Apr-21	No	N/A
fest.kommune.no fest.kommune.no Communities N/A 27-Apr-21 No N/A					rlag.no					
est-Finnmark Kystfiskarlag N/A N/A Norway post@norgeskystfiska Communities N/A 27-Apr-21 No N/A	Neverfjord Båtforening	N/A	N/A	Norway	postmottak@hammer	Communities	N/A	27-Apr-21	No	N/A
					fest.kommune.no					
riagno	Vest-Finnmark Kystfiskarlag	N/A	N/A	Norway	post@norgeskystfiska	Communities	N/A	27-Apr-21	No	N/A
					rlag.no					







## 1. General, client/CAB information

1.1 Document Type	Draft Report
1.2 Document language	English
1.3 Second document language	
1.4 Unit of certification type	Single Site
1.4.1 Company name	Cermaq Norway AS
1.4.2 UoC name	Tuvan
1.5 Country where UoC is located	Norway
1.6 ASC Standard	Salmon
1.7 Standard version	1.3
1.8 Certification process is subject to CAR version	2.2
1.9 Name of the Conformity assessment body (CAB)	Bureau Veritas Certification Denmark A/S
Client contact person - from the UoC	

- 1.15 First name
- 1.16 Surname
- 1.17 Position in the UoC (Job title)
- 1.18 Email address
- 1.19 Phone number
- 1.20 Other means of contact e.g. Skype

Silje
Ramsvatn
Sustainability Manager
silje.ramsvatn@cermaq.com
0047 411 48 216
cermaq.com

# 2) Audit information



## 2. Audit Information

Include the dates for publication of the announcement and draft reports before each respective submission.

2.1	Date - <b>Audit announcement</b> published on ASC website	Tuesday, M	arch 16, 2021		
2.2	Date - <b>Draft report</b> published on ASC website	Thursday, July 1, 2021			
2.3	Date - Final report submitted to ASC				
2.4	Audit ID	provided by ASC with publication confirmation			
2.5	ASC standard principles covered by the audit	Principle 1	Covered		
2.5.1		Principle 2	Covered		
2.5.2		Principle 3	Covered		
2.5.3		Principle 4	Covered		
2.5.4		Principle 5	Covered		
2.5.5		Principle 6	Covered		
2.5.6		Principle 7	Covered		
2.5.7		Principle 8	Covered		

## 2) Audit information



2.6 Activities covered under the scope of the certification and under the scope of the audit Activities in the table apply to final product only.

audit. <i>luct</i>	Activity	Under scope of certification	Under Scope of this audit	Notes
2.6.1	Stocking	Covered	Covered	
2.6.2	Nursing	Covered	Not Covered	
2.6.3	Growing Out	Covered	Covered	
2.6.4	Transferring	Covered	Covered	
2.6.5	Harvest	Covered	Not Covered	
2.6.6	Vaccination	Covered	Not Covered	
2.6.7	Fallowing	Covered	Not Covered	
2.6.8	Transportation		Not Covered	
2.6.9	Storage (if present at farm)		Not Covered	
2.6.10	Processing (if present at farm)		Not Covered	
2.6.11	Packing (if present at farm)		Not Covered	
2.6.12	Other (Please describe)			

2.7 Certification cycle
2.8 Audit type
2.9 Audit number in certification cycle
2.10 Will harvesting be witnessed during audit?
2.10.1 If harvest is NOT witnessed, please justify:

1
Recertification audit
2
Yes

The witness of harvesting activities followed the requirements of Q&A94. The harvest at the site was witnessed for a group of

2.11 Audit conducted (On-site/Remote):

Please indicate the hours assigned to the different audit activities in the table below, separated by the hours spend on the activities by the environmental- and social auditor(s):

Assisted remote

	Please indicate the nours assigned to the different addit activities in the table below, separated by the <b>nours</b> spend on the									
	2.12.1	2.12.2	2.12.3							
2.12	Time assigned to audit activities	Social Auditor(s)	Environmental auditor(s)							
	Off-site activities	4	6							
	On-site activities		6							
	Total man days	0.5	1.5							

# 2) Audit information



Audit team and other involve	ed persons			
2.13	2.14	2.15	2.16	2.17
Surname	First name	Role	Expertise needed for the audit (required for technical experts only)	Person on-site or remote?
Helle	Trygve			Remote
Poulsen	Michael	Social Auditor		Remote
Karlsen	Kine Mari	Others (specify activities)	Enviromental Auditor	On-site
Zadeh	Shahram	Technical reviewer		

## 3. Site information

List all sites here, that are included in the certificate.

GIS, polygon data and map on site level Yes validated by auditor?

3.1	3.2	3.3	3.4	3.5	3.13	3.14	3.15	3.16	3.17
Site ID - provided by ASC with publication confirmation of audit announcement	Site name	Ownership	Primary culture species	Secondary species (choose multiple species as relevant)	Latitude (N, S) (00.000000)*	Longitude (E,W) (00.000000)*	Production system	Number of production units	Production type
S0001051	Tuvan 10821	Owned	Atlantic salmon (Salmo salar)		70.091350	22.709376	Cages - circular plastic	5.000000	Monoculture

3.18	3.19	3.20	3.21	3.22	3.22.1	3.22.2	3.23	3.23.1
Production	Date of inclusion into the UoC	Start date of audit	End date of audit	First date of juvenile	Estimated Number of	Status at the time of the	List of other certificates (choose	List of other certificates: If 3.23 is
method	(for scope			stocking for the current	months post audit to	current audit	multiple options as relevant)	"Other", please list the certificates:
	extension/group/multi-site)			production cycle	peak biomass/ first			
					harvest			
Intensive		05-May-21	07-May-21	22-Jul-20		On-growing (<75%	GlobalGAP	
						biomass)		

3.24	3.25	3.26	3.26.1	3.27	3.28	3.29	3.30	3.31	3.32
Is the site	If partially certified, which part is not in the UoC and	The volumes	Type of volumes	ASC-certified	Non ASC-certified	Dispatched or sold	Dispatched or sold	For Bivalve/Abalone:	Note/ Other
partially certified?	why?	indicated in the	indicated in 3.27-	production volume	production	as ASC-certified	as non ASC-certified	Volumes indicate in	information
		fields 3.27-3.30	3.30	(in Kg)	volume (in Kg)	Volume (in Kg)	Volume (in Kg)	3.27 - 3.30 are given in	
		apply to the						live weight equivalent	
		following <u>full</u>						or volume without	
		calendar year:						shell	
No		2020	Actual volume	498592		132375	366217		

# 4. Harvest witnessing

4.1	4.2	4.3	4.4	4.5	4.6	4.7	4.8
Site ID - provided by ASC with publication confirmation of audit announcement.	Site name	Date of witnessed harvest:	Production unit ID:	Volume harvested (in Kg):	Average weight of animals (in g)	Partial harvest / full harvest:	Note/ Other information
S0001051	Tuvan 10821	Monday, November 23, 2020	Cage 4	143227	4640	Full harvest	The witness of harvesting activities followed the requirements of Q&A94. The harvest at the site was witnessed for a group of Cermaq sites. No nonconformities were raised.

5. Stakeholder engagement

ASC takes data protection very seriously. ASC will only use any personal information you give us in connection with the audit report.

For more information please read our privacy policy, which can be found here:

ASC Privacy policy

Provide stakeholder comments including CAB response, please use the confidential Annex-2 in case of any confidential data not to be published.

This table collects all the information relevant to stakeholders consulted during the audit process. Each stakeholder should be entered into a separate row, ever where from the same company/organization

5.1	5.2	5.3	5.4	5.5	5.6	5.7	5.8	5.9	5.10	5.11	5.12	5.13	5.14
Name of Company/ Organisation if		Contact person - Surname	Country where	Email address of contact	Stakeholder type	If stakeholder type "other"	Contact date stakeholder	Did the	Stakeholder comments	Brief summary of points raised by	Date stakeholder		Actual changes made due to
applicable			stakeholder is based	person/ stakeholder		was selected what type?		stakeholder	relate to what ASC	stakeholder or complete submission	submission was	to stakeholder	stakeholder comment?
								submit	standard indicator		received		
								comments?	number?				
WWF-Norge	N/A	N/A	Norway	post@wwf.no	NGO - Environmental area	N/A	Tuesday, April 27, 2021	l No	N/A	No input received from stakeholder			
Norske Lakseelver	N/A	N/A	Norway	post@lakseelver.no	NGO - Environmental area	N/A	Tuesday, April 27, 2021	l No	N/A	No input received from stakeholder			
Fellesforbundet	N/A	N/A	Norway	post@fellesforbundet.no	Labour unions	N/A	Tuesday, April 27, 2021	l No	N/A	No input received from stakeholder			
Naturvernforbundet	N/A	N/A	Norway	naturvern@naturvernforbun det.no	NGO - Environmental area	N/A	Tuesday, April 27, 2021	l No	N/A	No input received from stakeholder			
Norges Kystfiskarlag	N/A	N/A	Norway	post@norgeskystfiskarlag.no	NGO - Environmental area	N/A	Tuesday, April 27, 2021	l No	N/A	No input received from stakeholder			
Mattilsynet	N/A	N/A	Norway	postmottak@mattilsynet.no	Authorities	N/A	Tuesday, April 27, 2021	l No	N/A	No input received from stakeholder			
Norsk Ornitologisk Forening	N/A	N/A	Norway	nof@birdlife.no	NGO - Environmental area	N/A	Tuesday, April 27, 2021	l No	N/A	No input received from stakeholder			
Fiskeridirekltoratet	N/A	N/A	Norway	postmottak@fiskeridir.no	Authorities	N/A	Tuesday, April 27, 2021		N/A	No input received from stakeholder			
Norges Jeger- og Fiskerforbund	N/A	N/A	Norway	njff@njff.no	NGO - Environmental area	·	Tuesday, April 27, 2021		N/A	No input received from stakeholder			
Norges Miljøvernforbund	N/A	N/A	Norway	nmf@nmf.no	NGO - Environmental area	· ·	Tuesday, April 27, 2021		N/A	No input received from stakeholder			
Norges Fiskarlag	N/A	N/A	Norway		NGO - Environmental area	· ·	Tuesday, April 27, 2021		N/A	No input received from stakeholder			
Miljødirektoratet	N/A	N/A	Norway	post@miljodir.no	Authorities	N/A	Tuesday, April 27, 2021		N/A	No input received from stakeholder			
Alta Kommune	N/A	N/A	Norway	postmottak@alta.kommune. no		N/A	Tuesday, April 27, 2021		N/A	No input received from stakeholder			
Regionkontoret Troms og Finnmark	N/A	N/A	Norway	postmottak@tffk.no	Authorities	N/A	Tuesday, April 27, 2021		N/A	No input received from stakeholder			
Kystverket Troms og Finnmark Fiskarlaget Nord	N/A N/A	N/A N/A	Norway	post@kystverket.no nord@fiskarlaget.no.	Communities  Communities	N/A N/A	Tuesday, April 27, 2021 Tuesday, April 27, 2021		N/A N/A	No input received from stakeholder  No input received from stakeholder			
Korsfjord Fiskarlag	N/A	N/A	Norway	mo-thoma@online.no	Communities	N/A	Tuesday, April 27, 2021		N/A	No input received from stakeholder			
Storekorsnes Fiskarlag	N/A	N/A	Norway	arni-ri@online.no.	Communities	N/A	Tuesday, April 27, 2021		N/A	No input received from stakeholder			
Norges Kystfiskarlag	N/A	N/A	Norway	post@norgeskystfiskarlag.no		N/A	Tuesday, April 27, 2021		N/A	No input received from stakeholder			
Neverfjord Båtforening	N/A	N/A	Norway	postmottak@hammerfest.ko		N/A	Tuesday, April 27, 2021		N/A	No input received from stakeholder			
Vest-Finnmark Kystfiskarlag	N/A	N/A	Norway	mmune.no post@norgeskystfiskarlag.no		N/A	Tuesday, April 27, 2021		N/A	No input received from stakeholder			
· -													
								1					
								-					
								+	+				
								1					

## 1) General, Client and CAB information



### **6. Social Requirements**

IMPORTANT NOTE

This sheet, containing the social data, will be made publicly available. Some parts (2 and 3) of the social requirements are included in the confidential Annex-3, and will not be made publicly available. Please complete both sheets. This information is ideally prepared for desk review, prior to the audit. If this is not the case, the sheets are required to be filled out in the draft- and final audit report.

Date of review	
· ·	

1 (	îlien:	r'ς In	forma	tion

Please note that a lot of fields in this sheet contain data restrictions, where ONLY a number can be entered.

- 6.1 Means of transportation between office and site(s) and between sites within UoC
- 6.1.1 Estimated travel time between office and site(s) and between sites within UoC
- 6.2 Number of complaints received from stakeholders over past 12 months
- 6.3 Number of resolved complaints
- 6.4 Average time to resolve complaints (days)
- 6.5 Last Social Impacts Assessment (SIA) conducted in (year)
- 6.6 Name of nearby communities, Indigenous or not and the distance of the UoC to the nearest neighbouring community/-ies or neighbours (in km)

e	Name of nearby community	Indigenous	Distance of the UoC to the nearest neighbouring community/-ies or neighbours (in km)
П			

6.7 Social audits performed at UoC

Standard	Certified since (Date)	Certified until (Date)	Date of last audit (Date)	Evaluation result
SA8000				
BSCI	N/A	N/A		
SMETA	N/A	N/A		
ISO 45000				
ASC				
Others (specify)				



6	8	SII	hcontractors

Name of subcontractors	Place of work	Areas of work/processes

4 list	of c	locumen <sup>.</sup>	ts su	hmitted	hv	InC
T LIST	יוע	JUCUITIETT	lo su	$\mathbf{p}_{11111111111111111111111111111111111$	1 10 9	$\circ \circ \circ$

Only copies of listed documents are submitted to the CAB.

	Unit of Certification (UoC)	
6.9	Map/layout of UoC	
6.10	List of sites/farms if multi-site or group	
6.11	List of applicable laws and regulations, year of release, authority	
6.12	Agreement with adjacent community/ies, if any	
6.13	Social Impacts Assessment report	
	List of subcontractors, if any, including their services, addresses	
6.15	Agreement with labour contracts, if any	
6.16	List of workers, their age, type of work (full/part time), nationality/-ies, shift and	
	accommodation (if applicable)	

#### Management system

6.22 Product flow within UoC

6.17 Relevant policies and procedures:

	Exist	Policy	Procedure
Workers training			
Grievance mechanism			
Non-discrimination			
Child and young labour			
Forced, bonded labour			
Health and safety risk assessment			
Age-verification			
Fire prevention			

6.18 Certificate of compliance to other soci	al standard	
6.19 Latest audit report of the other social	standard	
6.20 Organisational chart of UoC		
6.21 Job descriptions for workers for different	ent functions	

## 1) General, Client and CAB information

Announcement and audit report



ASC Audit				
6.23 Filled out audit preparation checklist(s)				
6.24 Previous ASC audit report				
6.25 Evidence of implementation of corrective actions for NCs				
Other records				
6.26 Collective bargaining agreement, if exists		$\neg$		
6.27 Accidents log and their status				
6.28				
Last inspection report related to workplace H&S				
6.29 Minutes of the last workers' meeting				
6.30 Minutes of health and safety meeting				
6.31 Basic need wage calculation				
6.32 List of chemicals used within UoC				
6.33				
Last inspection report of the housing provided to workers				
6.34 Overtime calculation				
6.35				
Training records for workers on social related issues				
6.36 Other (Please describe here)				
E CAR diligence				
5 CAB diligence				
	ASC social audits	Other social audits		
6.37				
Number of social audits performed by the auditor in this country				
5.38 Applicable laws and regulations				
6.39 Required information and documents fully submitted	Information/	a a local control of a control of a control		
	documents fully	Missing information and	Next steps	Status
	submitted	documents		
6.40 Topics/issues needing further research before on-site audit				

## 1) General, Client and CAB information

#### Announcement and audit report

Aquaculture Stewardship Council

6.41 CAB's diligence to obtain additional information about the UoC

Topics	Means of research	Rationale	Outcome

6.42 Changes since last audit

Please note that auditor training on farm traceability is also covered in the MSC farm traceability module.

Activities covered under the scope of the certification and under the scope of the audit

Activity	Under scope of certification
Stocking	Covered
Nursing	Covered
Growing Out	Covered
Transferring	Covered
Harvest	Covered
Vaccination	Covered
Fallowing	Covered
Transportation	Not Covered
Storage (if present at farm)	Not Covered
Processing (if present at farm)	Not Covered
Packing (if present at farm)	Not Covered
Other (Please describe)	Not Covered
Other (Please describe)	Not covered

7.1

1. Possibility of mixing or substitution of certified and non-certified product, including pro	oduct of the same or similar		
appearance species, produced within the same operation.			
- Noutie Continue			
a) Partial Certification	no		
Reason for partial certification:			
The site has full site certification			
b) Similar appearance species produced in the UoC	no		
Similar appearance species:			
None.			
Production units or batches excluded from the certification scope			
none.			
c) Average % of products produced as non-ASC in the UoC per year			
d) Traceability and segregation systems			
Physical identification	n/a		
Description			
The whole site is certified ASC Salmon with no processing facilities onsite. The only species	on site is salmon, all of		
which are the same year class. Therefore there is no risk of mixing onsite and thus physical identification is not			
applicable.			
Segregation systems for non-ASC product	n/a		
Description			
There is only ASC product onsite.			
Traceability records identification	yes		
Description			
All traceability records are maintained on internal databases. Once the fish are delivered to	o the site, information		
regarding their status and cage location are registered and tracked via the internal database	se Fishtalk.		
Other traceability systems in place:			
Do the traceability systems mitigate the mixing and substitution risks?	voc		
Rationale	yes		
	h an aika ana aassanad bi sib s		
The fish are fully traceable from the smolt supplier to the harvest processing facility. All fis	n on site are covered by the		
scope of the certification.			

a) Non-ASC farms of the same or similar species limiting with the UoC  Description of neighbour farms  In Langfjorden, the following ASC sites are located: Rivarbukt, Sommarbukt, Tuvan and In addition, Cermaq owns the site Eidnes, which is not ASC-certified.  b) Non-ASC Neighbour farms owned or related to the same UoC  If yes, Name of farms in case are related to the client.  Cermaq owns the site Eidnes, which is not ASC-certified.  c) Non-ASC products from other farms handled in the UoC  Stage(s) when the non-ASC products are handled in the UoC  There are no non-ASC products handled at the UoC.  d) Segregation systems  Physical barriers  Description  There are no similiar species being farmed in the nearby area which would require physical identification  Description  There are no similiar species being farmed in the nearby area which would require physical identification  Description	no no n/a
In Langfjorden, the following ASC sites are located: Rivarbukt, Sommarbukt, Tuvan and In addition, Cermaq owns the site Eidnes, which is not ASC-certified.  b) Non-ASC Neighbour farms owned or related to the same UoC  If yes, Name of farms in case are related to the client.  Cermaq owns the site Eidnes, which is not ASC-certified.  c) Non-ASC products from other farms handled in the UoC  Stage(s) when the non-ASC products are handled in the UoC  There are no non-ASC products handled at the UoC.  d) Segregation systems  Physical barriers  Description  There are no similiar species being farmed in the nearby area which would require phyfrom the certified product.  Physical identification  Description	no no n/a nysical barriers for segregation
In addition, Cermaq owns the site Eidnes, which is not ASC-certified.  b) Non-ASC Neighbour farms owned or related to the same UoC  If yes, Name of farms in case are related to the client.  Cermaq owns the site Eidnes, which is not ASC-certified.  c) Non-ASC products from other farms handled in the UoC  Stage(s) when the non-ASC products are handled in the UoC  There are no non-ASC products handled at the UoC.  d) Segregation systems  Physical barriers  Description  There are no similiar species being farmed in the nearby area which would require phyfrom the certified product.  Physical identification  Description	no no n/a nysical barriers for segregation
b) Non-ASC Neighbour farms owned or related to the same UoC  If yes, Name of farms in case are related to the client.  Cermaq owns the site Eidnes, which is not ASC-certified. c) Non-ASC products from other farms handled in the UoC  Stage(s) when the non-ASC products are handled in the UoC  There are no non-ASC products handled at the UoC. d) Segregation systems  Physical barriers  Description  There are no similiar species being farmed in the nearby area which would require phyfrom the certified product.  Physical identification  Description	no n/a nysical barriers for segregation
If yes, Name of farms in case are related to the client.  Cermaq owns the site Eidnes, which is not ASC-certified. c) Non-ASC products from other farms handled in the UoC  Stage(s) when the non-ASC products are handled in the UoC  There are no non-ASC products handled at the UoC. d) Segregation systems  Physical barriers  Description  There are no similiar species being farmed in the nearby area which would require ph from the certified product.  Physical identification  Description	no n/a nysical barriers for segregation
Cermaq owns the site Eidnes, which is not ASC-certified. c) Non-ASC products from other farms handled in the UoC Stage(s) when the non-ASC products are handled in the UoC There are no non-ASC products handled at the UoC. d) Segregation systems Physical barriers Description There are no similiar species being farmed in the nearby area which would require ph from the certified product. Physical identification Description	n/a nysical barriers for segregation
c) Non-ASC products from other farms handled in the UoC Stage(s) when the non-ASC products are handled in the UoC There are no non-ASC products handled at the UoC. d) Segregation systems Physical barriers Description There are no similiar species being farmed in the nearby area which would require phrom the certified product. Physical identification Description	n/a nysical barriers for segregation
Stage(s) when the non-ASC products are handled in the UoC There are no non-ASC products handled at the UoC.  d) Segregation systems Physical barriers Description There are no similiar species being farmed in the nearby area which would require ph from the certified product. Physical identification Description	n/a nysical barriers for segregation
There are no non-ASC products handled at the UoC.  d) Segregation systems  Physical barriers  Description  There are no similiar species being farmed in the nearby area which would require ph from the certified product.  Physical identification  Description	nysical barriers for segregation
d) Segregation systems Physical barriers Description There are no similiar species being farmed in the nearby area which would require ph from the certified product. Physical identification Description	nysical barriers for segregation
Physical barriers  Description  There are no similiar species being farmed in the nearby area which would require ph from the certified product.  Physical identification  Description	nysical barriers for segregation
Description There are no similiar species being farmed in the nearby area which would require ph from the certified product. Physical identification Description	nysical barriers for segregation
There are no similiar species being farmed in the nearby area which would require ph from the certified product. Physical identification Description	
from the certified product. Physical identification Description	
Physical identification Description	n/a
Description	n/a
There are no similiar species being farmed in the nearby area which would require $\operatorname{nb}$	
There are no similar species being farmed in the hearby area which would require pri	nysical identification for
segregation from the certified product.	
Segregation systems for non-ASC product	n/a
Description	
There are no non-ASC products on site.	
Traceability records identification	yes
Description	•
All traceability records are maintained on internal databases. Once the fish are deliver	red to the site, information
regarding their status and cage location are registered and tracked via the internal da	atabase Fishtalk.
Others systems:	
Do the traceability systems mitigate the mixing and substitution risks?	yes
Rationale	

3. Possibility of subcontractors being used to handle, transport, store, or process certified products.

#### a) Company uses subcontracted services for harvesting, processing, packing or labelling no

Description

Subcontracted transport vessels are used to take fish from site to the harvesting facility.

#### b) Company uses subcontracted services providers for storage or transportation

yes

#### Description

Cermaq have an agreement with Norsk Fiske Transport (NFT), a company specialised in transporting fish in wellboats. The company is used for both smolt deliveries and harvest activities. Contract with NFT reviewed. Cermaq procedure for harvesting fish ("Prosedyre for levering av slaktefisk", document no.: 318, version 11, date: 14-12-2020) describes the activities associated with harvesting. One site at a time will be harvested, there is no mixing of fish between sites by the subcontracted vessel. This has been further confirmed by reviewing historic data tracking the movements of the vessel on the days of harvest from the farm (available on www.barentswatch.no), no additional farms were visited, the harvest vessels travelled directly from the farm to the harvest facility.

#### c) Traceability and segregation systems

Subcontractors are CoC certified

ves

Description

Grieg Seafood Finnmark AS, ASC-C-01369. The wellboat and associated activities are included in the certfication.

Contract and/or agreements in place including traceability conditions

yes

#### Description

Contract with transport vessel, Norsk Fiske Transport, dated: 14-06-2011 reviewed. Contract valid until 30-09-2021. Ar additional supplement to the contract ("Tillegg til Kontrakt av 14-06-2011 for Kjøp av Brønnbåttjenester", date: 27-08-2019) extends the agreement unti 30-09-2022.

Procedure for harvesting fish ("Prosedyre for levering av slaktefisk", document no.: 318, version 11, date: 14-12-2020) describes the SOP for harvesting activities using subcontractor transport vessels. The procedure covers harvests from one cage, multiple cages, partial harvest from a cage and emptying of nets. It is also documented how to register and maintain information related to harvests.

#### Traceability records identification

yes

Description

All traceability records are maintained on internal databases. Once the fish are delivered to the site, information regarding their status and cage location are registered and tracked via the internal database Fishtalk.

#### Others systems:

Do the traceability systems mitigate the mixing and substitution risks?

ves

7.5

Fish are documented on site from input until harvest. Each individually stocked cage can be traced throughout the whole cycle via the FishTalk database. There are no non-ASC stocks onsite. Traceability documentation is well maintained, and procedures have been verified using the publically available tracking data - only fish from the site have been transported to the harvest facility on the wellboats used.

4. Any other opportunities where certified product could potentially be mixed, substituted, or mislabelled with non-certified product before the point where product enters the chain of custody.

Risk	Level
a) There are no additional risks for mixing, substitution or mislabelling.	n/a
Description	
b)	n/a
Description	
c)	n/a
Description	
d) Traceability and segregation systems available for the risks above	n/a
Description	
Do the traceability systems mitigate the mixing and substitution risks?	n/a
Rationale	

## 7.6

## ASC CAR 17.6.3-5 Product flow, traceability and segregation

#### Please describe the product flow within the UoC

Fish are delivered to the UoC via wellboat transfer from smolt producers. Once on site, fish will be allowed to grow before being further seperated (graded) into additional cages onsite at the UoC. All fish movements are traced via the database FishTalk. Once at harvest size, a wellboat will collect the fish and transfer them directly to the harvesting facility.

Conduct a traceability test of harvested products. In Case of partial certification perform a traceability test for ASC and non-ASC products.

Product Identification Code

		Details of Docun		
	Production stage	Description	Date	Description of how codes or documents link product at each stage.
A) Information available at FishTalk and Product CV	Processing plant	Packing of fish	05-02-20	Name: Grieg Seafood Finnmark AS, ASC-C-01369 Packing date: 05-02-2020
B) Information recorded in Tour report harvesting of fish	Transport of fish	Harvest of fish	02-02-20	Fish was transported with the Dønnland to Cermaq Norway AS Rypefjord. Transport date: 02-02-2020
C) Information available at FishTalk	Growing out	The period salmon is growing-out in the sea at the site	22-08-2018 - 02/02/2020	Period salmon in sea at the site Tuvan: 18-10-2018 - 02-02-2020. Moved 18-10-2018 from Eidsnes to Tuvan. Period salmon in sea at the site Eidsnes: 22-08-2018 - 18-10-2018.
D) Information recorded in Tour report smolt	Smolt delivery	Delivery of smolt from the smolt facility to the site	22-08-18	Name of transporter, delivered smolt: Dønnland Transport date: 22-08-2018 Name of site: Tuvan
E) Information available at FishTalk and Product CV	Smolt facility	First input in sea	22-08-18	Name of smolt supplier: Hopen Date: 22-08-2018
F)				

G)		
H)		
I)		
J)		
K)		
L)		
M)		

7.7	Traceability test(s) successfully conducted	yes
7.8		
	Traceability Information allows to link each stage of handling certified products	yes

## ASC CAR 17.6.6.1-2 Traceability determination

7.9

7.10

7.11

The traceability and segregation systems in the operation are sufficient to ensure all	
products identified and sold as certified by the operation originate from the unit of certification	yes
The traceability and segregation systems are not sufficient and a separate chain of	
custody certification is required for the operation before products can be sold as ASC-	
certified or can be eligible to carry the ASC logo.	CoC not needed

# This traceability test has been carried out for 2018G fish. At the last audit it was discover that the fish was transferd

from the site Eidsnes, which is not ASC-certified, to the site Tuvan. A Major NC was raised against indicator 1.1.3. This NC is now closed. The current fish at the site Tuvan has not been transferred from Eidsnes to Tuvan, and this, a COC not needed.

## ASC CAR 17.6.10.1 Point of First sale / handling

	•	
7.12	Entity name	CoC code
	Grieg Seafood Finnmark AS	ASC-C-01369

# ASC CAR 17.6.10.2 The point from which chain of custody is required to begin

	begin
7.13	
	From harvest with well boats
7.13.1	

# 8. UoC volumes & Audit Closing

8.10

8.11 8.12 8.13 Please indicate the correct volumes of the applicable quarter and year.

	Volume reporting for complete UoC									
	Quarter of the year:	Quarter 1	Quarter 2	Quarter 3	Quarter 4					
8.1	The volumes indicated in this table									
	apply to the following year:									
8.1.1	Type of volumes indicated in 8.2 -									
	8.5									
8.2	ASC-certified production volume (in									
	Kg)									
8.3	Non ASC-certified production									
	volume (in Kg)									
8.4	Dispatched or sold as ASC-certified									
	Volume (in Kg)									
8.5										
	Dispatched or sold as <b>non</b> ASC-									
	certified Volume (in Kg)									

	Decision	
8.6	Certification decision	29-08-17
8.7	Certificate valid from	25-06-19
8.8	Certificate valid till	29-08-20
8.9	Eligibility date	29-08-17

Confidential Annexes	Annex filled in?	Annex submitted to ASC?			
Annex-1 Interviewee information	No	Yes			
Annex-2 Stakeholder comments	No	Yes			
Annex-3 Social information	No	Yes			
Annex-4 Volume data	No	Yes			

## 9. Open & Extended NCs

Please indicate in the table below ONLY the non-conformities detected in the previous audit, which had the status: open or extended in the previous final audit report. This table is to evaluate the closure of the open/extended non-conformities from the previous audit. Add rows to the tables as needed.

Indicator evaluation in previous audit	Last day of previous audit	NC detected for sites (List site ID's)	Deadline for NC close- out, determined in	NC Status in	NC Status in current	Actual deadline for	
			previous audit	previous audit		NC close-out	Notes/additional evidence

Adjust the column width as needed to show the whole text or provide more space to write Corresponds to ASC Salmon standard version 1.3

													Proposed by UoC and accepted accepted by CAB Proposed by UoC and accepted by CAB				
Indicat	or Indicator Text	Audit Evidence	Overall Indicator	Description, justification and		Deadline for NC Actu		NC Status	VR submitted	Status of VR used	Q&A	Root cause analysis		Auditor evaluation	Extension justification	New deadline for NC close-	Notes
Numbe	er	No company name and licence number on site as required in aquaculture regulation. Former sign	evaluation	decision  No company name and licence	detection 07-May-21	close-out 30-Jun-21	out 03-Jun-21	NC Status	VK Submitted	submitted VR	submitted/used		A new sign was ordered The employees at site has tried		extension justification (	out	Notes
	with local and national regulations and requirements on land	No company name and ucence number on site as required in aquacutrure regulation. Former sign was taken down and the plan was to replace it with a new sign. Electronic copies of laws, regulations and requirements with references to Lovdata with updates and electronic links are kept in a web-based quality system called Intelex.		number on site as required in aquaculture regulation. Former sign was taken down and the	U7-May-21	30-Jun-21	U3-JUN-21					the sign was broken and following it was not maintained. The	and put up. In employees at site has tried and put up. to hang it up differently then last time, so it's tighter and will catch less wind this time.	Company name and site			
	Requirement: Yes	The farm provided following documents from relevant authorities:		plan was to replace it with a new sign. This is Minor NC								sign was broken due to rough wind.	Hopefully this will keep the signs from ripping.				
	Applicability: All	Decision of partly approved operating plan from Fishery Directorate 16.03.2021 approving plan for all Cermaq sites in Finnmark for 2021 except Krákevik, Komagnes and Jernelva. According to UoC the approved operatine plan date 20.11.2019 for 2020 stills (covers what is left of 200 at site)		because it does not meet the definition of a major NC and will								to rough wind.	agos rom approg.				
		Discharge permit date 01.07.2020 from County Governor in Finnmark for 3480 MTB.		not produce a non-conforming													
		Aquaculture permit Date 28.02.19 arealendring Finnmark County.  Site certificate APN-399 RT-1 issued 11.10.2019 and valid in 5 years.		product and does not compromise the integrity of the													
		Green connsessions at Tuvan (only). Norwegian Food Safety Authority (NFSA): 26.10.2020 Notification of document inspection		standard.													
1.1.1		regarding green consessions in Finnmark. No closing yet. Fishery Directorate: Inspection regarding NYTEK and Site certificates, Mooring, Procedures and	Minor					Closed									
		Cermaq Finnmark. No feedback yet. Sjøfartsdirektoratet Norwegian Maritime Authority, List of orders date 27.02.2020, LG3030 Fella															
		vessel, NC Marking of lifebuoy, oil absorbant, info waste handling, original sertificate, Closed 09.03															
		Regarding conflict with national preservation areas, Directorate of Fisheries (https://www.fiskeridir.no/) manage the Aquaculture Act of 17 June 2005 no. 79 relating to															
		(Inttps://www.niskerioir.no/) manage the Aquacuture Act or 17 June 2005 no. 79 relating to aquacuture. According to § 15 Relationship to land use plans and conservation measures; aquaculture licenses may not be granted in contravention of adopted conservation measures															
		relating to nature conservation. The county governor (fylkesmannen in Norwegian), who provides															
		aquaculture allowance, is also the authority for conservation areas. The governor don't approve fish farming in protected areas (Verneområder in Norwegian). The Norwegian Environment															
	Indicator: Presence of documents demonstrating compliance	Agency maintain a map with national salmon fjords (https://laksekart.fylkesmannen.no).															
	Indicator: Presence of documents demonstrating compliance with all tax laws	Electronic copies of laws, regulations and requirements with references to Lovdata with updates and electronic links are kept in a web-based quality system called Intelex.															
1.1.2	Requirement: Yes	Seen Authorised auditor report/statement for organisation number 980211282, dt.07.09.2020 by Deloitte, states that the financial statements are prepared in accordance with the law and	Compliant														
	Applicability: All	regulations. The tax report dated from Norwegian Tax Administration (Skatteetaten) dated on 27.04.2021 valid until 6 mäneder 27-10-2021 stating no withholding and unpaid tax.															
	Indicator: Presence of documents demonstrating compliance	Electronic copies of laws, regulations and requirements with references to Lovdata with updates and electronic links are kept in a web-based quality system called intelex.															
113	•	Arbeidstilsynet, the Norwegian Labour Inspection Authority, is responsible for supervising the	Compliant														
	Requirement: Yes	implementation of the Working Environment Act. There were no inspections from Arbeidstilsynet for 2020-2021.															
	Applicabilities All Indicator: Presence of documents demonstrating compliance	Discharge permit is given to the farm against the Pollution Act by Fylkesmannen (the County															
	with regulations and permits concerning water quality impacts	Governor). The farm provided following documents:  Discharge parmit date 03.07.2000 from County Governor in Signmark for 2480 MTR															
	Requirement: Yes	To show compliance with above above mentioned law and regulations, marine and environmental impact assessment (8- and C-survey) are performed by an acredited company for test 303															
	Applicability: All	(sampling on sea sediments) once during the production period. The environmental reports and surveys are reported to Altinn. The reports are available in https://yggdrasil.fiskeridir.no/.															
		Olex map and GPS coordinates with ASC sampling points. Site-specific sampling regime (Survey-C Olex map and GPS coordinates with ASC sampling points. Site-specific sampling regime (Survey-C															
1.1.4		hybrid - ASC adapted). Modified Survey-C according to NS9410 (Norwegian authorities and legislation requirement). Point adapted to bathymetric conditions. Performed by an acredited company for test 303 (sampling on sea sediments): Akvaplan Niva AS report. Cermaq Norway AS.	Compliant														
		company for test 303 (sampling on sea sediments): Akvaplan Niva AS report. Cermaq Norway AS.															
		ASC: og C-undersøkelse 10821 Tuvan, 2019. Date 04.03.2020, sample date 31.10.2019. Sample stations C2, C4 and C5 outside AZE, and C1 and C3 within AZE. 2 Cu stations. Soft bottom sand, silt and cravel.															
		The sampling has been done at peak biomass (3108 tons fed at sample time/3371 tons fed in total															
		* 100 = 92%).															
		Fish biomass related to MTB is reported to authorities through Altinn by end of month.  Environmental reports and surveys reported to Altinn approximately 1 month after felt sampling															
		done and results available from contractor. Available in https://yggdrasil.fiskeridir.no/. No indications of non compliance.															
	Indicator: Redox potential or (5) sulphide levels in sediment	Oley man and GPS coordinates with ASC sampling points. Site-specific sampling regime (Survey-C															
	outside of the Allowable Zone of Effect (AZE) (6), following the sampling methodology outlined in Appendix I of the Salmon standard v.1.3	Olex map and GPS coordinates with ASC sampling points. Site-specific sampling regime (Survey-C hybrid - ASC adapted). Modified Survey-C according to NS9410 (Norweglan authorities and															
	standard v.1.3	legislation requirement). Point adapted to bathymetric conditions. Performed by an acredited company for test 303 (sampling on sea sediments): Akvaplan Niva AS report Cermaq Norway AS. ASC- og C-undersøkelse 10821 Tuvan, 2019. Date 04.03.2020, sample date 31.10.2019. Sample															
	Requirement: Redox potential > 0 mV	ASC- og C-undersøkelse 10821 Tuvan, 2019. Date 04.03.2020, sample date 31.10.2019. Sample stations C2, C4 and C5 outside AZE, and C1 and C3 within AZE. 2 Cu stations.															
2.1.1	Sulphide ≤ 1,500 μMol/L	Soft bottom sand, silt and gravel.	Compliant														
	Applicability: All farms except; Closed production systems that	Redox potential at sample stations C2 300 - C4 186 - C5 140 outside AZE and compliant.															
	can demonstrate that they collect and responsibly dispose of > 75% of solid nutrients from the production system are exempt																
	from standards under Criterion 2.1. See Appendix VI for requirements on transparency for 2.1.1, 2.1.2 and 2.1.3.																
		Olex map and GPS coordinates with ASC sampling points. Site-specific sampling regime (Survey-C		Shannon-Wiener Index score is	07-May-21	30-Jun-21	21-Jun-21					The site has uneven	We did a B-survey after three months fallowing been done. The site was moved	Corrective action plan desided			
	Indicator: Faunal index score indicating good (7) to high ecological quality in sediment outside the AZE, following the	Olex map and GPS coordinates with ASC sampling points. Site-specific sampling regime (Survey-C hybrid - ASC adapted). Modified Survey-C according to NS9410 (Norweglan authorities and		below 3 at two sampling stations C2 and C5 outside AZE								bottomtopography, there may be some	between 18G and 20G, so the area is more in favour of	accepted as evidence for closing			
	standard v.1.3	legislation requirement). Point adapted to bathymetric conditions. Performed by an acredited company for test 303 (sampling on sea sediments): Akvaplan Niva AS report. Cermaq Norway AS.		and incompliant. This is Minor NC because it does not meet								areas where organic loading may	the results were good the currents and has now larger and the site had cages which should result in les				
	Requirement: AZTI Marine Biotic Index (AMBI)(8) score ≤ 3.3, or	ASC- og C-undersøkelse 10821 Tuvan, 2019. Date 04.03.2020, sample date 31.10.2019. Sample stations C2, C4 and C5 outside AZE, and C1 and C3 within AZE. 2 Cu stations.		the definition of a major NC and will not produce a non-								accumulate.	recovered. The site was accumulation. Also the site now fallowed a total of five has remote camera feeding	1			
212	Shannon-Wiener Index score > 3, or	Soft bottom sand, silt and gravel.	Minor	conforming product and does not compromise the integrity of				Closed					months prior to 20G. which it did not before. A long term plan is to have less total				
2.1.2	Benthic Quality Index (BQI) score ≥ 15, or Infaunal Trophic Index (ITI) score ≥ 25	Shannon Wiener index at sample stations C2 2,71 - C4 3,39 - C5 2,43 outside AZE. The stations C2		not compromise the integrity of the standard.				ciosed					term plan is to have less total biomass in the fjord system.				
	Applicability: All farms except; Closed production systems that	and C5 is below 3 and incompliant.															
	can demonstrate that they collect and responsibly dispose of > 75% of solid nutrients from the production system are exempt from standards under Criterion 2.1. See Appendix VI for																
	from standards under Criterion 2.1. See Appendix VI for requirements on transparency for 2.1.1, 2.1.2 and 2.1.3.																
		Olex map and GPS coordinates with ASC sampling points. Site-specific sampling regime (MOM-C		Number of macrofaunal taxa in	07-May-21	30-Jun-21	21-Jun-21					The rite b	We did a B-survey after An evaluation of the results has	Correction action when dead ! !			
	Indicator: Number of macrofaunal taxa in the sediment within the AZE, following the sampling methodology outlined in	hybrid - ASC adapted). Modified MOM-C according to NS9410 (Norwegian authorities and		the sediment within AZE is	07-may-21	50·Juli-21	21-301-21					bottomtopography,	three months fallowing between 18G and 20G, so the area is more in favour of	by management oploaded and			
	Appendix I of the Salmon standard v.1.3	hybrid - ASC adapted). Modified MOM-C according to NS9410 (Norwegian authorities and legislation requirement). Point adapted to bathymetric conditions. Performed by an acredited company for test 303 (sampling on sea sediments): Akvaplan Niva AS report. Cermaq Norway AS.		below 2 in station C1 within AZE. This is Minor NC because it								there may be some areas where organic					
	Requirement: ≥ 2 highly abundant (9) taxa that are not pollution	Cermaq Norway AS. ASC- og C-undersøkelse 10821 Tuvan, 2019. Date 04.03.2020, sample date 31.10.2019. Sample stations C2, C4 and C5 outside AZE, and C1 and C3 within AZE. 2 Cu stations.		does not meet the definition of a major NC and will not produce								loading may accumulate.	and the site had cages which should result in les recovered. The site was accumulation. Also the site now				
2.1.3	morento species	Soft bottom sand, silt and gravel.  The sampling has been done at peak biomass (3108 tons fed at sample time/3371 tons fed in total	Minor	a non-conforming product and does not compromise the				Closed					fallowed a total of five has remote camera feeding months prior to 20G. which it did not before. A long				
	Applicability: All farms except; Closed production systems that can demonstrate that they collect and responsibly dispose of >	* 100 = 92%).		integrity of the standard.									term plan is to have less total biomass in the fjord system.				
	75% of solid nutrients from the production system are exempt from standards under Criterion 2.1. See Appendix VI for	Taxa is 1 and below requirement of 2 and thereby incompliant.											biomass in the fjord system.				
	requirements on transparency for 2.1.1, 2.1.2 and 2.1.3.																
	Indicator: Definition of a site-specific AZE based on a robust and credible (10) modelling system (11)	Olex map and GPS coordinates with ASC sampling points. Site-specific sampling regime (Survey-C Olex map and GPS coordinates with ASC sampling points. Site-specific sampling regime (Survey-C															
	Requirement: Yes	hybrid - ASC adapted). Modified Survey-C according to NS9410 (Norwegian authortites and legislation requirement). Point adapted to bathymetric conditions. Performed by an accedited															
2.1.4		company for test 303 (sampling on sea sediments): Akvaplan Niva AS report Cermaq Norway AS. ASC- og C-undersøkelse 10821 Tuvan, 2019. Date 04.03.2020, sample date 31.10.2019. Sample	Compliant														
	can demonstrate that they collect and recognibly dispose of a	stations C2, C4 and C5 outside AZE, and C1 and C3 within AZE. 2 Cu stations.															
	75% of solid nutrients from the production system are exempt from standards under Criterion 2.1. See Appendix VI for																
	requirements on transparency for 2.1.1, 2.1.2 and 2.1.3.																

Audit minings Jamoni  Contesponius to Jamoni Standard V.2.5  Creations locate with Talta (AVM led of necess and temperature at 3 samples stations at																	
2.2.:	Indicator: Weekly we rapp percent saturation (10) of dissolved oxygen (00) (17) on farm, calculated following methodology in Appendix of the Salmon standard v.l.3 Requirement; 270 ft (8)	Continuos logging with Tulta (AKVA log) of oxygen and temperature at 3 sampling stations at caps; ladditional reference station at bargs!) The size has order a manual oxygen monitor statument, see committee that the suit belowed market 3311 in the procedure for inspection for his Procedure for his	Compliant														
2.2.	Applicability: All farms. An exception to this standard shall be made for farms that can demonstrate consistency with a reference site in the same water body.	No records < 70 %  Monitorine of oksyeen and calibration routines verified on site. Good knowledge, instructions	Compliant														
2.2.:	Indicator: Maximum percentage of weekly samples from 2.2.1 that fall under 2 mg/L DO Requirement: 5%	No samples were under 2 mg/L DO.	Compliant														
22.	water quality targets (19), demonstration through third-party analysis that the farm is in an area recently (20) classified as having "good" or "very good" water quality (21) Requirement: Yes (22)	EU Water Directive 2000 gives Water quality objectives for area. Look at Vann-nett. The information are continuely updated from authorities, and are available at webportal water for a surface of the second of the	Compliant														
22.	Indicator: For jurnidictions without national or regional coastal water quality largest, evidence of monitoring of intigen and phosphorous (23) levies on farm and at a selections of the following methodology in Apparolal of the Salmon standard 1.1.2.  Requirement: Consistency with reference of the Salmon standard Applicability A Eleman, except. Cloud production systems the and demonstrate the collection and expossible disposal of a 75% of Solid nations as well as 25% of demonstrates the collection and expossible disposal of the Salmon standard of the Collection and expossible through the Collection specific and		Compliant														
2.2.!	Indicator: Demonstration of calculation of biochemical oxygen demand (BOD)(24) of the farm on a production cycle basis Requirement: Yes Applicability: All	800 has been calculated to 942,82 for the engangs (20) production cycle to date = ((total N in feets 7,94 - total for infits 3)*45.97) + ((total C for feet: 56,3 - total C in feets 56)*2.67).  Verified data for 2018 C. Calculation for N and C separately 800 N = ((1,63) mt feets 0.051 N · 1,468 mt fish x 0.03 N) x 4.57) = 245.9  800 N = (1,63) mt feet x 0.54 C · 1,468 mt fish x 0.03 N) x 4.57 = 351.4  800 N × C = 587.3	Compliant														
2.2.(	Indicator: Appropriate controls are in place that maintains goo culture and hydronic conditions on the form which extends to a chamilab, including externany days, better personal good abbreas impacts on environmental quality are minimized. Requirements: If you can be a supplied to the propriate of the Applicability: All	There is a 18th risk management in place. Different type of hazards are identified and analysized and control measures are made or defended. Several procedure (e.g. hygiencerglement - Matfal' 10 177 sits opposition (e.g. 120 per 1	Minor	Three farm operators had not completed compulsory training course in chemical handling, in the list Minnor NE occupies the design and make the definition of a major NC and will not produce a non-conforming product and codes not compensuite the integrity of the standard.	07-May-21	30 Jun-21 10 Jun-2	Closed					All employees had their training, but the documentation was not added to internal quality system intelex.	Courses was held January 2021	correct information.	Overview and training certificates updaced for three employees Evidence accepted for closing of NC		
2.3.	indicator. Percentage of fines (25) in the feed at point of entry to the fam (26) (calculated following methodology in Appendic of the Salmon standard vt. 31).  Regularement: < 1% by weight of the feed Applicability. All farms except, To be measured every quarter revery three months. Samples that we measured show you wanter over you have been a randomly. Feed may be sampled immediately prior to delivery farm for sites with no feed strange where it is not possible to sample on farm. Closely production systems that can except the contract the feed in celebration of a feed of the production of the production of 70% collisions, strategy and of other technologies) are exempt.	Is laying on borroll a Vis ** In 28.04, dated 25.03.2020. When professors becomes made. Proceedings for collaration of varigining equipment was available; ID 50 collaration plan front finis (Eatherings) in Natified and 02.03.2021. The other has invested in a none weight, seen weight and user manual of the weight from the supplier at the audit. The site managers record sleving data in Excil. Registrations were 0% in 2021.	Compliant														
2.4.	Indicator: Evidence of an assessment of the farm's potential impacts on blodwershy and nearby ecosystems that contains a minimum the components outlined in Appendix I-3 Requirement: Yes Applicability: All	National Norwegian legislation require surveys of the behalfs faunal (B-survey and C-survey) evaluating the fault specifical limpact on dolledershy in the local marine ecosystem.  For all states in Finemata and Nordland:  - Risk assessment except in 1175 dated 09-64-2011.  - Risk assessment except in 1175 dated 09-64-2011.  Site specific Risk Assessment for the sites Riverhald, Turan, Sommarbuist updated 29-04-2021.  See specific Risk Assessment for the sites Riverhald, Turan, Sommarbuist updated 29-04-2021.  See specific Risk Assessment for the sites Riverhald, Turan, Sommarbuist updated 29-04-2021.	Compliant														
2.43	[27] or High Conservation Value Area(28) (HCVAs) Requirement: None (29) Applicability: All, The following exceptions shall be made; A for protected areas classified by the International Union for the Conservation of Natural City (as Catagoy or or for seatments) resource management; I experience that the Control of the Conservation of the Seatments - For Int'City of the farm can demonstrate that it be revincemental impacts are comparable with the conversion observed on the	Naturbuse map with all lonaus protected area is defined. Cemsap has declared its policy violated to InVIV.48 till. 64 SOLIE. the site is not in centile with protected mass, IVCN or CA. An accommission of the control	Compliant														

Audit findings Salmon Corresponds to Salmon Standard v.1.3																
	Inc	dicator: Number of days in the production cycle when	No ADDs or AHDs have been used by the farm. The birdnets were the only predator contol							1	1					
	acc	oustic deterrent devices (ADDs) or acoustic harassment	devices. This was also verified via interview with the site workers and site visit.												i	
2.5	5.1			Compliant												
	Re	equirement: 0													i	
	Ap	policability: All														
			Bird nets covering cages were the only predator control devices.												i	
			No records of endangered or red-listed marine mammal or bird incidents. Source: https://www.cermag.com/wps/wcm/connect/cermag-ng/cermag-ngrway/baerekraft/asc-												i	
	Inc	dicator: Number of mortalities (32) of endangered or red- ted (33) marine mammals or birds on the farm	nttps://www.cermaq.com/wps/wcm/connect/cermaq-no/cermaq-norway/baerexrart/asc- rapportering/													
2	5.2		No mortalities recorded.	Compliant												
-	Re	equirement: 0 (zero)		Compilant											i	
	Ар	oplicability: All	Verified Cermaq has direct link to red list of endangered or red-listed marine mammals and birds in the area. Source:													
			https://www.artsdatabanken.no/Rodliste with Norwegian red listed species.													
			"Procedure for interaction with animals and birds" ID 395 what to do when identifieding red listed species dated 30-10-2019.													
			species dated 39:10-2019.  No lethal actions has been taken at farm. Internal records checked. There is a procedure "samspill med dyr og fugler with ID number 395" in place to follow the required actions by ASC and													
	Inc	dicator: Evidence that the following steps were taken prior to	med dyr og tugter with iD number 395. In place to follow the required actions by ASC and Norwegian regulations. VR0436 is used.													
	1. 1	thal action (34) against a predator: All other avenues were pursued prior to using lethal action														
		Approval was given from a senior manager above the farm	https://www.asc-aqua.org/what-you-can-do/get-certified/variance-request-interpretation-platform/VR0436/													
	3.1	Explicit permission was granted to take lethal action against e specific animal from the relevant regulatory authority														
21	5.3			Compliant												
	Re	equirement: Yes (35)													, ,	
	Ap	policability: All, except cases where human safety is													, ,	
	en	splicability: All. except cases where human safety is idangered' Exception to these conditions may be made for a re situation where human safety is endangered. Should this bi														
	rec	re situation where numan sarety is endangered. Should this bi quired, post-incident approval from a senior manager should	e													
	be															
			There is a system implemented to make information publicly available within 30 days of													
	Inc	dicator: Evidence that information about any lethal incidents the farm has been made easily publicly available (36)	There is a system implemented to make information publicly available within 30 days of occurrence of any lethal incidents occur on birds or marine mammals at the site that has been												, ,	
			already certified. Information published on corporate webpage https://www.cermaq.com/wps/wcm/connect/cermaq-no/cermaq-norway/baerekraft/asc-													
2.	5.4 Re	equirement: Yes	rapportering/	Compliant												
	Ар	oplicability: All														
		dicator: Maximum number of lethal incidents (37) on the farr	a. The list of lathal incidents is available at: List on													
	ovi	ver the prior two years	https://www.cermaq.com/wps/wcm/connect/cermaq-no/cermaq-norway/baerekraft/asc-												i	
2	5.5 Re	soulrement: < 9 lethal incidents (29) with no more than two	rapportering/: 01.02.2020: 1 Grey gull (Larus argentatus) Found dead in birdnet	Compliant												
-	of	equirement: < 9 lethal incidents, (38) with no more than two the incidents being marine mammals	17.11.2020: 1 Crow (Corvus cornix), Found dead in birdnet	Compilant												
	40	volleshillter All	h. Two incidents has been reported													
			b. Two incidents has been reported.  Two lethal incidents has has been reported. Lethal incidents are handled as NCs.													
	ass	dicator: In the event of a lethal incident, evidence that an sessment of the risk of lethal incident(s) has been undertaken	Risk Assessment and procedure:													
	ani	id demonstration of concrete steps taken by the farm to duce the risk of future incidences	Procedure for interaction with animals and birds" ID 395 what to do when identifieding red listed													
2.5			species dated 30-10-2019. Site specific Risk Assessment for the sites Riverbukt, Tuvan, Sommarbukt updated 29-04-2021. New nets before stocking the fish, and daily controls of the nets.	Compliant												
	Re	equirement: Yes	New nets before stocking the fish, and daily controls of the nets.													
	Ар	oplicability: All	Interviews with the staff-confirmed that the site identify maintenance of the bird nets as												i	
	-		important and that the site inspect the the bird nets as a daily routine.  An ARM is a requirement in national legislation fighting salmon line. All sites report weekly to													
	Inc		An ABM is a requirement in national legislation fighting salmon lice. All sites report weekly to NFSA through Altinn, where info is automatically available on Barentswatch webpage https://www.barentswatch.no/fiskehelse/ for all farms in zones and nationally.													
	sch	heme for managing disease and resistance to treatments that cludes coordination of stocking, fallowing, therapeutic														
	tre	eatments and information-snaring. Detailed requirements are	collaboration between aquaculture companies coordinate lice treatments and general fish health work, coordinated by Åkerbiå AS. Plan "Samordnet Plan for kontroll og bekjempelse av lakselus"													
3.	1.1 Re		Consultant company Akerblá AS describes the relationship between sites in the area. Lice numbers and treatment information is shared between sites weekly. Within the ABM sites are separated	Compliant												
	Ар	oplicability: All except farms that release no water; Farm sites r which there is no release of water that may contain	into a smaller grouping with a 5 km distance between each group. Each of these individual groups													
	for	r which there is no release of water that may contain	into a smaller grouping with a 5 km distance between each group. Each of these individual groups synchronises their fallow period after every cycle. Regular meetings between participants in ABM 100% of farms included where issues are discussed. ABM was decided expanded to generel fish													
	are	thogens into the natural (freshwater or marine) environment e exempt from the standards under Criterion 3.1.	health in meeting 22.04.2021 to comply eith ASC requirements.													
	-		Commitment and nutricipation of Corman Noneur AS is documented in several projects with													
			Commitment and participation of Cermaq Norway AS is documented in several projects with NGOs, academics and governments as follows:													
			Varpa project - Ruseprosjektet 2016, with Norwegian Authorites, active 2018 (Nordland)     GSI member, active 2018													
			ASRC project with Ewos Inovation, feed for arctic conditions, 4 R&D licences  "Skjellprøveprosjektet". Repafjordelva og Altaelva, active 2018, together with local stakeholders													
	Inc	dicator: A demonstrated commitment (42) to collaborate with														
	res	GOs, academics and governments on areas of mutually agreed search to measure possible impacts on wild stocks	Monitoringprogram with NINA, ALI and VFJF, active 2018													
	Re	equirement: Yes	Kompetanoskiynge laks (Knowledge-cluster Salmon), leading by a committes where Cermaq is included, active 2018. Including several subprojects, year to year perspective III, NIVA and Hammefrest Kommune, kunstig rev/tareskog, creating a godd environment for cod													
3.2	1.2			Compliant												
	Ap for	oplicability: All except farms that release no water; Farm sites r which there is no release of water that may contain	2016, project owner Hammerfest community, ongoing to 2020  ClimeFish (2017), contribute with data and input from production, EU project 677039, NOFIMA,													
	pat	sthogens into the natural (freshwater or marine) environment	ClimeFish (2017), contribute with data and input from production, EU project 677039, NOFIMA, UIT, University of Stirling, AVS, how climate changes affect aquaculture, ongoing to 2020.													
	are	e exempt from the standards under Criterion 3.1.														
			The projects are evaluated by technical team local and at company level. No rejection. An example of postponed proposals were shown during the audit. Some projects are publicly shared online.													
															, ,	
													1			
			The maximum sea lice load for the entire ABM and the individual farm is: 0.5 mature sea lice per fish and 0.2 sea lice per fish in the sensitive smolt migration period according to Norwegian												, ,	
	Inc		regulation of FOR-2012-12-05-1140. There is also an internal procedures in Intelex "samordnet												, ,	
	lico	e load for the entire ABM and for the individual farm as atlined in Appendix II of the Salmon standard v.1.3	kontroll og bekjempelse av lakselus" ID 39.												, ,	
		equirement: Ver	Governmental research institutes monitor sea lice load on wild salmon. Sea lice load are set by and												, ,	
3.	1.3 Re	-quirement. Tes	controlled by the authorities through legal regulations and maximum levels are adapted to different geographical areas in Norway based on the monitoring lice level on wild salmonids.	Compliant											, ,	
	Ap	oplicability: All except farms that release no water; Farm sites r which there is no release of water that may contain	The site manager reports to the authorities the lice number each week. Reports are reviewed by NFSA and Luse -nettverket weekly. The results are available at "www.barentswatch.no" with lice												, ,	
	pat	thogens into the natural (freshwater or marine) environment e exempt from the standards under Criterion 3.1.	levels, treatment etc. published in this public website.												i	
	are														, ,	
								-								
	Inc	dicator: Frequent (43) on-farm testing for sea lice, with test	The lice are counted weekly and are reported to NPSA via Altinn. Lice are counted in all cages, according NPSA regulation, minimum 20 fish in each cage are sampled. There is an exemption for												, ,	
	res	sults made easily publicly available (44) within seven days of sting	according NFSA regulation, minimum 20 fish in each age are sampled. There is an exemption for periods with temperatuse below 4 °C allowing fams to have the testing every 14 days according to NFSA regulation. The results are available at "www.barentswatch.no" with lice levels, treatment												, ,	
			etc. published in this public website.												, ,	
3.		equirement: Yes		Compliant											, ,	
	Ap	aplicability: All except farms that release no water; Farm sites r which there is no release of water that may contain													, ,	
	pat	r which there is no release of water that may contain ithogens into the natural (freshwater or marine) environment e exempt from the standards under Criterion 3.1.													, ,	
	are															

Audit	findings Salmon			·	Corresponds t	o Salmon Sta	andard v.1.3						
3.1.5	migration routes, migration timing and stock productivity in major waterways within 50 kilometres of the farm	Review of the believement risk evolution for the sear (2018) Bindiversited blacker mikeworkers Landgrident Prev New Chrom, Commanda Kinder (2018) Bindiversited (2018) Bindiver	Compliant										
3.1.6	levels on wild out-migrating salmon juveniles or on coastal sea trout or Arctic char, with results made publicly available. See requirements in Appendix III of the Salmon standard v.1.3 Requirement: Yes	Salmonides naturally occur in the area. There are wild salmonid migration route or habitat within 2% in of the farm. Alignatory routes are defined in website: "environmental statistics" (https://lakaregisteret.fr/ikeumanen.no/) on salmonid carrylog rivers, and Lakaregisteret from Migliorietostrate.  Millike Norwegian legislation does not allow for private research on wild salmonids. Therefore research is condiciored by the national research introduction for Natire Research is condiciored by the national research instant. He interested to fairne Research in the security of the national research instant. The methodology, results and analysis are made publicly available and demonstrate scientific rigor in the sampling size, location and method.	Compliant					1	136				
3.1.7	requirements in Appendix II of the Silmon standard v.1.3  Requirement: 0.1 mature female keeper farmed fish  Applicability. All farm operating in areas with wild salmonds except farm that raceae no water farm after for which there is no release of water that may contain pathogens into the natural (freshwater or marie) environment are exempt from the standards under Criterion 3.1.	V9227 Allows for 0.2 mature fined lice during the sensitive period and accepts the sensitive periods as set by recogain regulations. For the region of Tromus and Finnman, the sensitive period is defined as weeks 21.26 each year.	Compliant					2	227				
3.2.1	demonstration that the species was visidely commercially produced in the area by the date of publication of the ASC Selmon sandrad.  Amplitude the species of the species of the ASC Selmon sandrad.  Applicability, Alf forms. Exceptions shall be made for production systems that use 100 percent store find no systems that use 100 percent store find no systems that the committee of the species of t	N/A Atlantic Salmon (Salmo salar) is a native species and the only species produced at site.	N/A										
3.2.2	investigates the risk of establishment of the species within the farm's jurisdiction and these results submitted to ASC for review (51)  Requirement: Yes (52)	N/A Atlantic Salmon (Salmo salar) is a native species and the only species produced at site.	N/A										
3.2.3	Applicability: All  Indicator: Use of non-netive species for sea lice control for on- farm management purposes.  Requirement: None  Applicability: All		N/A										
3.3	Indicator: Use of transpenic (S4) salmon by the farm Requirement: None Applicability: All	There are no transgenic salmon used by the farm. Smoth suppliers do not use transgenic fish. Egg stock information preserved in reduction of transgenic fish. Egg stock information preserved in reduction of the farm. Smoth suppliers do not use transgenic fish. Egg stock information preserved in reduction of the farm. Smoth suppliers do not use transgenic fish. Egg stock information preserved in reduction of the farm. Smoth suppliers do not use transgenic fished for fished preserved in reduction of the farm farm find industry. Source: The Norwegian Gene Technology 4K (Genteknolog)(Swor) (UV-1939-04-02-38).  Statement form Cermaq dated on 26-11-2019 stating that the farm does not use transgenic salmon was seen. Information for salmon group (preeding supplier) is available in invoices and finis/vex U.	Compilant										
3.4.1	Requirement: 300 (56)  Applicability, All farm, A rare exception to this standard may be made for an except went that is clearly documented as being outside the farm's control. Othy one such exceptional epipode in a slowed in a 150-per paried for the proposition of the standard. The 150-year period districts the beginning of the production with the control of the standard of the production of the producti	Commay record excepts in the production and recording system Fishtalis. BarentsWarch shows no excepts from the Fully / News haventswarch. no./fiskeheles/fishehelbogram/10821/2012/18  No excepts in records back to 2012.  https://www.barentswarch.no.fiskeheles/fishhealthogram/10821/2011/18	Compliant										
3.4.2	Indicator: Accuracy (59) of the counting technology or counting method used for calculating stocking and harvest numbers Requirement: 2 98%. Applicability: All	The counting technology used is the Aqua Scan Registration Unit CSF4000 for well boats and CSE 1800 for smooth facilities. Manufactures specifications demonstrate the counters to be 98-100% accurate. Pentair suppling Val	Compliant										
3.4.3	Indicator: Estimated unexplained loss (60) of farmed salmon is made publicly available Requirement: Yes Applicability: All	136: Input Number: 75605 Mortaline: 190508 Harvester: 59509 ELU: 48972 ELU: 41,38 System implemented to make EUL value information easily publically available on corporate websites: flower, comma no/barerkraft/mills(43)88:cualitater	Compliant										

7.00.0	ndings Saimon					o Salmon Sta							
		For all sites in Finmark and Nordlanad area: Risk assessment escape ID 1175 dated 09-04-2021.											
		Site specific Risk Assessment for the sites Riverbukt, Tuvan, Sommarbukt updated 29-04-2021.											
		Proceduce for daily maintaice of sites (prosedyre for daglig ettersyn og røkting matfisk) updated											
		on 08-02-2021.											
		The Escape Prevention Plan and accompanying documents covers the following areas:											
		- net strength testing;											
	Indicator: Evidence of escape prevention planning and related employee training, including: net strength testing; appropriate	- appropriate net mesh size; - net traceability;											
	employee training, including: net strength testing; appropriate net mesh size; net traceability; system robustness; predator	- system robustness;											
	management; record keeping and reporting of risk events (e.g.,	- predator management; - record keeping:											
3.4.4	management; record keeping and reporting of risk events (e.g., holes, infrastructure issues, handling errors, reporting and follow up of escape events); and worker training on escape prevention	reporting risk events (e.g. holes, infrastructure issues, handling errors);	Committee										
3,4,4	and counting technologies	<ul> <li>planning of staff training to cover all of the above areas;</li> <li>planning of staff training on escape prevention and counting technologies.</li> </ul>	Compilant										
	Requirement: Yes	N/A not a closed system.											
	Applicability: All	Production parameters recorded in AquaCom e.g cage 2, Service card NOi ID HVN-4610, approved											
		29-05-202, washed 05-05-2020. NetWax NI Gold.											
		Net certificate ID nr 4610, ordre number 90377, 01-05-2018. Valid to 01-05-2020.  Verified inspection reports - ROV of 9 March 2021. No NCs. Dyving report dated 05-07-2020.											
		Dyving after stocking the fish. Quality checked the number of the net, same number as in											
		AquaCom.											
		Escapes training in practice had been carried out 03-05-2021. Seen documentation at the audit.											
		EWOS/ Cargill is the only feed supplier for 18G. Biomar and Skretting for 20G.											
		All three feed suppliers are GlobalGAP certified and demonstrates traceability of feed ingredients											
	producer, of feed ingredients that make up more than 1% of the feed (63)	that make up more than 1% of the feed: EWOS is a GlobalGAP certified for Compound Feed Manufacturing with the GGN number											
4.1.1		4050373825744 valid to 16-06-2021. Blomar is a GlobalGAP certified for Compound Feed Manufacturing with the GGN number	Compliant										
	Requirement: Yes	Biomar is a GlobalGAP certified for Compound Feed Manufacturing with the GGN number 4050373810030 valid to 20-08-2021											
	Applicability: All	Skretting is GlobalGAP certified for Compound Feed Manufacturing with the GGN number GGN											
		40503738223641 valid 23 05 2021											
		The feed producer for 18G was EWOS. For the 2018 production year inclusion level was 17.7 % figures in feed of which 57.1% from forage fish and 42.9 % from trimmings and hyporducts.											
		fishmeal in feed of which \$7.1% from forage fish and 42.9 % from trimmings and byproducts (listed species and stock status). 2019 production is still ongoing. For 2018: eFCR = 1.09. This is											
		estimated for the complete 2018 generation after harvest in autumn 2019. For 2018: FFDRm =											
		0.46. This is estimated for the complete 2018 generation after harvest in autumn 2019.			1								
		The feedproducer for the ongoing production cycle 20G was Biomar and Skretting.			1								
	Indiana Side of San	Detailed information on the feed composition were seen including percentage of fishmeal derived from trimmings and fishmeal derived from forage fisheries. Calculation of FFDRm is as follows:			1								
	grow-out (calculated using formulas in Appendix IV of the				1								
		Biomar:											
4.2.1		Total amount of feed used: 783mt Fish meal from forage fishes: 8,96% form North Atlantic Fishmeal and 6,76% from South American	Compliant										
		Fishmeal											
		Trimmings are excluded in the calculations. eFCR= 1,06											
		eFCR= 1,06  FFDRm: (% fishmeal in feed from forage fisheries) x (eFCR)/24= 0,77											
		Skretting: Total amount of feed used: 25mt											
		Trimmings are excluded in the calculations.											
		eFCR= 1,06											
		FFDRm: (% fishmeal in feed from forage fisheries) x (eFCR)/24= 0,47											
		The feed producer for 18G was EWOS. For the 2018 production year inclusion level was 11.2 % fish oil in feed of which 71.5% from forage fish and 28.5 % from trimmings and byproducts (listed											
		species and stock status). For 2018: FFDRo = 1.64. This is estimated for the complete 2018 generation after harvest in autumn 2019.											
	Indicator: Fish Oil Forage Fish Dependency Ratio (FFDRo) for grow-out (calculated using formulas in Appendix IV of the												
		The feedproducer for the ongoing production cycle 20G was Biomar and Skretting.											
		Detailed information on the feed composition were seen including percentage of fishmeal derived from trimmings and fishmeal derived from forage fisheries. Calculation of FFDRo is as follows:											
	Maximum amount of EPA and DHA from direct marine sources (65)(calculated according to Appendix IV of the Salmon standard v.1.3)	Biomar:											
4.2.2	(65)(calculated according to Appendix IV or the Salmon standard v.1.3)	Total fish oil 8,5%	Compliant										
		eFCR:1,06  FFDRo: (% Fishoil in feed from forage fisheries)x (eFCR)/5.0 or 7.0, depending on source of fish =											
	Requirement: FFDRo < 2.52	1,02.											
	(EPA + DHA) < 30 g/kg feed	Skretting:											
		eFCR:1,15											
	Applicability: All	Trimmings are excluded in the calculation.											
		FFDRo: (% Fishoil in feed from forage fisheries)x (eFCR)/5.0 or 7.0, depending on source of fish = 1.47.			1								
		l'											
	Indicator: Timeframe for all fishmeal and fish oil used in feed to come from fisheries(66) certified under a scheme that is an ISEAL	N/A			1								
	member (67) and has guidelines that specifically promote	1			1								
4.3.1	responsible environmental management of small pelagic		Compliant		1								
4.3.1			Compilatit		1								
	Requirement: Not required				1								
	Applicability: N/A												
		Fish source scores were verifed and found above limits. All individual scores were >6, Biomass											
		scores > 6 according to fish source score. For example Blue whiting, Capelin, Sandeel,Salmon, Sprat, Herring, Gulf menhaden, Mackerel, Peruvian Anchovny, Sardina Pilchardus are some of tyhe			1								
		fish used as feed ingredients. There has been no assessment from an independent body.			1								
		Following statament from the feed suppliers was also available:			1								
	Indicator: Prior to achieving 4.3.1, the FishSource score (65, 68)	Statement from EWOS (Feed supplier regarding ASC certification) "ERKLÆRING			1								
	for the fishery(ies) from which all marine raw material in feed is derived.	Dokumentasion og informasion om för levert iht. ASC dated 05.10.2020* on General requirements			1								
4.3.2		4.1, 4.3, 4.4.	Compliant		1								
4.3.2	Requirement: All individual scores $\geq 6$ , and biomass score $\geq 6$	Statement from Biomar (Feed supplier regarding ASC certification) on complete traceability dated	Compilatit		1								
		16-02-2021 with details of raw material sources in specific feeds for this site in this period have			1								
	Applicability: All	scores according to ASC s requirement for this indicator.			1								
		Statement from Skretting (Feed supplier regarding ASC certification) "ASC Salmon Feed											
		Requirements, Version 1.9, Januar 2021". Statement on mail. To whom it may concern. Skretting declares by mail that fishmeal included in fishfeed delivered by Skretting Norway to Cermaqs sites											
		declares by mail that fishmeal included in fishfeed delivered by Skretting Norway to Cermaqs sites Tuvan and Sommerbukt in April 2021 had a Fishscore over 6.			1								
		EWOS/ Cargill is the only feed supplier for 18G. Biomar and Skretting for 20G.			1								
	Indicator: Prior to achieving 4.3.1, demonstration of third-party verified chain of custody and traceability for the batches of fishmeal and fish oil which are in compliance with 4.3.2	All three feed suppliers are GlobalGAP certified:			1								
	verified chain of custody and traceability for the batches of fishmeal and fish oil which are in compliance with 4.2.3	EWOS is a GlobalGAP certified for Compound Feed Manufacturing with the GGN number 4050373825744 valid to 16-06-2021.			1								
4.3.3		Biomar is a GlobalGAP certified for Compound Feed Manufacturing with the GGN number	Compliant		1								
	Requirement: Yes	4050373810030 valid to 20-08-2021.			1								
	Applicability: All	Skretting is GlobalGAP certified for Compound Feed Manufacturing with the GGN number GGN 40503738223641 valid 23.05.2021.			1								
					1								
		Statements from the feed suppliers on compliance with this indicator was seen.							l				

Audit intuligo Salintuli																	
			Statement from the feed suppliers on compliance with this indicator that no fishmeal and/or fish							1	1	Г					
	- 1	ndicator: Feed containing fishmeal and/or fish oil originating from by-products (69) or trimmings from IUU (70) catch or from	oil originating from by-products or trimmings from IUU catch or from fish species that are														
		rom by-products (69) or trimmings from IUU (70) catch or from ish species that are categorized as vulnerable, endangered or	categorized as vulnerable, endangered or critically endangered, according to the IUCN Red List of Threatened Species, whole fish and fish meal from the same species and family as the species														
		critically endangered, according to the IUCN Red List of Threatened Species(71), whole fish and fish meal from the same	being farmed.														
		inreatened Species(71), whole fish and fish meal from the same species and family as the species being farmed	Statement from EWOS (Feed supplier regarding ASC certification) "ERKLÆRING														
	١,	Requirement: None (72)	Dokumentasjon og informasjon om för levert iht. ASC dated 05.10.2020* on General requirements 4.1, 4.3, 4.4.														
4.3				Compliant													
	, i	Applicability: All, For species listed as "vulnerable" by IUCN, an exception is made if a regional population of the species has	Verified statements from Biomar: Trondheim - February 16th, 2021 MARINE INGREDIENTS1 COMPOSITION BIOMAR NORWAY 2020 Key points related to ASC Salmon Standard v1.3 Criterion														
	į.	seen assessed to be not vulnerable in a National Red List process that is managed explicitly in the same science-based way as	4.3 – more fully explained in the BioMar Sustainable Sourcing Policy 4.3.5 – 4.4.1a														
	- ji		Statement from Skretting (Feed supplier regarding ASC certification) "ASC Salmon Feed														
		nanaged in accordance with IUCN guidelines, an exception is sliowed when an assessment is conducted using IUCN's	Requirements, Version 1.9, Januar 2021".														
	- 5	methodology and demonstrates that the population is not ruinerable.	All three feed suppliers were also GlobalGAP certified.														
			Annual Cermaq Group report 2019 (https://acc.cermaq.solvr.no/assets/Global/PDFs- sustainability/CermaqGroupAnnualSustainabilityReport2019-1 2020-10-19-142033.pdf) on														
			sustainability policy, requiring feed raw material from sutainable sourcing, (ISEAL scheme fisheries). Statements from the feed supplier on compliance with this indicator was seen. Feed														
			supplier is also GlobalGAP certified.														
	1	ndicator: Presence and evidence of a responsible sourcing policy	EWOS: 5.10.2020 Erklæring Dokumentasjon og informasjon om får levert iht ASC														
		or the feed manufacturer for marine ingredients that includes a commitment to continuous improvement of source fisheries (73	Biomar INFORMATION AND DOCUMENTATION FROM FEED SUPPLIER FOR COMPLIANCE WITH ASC														
4.3	3.5 F	Requirement: Yes	SALMON STANDARD VERSION 22.2.2021	Compliant													
		Applicability: All	Verified statements from Biomar: Trondheim - February 16th, 2021 MARINE INGREDIENTS1														
		opinationity: All	COMPOSITION BIOMAR NORWAY 2020 Key points related to ASC Salmon Standard v1.3 Criterion 4.3 – more fully explained in the BioMar Sustainable Sourcing Policy 4.3.5 – 4.4.1a														
			Statement from Skretting (Feed supplier regarding ASC certification) "ASC Salmon Feed Requirements, Version 1.9, Januar 2021".														
	$\rightarrow$		There are statements from all three feed suppliers as follows:														
			EWOS: 5.10.2020 Erklæring Dokumentasjon og informasjon om för levert iht ASC														
			Biomar INFORMATION AND DOCUMENTATION FROM FEED SUPPLIER FOR COMPLIANCE WITH ASC SALMON STANDARD VERSION 22.2.2021														
		solicy for the feed manufacturer for feed ingredients that	"Innkjøpspolicy for Förrävarer" (22-02-2021) states that BioMar's production of vegetable produce														
4.4	4.1	Requirement: Yes	follows international and national laws. They do not purchase goods sourced from vulnerable habitats.	Compliant													
	ľ	Applicability: All	Statement from Skretting (Feed supplier regarding ASC certification) "ASC Salmon Feed Requirements, Version 1.9, Januar 2021".														
			BioMar AS:														
			All three feed suppliers are GlobalGAP certified.														
			There are statements from all three feed suppliers as follows:														
			EWOS: 5.10.2020 Erklæring Dokumentasjon og informasjon om för levert iht ASC														
			Biomar INFORMATION AND DOCUMENTATION FROM FEED SUPPLIER FOR COMPLIANCE WITH ASC														
			SALMON STANDARD VERSION 22.2.2021														
	- !	ndicator: Percentage of soya or soya-derived ingredients in the leed that are certified by the Roundtable for Responsible Soy	Soya products will only be sourced from certified ProTerra and RTRS, or equivalant known														
	4.2	RTRS) or equivalent (78)	standards (BioMar Statement "Innkjøpspolicy for Förråvarer" dated 22-02-2021)														
4.5		Requirement: 100%	"Innkjøpspolicy for Förråvarer" (22-02-2021) states that BioMar's production of vegetable produce follows international and national laws. They do not purchase goods sourced from vulnerable	Compliant													
		Applicability: All	habitats.														
			Statement from Skretting (Feed supplier regarding ASC certification) "ASC Salmon Feed														
			Requirements, Version 1.9, Januar 2021".														
			And Certificate from ProTerra 30.04.2020, expire 05.05.2021.														
	_		And all three feed suppliers are GlobalGAP certified.														
		ndicator: Evidence of disclosure to the buyer(79) of the salmon	There are statements from all three feed suppliers regarding transgenic content > 1% as follows:														
			EWOS: 5.10.2020 Erklæring Dokumentasjon og informasjon om för levert iht ASC														
	'		There is a statement from feed suppliers as follows:														
4.5	4.3	Requirement: Yes, for each individual raw material containing > 1% transgenic content (81)	linere is a statement from reed suppliers as follows:  Biomar AS Statement on Compound Fish Feed 11.1.2021 Treaceability.	Compliant													
			Statement from Skretting (Feed supplier regarding ASC certification) "ASC Salmon Feed Requirements, Version 1.9, Januar 2021".														
		Applicability: All															
			Several policies are available in the internal system TQM.														
			Environmental policy for Cermaq Norway AS (26.05.2020) Procedure for general waste management 7 june 2018 number 163														
		ndicator: Presence and evidence of a functioning policy for proper and responsible(83) treatment of non-biological waste	Statement on date 06.04.2017 that no wast is dumpted to sea.  Definition of dangerous waste and how to be handled were provided on the waste management														
		proper and responsible(83) treatment of non-biological waste from production (e.g., disposal and recycling)	procedure ID 291 and 2.3.2021.														
4.5	5.1	Requirement: Yes	Nets, old production equipments, bags, empty chemical boxes, old PPEs, waste feed, old feed,	Compliant													
			silage, and plastics are the general wastes produced on farms.														
	,	Applicability: All	Waste is not recycled by the farm. The waste is transported to land. All nonbiological waste handled by Vefas Alta, Finnmark Ressursselskap, which														
			are apported receivers of all kind of waste. Nets are collected by Mørenot. Seen declaration from Vefas Retur dated 30-11-2020 invoice 110209 including e.g. mixed nutrient content 0,96 tons.														
			veras ketur dated 30-11-2020 invoice 110209 including e.g. mixed nutrient content 0,96 tons.  Common waste materials that are produced by farm are as follows:														
			Weoden pallets, residual/domestic waste, old nets, used fules and oils, feed bags, metals, plastics,														
			batteries, feedpipes, sewage, moorings equipment.  No recycling of waste materials at the site. Records of disposal of all waste materials by accredited														
		ndicator: Evidence that non-biological waste (including net sens) from grow-out site is either disposed of properly or	recycling companies were verified and found in compliance with the procedure for handling of waste. Nets are seviced by a contractor and if they are not in good conditions they are recycled. All														
		ecycled	waste. Nets are seviced by a contractor and if they are not in good conditions they are recycled. All other waste are delivered to accredited waste handling componies.														
4.5	5.2 F	Requirement: Yes	Dangerous waste is delivered to Finnmark Gjenvinning AS.	compliant													
	,	Applicability: All	No infractions identified.														
			Seen documentation regarding delivered to Finnmark Gjenvinning AS dated 06-05-2020 invoice														
			number 65648 including eg. 100 kg battery, and 26 kg small battery.														
		ndicator: Presence of an energy use assessment verifying the	Verified records and calculations. Current 20G production cycle is not yet complete.														
		energy consumption on the farm and representing the whole life cycle at sea, as outlined in Appendix V of the Salmon standard	Last complete production cycle 18G: 2 910 128 799 KJ.														
		1.3	7104 0 1														
4.6	6.1 F		Last complete production cycle (2018G): 404,522 KJ/MT	Compliant													
	,																
	- 4	Applicability: All	Scope 1 Diesel, crude oil, and petrol. Scope 2 Electricity. Assessed and compared between sites and production forms.														

		<b>8-</b>											
			Verified farm records of GHG assessment				1						
			Farm records of GHG are done continuesly for a month period:										
			Scope 1: 54,338 kg CO2e;										
			Scope 2: 10,830.81 kg CO2e. Totalt complete 2020G: 65,168.84 kg CO2										
	62	on farm and evidence of an annual GHG assessment, as outlined in Appendix V of the Salmon standard v.1.3	Farm records of GHG assessment. Scope 1 diesel from diesel/gasoline workboat, truck, generator	Compliant									
			and scope 2 is purchased electricity and purchased service	Compilant									
			boat diesel consumption.										
			No emission of non-CO2 gases.										
			Calculations and assssment provided. Factores used in calculations according to IPCC-2006 and										
			Eurost The statement from the feed supplier show following details:										
			18G EWOS:										
			GHG emission factor : 1,385 kg/kg feed										
			The calculation of the GHG emissions is as follows: Total feed delivered for the production cycle 3396 mt feed used - results in 4703460 kg Co2										
			"Comments for the reviewer from Cermaq: This site has coordinated feed delievery with other										
		used during the previous production cycle, as outlined in Appendix V of the Salmon standard v.1.3	sites in Langfjorden. The calculations are therefore not correct in this report."										
4	.6.3		20G:	Compliant									
			Biomar AS: GHG emission factor: 2,85 kg/kg feed The calculation of the GHG emissions is as follows:										
			Total feed delivered for the ongoing production cycle 18G: Biomar Feed: 783 mt feed used -										
			results in 2239380 kg Co2										
			Skretting AS: GHG emission factor : 2,43 kg/kg feed The calculation of the GHG emissions is as follows:										
			Total feed delivered for the ongoing production cycle 18G: Biomar Feed: 783 mt feed used -										
			results in 60750 kg Co2.										
		Indicator: For farms that use copper-treated nets(90), evidence that nets are not cleaned(91) or treated in situ in the marine	Procedure "Procedure for inspection, inspection and cleaning of nets" ID 315. It is not allowed to wash the nets in the sea.									1	
			Copper-based treatments are used on net. Nets consist of NetWax NI Gold. Nets are cleaned by									1	
4	7.1	Requirement: Yes	Mørenot at on-land sites. Mørenot is certified in accordance with NYTEK NS 9415, dated 19.12.16,	Compliant									
		Applicability: All farms. Closed production systems that do not	valid to 12.12.21. Mørenot AS is also ISO 9001:2008 accredited.										
		use nets and do not use antifoulants shall be considered exempt from standards under Criterion 4.7	Copper-based antifouling are used on nets, but no cleaning of the nets on-site.										
		Indicator: For any farm that cleans nets at on-land sites,	Each net service company has certification form the authorities to clean nets at their facilities. All the nets are serviced and cleaned by Mørenot AS. They are certified to ISO 14001:2015. All solids										
			are collected and effluent water is tested for compliance to strict effluent requirements according										
4	7.2	Requirement: Yes	to Section 25-04 of the Pollution Regulation (Discharges of up to 2 kg of copper / year from land- based facilities for washing farmed nets).	Compliant									
		Applicability: All farms. Closed production systems that do not											
		use nets and do not use antifoulants shall be considered exempt from standards under Criterion 4.7.											
		Indicator: For farms that use copper nets or copper-treated	Copper-based treatments are used on nets, but no cleaning on site. Copper level in sediment is										
		nets, evidence of testing for copper level in the sediment outside of the AZE, following methodology in Appendix I of the Salmon	measured in connection with C-survey sampling. See 2.1.1.										
			Copper-based treatments are used on nets, but no cleaning on site. Copper level in sediment is measured in connection with C-survey sampling. See 2.1.1										
4	7.3		measured in connection with C-survey sampling. See 2.1.1	Compliant									
		use nets and do not use antifoulants shall be considered exempt.											
		indicator: Evidence that copper levels[93] are < 34 mg Cu/kg dry	The farm has conducted testing of copper levels in sediment for the last production cycle. For the current properties will be done with Correspond to past biomary.										
			Content generation will be done with courtey at peak biolinass										
		in instances where the Cu in the sediment exceeds 34 mg Cu/kg dry sediment weight, demonstration that the Cu concentration falls within the range of background concentrations as measured	Levels at all stations are below the requirements samples ranging from 16,7 - 34,3 mg Cu/kg dry sediment weight outside AZE. At C2 the other replica was 30,2 giving an average of 32,25.										
	74	falls within the range of background concentrations as measured at three reference sites in the water body	securion weight outside ALL. At CL the other replica was 30,2 giving an average of 32,23.	Compliant									
				Compilant									
		Requirement: Yes										1	
	- 1	Applicability: All farms. Closed production systems that do not use nets and do not use antifoulants shall be considered exempt										1	
		Indicator: Evidence that the type of biocides used in net	The biocide used on site is NetWax NI Gold, produced by Steen Hansen. The Safety Datasheet for										
		Indicator: Evidence that the type of biocides used in net antifouling are approved according to legislation in the European Union, or the United States, or Australia	NetWax NI Gold shows dicopperoxide to be the active ingredient in the coating. The use of blocide Dicopperoxide is approved under the Norwesian blocide order (FOR-2017-04-18-480) of 18									1	
		Requirement: Yes	04-17, Ministry of Climate and Environment, and EU regulation 2016/1089.									1	
- 1				Compliant									
		Applicability: All farms. Closed production systems that do not use nets and do not use antifoulants shall be considered exempt											
		from standards under Criterion 4.7.	Cermag Fish Health Plan ("Fiskehelseplan for Lanefjorden og jokalitetene Tuyan, Rivarbukt.										
		Indicator: Evidence of a fish health management plan for the identification and monitoring of fish diseases, parasites and	Sommarbukt og Ytre Koven". Updated and signed by Cermaq regional responsible vet Elisabeth									1	
		environmental conditions relevant for good fish health, including	Myklebust) covers all areas as required by ASC such as; biosecurity, fish health surveillance, water quality, sea lice control and a list of therapeutant treatments that may be used by the site. 3									1	
5	1.1		internal fish health personnel EM, Tonje Berg HPR nr. 10026754 and one position open.	Compliant								1	
		Requirement: Yes	Prescriptions written by internal fishe healt personnel. 2 external fish health personnel from Åkerblå/ Marin helse Nansy Tangen HPRnr. 7643128 and Kine Jøraholmen HPRnr. 10039421. Use									1	
		Applicability: All	of admin control for prescriptions mainly because if traceability issues.										
		Indicator: Site visits by a designated veterinarian(95) at least	The internal Fish Health Database records all fish health visits performed by Veterinarians and Fish Health Biologists. Visits are performed by internal staff and external vets/fish health biologists						T				7
		four times a year, and by a fish health manager(96) at least once	from Marin Helse. Below 1 million fish (\$43000pcs) and risk evaluated frequency. Longest between visits 1.10/02.12. Seen routine visit report from 16.02.2021. FHP Tonje Berg. Looking at									1	
5	1.2		between visits 1.10/02.12. Seen routine visit report from 16.02.2021. FHP Tonje Berg. Looking at environment, bird net. Nefrokalsinose, nedsatt nyrehelse. Vaccine Alpjaject Micro 6.	Compliant								1	
		Requirement: Yes										1	
		Applicability: All											

AL	iait fi	indings Salmon					Corresponds	to Salmon Sta	andard v.1.3									
			Labelling for ensilage category 2 at landbase seen - loading station and storage tank.		The UoC uses IT-systems from	07-May-21	30-Jun-21	Extended		1	1	Cermag Norway has a	We tried to change the	If the alternative solution is	In adition to application of	Exention of deadline to	30-Sep-21	
					new collector Hordafor as their	,						new supplier for the	customer portal, but	approved, we will have a	extention for closing of deadline	30.09.2021 is justfied because		
			The UoC uses IT-systems from new collector Hordafor as their records of consignments of animal by-products (normally category 2) from farm. All handling systems are considered ready for		records of consignments of animal by-products (normally							service, which have a customer portal we	this was not an option. We have reached out to	register for every site digitally.  We need time to get approval	auditor has seen draft for Cermag records of	NFSA will take time approving the suggested records of		
			responsible handling of mortalities from UoC. This system of records of consignments does not		category 2) from farm. All							were supposed to use	the NFSA for a	and implement the new system,	consignments sent ti NFSA for	consignments.		
			comply with minimum requirements for sender in animal by-product regulation. See guidance from Norwegian Food Safety Authority (NFSA). The records should include also both receiver		handling systems are considered ready for responsible handling							as our register. This portal is missing a few	alternative solution.	a request for extension of the deadline of the NC's is attached.	approval.			
			name with approval or registration number, and transporter name with registration number.		of mortalities from UoC. This							of the requirements						
					system of records of consignments does not comply							from the Norwegian Food Safety Authority						
		Indicator: Percentage of dead fish removed and disposed of in a			with minimum requirements for							(NFSA).						
		responsible manner			sender in animal by-product regulation. See guidance from													
				Minor	Norwegian Food Safety													
		Requirement: 100% (97)			Authority (NFSA). The records													
		Applicability: All			should include also both receiver name with approval or													
					registration number, and													
					transporter name with registration number. The NC is													
					graded Minor because it does													
					not meet the definition of a major NC and will not produce a													
					non-conforming product and												ļ	
					does not compromise the integrity of the standard.												ļ	
					antegrity of the standard.													
		Indicator: Percentage of mortalities that are recorded, classified	All mortalities are recorded by site staff. Staff are trained in post mortem analysis and will alert the															
			fish health team should there be any changes to mortality rates or causes. An example of further postmortem analysis can be seen from the report by external laboratory, Patogen:															
		Requirement: 100% (98)	Sampling for screening PMCV, PRV-1, ILAV and SAV/PD - PG070813 - negativ - taken 16.04.21	Compliant														
		Applicability: All																
			All mortalities are categorised and registered in Fishtalk for all production cycles. For example the															
			calculation of the total viral related mortalities for most recent ongoing production cycle 20G is as follows: Maximum viral disease-related mortality = 100 x (Total viral mortality (0)+ total number														ļ	
		Requirement: ≤ 10%	of unspecified and unexplained mortalities (12) / total number of fish produced (316198) = 0%	Compliant													ļ	
			For 18G maximum viral disease-related mortality = 0,51% Total mortality for 20G to date is 11,54%.														ļ	
		Applicability: All									1							
		Indicator: Maximum unexplained mortality rate from each of the previous two production cycles, for farms with total	2018G total mortality was 24%. Unexplained mortality rate was 0.12% (2018G) and 5.77% (2016G).														ļ	
		mortality > 6%	Unknown mortality to date is 0,2%.														ļ	
		Requirement: ≤ 40% of total mortalities		Compliant													ļ	
																	ļ	
		Applicability: All farms with > 6% total mortality in the most																
		ecent complete production cycle	Mortality reduction programs is part of managment review for Cermaq Norway and Cermaq Group															
			and specified in FHP on site level with concrete objectives for actions to reduce the mortality.  Mortality rate reduction programme (Corporate level on <10% morts) specified in the regonal fish															
			health plan and at the site level. To reduce the mortality the fish health perssonel discuss the root															
		Indicator: A farm-specific mortalities reduction program that	causes and preventive action plans of mortalities in the recent completed production cycle during															
		includes defined annual targets for reductions in mortalities and reductions in unexplained mortalities	planning of the production of a new cycle. This is confirmed via interviews during the audit.															
1			Evaluating meeting was held before starting production cycle 20G. Procedure 927 Prosedyre for	Compliant														
		Requirement: Yes	oppstart av ny generasjon. Evaluation meeting after 18G has not been held yet (within 28-35 days).															
		Applicability: All																
			Local specific targets were set 29.04.21 - Tuvan and Rivarbukt together. Closing site 18G 18.6.2020 Tuvan 93% survival.															
			Opening Tuvan 20G 18.0.2020 93%. Opening Rivarbukt 93%.															
			Records of all chemicals and tharapeutants used are containing the required information for the															
		Indicator: On-farm documentation that includes, at a minimum, detailed information on all chemicals(101) and therapeutants	two previous productions cycles. Allowed usage defined in Fish Health Plan. Antibiotics are not															
		detailed information on all criefficals(101) and therapedtaints	used. Therapeutants used are all under responsible veterinarian prescriptions. Records in Fishtalk/fish CV including dates for usage, quantity and dosage, and withdrawal periods.															
		(including grams per ton of rish produced), the dates used, which																
		group of fish were treated and against which diseases, proof of proper dosing, and all disease and pathogens detected on the	All treatments are fully traceable throughout the production cycle. Fish CVs provided to buyers	Compliant														
		site	which includes information of all therapeutants used during production. Fish Health visit reports document the site visits and cycle history.															
		Requirement: Yes	Prescription from site verified:															
			210105eam. Benzoak Vet, Eilif Johansen assistant. 2 liter, for culling and anestetic. Has assistant															
		Applicability: All	training, and signed on new training in May. EAM is changing the system for assistants.															
			Allowance for use of therapeutants and chemicals including those who are banned, is regulated in FHP according to national and EU regulations - Regulation (EU) No 37/2010 on pharmacologically															
		Indicator: Allowance for use of therapeutic treatments that include antibiotics or chemicals that are banned(102) in any of	active substances and their classification regarding maximum residue limits in foodstuffs of animal															
		the primary salmon producing or importing countries (103)	origin implemented by national regulation no. 512 av 30.05.2012 "om grenseverdier for															
		Requirement: None	legemiddelrester i næringsmidler fra dyr". Banned prohibited substances are listed in table 2 in the regulation. In site specific FHP there is overview of MRL for EU, USA, Japan, Kina, Australia og	Compliant														
			the regulation. In site specific FHP there is overview of MRL for EU, USA, Japan, Kina, Australia og Russia <sup>*</sup> last revised before each production cycle. NF5a mandatory chemical residue testing by NIFES on site and/or at harvest line is done and the results are published in yearly NIFES report														ļ	
		Applicability: All	from OK programme (Overvåking- og kartleggingsprogram).															
		Indicator: Percentage of medication events that are prescribed	All prescriptions (100%) are prescribed by authorized veterinarians or fish biologists and the					+ +			1							$\overline{}$
			records are stored in a web-based system, called Admincontrol. All veterinarians or fish biologists														ļ	
		Requirement: 100%	approved for prescription have legal registration (on-line verification of DHPR numbers at https://register.helsedirektoratet.no/hpr) by the Norwegian Food Safety Authority.	Compliant													ļ	
																	ļ	
		Applicability: All Indicator: Compliance with all withholding periods after	Withholding periods are given in prescriptions (example: Prescription for Benzoak, ref.: 201120BW,					+ +			+	+						
			date: 05-11-20) and presented in Product CVs. In case of any medical/chemical treatment, the fish														ļ	
		Requirement: Yes	group is automatically blocked to be harvested according to the withholding period stated in prescription in Fishtalk and the fish health plan as well.	Compliant													ļ	
																	ļ	, I
		Applicability: Ali	The WNMT score was calculated correctly as follows:					+ +			1	<u> </u>						
		Indicator: The farm shall publicly report (via Appendix of the Salmon standard v.1.3) the:	One treatment of Emamektin Vet 3,3 mg Slice 03.10.2020 - 13.10.2020 in ongoing production cycle														ļ	
		1. Weighted Number of Medicinal Treatments (see Appendix VII)	20G. WNMT = 1.														ļ	
			One treatment of Emamektin Vet 3,3 mg Slice 07.09.2018 - 17.09.2018 in 80% of site and one														ļ	
			treatment of Alpha Max 15.12.2019 in 40% of the site previous completed production cycle 18G. WNMT = 1,2.	Compliant													ļ	
			· ·														ļ	
																	ļ	
																	ļ	
																	ļ	, I
		Indicator: The Weighted Number of Medicinal Treatments shall	The WNMT score for last completed production cycle 18G is 1,2 which is lower than Norway Country Entry Leve (5).					1										
		be at or below the country Entry Level (see Appendix VII of the Salmon standard v.1.3	Country Entry Leve (5).														ļ	
				Compliant													ļ	
																	ļ	
		Applicability: All																
		Indicator: The farm shall reduce the Weighted Number of Medicinal Treatments, after achieving indicator 5.2.6, with 25% per 2 years until the WNMT is at or below the Global Level (see	The WNMT of the farm ( 1,2) is below the Global Level (3).															
		per 2 years until the WNMT is at or below the Global Level (see															ļ	
		Appendix VII of the Salmon standard v.1.3)		Compliant													ļ	
																	ļ	
																	ļ	
		Applicability: All						1			1	1						

71001	iniumgs samon					COIT	esponus t	o sannon s	tanuaru v.1.3							
5.2.8		The farm has prepared a strategic plan that outlines which medical and non-medicinal measures fare (to be) applied at the farm. The plan is reviewed and updated on a production cycle basis to reflect the effectiveness of applied methods and determine next approaches. Last revision was November 2020 - 04.11.2003 signed by Eliabeth Ann Myldebust.	Compliant													
	Requirement: Yes  Applicability: All  Indicator: The farm shall public present (e.g. via company website) the IPM-measures that the company applies which	The latest update of the plan has be made public at https://www.cermaq.no/baerekraft/millyKC3%B8resultater / https://www.cermaq.no/assets/IPM														
5.2.9	need to be approved by a authorised veterinarian	Cermaq-Norway-2020-V4.pdf. The plan has been signed-off by an authorized veterinarian with valid HPR.	Compliant													
5.2.1	Indicator: The farm shall monitor parasitizide residue levels annually in the benthic sediment directly outside the AZE Requirement: Yes	N/A. Subjected to Q&A111	N/A													
5.2.1	Applicability: All  Indicator: Allowance for prophylactic use of antimicrobial treatments(104)	No antibiotics have been used in the recent cycles. No chemical or medication-related events was wrifted during the audit and interviewing with the site employees.	Compliant													
521	Applicability: All Indicator: Allowance for use of antibiotics listed as critically important for human medicine by the World Health Organization (WHOLOGO)	Valid WHO CIA list 6th edition 2018, released in 2019 demonstrated for antimicrobials critically an														
	Requirement: None(106)  Applicability: All Indicator: Number of treatments(107) of antibiotics over the	highly important for human health was presented. No antiblotics have been used for the last production cycle. https://www.who.int/foodsafety/publications/antimicrobials-sixth/en/														
5.2.1	Requirement: ≤ 3	No antibiotics used.	Compliant													
5.2.1	Applicability. Al indicator if more than one antibiotic treatment is used in the most recent production cycle, demonstration that the antibiotic local (208) is in that 15% less that of the average of the two previous production cycles. Regularment: Yes (190) Andicability. All	: No antibiotics used.	Compliant													
52.1	Requirement: Yes Applicability: All	The procedure "Communications plan" ID 105 updated 26.04.2021 does not include requirement for sales department to send fail for With list of therapeutors used in production, to all direct layers. Sales department confirms by mult that fails CV is sent for every sale of AAC certified fast.	Minor	5.2.15 Monor: The procedure "Communications plan" ID 105 updated 26.04.2011 does not include requirement for sales did a 20.2011 does not include requirement for sales did a 2011 does not include requirement for sales sales department condim by mail that Fish CV is sent for every sale of ASC certified fish. The KC is graded Minor because does not meet the definition of a major KC and will not produce a non-conforming product and does not compromise the integrity of the standard.	07-May-21	30-lun-21	20-Jun-21	Closed				CV and other documents with	The communication plan has been updated with information regarding the product which we share with the customers.	Updated communication plan updated and accepted as evidence for closing of NC.		
5.3.1	Indicator. Bio-assay analysis to determine resistance when two applications of a treatment have not produced the expected effect  Requirement: Yes	Lice treatments with Slice, Hydrogeoperoxid og Alphanax - using Lice advisor - genthpring, Marin Helse/ Åkerblå collects lice and sends to VI. When needed. Can not apply. No tests at the farm.	Compliant													
5.3.1	Requirement: Yes	All sea lice treatment performed have had expected results, and there has been no consecutive treatments. No reason to rate bloesay with 2 different active theuropatics	Compliant													
5.3.5	Indicator Specific rotation, providing that the farm has >1 effective medicinal treatment product available, every third treatment must being to a different family of drugs Requirement: Yes Applicability: All	All use like treatment performed have had expected results, and there has been no consecutive treatments. No reason to raise bloessay with 2 different active therapautics. Neighoring site Krákevíka 30.2.2021. Resultar AZA 36K, PPS 54K, HQD2 11K.	Compliant													
\$4.1	Indicator: Evidence that all salmon on the site are a single-year class(112)  Requirement: 100% (113)  Applicability: All farms. Exception is allowed for: 1) farm sites that have closed, contained production units when	and hovered date 186: 1.108.2019. First stocking date for 256: 2.207 2020 and last stocking date 24.07 2020. Debut venezate for all stockings dates of current production cycle verified with no apps of more than 6 months.	Compliant													
5.4.2	within the ABM  3. Promptly(116) made findings publicly available  Requirement: Yes  Applicability: All	Continuous evaluation. No events of unexplained increased mortality (UMI) mortality categorised on suspected at fam. Ref to indiction 21 fails of estable in morbiding. System available for prompt publication in website https://www.barentswatch.no/fiskenbele/ These requirements are also legal requirements and actions managed by NFSA.	Compliant													
5.4.5	Indicator: Evidence of compliance(117) with the OIE Aquatic Animal Health Code(118)	An example of compliance with the OIE Aquatic Animal Health Code can be seen in the internal Procedure for visitors (Prosedyin for Beaglende 146, Date CQ-09-2020) which contains information for visitors agrafing biosecurity juddlines to follow and how to prevent diseases spreading. Link to OIE health code in Fish health plan.	Compliant													

Auc	ait iin	dings Salmon					Corresponds	to samion s	tanuaru v.1.5								
			There is an internal procedures in Intelex with ID 1154 on practices in accordance with OIE Aquatic							1	1						
	In	dicator: If an OIE-notifiable disease(119) is confirmed on the	Animal Health Code. Fish health manager has the responsibility to inform governments if														
	ta 1	rm, evidence that: the farm, at a minimum, immediately culled the pen(s) in	notifiable diseases occur. During the last and current production cycles there has been no														
	w		occurance of OIE-notifiable diseases.														
	2.	the farm immediately notified the other farms in the ABM															
	4.4 3.	the farm and the ABM enhanced monitoring and conducted															
5.	rij	gorous testing for the disease		Compliant													
	4.																
	Re	equirement: Yes															
	A	pplicability: All															
	-	······································	Cermaq Norway operate according to Norwegian law, where freedom of association and trade														
	In	dicator: Evidence that workers have access to trade unions (if	unions are statutory rights for all employees. Cermaq Norway Code of ethics paragraph 8.5 from														
	th	ey exist) and union representative(s) chosen by themselves ithout managerial interference	28.08.2018 supports this. Cermaq has national, regional and local union representatives from different Norwegian trade unions chosen by staff without management interference.														
6.2	1.1	though the interference	Verified by interviews, where a sample roughly documented c. 50% of staff (5 employees and 1	Compliant													
	Re	equirement: Yes	agency worker) at the locality are union members mainly of The United Federation of Trade														
	4	pplicability: All	Unions (Fellesforbundet) and few of Norwegian Union of Municipal and General Employees (Fagforbundet).														
	^																
	In	dicator: Evidence that workers are free to form organizations,	Cermaq Norway Code of ethics paragraph 8.5 from 28.08.2018 state the freedom of association. In Cermaq region Finnmark farm the union representative work at the site Store Lerresfjord. the local														
	in		union representative for langiforden (Tuvan, Rivarbukt, Sommarbukt og Ytre koven) was present														
6.3	1.2 Re	equirement: Yes	during audit.	Compliant													
			Auditor reviewed that employee contracts state freedom of association.														
	A	pplicability: All															
	In	dicator: Evidence that workers are free and able to bargain ollectively for their rights	The trade union represent the employees in collective bargaining involving national, regional and local union representatives. The process is transparent and inclusive for input from members. The														
	cc		collective fish farming agreement no. 170 "Havbruksoverenskomsten" 2020-2022 cover all														
6.3	1.3 R	equirement: Yes	employees. No cases registered against the farm site management for violations of employees'	Compliant													
			freedom of association and collective bargaining rights. Auditor confirmed by the employee interviews of a stratified staff sample covering all roles.														
	A	pplicability: All															
	In	dicator: Number of incidences of child(123) labour(124)	Cermaq Norway Code of ethics of 28.08.2018 include a clause on child labor prohibiting work for children <15 years old. The company use personal ID for age verification. Auditor confirmed by														
			children <15 years old. The company use personal ID for age verification. Auditor confirmed by employee interviews that the youngest staff is the 22 year old agency worker.														
	Re	equirement: None															
6.	2.1 A	pplicability: All except; Child: Any person under 15 years of		Compliant													
	aş	e. A higher age would apply if the minimum age law of an area															
	st M	ipulates a higher age for work or mandatory schooling.															
	de	linimum age may be 14 if the country allows it under the eveloping country exceptions in ILO convention 138.															
	In	dicator: Percentage of young workers(125) that are	Cermaq act responsibly by offering trainees training opportunity. The youngest are 17 years old														
	pr		and protected by legal requirements and the educational contract. Cermaq follow their policy on "Young workers" ID 147 of 19-01-2018 in the Intelex.														
6.3	2.2 R	equirement: 100%	Youth in summer jobs >15 or finalized 10'th class in primary school or up to 18 year. Only	Compliant													
			conducting limited tasks based on assessment by the site manager. Work time limited to 8 hours														
	A	pplicability: All disator: Number of incidencer of forced(129), bonded(120) or	and no night work according to Norwegian Work Environment Law.  Cermaq Norway Code of ethics paragraph 8.5 of 28.08.2018 include the forced labor clauses.														
	60	ompulsory labour	Verification performed during interviews with employees, and subsequent review of work														
6.3	3.1	equirement: None	contracts and pay slips. No evidence for incidents of forced or compulsory labor.	Compliant													
	К	equirement: None															
	A	pplicability: All															
	lo.	dicator: Evidence of comprehensive (132) and proactive anti-	Cermaq Norway Code of ethics of 28.08.2018 Section 8.5 include the anti-discrimination clauses with additional Whistle blowing procedure (05-02-2021). Whistle Blowing reporting on:														
	di	dicator: Evidence of comprehensive (132) and proactive anti- scrimination policies, procedures and practices	https://www.cermaq.com/contact-us/whistelblowing. This communication line is anonymous.														
6.			Managers have received training and education to promote anti-discrimination in all parts of the	Compliant													
	Re	equirement: Yes	organization. All employees have received internal training in Anti- discrimination and equality.  Auditor interviewed site managers and staff and found no evidence for discrimination. Staff														
	A	pplicability: All	exclusively Norwegians.														
	lo.	dicator: Number of incidences of discrimination	Cermaq reported no recorded discrimination incidents. During confidential interview there was no														
			evidence for discrimination. The gender ratio is 6 male and 0 female. There are generally few														
6.	4.2 R	equirement: None	women may be due to the shift employment with one full week work and one week free. Staff	Compliant													
	A	policability: All	expressed a high satisfaction with their work disregarding age and personal or educational background.														
			The risk assessment is the cornerstone in planning appropriate training. Site managers use a classic														
			Excel matrix with probability and consequences in a traffic light system with red for high risk, yellow for medium and green for low. Last update 29 May 2021.														
		director: Darcentage of workers trained in health and refety	The H&S related procedures and contingency plans are transparent and displayed at the sea barge														
	pr	dicator: Percentage of workers trained in health and safety ractices, procedures(133) and policies on a yearly basis	in addition to digital Intelex system. This include the emergency preparedness plan														
6.5			(Beredskapsplan Cermaq Norway Rev 6 of 14-12-2020 doc 1154). Alarm plan was updated 22.02.2021.	Compliant													
	К	equirement: 100%	Site managers plan annual training on-site (H&S, fire, evacuation and first aid). Auditor interviewed														
	A	pplicability: All	a sample of staff for verification of training logs and certificates. Recent examples together with neighbor site in langfjorden: 02. April .2021, announced flotation suit exercise, training accidental														
			emergency in sea water. 12. January 2021 Fire and first aid Training. The safety representative														
			was not at the shift during the audit. Records reviewed include description, timelines and														
			performance. The risk assessment is the cornerstone in planning appropriate PPEs. Auditor used a		6.5.2 Minor:	07-May-21	30-Jun-21 11-Jun-21	Closed				This non-compliance		The boat Mads Kristian now has	Picture of new noise zone sign		
			supplementary check list for systematic verification of PPEs. 1. Monthly check of life jackets before		Noise zone sign (støysone-							has not been picked	external check-list	noise zone sign (attached). The	in vessel uploaded and accepted		
			each shift (sait trigger mechanism and gas cartridge). 2. Setex Hammerfest control flotation suits.  3. Personal helmets and gloves. 4. Hearing protection in machine room /aggregate room.		merking) onboard the boat Mads Kristian							up during audits. The boats has usually been	noise zones. A seperate	Health and Safety manager and Service and Maintenence	as evidence for closing of NC.		
					is missing. This is also not							audited at the same	audit cycle for boats	manager has been informed and			
					compliant according the							time as we have	has been set up for	will do a mapping of the other			
					Norwegian regulation on design of workplaces "Forskrift om							the site, but not if	internal audits this year (2021), starting with a	uuaus iii the region.			
					utforming og innretning av							they were unavailable	few boats this year, and				
					arbeidsplasser og arbeidslokaler". The NC is							at the time. We also have a contractor do a	the goal is to audit the				
	In	dicator: Evidence that workers use Personal Protective guipment (PPE) effectively			graded Minor because it does							full inspection every 2					
	E	quipment (PPE) effectively			not meet the definition of a							years according to					
6.1	5.2 R	equirement: Yes		Minor	major NC and will not produce a non-conforming product and							Sjøfartsdirektoratets (the Norwegian					
		pplicability: All			does not compromise the							(the Norwegian Maritime Directorate)					
	^	ppinasinty. An			integrity of the standard.							check-list. It may have been missed since the					
												engine room is not					
												entered while the					
												boats are used and therefore the workers					
												are not exposed to					
												noise.					
			Common dishellately makes for consider the constant of the con					-									
			Cermaq use a digital Intelex system for reporting workplace assessment. Each finding or observation get an ID with date, description, risk (low, medium or high), deadline and status														
			(open, closed).														
	In	dicator: Presence of a health and safety risk assessment and	The safety representatives organize monthly meeting with staff on risks and preventive actions.  The safety representatives across sites meet twice per year for information sharing on topics like														
	e.		near miss incidents.														
6.5	5.3 R	equirement: Yes	The safety representative made the last annual site-specific biannual risk assessment 03.04.21 and 20.04.21. The Intelex dashboard outline findings in low and medium risk and few high risk. Very	Compliant													
		pplicability: All	few findings. Default deadline for all findings is 14 days, but tasks appear as in progress until														
	A	ppineroliney: All	closed. Som low priority findings were still in progress. Verified obligatory annual control														
			completed by Finnmark Hydraulik Service. Stamp with date on cranes for next control.														

Aı	dit fi	ndings Salmon				Corresponds to Sal	non Standard v.1.	3						
			QMS system Intelex has a separate module for registration and handling of incidents, including					1 1	1	1		I		
		Indicator: Evidence that all health- and safety-related accidents	personal injuries and near miss incident. HSE department issue monthly reports of incidents with											
		and violations are recorded and corrective actions are taken when necessary	root cause analysis and investigation methods included. Site managers organize monthly HSE meetings as their local safety committee and issue minutes.											
			Site managers receive training in use of system. Auditor reviewed the incidents log with examples	Compliant										
		Requirement: Yes	of incidents. Incident report ID 12188 revieded during audit, Incident registered regarding Truck driving during fish food handling. There are 3 active registered incident in the system. Corrections											
		Applicability: All	and corrective actions executed.											
			Cermaq contract insurance company for work and accident insurance covering all employees as											
		Indicator: Evidence of employer responsibility and/or proof of insurance (accident or injury) for 100% of worker costs in a job-	required by Norwegian law. Verified the last valid insurance presented by human resource manager in personal handbook (link). DNB health and medical insurance police no. PV 19355 is											
			reviewed during audit. All employees covered for personal injury at work. All permanent	Compliant										
		Requirement: Yes	employees covered for accidents work and leisure. Additional Eoropæiske travel incurance police valid 01-07-20 – 01-07-21 valid for permanent employed and mission insurance for temporary	Compilant										
		Applicability: All	workers.											
	-		All employees benefit from a 6% pension, which exceed the 2% legally required.  Cermaq contract the external diving services: Barentsdykk, AQS and Andersen Dykking.											
		Indicator: Evidence that all diving operations are conducted by	The trend is that ROV (Remotely Operated Vehicle) inspections replace some of the physical diving											
			checking diving permissions and health certificates of the diving team – typically 3 or 4.											
		Requirement: Yes	Seen check list from Last physical diving inspection by AQS was 28 october 2020. Since all	Compliant										
			subsequent services were ROV there is no need to check permissions and certificates. However, verified this anyway.											
		Applicability: All												
		Indicator: The percentage of workers whose basic wage(134)	The collective fish farming agreement no. 170 "Havbruksoverenskomsten" 2020-2022 cover all											
		(before overtime and bonuses) is below the minimum wage(134)	employees. Consequently, all employees earn at least the minimum wage.  Auditor selected a sample of staff who gave a signed approval for reviewing correspondence											
		Requirement: 0 (None)	between contracts and payslips and correct overtime payment.	Compliant										
		Applicability: All												
		Indicator: Evidence that the employer is working toward the	Cermaq human resource organization performed an assessment of cost of living. Reference is made to Norwegian Livsoppholdssatser - Statens innkrevingssentral March 2021.											
			Cermag calculated and compared actual wages and basic needs wages as evidence that company											
		Requirement: Yes	wages are above BNW. Example used for calculation: couple (30-50 year), two kids (3-9 year) farm worker employee at Cermag, expenses for living, tax reduction. Site technician without	Compliant										
			craftmanship. Worked one year. The calculation presented at the audit proved convincingly that											
		Applicability: All	wages exceed basic needs wage.											
			The fish farming agreement between Norwegian Seafood Federation (Sjømat Norge) and The Norwegian United Federation of Trade Unions (Fellesforbundet) is the main organization for					1 T	T					]
		Indicator: Evidence of transparency in wage-setting and rendering(137)	employees in the aquaculture industry. The latest collective fish farming agreement no. 170											
			"Havbruksoverenskomsten" 2020-2022 cover all employees. All employees can provide input prior to negotiations.	Compliant										
		Requirement: Yes	Site managers arrange annual performance and development review recorded as minutes. Last											
		Applicability: All	time was September 2020. Cermaq implemented a digital Aditro human resource system.  Onwards the site manager gets notifications for planning annual reviews.											
		Indicator: Percentage of workers who have contracts(139)	All employees have contract according to national regulations and union requirements. Cermaq use HR system Aditro for contracts management.											
		Requirement: 100%	Auditor selected a sample interviews and verification that employee contract states wages and	Compliant										
		Applicability: All	benefits, and pay slips providing detailed information. All salary is paid by monthly bank transfer. All information according to requirements.											
			Cerman issued Code of conduct 28.08.2018 paragraph 8.4 for supplier and supplier behavior and											
		Indicator: Evidence of a policy to ensure social compliance of its	National laws, human rights, employee rights, HSE, Anti-corruption, Environment, Food safety,											
		suppliers and contractors	quality and management systems, which need to be followed to become a Cermaq supplier.  Cermaq maintain a list of approved suppliers based on the assessment and approval laid out in											
		Requirement: Yes	"Prosedyre for klassifisering av leverandører", doc 644, dated 12.07.2019. This is followed by	Compliant										
			supplier classification risk assessment. Supplier classified critical needs review by the sustainability manager eventually combined with supplier audits before granting approval. Each department											
		Applicability: All	manager is responsible for suppliers under their jurisdiction. Supplier list and supplier audit plan											
			2020 was reviewed during audit.											
			Cermaq Norway Code of ethics of 28.08.2018 include a clause on conflict resolution defining ways											
		Indicator: Evidence of worker access to effective, fair and	of communication of conflicts. Whistle blowing procedure is developed, which is included in Personnel handbook. Conflict management procedure ID 429 is defined. Whistle blowing reporting											
			on net: https://www.cermaq.com/wps/wcm/connect/cermaq-no/cermaq-											
		Requirement: Yes	norway/Selskapet/vaare-retningslinjer/Vaare-retningslinjer. HR department have a detailed process to follow, and awareness training and information is provided as part of the Cermaq	Compliant										
		Applicability: All	instruction course, and during annual meetings with all employees. Interviews with employees confirmed knowledge about process, and how to report both anonymous and by name.											
		Applicability. All	commined knowledge about process, and now to report both anonymous and by name.											
		Indicator: Percentage of grievances handled that are	All incidents are addressed within the 90-day time frame. Internal procedure has a shorter											
			timeline. Organization presented records of both anonymous and named grievances.											
		Requirement: 100%	Auditor selected a sample for interview and verification of efficient grievance procedure within the 90-day timeframe.	Compliant										
		Applicabilities All												
		Indicator: Incidences of excessive or abusive disciplinary actions	Cermaq Norway Code of ethics of 28.08.2018 include a clause on disciplinary actions. According to											
		Requirement: None	Norwegian law an employer can not terminate employee to disputes related to abusive disciplinary actions.	Compliant										
			Auditor selected a sample for interview and verification for absence of incidents of excessive or	Compliant										
		Applicability: All	abusive disciplinary actions. HR department confirmed.											
			Disciplinary policy is defined in Cermaq Personal handbook and available on intranet Casa. Site managers use Simployer with guideline from the Work Environment Law on actions upon											
		Indicator: Evidence of a functioning disciplinary action policy whose aim is to improve the worker (141)	unwanted behavior. HR must be involved regarding notification of termination.											
			The verbal and written disciplinary warnings may be used in case of misbehavior during the work.  At site no warning is issued, but in the region the process has been used during last year, and HR	Compliant										
		Requirement: Yes	maintain documentation of the process.	Compilant										
		Applicability: All	Auditor selected a sample for interview and verification of employee awareness and fairness of disciplinary policy.											
		Indicator: Incidences, violations or abuse of working hours(143)	Working hours, use of overtime, rotational work (7 days work 7 days free) and compensation is managed according to Norwegian law - Arbeidsmiljøloven, and defined in employee contract,											
			collective agreements and personal handbook. Resource management system Capitech is used for registration, management and monitoring of hours. Payroll is generated based on registrations in											
		Requirement: None	Capitech.	Compilant										
		Applicability: All	Auditor selected a sample for interview and verification confirming compliance with regulations and collective agreement related to working hours.											
			and collective agreement related to working nours.  Adultior selected a sample for interview and verification confirming compliance with regulations and collective agreement related to working hours.											
		rate and restricted to exceptional circumstances	and collective agreement related to working hours.  Overtime occur in periods of feed receipt, sea lice treatment, harvest etc. and hald at promises.											
		Requirement: Yes	Overtime occur in periods of feed receipt, sea lice treatment, harvest etc. and paid at premium rate. Verified most recent payslip 20-04-21 including salary, and supplements for staying on barge,	Compliant										
		requiement, res	night work, sea lice treatment and additional 50%, 100% overtime.  The collective agreement set maximum 13 timers work per day and 11 hours rest. The local											
		Applicability: All	agreement amends that rest may be 8 hours in special circumstances.											
			Cermaq use intelex for maintaining training requirements and records including certificates.  Procedures for training related to fish welfare, HSE and Hygiene, and several more subjects are											
		Indicator: Evidence that the company regularly performs training of staff in fish husbandry, general farm and fish escape	implemented, Organization performs mandatory introduction and training of all farm workers in a											
		management and health and safety procedures	broad specter of subjects including: Fish welfare, H&S introduction, assistant fish health, lice counting, escape prevention, food safety and hygiene.	Compliant										
		Requirement: Yes	Auditor selected a sample for interview and verification confirming training activities of the different roles: site managers, health and safety representatives, permanent and temporary	Compliant										
		Applicability: All	different roles: site managers, health and safety representatives, permanent and temporary workers.											
		· ·												
		Indicator: Demonstration of company-level(146) policies in line	Senior management at Cermaq develop company level policies for implemention and											
			communication to all employees through introductions, regular meetings, intranet and displayed on site. In addition, all procedures are distributed on Intelex QMS. For site the following policies											
		Requirement: Yes	were displayed: Cermaq Code of Ethics, Cermaq Core Values, Work environment policy, Quality	Compliant										
		Applicability: All	Policy, Environmental Policy, Food safety policy, and Social Policy. In addition, Hygiene rules, Alarm plan and emergency preparedness plan were displayed. Compliance with 6.1 - 6.12 verified by											
		Approximity. All	review of policies.									L		

Au	idit fin	dings Salmon					Corresponds t	o Saimon Star	naara v.1.3						
			The farm is pro-active in arranging consultations with the local community on a bi annual basis and		1					1					
	lr a	adicator: Evidence of regular and meaningful (147) consultation and engagement with community representatives and	regularly communicates with the local community on technical and other areas of development.												
	0	rganizations	Minutes are available from a meeting that was held. It details attendance and questions raised.  Workers on site are also part of the local community and shared no indication of local friction. Any												
1	1.1.1	equirement: Yes	developments are communicated to the local community additionally by notices posted on the	Compliant											
			community centre, local papers and the internet. Any developments to sites are closely monitored												
	A	pplicability: All	by the local government.												
	lr.	dicator: Presence and evidence of an effective(148) policy and	External complaints are logged on the internal management system . The Log details who raised												
	п														
٠,	1.1.2		the communications manager and then forwarded to senior management should it be required. The complaints procedure is detailed and sets out the requirements for handling each complaint	Compliant											
		equirement: Yes		Compilant											
	١.	pplicability: All													
	_		The Form has a sustain for a subtract of the form dealers and the first dealers and the												
	lr.	dicator: Evidence that the farm has posted visible notice(149)	The Farm has a system for posting notifications at the farm during periods of therapeutic treatment. The signs were available to see and are used.												
		t the farm during times of therapeutic treatments and has, as art of consultation with communities under 7.1.1.													
	1.1.3	art of consultation with communities under 7.1.1, ommunicated about potential health risks from treatments		Compliant											
	R	equirement: Yes													
	A	pplicability: All													
			There are some Indigenous Peoples in this region who have additional indigenous rights in the												
	re	equired by relevant local and/or national laws and regulations	vicinity of the sites. These indigenous peoples are mentionde in the stakeholder documentation. Such as fishermen and The Sámi people.												
	7.2.1 R	equirement: Yes		Compliant											
	А	pplicability: All farms that operate in indigenous territories or													
	ir														
		adicator: Evidence that the farm has undertaken proactive	There are some Indigenous Peoples in this region who have additional indigenous rights in the												
		onsultation with indigenous communities	vicinity of the sites. These indigenous peoples are mentionde in the stakeholder documentation.												
			Such as fishermen and The Sámi people.												
	r.2.2 R	equirement: Yes (150)		Compliant											
	А	pplicability: All farms that operate in indigenous territories or a proximity to indigenous or aboriginal people													
	ir	proximity to indigenous or aboriginal people									<u> </u>				
	Ir	dicator: Evidence of a protocol agreement, or an active	There are some Indigenous Peoples in this region who have additional indigenous rights in the												
	P	idicator: Evidence of a protocol agreement, or an active rocess[151] to establish a protocol agreement, with indigenous ommunities	vicinity of the sites. These indigenous peoples are mentionde in the stakeholder documentation.  Such as fishermen and The Sami people.												
	r.2.3	equirement: Yes		Compliant											
	Â	pplicability: All farms that operate in indigenous territories or proximity to indigenous or aboriginal people													
		odicator: Changes undertaken restricting access to vital ommunity resources(152) without community approval	The farm would seek and obtains community approval before undertaking changes that restrict												
			access to vital community resources. At this stage no plans are in place for any changes that would												
	3.1	equirement: None	affect vital community resources	Compliant											
	A	oolicability: All	Assessments are in place. Stakeholder documentation was reviewed and no interviews were												
	a .	salcator: Evidence of assessments of company's impact on ccess to resources	assessments are in piace. Stakeholder documentation was reviewed and no interviews were carried out as it was not required.												
				Compliant											
	R	equirement: Yes		Compilant											
	А	policability: All													
	lr.	oolicability: All adicator: Compliance with local and national regulations on later use and discharge, specifically providing permits related to	Smolt suppliers: Dyping (internal).												
		rater use and discharge, specifically providing permits related to rater quality													
			Dyping: Approval from Fylkesmannen i Nordland, with 2015/43, date 24.01.2018 for the production: 3500 ton feed. no limitation for discharge until 1.1.2021. Water abstraction permit	Compliant											
	R	equirement: Yes	from NVE, dated 21.10.2016, ref. 200701016-49 for a maximum volume of 15 m3 per minutes.												
	А	policability: All Smolt Producers	Inspection from Mattilsynet 19.June 2019. No NCs.												
	le le	oolicability: All Smolt Producers idicator: Compliance with labour laws and regulations													
	8.2 R	equirement: Yes	Dyping: Cermaq internal site, and part of Cermaq system for compliance with labor laws and	Compliant											
			regulations. No inspections since 2018.												
	A	oolicability: All Smolt Producers adicator: Evidence of an assessment of the farm's potential													
	i.	npacts on biodiversity and nearby ecosystems that contains the sime components as the assessment for grow-out facilities	Source/Documents reviewed:												
	Si	ame components as the assessment for grow-out facilities nder 2.4.1													
	8.3		Dyping: the risk assessment of the smolt production was revised on 21.08.2019. which include	Compliant											
	R	equirement: Yes	associated risked related to animals, escapes, environments, sea floor. , B-survey (every second year), result category 1, very good and 4.7.2016 category 1, Survey-C (every 4 year)												
		pplicability: All Smolt Producers													
			Dyping:												
			a) 359023 kg feed for period 01.01.19-31.12.19 (Source a-g Fosfor calculation 1.1-31.1-2019												
			Cermaq Dyping)												
	li t	idicator: Maximum total amount of phosphorus released into ne environment per metric ton (mt) of fish produced over a 12-	b) Values for different feed types delivered from feed suppliers were seen and verified.     c) 5809,2 kg total amount of phosphorus in feed												
	п	ne environment per metric ton (mt) of fish produced over a 12- nonth period (see Appendix VIII of the Salmon standard v.1.3)	d) Records for stocking, harvest and mortality which are sufficient to calculate the amount of								1				
	8.4		biomass produced are available. 359506 ke biomass production, 359.5 MT	compliant											
	n n		e) Calculations are correct:11,86 kg phosphorus in fish biomass (mt) produced. Reference is made												
	А		to VR 39 on phosphorus release to sea confirmed by ASC. See www.asc-aqua.org for VR 39												
			determination by ASC dt.15.09.14 f) Dyping No sludge produced/removed												
			g) NA								<u> </u>				
											1				
	li Si	idicator: If a non-native species is being produced, the species half have been widely commercially produced in the area prior													
	te	hall have been widely commercially produced in the area prior the publication(154) of the ASC Salmon Standard													
		equirement: Yes (155)													
		(200)	Salmo salar is native to region.	Compliant											
	5.5 A	pplicability: All Smolt Producers, Exceptions shall be made for	Breed verified as Salmo salar through Fish CV.	Compliant											
	P	rounction systems that use 100 percent sterile fish or systems nat demonstrate separation from the wild by effective obvical													
	ь	roduction systems that use 100 percent sterile fish or systems hat demonstrate separation from the wild by effective physical arriers that are in place and well-maintained to ensure no													
		scapes of reared specimens or biological material that might arvive and subsequently reproduce.													
	Ir	adicator: Maximum number of escapees (156) in the most ecent production cycle													
	re														
	R	equirement: 300(157) fish													
	A.	pplicability: All Smolt producers. A rare exception to this tandard may be made for an escape event that is clearly	Source: Supplier declarations and Fiskeridirektoratet www.fiskeridir.no Reported escapes 2006-												
	a c d	ocumented as being outside of the farm's control. Only one	2019	Compliant											
	8.6 SI	ocumented as being outside of the farm's control. Only one ach exceptional episode is allowed in a 10-year period for the	Dyping: No escapes recorded in in Fishtalk. 0 escapes in Fiskeridirektoratet register 2017-2020.	compliant											
	P	urposes of this standard. The 10-year period starts at the	Records available, in Fishtalk. Escapes covered in site risk assessment.												
	fe	eginning of the production cycle for which the farm is applying or certification. The farmer must demonstrate that there was no													
	Ti.	easonable way to predict the events that caused the episode.													
	f:	xtreme weather (e.g., 100-year storms) or accidents caused by trms located near high-traffic waterways are not intended to be													
		overed under this exception.									1				
			-		_										-

**Audit findings Salmon** 

Addit filldlings Sallifo	, ii				corresponds to	Jannon Je	v.1.5					
Indicator: Accuracy(1 method used for calcu 8.7 Requirement: 298%	158) of the counting technology or countin culating the number of fish	Source: Supplier declarations. Secure counting point is during vaccination process Dyping: Aquascan control unit 98-100 % accuracy. Verified by provider specifications.	Compliant									
	olt Producers of a functioning policy for proper and nt of non-biological waste from production cycling)											
8.8 Requirement: Yes Apolicability: All Smo Indicator: Presence o	olt Producers of an energy-use assessment verifying the	with authorized service provider Iris on special waste and Østbø. Public service on domestic, type of waste defined, domestic, special waste/chemicals, for recycling etc. evaluation of environmenta impacts										
energy consumption	at the smoh production facility (see on 1 of the Salmon standard v.13 for ed components of the records and neasured in kilojoule/mt fish/production	Dyping.  a) Records Dr. Energibruk settefrisk Cermaq Dyping YTD19 b) 2019 consumption of scope 1 = 194331365 KI and scope 2 = purchased electricity = 1551918359 t). Tot Scope 1 = 12 = 1746248724 kj 10355,5 mt Bith produced d) 4857440 JUNE Bith produced d) 4857440 JUNE Bith produced Records DK in exert. Continuous evaluation.	Compliant									
Applicability: All Smo Indicator: Records of at the amont protection assessment (see Appl Requirement: Ves Applicability: All Smo	f greenhouse gas (OHO(159)) emissions(160 ion facility and evidence of an annual OHO enable V of the Salmon standard v.1.3)	Dyping:  a) Records Ost, Coneglobuls setted is Cerman Dyping YTD19  a) Records OST 2019. 2019 Scope 1 on farm generated energy-13704 kg CO 2 (conv.factor is scope 2 emission (conv.factor 0.091) = 109567 kg CO.2.  Total Scope 1-2-1212712Fg CO2.  del Calculations and assessment provided by CO2 focus. Outs from IEA 2013, 558 2013, EIA 2011, et al. CO2 used	Compliant									
by the designated yet	of a fish health management plan, approve terinarian, for the identification and seases and parasites olt Producers	Dyping: Internal Fish Health Plan. Plan covers all aspect of relevant diseases and parasite diagnostics and control measures. Approved and signed by veterinarian (fish health manager) dt 26.08.2019 .	Compliant									
diseases that are know		Opping: This Health Films covers all aspect of relevant diseases and paralled diagnostics and control measures. Approved and signed by veterinarian of toping 26.00.13. All suppliers use fishfalk as monitoring yetter. Approved and signed by veterinarian of toping 26.00.13. All suppliers use fishfalk as monitoring other parallel paralle	Compliant									
indicator: Percentage diseases of regional co phase on farm(163) 8.13 Requirement: 100% Applicability: All Smo		Dyping.  Covered in Fish Health Plan (FHF) per site. Including risk based testing regime, sampling and veterinary volts. Broodstock is lockuded in creening program  Veterinary volts. an optioned scording in PMF. Semili group has a health certificate (Fish CV)  Screening reports from though analyse sem for 2018 for group from all suppliers, stered for  ALA, (PMV-R-PM, ANA) and PM per-scoling All results regime. Example from Saltes should. Report from Patogen PGOBSSIZ, dated 31.04.2019 - all registers. Example from Askardarm: Report from Patogen PGOBSSIZ, dated 31.04.2019 - all registers. Example from Askardarm: Report from Patogen PGOBSSIZ, dated 31.04.2019 - all registers.	Compliant									
		Dyping: All thereproduces used are recorded in Fish CV, and documented in FishTalk according to FHP. Precupitions are issued and signed by responsible veterinary / FHB/Vaccines produced by Pharmas, Therapeutean used and documented per Fish group FHP needs standard requirements for the Indicator. All required information present.	Compliant									
include antibiotics or the primary salmon p 8.15 Requirement: Yes	e for use of therapeutic treatments that r chemicals that are banned(164) in any of producing or importing countries(165)	white and the first firs	Compliant									
Applicability: All Smo	of treatments of antibiotics over the most	Therapeutant records (vaccines, anesthetics and antiparasitic treatment) in Fish CV and Fish Talk- type and producer and batch. No therapeutants on list used. No antibiotics used by supplier.										
recent production cyc  8.16 Requirement: ≤ 3		Dyping: No antibiotics used. Seen fish CV with all treatments identified.	Compliant									
Indicator: Allowance important for human 8.17 Requirement: None ( Applicability: All Smo		Oyping: All smoll supplier are instructed to comply with WHO list. Fish Health Plan, approved and signed by veterimatians covers all aspect of relevant diseases and parasite diagnostics and control measures. List of allowed and barned substances – against WHO ortical list included in the plan. No artifacts used: Find Veter with all treatments were verified.	Compliant									
	of compliance(168) with the OIE Aquatic 169)	All molt suppliers are instructed to operated in accordance with the Germag policy and recordance successing compliance with 10 GL Aquata Leanil which Clack Size German Statement claded 26.08.005 on ACK requirements regarding OIE Aquatic Askin Mel Hoshih Code for smoll deliveries. The statement is signed by a designated veterinarian. Life OIE Health code in Fish health plan.  Oppling: Fish Health Plan covers all aspect of relevant disease and parasite diagnostics and control measures, and meet OIE Aquatic Animal Health Code requirements. External veterinary service Marin Heise. Approved and signed by veterinarian et 26.08.2019	Compliant									

	·			•						
8	Indicator: Evidence of company level goldes and procedures in less with the biblior standards under 61 to 6.13  8.19 Regularment, and the standards under 61 to 6.12 Dyping is an internal smolt supplie Applicability. All Smolt Producers	r. Cermaq system applies as described for site in 6.1 - 6.11 Compliant								
s	Indicator. Evidence of regular consultation and engagement with community representatives and organizations (indicator indicator). Stakeholder meeting (indicator). Stakeholder minited: 2 stakeholder invited: 2 stakeholder firetungs, following and forecomments.	keholder mentings has been carecide of air in 2000. As a side and insent of an insent trainholders. Tensal fits to 104.2000 by suttainbally coordinator. 2018. Reviewed 104.2000 by suttainbally coordinator. 2018. Reviewed 50 cmmag activities in telegon and Kamaray area 103.02019 sitton of Cerma, production sites and ASC. Neighbors and 27 complaint sites. Minutes of menting need using sudict. Needing for lask s.1.0.2018, 13 stakeholders participated. List of stakeholders s. Meeting 25.11.2019 at Irinhavet about status and changes in				225				
8	Indicater. Evidence of a policy for the presentation, treatment and residence of completes by community stakeholders and operations of completes by community stakeholders and operations.  8.21 Regulationet: Yes Assistability. All Smooth Producers	m - "Procedure handling of external complaints" Compliant								
8	Indicator: Where relevant, evidence that indigenous groups were consulted as required by relevant local and/or national laws and regulations  Dyping: It was communicated duri groups or aboriginal people with sg	g the application processing to start the sites. No indigenous ceific indigenous rights are present in neighborhood. Sami I compliant I conflict with the activity in the area.								
8	Indicator: Where relevant, evidence that the farm has undertaken proactive consultation with indigenous communities Dyping: It was communicated during groups or aboriginal people with sg	g the application processing to start the sites. No indigenous ceilfic indigenous rights are present in neighborhood. Sami I conflict with the activity in the area.								
8	Indicator. Allowance for stocking unoits produced in cage- culture  Requirement: Permitted only if supplying farms are 31 operated  8.25 in a region where indigenous salmonates are present of the same  M/A all sites are semi-closed with disposition being solitored and 21 the harm is certified to the ACC  Furthermore from Standard  Applicability copie first periol production of smolt	scharge to seawater N/A								
8	locicutor: Water quality constituting patters completed and submitted to ASC (one Appendix VIII of the Salmon standard vs.3.3) and vs.4.3 (one Appendix VIII of the Salmon standard vs.3.3) Applicaments: Yes(127) Applicability: const (one) parally production of smolt	N/A								
8	No. of the Control of	N/A								
8	Indicator. Marco-invertebrate screep downstream in from the loads, all discount of the control	scharge to sewater N/A								
8	Sections: Extense of Implementation of Standish (Lindge) but Management Protocols (SMPs) (Appends VII of the Salmon Standard V.1.3)  8.00 Requirement: Yes Applications of Standard VIII (SMPs) (Applications of SMPs) (Applications	scharge to seawater N/A								

Metric table Where the requirement is "None", please use 0 (zero) if requirement is met

## Corresponds to ASC Salmon standard version 1.3

Indicator No Impact Category	2.1.1a  Benthic  Redox potential in	2.1.1b  Benthic  Sulphide levels in	2.1.2a  Benthic  AZTI Marine Biotic Index		2.1.2c  Benthic  Benthic Quality	Benthic	Benthic  Number of macrofaunal tays in the sediment	4.7.4 Benthic	5.2.2  Chemicals/therapeutants  Allowance for use of therapeutic treatments that include	5.2.3  Chemicals/therapeutants  Percentage of medication events that are	5.2.11  Chemicals/therapeutants Allowance for prophylactic use
Indicator Text	sediment outside of the Allowable Zone of Effect (AZE) (in mV), following the sampling methodology outlined in Appendix I-1	sediment outside of the Allowable Zone of Effect (AZE)					within the AZE, following the sampling	Evidence that copper levels are < 34 mg Cu/kg dry sediment weight OR in instances where the Cu in the sediment exceeds 34 mg Cu/kg dry sediment demonstration that the Cu concentration falls within the range of background concentration as measured at three reference sites in the water body	antibiotics or chemicals that are banned in any of the primary	prescribed by a veterinarian	of antimicrobial treatments
Requirement/ Site ID	>0 mV	≤ 1,500 µMol/L	≤ 3.3	>3	≥15	≥ 25	≥ 70%	Yes		100%	None
50001051											

5.2.12	5.2.13	8.12	8.15	8.16	8.17	2.3.1	4.2.1	4.2.2a	4.2.2b	4.4.2c	3.4.1
chemicals/therapeutants	Chemicals/therapeutants	Chemicals/therapeutants	Chemicals/therapeutants	Chemicals/therapeutants	Chemicals/therapeutants	Feed	Feed	Feed	Feed	Feed	Mortality/survival/escapes
Allowance for use of antibiotics	Number of treatments of antibiotics	Percentage of fish that are	Allowance for use of therapeutic treatments	Number of treatments of antibiotics over	Allowance for use of antibiotics	Percentage of fines [18] in the feed	Fishmeal Forage Fish Dependency Ratio (FFDRm)	Fish Oil Forage Fish Dependency Ratio	Maximum amount of EPA and DHA	Percentage of soya or soya-derived	Maximum number of escapees
listed as critically important for		vaccinated for selected diseases	that include antibiotics or chemicals that				for grow-out (calculated using formulas in	(FFDRo) for grow-out (calculated using	from direct marine sources (calculated	ingredients in the feed that are certified	
human medicine by the world			are banned in any of the primary salmon			(calculated following methodology			according to Appendix IV-2) (in g/kg		
health organization			producing or importing countries						feed)		
		for which an effective vaccine									
None	≤3	100%	Yes	≤3	None	< 1% by weight of the feed	< 1.2	< 2.52	(EPA + DHA) < 30 g/kg feed	100%	300

5.1.3		5.1.5		8.6	2.5.1		5.4.1		8.13			
Mortality/survival/escapes	Mortality/survival/escapes	Mortality/survival/escapes	Mortality/survival/escapes	Mortality/survival/escapes	other	other	other	other	other	Parasites	Water quality	Water quality
Percentage of dead fish removed	Percentage of mortalities that are	Maximum viral disease-related	Maximum unexplained mortality	Maximum number of escapees in the	Number of days in the production	Accuracy of the counting technology or	Evidence that all salmon					
and disposed of in a responsible	recorded, classified and receive a	mortality on farm during the most		most recent production cycle	cycle when acoustic deterrent		on the site are a single	technology or	select diseases of regional concern	farm lice levels during sensitive periods for		samples from 2.2.1 that fall under 2
manner		recent production cycle					year class (%)					
					harassment devices (AHDs) were					Appendix II, subsection 2. (mature female	methodology in Appendix I-4	
100%		≤ 10%		300 fish			100%					

8.4	8.26	2.5.2	2.5.5	3.2.3	3.3	6.6.1	6.2.2	6.5.1	6.7.1	6.8.2	6.2.1	6.3.1	6.4.2	6.9.1	6.10.1	7.3.1
Water quality	Water quality	Wildlife interactions	Wildlife interactions	Wildlife interactions	Wildlife interaction	Social	Social	Social	Social	Social	Social	Social	Social	Social	Social	Social
Maximum total amount of phosphonus (in kg/mt of fish produced over a 12-month period) released into the environment per metric ton (mt) of fish produced over a 12-month period (see Appendix VIII-1)	in the outflow (Methodology in Appendix VII - 2)	endangered or red-listed	Maximum number of lethal incidents on the farm over the prior two years (< 9 lethal incidents with no more than two of the incidents being marine mammals)		salmon by the	The percentage of workers whose basic wage (before overtime and bonuses) is below the minimum wage						incidences of		excessive or	Incidences, violations or abuse of working hours and overtime laws	
4 kg/mt of fish produced over a 12-month period	60%	0	< 9 lethal incidents, with no more than two of the incidents being marine mammals		None	0		100%	100%	100%	None	None		None	None	

smmary of Standard Standard Version:	rd Non Conformities (NC) d: Salmon 1.3	NC Type Major Minor Total	NC Totals 0 7													
nte: Unique NC co	des can be entered in column A - All other data fi	Total ields in this summary worksheet populate automatically	7	_												
IC Code Indicato (CAB) Number	r Indicator Text	Audit Evidence	Overall Indicator evaluation	Description, justification and condusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	Status of VR ubmitted VR	Q&A used submitte used	d/ Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justificat	New deadline on for NC close- out
111	Indicator: Presence of documents demonstrating compliance with focal and valued regulations and reportered to the durable series regulations and reportered to the durable series regulations and Requirement. Yes	No company name and licence number on site as required in aquaculture regulation. Former gip was taken down and the plan was to implice it with a new gip; where the plan was to replace the plan was to replace the plan was been down and the plan was to replace the plan was to provide the plan was to replace the plan to plan the plan was to provide blooking downwards from referent authorities.  The time provided blooking downwards from referent authorities.  Decision of party provided plan to plan the plan that the plan to plan the plan to plan to a flat command to the plan to plan the	Minor	No company name and licence number on site as required in aspacutimer regulation. Former sign was taken down and the plan was to replace it with an every En. This like More Checulase it does not compromise the integrity of the standard.	07-May-21	30-lun-21	03-lun-21	Closed			The root cause is that the sign, we broken and following It was not make the state of the sign was broken due to rough wind.	A new sign was ordered an	The employees at side has trice to brain yet up differently she was taken, so it is given a but time, so it is given as the support of the side of the	Pictum of one sign with Company name and size number uplaced and accepted as ordered for closing of NC		
212	Indicator: Faural Index sizes indicating good (7) to high ecological quality in sediment outside the AZE, following the sampling methodology-outlined in Approached of the Azimon standard vs. 3.8 Requirement: AZTH Matine Bette (Indica (AMBI)(8) sover 9.1.0 cm extra (1.0 cm) and the Azimon (1.0		Minor	shareso Where Index coors is below 3 at lew ampsing actions 2.2 and 5 audition 82 and 6 complaint. This is Minor NC because it does not meet the definition of a major NC and will not produce a non-conforming product and does not compromise the integrity of the standard.	07-May-21	30-lun-21	21./um-21	Closed			The site has uneven bottomotography, there may be some areas where organic loading may accomplete.	months fallowing between 18G and 20G, the results w	An evaluation of the results to been down. The site was review so the east more in fewor or the countries and here in the countries and here is a secondarious. Also the sit was accommission. Also the sit was accommission also the site was accommission and the countries and the countries are also the countries of the countries of the countries and the countries of the countries are also also accommission to the find of system.	Corrective action plan desided by management oploaded and accepted as evidence for closing of NC.		
213	Indicator: Number of macordaunal task in the sediment within the AZE, following the sampling methodology autilised in Appendix of the Salmon stackard v.1.2. Requirement: 2 Psighly abundant (9) Itaas that are not poliution indicator species.  Applicability, All farms except: Cooper production of the production indicator species and applicability all farms except: Cooper production indicator species. Applicability of species 2 7-30% of adult nutrients: from under Critation 2.1 Ace appendix VIII or programments on transparency for 2.1.1, 2.1.2 and 2.1.3.	Ote map and GPS coordinates with ASC Lampling points. Site-specific campling regime (MCMC of hyboris - ASC Lampling hyboris - Site-specific campling regime (MCMC of hyboris - ASC Lampling hyboris - ASC Lamp	Minor	Number of macorfaunal tasa in the sediment within AZI is below 2 in station CL within AZI. This is Milnor NC Because it does not most product and does not compromise the integrity of the standard.	07-May-21	30-lun-21	21-Jun-21	Closed			The site has uneven bottomicopie galpi, there may be considered to be a second and a second site.	We did a B survey after the months fallowing between SIG and 20th, the results was considered to the survey of the size was fallowed a total of five months prior to 20G.	An evaluation of the results in the second of the results are move the area in more in favour or the currents and has now large less accumulation. Also the sit now has remote camera feeding which is due to be form the second of the second o	Corrective action plan desided by management oploaded and accepted as evidence for closing of NC.		

224	farm which extends to all chemicals, including veterinary drugs, thereby ensuring that adverse	There is a 10E risk management in place. Offerent type of hazards are identified and analysised and control measures are made or deferred. Several procedure is (e.g. "Hygienregiement: Martiat" (b.122 is its oppositioning 13.0.201, "Provide for oppositioning in September 19.0.201, "Analysis of the Application of the	Minor	Three farm operators had not completed computory training course in chemical handling. This is Minor Nr. Decause it does not meet the delinition of a major Nr. and will not produce a more desiration of a major Nr. and will not produce a more control and produce and desiration of a major Nr. and will not produce a more consequence that integrity of the standard.	07-May-21	30-Jun 21	10 Jun 21	Closed	All amployees had their training, but the documentation was not added to internal quality system intelex.	Courses was held January 2021	Intelex is now updated with correct information.	Operation and standing contributions updated for three employees before a coupled for closing of NC.	
5.1.3	Indicator: Percentage of dead fish removed and disposed of in a responsible manual flaquiment. 2004;(97) Applicability: All	Labelling for entiting category 2 at landbase seen-loading station and storage tank.  The ILCC cost if appares from one collector includes viscoline as their records of consignments of animal hypotects (promally category 2) from farm. All hadding options are considered rate for responsible handling of motitation from ILC. This system for record consequences can comply with minimum requirements for sender animal by product regulation. See glidance from Norwegian records Safety Authors (1994). The records discultation stational service ranne with approval or regulation in number, and of transporter ranne with registration number.	Minor	The loc uses IT systems from new collector Horizafor at their records of consignments of animal by products (normally catagory 2) from farm. All handling systems are considered ready for reaspossible shariling of mortalises from loc. This system of the systems of the considered from th	07-May-21	30-Jun-21		Extended	Cermag Nonway has a new supplied for the service, which have a customer portal we were suppose to use a our organic. This portal is mixing a few of the requirements and the service of th	We tried to change the d customer portal, but this was not an option. We have reached out to the NFSA for	We need time to get approval	extention for closing of deadline of deadline auditor has seen draft m, for Cermaq records of consignments sent ti NFSA for	pecause proving 30-Sep-21
5.2.1	Indicator - Prosence of documents demonstrating the the farm has provided bywers L10] of its salmon is for of all the appearants used in production. Sequirement: Yes Applicability: All			13.2.13 Major. This pickness "Communications plan" To 155 spekted 35.04.2021 does not include requirement for sale dipartment to send fills (to with its of therapeutants used in production, to all direct buyers. Sales dipartment controls you'll but fair for view yell and selection to all direct buyers. Sales dipartment controls you'll but fair for view yell are for every sales dispared to the selection yell and the selection of the selection which is not one of the selection of	07-May-21	30-Jun-21	20-Jun-21	Closed	Lack of attention to the task.	This information (i.e. CV and the documents with information regarding the product! has always been ent to the customers, it somehow was overlooked when we established the communication plan.		u Updated communication plan updated and accepted as updated as upd	
6.5.2	Indicator: Evidence that workers use Personal Protective Egypnent (PFE) effectively Requirement: Yes Applicability: All	The risk assessment is the convention in planning appropriate FFEs. Auditor used a supplementary check list for systematic welfuction of FFEs. I. Monthly check of this pidens before each shift (bull ranger mechanism and ps. and religin). 2-Sets in immeries to control fluidion usets. 3. Prosocial heinest said given 4. Nearing protection in mechanic control page quite code.		6.5.2 Minor: Note a more sign (staysone-morking) conduced the board Mode Striction is reading. This is also not conduced the board Mode Striction is reading. This is also not concluded the striction is reading to the striction of the mode place of Striction is reading any exhercing or photologicalser.* The Kir is graded Minor Decauser it does not meet the definition of many for Losd will one produce a more conforming product and does not comproved the integrity of the allowful.	07-May-21	30-Jun-21	11-Jun-21	Closed	This non-compliance has not been picked up during audist. The boat has usually been audited at the base the search of the search	Both internal and external check-list include checkling in noise zones. A seperate audic cycle for boats has been set up for internal audits this year (2021), starting with a few boats this year, and the digoal is to audit the boats every 3 years forward.	t noise zone sign (attached). Th Health and Safety manager ar Service and Maintenence manager has been informed and will do a mapping of the	Picture of new noise zone sign d in vessel uploaded and	