

Instructions and disclaimers

General:

The announcement and report shall be submitted via mail to ASC in **Excel** if not specified differently: certification@asc-aqua.org

If you would like to register a problem or issue with the document or wish to leave feedback and suggestions for improvements, please contact: certification@asc-aqua.org

All fields must be visible in Excel format and no field shall be omitted or deleted. ASC reserves the right to refuse to publish incomplete and low quality reports.

This document has been developed in collaboration with the [ASC Programme Assurance team](#) and [Standards & Science team](#) for ASC single-site, multi-site and group certification farm audits. A copy of all documents (Audit reports templates, confidential annexes etc.) are uploaded on to the ASC website and are publicly available.

Only fully completed forms are accepted by the ASC. Incomplete forms may lead to a delay in the certification process.

In case the audit report template for Multi-site and Group-certification does not contain enough site rows for the amount of sites applicable for a specific applicant, please contact certification@asc-aqua.org

How to use this document

This document layout is formatted into tables for data entry and questions to record answers and evidence.

You can adjust the width of the columns to display all information.

Users shall **not** delete any rows or columns.

Data filled out in the audit announcement (form 3) sheet, will be automatically populated in the audit report itself.

Be aware that there are 3 different types of audit report templates: 1. Single-site, 2. Multi-site and 3. Group certification.

Next to these 3 templates, there is the Audit Report Template V2.0 Confidential Annex, applicable to the 3 different versions of the audit report template.

Document type - PDF conversion - Guidance

Always save your document as a macro-enabled spreadsheet (click on "File", "Save As" and make sure the document type is saved as "Excel Macro-Enabled Workbook").

If your audit team plans to work on a shared online document - ensure the platform app supports macro-enabled functionality.

Please do not delete any rows, columns, fields or sheets (except for irrelevant species sheets). As the template is running multiple macros and formulas in the background, it is NOT possible to take out any sheets and copy paste them back in.

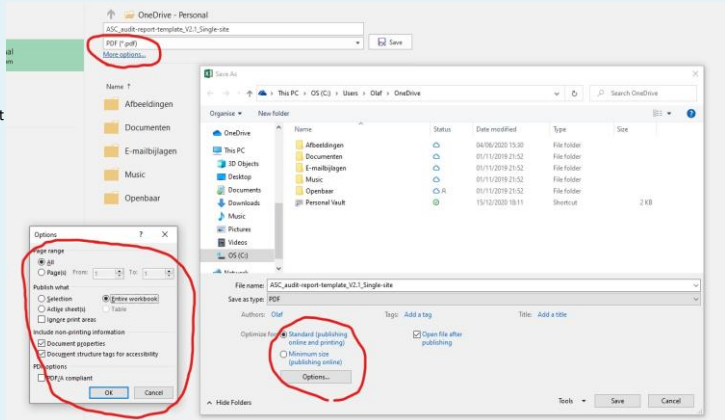
To save the full excel document as a pdf, please follow these steps:

1. Click on "File", "Save As"
2. Select PDF as document type and click on "more options"
3. Click on "options" in the box that shows up
4. Set "page range" to All and set "publish what" to Entire workbook and click on "ok"
5. Click on "Save" and the entire document will be saved as a PDF document in the correct format

Extra note:

The page layout is selected in a way that all tables are visible in the PDF.

Tables may appear small, however it is possible to read them by using the PDF zoom function.



Spelling Check

How to run the excel spell check in this document?

- This can be enabled with the following key combination: Ctrl + Shift + S.
- This opens the spell-check dialogue box, cycling through all the recognised spelling mistakes.
- After the last recognised mistake is address - the dialogue closes and the worksheet is automatically locked again.
- If there are no mistakes the dialogue box does not open.
- This then needs to be repeated for each worksheet with audit data.

(The spell check ignores capitalised abbreviations - but will still identify mix abbreviations as mistakes e.g. UoC)

What if changes are made?

If changes were made e.g. from the announcement to the audit report or when an updated report is submitted to ASC, ALL cells containing changes shall be highlighted in yellow for transparency reasons, and as far as possible a clear statement on the update shall be made in the respective field.

Confidential information - Data protection and publication of reports

All fields in the announcement and audit report are mandatory and shall be filled out and will be made publicly available. However, should the client or CAB desire to submit certain data confidentially (e.g. for GDPR or commercial sensitivity reasons), this data can be entered in the **confidential annexes**, which are provided in a **separate document** than this audit report template. Please BE AWARE that confidential Annex-3 is always mandatory to be filled out and shall be submitted to the ASC, together with the audit report. Confidential annexes will NOT be made publicly available.

Comments or guidance

For a lot of the fields in the announcement and audit report, a guidance field pops up as soon as the field is selected.

Some fields are marked with a red triangle in the corner, meaning that the field contains a guidance comment.

Data restrictions & conditional formatting

This audit report template contains a lot of fields with data validation and data restriction fields, allowing for only a certain type of data to be filled out in the specific field (For example, date fields, picklists, numbers etc.). Please **ONLY** fill out the requested type of data. If not filled out correctly, an error message will pop up, giving guidance to enter the correct type of data.

The audit report template also contains a lot of fields with conditional formatting, meaning that cells or field will be highlighted, based on the type of data filled out. Some cells are highlighted with red, these will change to blue when data is entered into the cell. This makes it easier to detect fields that are not filled out, but shall be filled out. Please note that all fields are required and shall be filled out.

Link to all the sheets

[Audit Announcement \(Form3\)](#)

[1\) General, client-CAB info](#)

[2\) Audit Information](#)

[3\) Site information](#)

[4\) Harvest witnessing](#)

[5\) Stakeholder engagement](#)

[6\) MS option 1, AR](#)

[7\) MS option 2, AR](#)

[8\) IMS Auditor](#)

[9\) Social Audit](#)

[10\) Traceability assessment](#)

[11\) UoC volumes&Audit Closing](#)

[12\) Open & Extended NCs](#)

[13\) BEIA-PSIA checklist](#)

[Audit findings "species"](#)

Audit Announcement (Form 3)

Please note that all data entered in this audit announcement sheet will be automatically populated to the specific fields in the sheets of the audit report itself.

1. General, client/CAB information

1.1 Document Type	Audit announcement
1.2 Document language	English
1.3 Second document language	Japanese
1.4 Unit of certification type	Multi Site option 1 - no Internal management system
1.4.1 Company name	Yumigahama Suisan Kaisha Co., Ltd. 弓ヶ浜水産株式会社
1.4.2 UoC name	Yumigahama Suisan Kaisha Co., Ltd. 弓ヶ浜水産株式会社
1.5 Country where UoC is located	Japan
1.6 ASC Standard	Salmon
1.7 Standard version	1.3
1.8 Certification process is subject to CAR version	2.2
1.9 Name of the Conformity assessment body (CAB)	AMITA Corporation

Client contact person - from the UoC

1.15 First name	Ryoudji 亮二
1.16 Surname	Kuranaga 倉長
1.17 Position in the UoC (job title)	Planning and Development Section, Aquaculture Department 養殖部 企画・開発課
1.18 E-mail address	ryoudji_kuranaga@yumi-sul.co.jp
1.19 Phone number	(+81) 0859-30-2721
1.20 Other means of contact e.g. Skype	

2. Audit information

2.1	ASC standard principles covered by the audit	<table border="1"> <tr> <th colspan="2">ASC standard principles</th> </tr> <tr> <td>2.1.1</td> <td>Principle 1</td> <td>Covered</td> <td></td> <td></td> </tr> <tr> <td>2.1.2</td> <td>Principle 2</td> <td>Covered</td> <td></td> <td></td> </tr> <tr> <td>2.1.3</td> <td>Principle 3</td> <td>Covered</td> <td></td> <td></td> </tr> <tr> <td>2.1.4</td> <td>Principle 4</td> <td>Covered</td> <td></td> <td></td> </tr> <tr> <td>2.1.5</td> <td>Principle 5</td> <td>Covered</td> <td></td> <td></td> </tr> <tr> <td>2.1.6</td> <td>Principle 6</td> <td>Covered</td> <td></td> <td></td> </tr> <tr> <td>2.1.7</td> <td>Principle 7</td> <td>Covered</td> <td></td> <td></td> </tr> <tr> <td>2.1.8</td> <td>Principle 8</td> <td>Covered</td> <td></td> <td></td> </tr> </table>				ASC standard principles		2.1.1	Principle 1	Covered			2.1.2	Principle 2	Covered			2.1.3	Principle 3	Covered			2.1.4	Principle 4	Covered			2.1.5	Principle 5	Covered			2.1.6	Principle 6	Covered			2.1.7	Principle 7	Covered			2.1.8	Principle 8	Covered									
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2.2	Activities covered under the scope of the certification and under the scope of the audit. <i>Activities in the table apply to final product only.</i>	<table border="1"> <tr> <th>Activity</th> <th>Under scope of certification</th> <th>Under Scope of this audit</th> <th>Notes</th> </tr> <tr> <td>2.2.1</td> <td>Stocking</td> <td>Covered</td> <td></td> </tr> <tr> <td>2.2.2</td> <td>Burning</td> <td>Covered</td> <td></td> </tr> <tr> <td>2.2.3</td> <td>Growing Out</td> <td>Covered</td> <td></td> </tr> <tr> <td>2.2.4</td> <td>Transferring</td> <td>Not Covered</td> <td>Not Covered</td> </tr> <tr> <td>2.2.5</td> <td>Harvest</td> <td>Covered</td> <td></td> </tr> <tr> <td>2.2.6</td> <td>Vaccination</td> <td>Covered</td> <td></td> </tr> <tr> <td>2.2.7</td> <td>Following</td> <td>Covered</td> <td></td> </tr> <tr> <td>2.2.8</td> <td>Transportation</td> <td>Covered</td> <td></td> </tr> <tr> <td>2.2.9</td> <td>Storage (if present at farm)</td> <td>Not Covered</td> <td>Not Covered</td> </tr> <tr> <td>2.2.10</td> <td>Processing (if present at farm)</td> <td>Not Covered</td> <td>Not Covered</td> </tr> <tr> <td>2.2.11</td> <td>Facking (if present at farm)</td> <td>Not Covered</td> <td>Not Covered</td> </tr> <tr> <td>2.2.12</td> <td>Other (Please describe)</td> <td>Not Covered</td> <td>Not Covered</td> </tr> </table>	Activity	Under scope of certification	Under Scope of this audit	Notes	2.2.1	Stocking	Covered		2.2.2	Burning	Covered		2.2.3	Growing Out	Covered		2.2.4	Transferring	Not Covered	Not Covered	2.2.5	Harvest	Covered		2.2.6	Vaccination	Covered		2.2.7	Following	Covered		2.2.8	Transportation	Covered		2.2.9	Storage (if present at farm)	Not Covered	Not Covered	2.2.10	Processing (if present at farm)	Not Covered	Not Covered	2.2.11	Facking (if present at farm)	Not Covered	Not Covered	2.2.12	Other (Please describe)	Not Covered	Not Covered
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2.7	Audit conducted (On-site/Remote):																																																					

Please indicate the hours assigned to the different audit activities in the table below, separated by the hours spend on the activities by the environmental- and social auditor(s)

2.8	2.9	2.10
Time assigned to audit activities	Social Auditor(s)	Environmental auditor(s)
Off-site activities	20	20
On-site activities	32	32
Total man days	6.5	6.5

[illegible]

3. Site information

3.2	3.3	3.4	3.5	3.6	3.7	3.8			
Site name	Ownership	Primary culture species	Cycle duration	Latitude (N, S) (00.000000)**	Longitude (E, W) (00.000000)**	Production system* Number of production units	Start date of audit	End date of audit	
Sakaiminato Marine Aquaculture Farm 佐々木養魚場	Owned	Coho(Silver) salmon (Oncorhynchus kisutch)	Long-cycle species (>6 months)	35.534000	133.259000	Cages	1	2/5/2021	26/02/2021
Sado Branch 佐達事業所	Owned	Coho(Silver) salmon (Oncorhynchus kisutch)	Long-cycle species (>6 months)	38.161000	138.467000	Cages	1	2/3/2021	25/03/2021

4. Stakeholder engagement

[illegible]

1. General, client/CAB information

- 1.1 Document Type
- 1.2 Document language
- 1.3 Second document language
- 1.4 Unit of certification type
- 1.4.1 Company name
- 1.4.2 UoC name
- 1.5 Country where UoC is located
- 1.6 ASC Standard
- 1.7 Standard version
- 1.8 Certification process is subject to CAR version
- 1.9 Name of the Conformity assessment body (CAB)

Audit announcement
English
Japanese
Multi Site option 1 - no Internal management system
Yumigahama Suisan Kaisha Co., Ltd. 弓ヶ浜水産株式会社
Yumigahama Suisan Kaisha Co., Ltd. 弓ヶ浜水産株式会社
Japan
Salmon
1.3
2.2
AMITA Corporation

Client contact person - from the UoC

- 1.15 First name
- 1.16 Surname
- 1.17 Position in the UoC (Job title)
- 1.18 Email address
- 1.19 Phone number
- 1.20 Other means of contact e.g. Skype

Ryouji 亮二
Kuranaga 倉長
Planning and Development Section, Aquaculture Department 養殖部 企画・開発課
ryouji_kuranaga@yumi-sui.co.jp
(+81) 0859-30-2721

Include the dates for publication of the announcement and draft reports before each respective submission.

2.7	Certification cycle	1
2.8	Audit type	Initial audit
2.9	Audit number in certification cycle	1
2.10	Will harvesting be witnessed during audit?	Yes
2.10.1	If harvest is NOT witnessed, please justify:	-
2.11	Audit conducted (On-site/Remote):	On-site

2.12	Time assigned to audit activities	Social Auditor(s)	Environmental auditor(s)
	Off-site activities	20	20
	On-site activities	32	32
	Total man days	6.5	6.5

4/141

3. Site information

List all sites here, that are included in the certificate.

GIS, polygon data and map on site level validated by auditor?	Yes
---	-----

[illegible]

4. Harvest witnessing

[illegible]

5. Stakeholder engagement

ASC takes data protection very seriously. ASC will only use any personal information you give us in connection with the audit report.

For more information please read our privacy policy, which can be found here:

[ASC Privacy policy](#)

Provide stakeholder comments including CAB response, please use the confidential Annex-2 in case of any confidential data not to be published.

This table collects all the information relevant to stakeholders consulted during the audit process. Each stakeholder should be entered into a separate row, even where from the same company/organization

[illegible]

This sheet is only required for **Multi-site clients without IMS**
 Clients to use below tables for self assessment - Auditor to verify

[illegible]

[illegible]

Requirements	Audit Evidence	Indicator evaluation
1.1 The multi-site client shall be a legal entity.		
1.2 The multi-site client shall have a legally binding link(s) (i.e. direct ownership, or contract) with all sites within the UoC.		
1.3 The multi-site client shall be responsible for the oversight and implementation of the organisation's internal management system.		
1.4 All sites in the UoC shall: a) Operate within the same jurisdiction or within neighbouring jurisdictions that share relevant common regulations; b) Have the same or similar production system; c) Handle the same species, and this species shall be under the scope of an applicable ASC Farm Standard.		
1.5 Subcontracted farms may be included in the unit of certification if all the following apply: <i>If the ASC farm standard being audited to contains indicator(s) for contract farming, the below requirements (1.5.1 - 1.5.6) shall not apply.</i>		
1.5.1 All of the operations of the farm are subject to the same procedures as the rest of the unit of certification.		
1.5.2 The product produced by the subcontractor is owned by the certificate holder.		
1.5.3 The central office has the same oversight and right to control over the operations of subcontractors as it has for the client's own operations.		
1.5.4 All of the operations of the subcontracted farms shall be included in the multi-site certificate.		
1.5.5 The contract shall be transparent, mutually accepted by both parties and include the above provisions (1.5.1-1.5.4).		
1.5.6 Contract farming arrangements with subcontracted farms should follow the ISO "Guiding principles for responsible contract farming operations".		
1.6 The multi-site client shall have a central office that is responsible for the management of and conformity to ASC requirements for the UoC.		
The central office shall also:		
1.6.1 Have the authority to require compliance of all sites and operations included in the unit of certification.		
1.6.2 Demonstrate its ability to collect and analyse data from all sites, operations and the central office included, in the unit of certification including: A. Data required to demonstrate conformity with ASC requirements, and B. Implementation of corrective and preventive actions.		
1.6.3 Demonstrate its ability to implement organisational changes if required.		
1.6.4 Notify the CAB of any non-conformities against applicable local regulations that are relevant to the ASC scope of certification within three (3) days of detection.		
Internal Audit		
1.7 The Multi-site client shall conduct an internal audit of the IMS against the applicable ASC CAR requirements on each site and against the applicable ASC standard at least annually. For initial audits, the internal audits shall be completed before the external audit by the CAB.		
1.7.1 The outcome of the internal audit shall demonstrate conformity against the ASC multi-site requirements and the applicable ASC Farm Standard Requirements.		
1.7.2 Some social requirements may be excluded from internal audits to maintain worker confidentiality, if requirements are excluded justifications shall be recorded.		
1.7.2.1 Social indicator exclusion justifications shall have a valid and acceptable rationale.		
1.8 The multi-site client shall conform to the following documented procedures:		
1.8.1 Document control procedure.		
1.8.2 Record keeping and retention procedure.		
1.8.3 Procedure for managing changes to ASC requirements.		
1.8.4 Procedure for conducting annual management reviews.		
1.8.5 Procedure for managing complaints submitted to management by stakeholders and staff members as per specified in the applicable ASC Farm Standard.		
1.8.6 Procedure for the evaluation and implementation of corrective and preventive actions.		
1.8.7 Procedure for conducting root cause analysis for nonconformities, and for addressing identified root causes.		
1.8.8 Procedures to ensure compliance with legal requirements.		
1.8.9 Procedures for conducting an annual internal audit, covering ASC requirements.		
1.8.10 Procedures for planning for and evaluation of the results of internal audits.		
1.8.11 Procedure for the scheduled reporting of performance of management systems and sites.		
1.8.12 Procedures for identifying and segregating all products within each site, among sites within the unit of certification, and products that are not included in the unit of certification.		
The procedures shall describe:		
1.8.12.1 How certified products are identified and segregated to prevent mixing with non-certified before the start of the MSC/ASC certified chain of custody.		
1.8.12.2 The conditions under which products must be segregated, and measure to prevent mixing directly or indirectly.		
1.8.13 The procedures and associated records shall allow products to be traced back from the start of the MSC/ASC certified chain of custody back to the production unit (i.e. farm/forest/pasture/hatch / raceway).		
1.8.14 The multi-site client shall implement effective documented procedures to trace inputs (e.g. feed) used for each site as specified in the applicable ASC Farm standard.		
Management Review		
1.9 The central office shall be subject to an annual management review.		
Competency Requirements		
1.10 Internal auditors shall be competent in ASC requirements as described in Annex B. (refer to tab 8 of this Audit Report Template)		

Risk evaluation

Below table(s) to be filled out by the Auditor

Table B1 - ASC sample size calculator for sites and staff interviews in multi-site certification		
Is this the initial audit of the client or operation?		
How many sites does the client or operation have?		
How many sites has the client or operation AUDITED since the last audit?		
How many employees does the client or operation have?		
Threat		Risk Level
1. Management system weakness		
2. Weakness of client's internal site checklist		
3. Internal audit weakness		
4. Staff training weakness		
5. Multiple management systems		
6. Records management weakness		
7. Subcontractors including subcontracted farms and subcontracted services		
8. Use of resources		
9. Record of NCR raised by the ASC CAB and response		
10. Complaints resolution weakness		
11. Traceability weakness		
12. Country risk assessment score		

E2. The CAB shall add the list of additional threats (Annex E, E4.2.1-6) to this table and provide its risk category and an explanation to support it to this table

Additional risks identified by the CAB (E2.1.1, 7.2.2, 8.1.1)		
Threat	Thresholds for determining level of risk	Risk Level

Sample size (Sites)

Sample size (Employees)

E9.2 Explanation of sample selection

8. Internal Auditors and Inspectors Requirements

Annex B3 – Competence requirements for Group Internal auditors and inspectors.
Important note: please do not mention any names in the subnote. Be aware of confidential information, which can be indicated in the confidential areas.

Req. No.	Requirement Topic	Requirement	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	VR used	Notes	Root cause analysis	NC correction	NC Corrective action	Extension justification	New deadline for NC close-out
G1	Education	G1 The individual shall have at least a post-high school diploma.															
G2	Knowledge/ understanding of organisational situations	G2a The individual shall have knowledge of general business processes and shall understand the workings of organisations in relation to size, structure, function and relationships.															
		G2b The individual shall understand the social, economic and cultural relationships in working communities.															
G3		G3 The individual shall have a general knowledge of management systems standards (such as ISO 9001), applicable procedures or other management systems documents used in audit cases.															
G4	Audit/ inspection training	G4a The individual shall have successfully completed an Internal Auditor training course based on ISO 19011 principles that has a minimum duration of sixteen (16) hours. The certificate must specify the course content and duration. Successful completion must be indicated on the certificate. The course provider shall be accredited by the International Register of Certified Auditors (IRCA) or equivalent. OR The individual shall have successfully completed an Internal Auditor and Inspector training course that has been approved by ASC. OR The individual shall have successfully completed a training of at least 16 hours by a qualified instructor.															
		G4b The individual shall have been trained on the ASC applicable standard.															
		G4c The individual shall have successfully completed a training course on ASC certification requirements for Group institutions.															
		G4d The individual shall have undertaken and successfully completed the "ASC Farm Traceability" online training module.															
		G4e The individual shall be trained on the farm traceability module by a qualified (internal) auditor.															
		G4f The individual shall complete the ASC training for new requirements as specified by the ASC and training on changes to legislation, codes, relevant labour conventions as appropriate.															
		G4g The individual shall complete the ASC training for new requirements and changes to legislation, codes, relevant labour conventions as appropriate, by a qualified (internal) auditor.															
G5	Work experience	G5 The individual shall have experience relevant to the business being audited or site being inspected.															
G6	Audit/ inspection Experience	G6a The individual shall have undertaken at least two audits or inspections in the last 2 years against any other equivalent certification scheme as an acting auditor or inspector, validated and signed off by a competent internal auditor or inspector.															
		G6b The individual shall have an internal audit/inspection witnessed by a qualified ASC internal auditor/inspector on less than once in each two (2) year period.															
G7	Writing skills	G7 The individual will produce clear and accurate reports on audit/inspection findings.															
G8	Oral presentation skills	G8 The individual shall have good oral communication skills, which makes him/her understood by the intended audience.															
G9	Organisational skills	G9 The individual shall have good organisational and time management skills.															
G10	Facilitation skills	G10 The individual shall have skills to ensure effective communication between themselves and other people.															
G11	Interviewing skills	G11 The individual shall be experienced in different types of interviewing techniques.															
G12	Language skills	G12 Unless accompanied by an independent interpreter, the individual shall be a fluent speaker and reader of the language(s) used by managers, administrators and workers of the organisation being audited or inspected.															
G13	Detection skills	G13 The individual shall have knowledge and skills to detect and document evidence of non-conformities.															
G14	Listening	G14 The individual shall understand and interpret verbal and non-verbal communication.															
G15	Numeracy	G15 The individual shall understand and interpret number systems and their applications.															
G16	Reading	G16 The individual shall understand and interpret written material related to the topics of audit/inspection.															
G17	Versatile	G17 The individual will be able to adjust readily to different situations and to effectively resolve conflict and arrive at consensus agreement as far as possible.															
G18	Ethical	G18a The individual will be fair, truthful, unbiased, sincere, discreet, trustworthy and honest.															
		G18b The individual will possess a high level of integrity, particularly in relation to bribery and corrupt practices.															

Means of transportation between office and site(s) and between sites within UoC

Estimated travel time between office and site(s) and between sites within UoC

Number of complaints received from stakeholders over past 12 months

Number of resolved complaints

Average time to resolve complaints (**days**)

Last Social Impacts Assessment (SIA) conducted in (**year**)

Announcement and audit report



Name of nearby communities, Indigenous or not and the distance of the UoC to the nearest neighbouring community/-ies or neighbours (**in km**)

Name of nearby community	Indigenous	Distance of the UoC to the nearest neighbouring community/-ies or neighbours (in km)

Social audits performed at UoC

Standard	Certified since (Date)	Certified until (Date)	Date of last audit (Date)
SA8000			
BSCI	N/A	N/A	
SMETA	N/A	N/A	
ISO 45000			
ASC			
Others (specify)			

Subcontractors

Name of subcontractors	Place of work	Areas of work/processes

10. ASC CAR 17.6.1-2 Substitution risk assessment

Please note that auditor training on farm traceability is also covered in the MSC farm traceability module.

Activities covered under the scope of the certification and under the scope of the audit

Activity	Under scope of certification
Stocking	Covered
Nursing	Covered
Growing Out	Covered
Transferring	Not Covered
Harvest	Covered
Vaccination	Covered
Following	Covered
Transportation	Covered
Storage (if present at farm)	Not Covered
Processing (if present at farm)	Not Covered
Packing (if present at farm)	Not Covered
Other (Please describe)	Not Covered

1. Possibility of mixing or substitution of certified and non-certified product, including product of the same or similar appearance species, produced within the same operation.	
a) Partial Certification	yes
Reason for partial certification: Only fish cages fed with ASC-compliant feed meet the ASC requirements.	
b) Similar appearance species produced in the UoC	no
Similar appearance species: Sakaiminato Marine Aquaculture Farm; n/a Sado Branch; masu salmon	
Production units or batches excluded from the certification scope Sakaiminato Marine Aquaculture Farm; Cages other than ID C4, C5, C8, D5 Sado Branch; Cages other than ID A1	
c) Average % of products produced as non-ASC in the UoC per year	15%
d) Traceability and segregation systems	yes
Physical identification Description Each cage is fixed and the location and ID of the cage can be identified on the fishery map. The location of the cages is known to all employees and the cage ID identifies the certified and non-certified cages.	
Segregation systems for non-ASC product	yes
Description The stocking, feeding, medication and harvesting of each fish cage is independent and monitored. The fish cages are fixed and there is no movement of cages or fish. Each fish cage is identified by an ID.	
Traceability records identification	no
Description For each fish cage, stocking, feeding, fish counts and chemical use are recorded.	
Other traceability systems in place: n/a	
Do the traceability systems mitigate the mixing and substitution risks?	yes
Rationale Each cage is independently stocked, fed, medicated and counted to ensure that there is no chance of mixing or replacing certified and non-certified products.	

2. Possibility of mixing or substitution of certified and non-certified product, including product of the same or similar appearance or species, present during production, harvest, transport, storage, or processing activities.	
a) Non-ASC farms of the same or similar species limiting with the UoC	no
Description of neighbour farms Sakaiminato Marine Aquaculture Farm; no neighbour farms Sado Branch; There is one chum salmon fish cage owned by another fishery cooperative member.	
b) Non-ASC Neighbour farms owned or related to the same UoC	no
If yes, Name of farms in case are related to the client. n/a	
c) Non-ASC products from other farms handled in the UoC	no
Stage(s) when the non-ASC products are handled in the UoC <i>Note: If non-ASC products or products from other farms are handled in the UoC a CoC certification is needed.</i> n/a	
d) Segregation systems	yes
Physical barriers Description The cages are fixed and identified by a unique ID. There is no movement of cages or fish during farming. No transfer of fish from one cage to another. When harvesting, fish are transferred to smaller cages connected to the cages. The smaller cage is towed to port. Harvesting is done independently for each cage, so that the fish do not get mixed up in the small cages.	
Physical identification	yes
Description Each cage is identified by its own ID. They are fixed and can be identified by their position.	
Segregation systems for non-ASC product	yes
Description Harvesting takes place individually per cages, and fish from different cage are not harvested at the same time. There is no mixing of fish from certified and non-certified cages. Certified fish that have been harvested are not mixed with non-certified fish and are transported independently to the CoC-certified factory.	
Traceability records identification	no
Description Sakaiminato Marine Aquaculture Farm; Cages ID C4, C5, C8, D5 Sado Branch; Cages ID A1	
Others systems:	
Do the traceability systems mitigate the mixing and substitution risks?	yes
Rationale A unique ID identifies the cage and the cages are managed independently, so there is no risk of mixing certified and non-certified products during the harvesting and transport process.	

3. Possibility of subcontractors being used to handle, transport, store, or process certified products.	
a) Company uses subcontracted services for harvesting, processing, packing or labelling	no
Description n/a	
b) Company uses subcontracted services providers for storage or transportation	no
Description n/a	
c) Traceability and segregation systems	
Subcontractors are CoC certified	n/a
Description n/a	
Contract and/or agreements in place including traceability conditions	n/a
Description n/a	
Traceability records identification	n/a
Description n/a	
Others systems:	
Do the traceability systems mitigate the mixing and substitution risks?	yes
Rationale	

10.5

Risk	Level
a) Description	n/a
b) Description	n/a
c) Description	n/a
d) Traceability and segregation systems available for the risks above Description	n/a
Do the traceability systems mitigate the mixing and substitution risks?	n/a
Rationale	

Please describe the product flow within the UoC

Conduct a traceability test of harvested products. In Case of partial certification perform a traceability test for ASC and non-ASC products.

10.6

Product Identification Code	Cage ID; A5 (Sakaiminato)
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7.13

At processing facility in the UoC

11. UoC volumes & Audit Closing

Please indicate the correct volumes of the applicable quarter and year.

Volume reporting for complete UoC					
Quarter of the year:		Quarter 1	Quarter 2	Quarter 3	Quarter 4
11.1	The volumes indicated in this table apply to the following year:	2020	2020	2020	2020
11.1.1	Type of volumes indicated in 11.2 - 11.5	Actual volume	Actual volume	Actual volume	Actual volume
11.2	ASC-certified production volume (in Kg)	0	0	0	0
11.3	Non ASC-certified production volume (in Kg)	180000	2001000	0	0
11.4	Dispatched or sold as ASC-certified Volume (in Kg)	0	0	0	0
11.5	Dispatched or sold as non ASC-certified Volume (in Kg)	180000	2001000	0	0

Decision	
11.6	Certification decision
11.7	Certificate valid from
11.8	Certificate valid till
11.9	Eligibility date

Confidential Annexes		
	Annex filled in?	Annex submitted to ASC?
11.10	Annex-1 Interviewee information	Yes
11.11	Annex-2 Stakeholder comments	Yes
11.12	Annex-3 Social information	No
11.13	Annex-4 Volume data	No

12. Open & Extended NCs

Please indicate in the table below ONLY the non-conformities detected in the previous audit, which had the status: open or extended in the previous final audit report.

This table is to evaluate the closure of the open/extended non-conformities from the previous audit.

Add rows to the tables as needed.

[illegible]

13. B-EIA & p-SIA checklist

Checklist and guideline for auditors on a complete B-EIA & p-SIA process and report.
Please find all requirements for B-EIA and p-SIA in the ASC standards.

Biodiversity-inclusive Environmental Impact Assessment			
	B-EIA checklist	Validated by auditor?	Notes
13.1	1. Quality of the B-EIA process (e.g., was it participatory and transparent?). B-EIA carried out by a valid expert in accordance with requirements lined out in the ASC standards.		
13.2	(b) The B-EIA was publicly (locally) communicated with sufficient time for interested parties to participate and/or get informed.		
13.3	(c) Stakeholders are listed and impact descriptions are documented and in preparation of the final B-EIA report, meetings with the listed stakeholders (or by stakeholders chosen representatives) have taken place.		
13.4	(d) These meetings have been recorded and the minutes are attached to the final report; names and contact details of participating stakeholders included.		
13.5	(e) Evidence is provided that draft and final B-EIA reports have been submitted to local government representatives and, if requested by stakeholders, a legally registered civil organization chosen by these stakeholders.		
13.6	(f) Evidence is provided that the final B-EIA reports have been submitted and reviewed by a specialist with appropriate expertise on biodiversity issues.		
13.7	(g) B-EIA completed according to guidance on B-EIA and pSIA relationship (transparency and consultation).		
13.8	2. Risk analysis: actual (past and present) impacts of the current farms, or potential impacts of the intended farm or expansion of existing farm and at least two alternatives (one of these is the "no farm or no expansion" scenario). Concepts to cover include:		
13.9	(a) The type of farming, possible alternatives and a summary of activities likely to affect biodiversity.		
13.10	(b) An analysis of opportunities and constraints for biodiversity (include "no net biodiversity loss" or "biodiversity restoration" alternatives).		
13.11	(c) Expected biophysical changes (in soil, water, air, flora and fauna) resulting from proposed or existing activities or induced by any socioeconomic changes.		
13.12	(d) Spatial and temporal scale of influence, identifying effects on connectivity between ecosystems, and potential cumulative effects.		
13.13	(e) Available information on baseline conditions and any anticipated trends in biodiversity in the absence of the proposal.		
13.14	(f) Likely biodiversity impacts associated with the proposal or current operations in terms of composition, structure and function of surrounding ecosystems		
13.15	(g) Biodiversity services and values identified in consultation with stakeholders and anticipated magnitude, direction and timeline of changes in these (highlight any irreversible impacts).		
13.16	(h) Possible measures to avoid, minimize or compensate for significant biodiversity damage or loss, making reference to any legal requirements. Information required to support decision making and summary of important gaps.		
13.17	(i) Proposed IA methodology and timescale.		
13.18	3. Impact statement is available and contains all of the requirements listed above along with a clear indication of authors and affiliations.		
13.19	4. Review process, reviewers (decision makers), and decisions clearly documented.		
13.20	5. Clear understanding as to how options for mitigation and offsetting were determined and how avoidance actions were prioritized over compensation		
13.21	6. Names, affiliations and experience of the reviewing specialist are documented and clear understanding of how affected groups were involved and how balanced consideration was given to conservation vs. development goals in the peer review.		
13.22	7. Clear articulation of a biodiversity management system including targets and monitoring strategies for mitigation.		

Participatory Social Impact Assessment			
	p-SIA checklist	Validated by auditor?	Notes
13.23	1. Quality of the p-SIA process (e.g., is it participatory and transparent).		
13.24	(a) The intent to conduct a p-SIA is locally publicly communicated with sufficient time for interested parties to participate and/or get informed.		
13.25	(b) In listing stakeholders, in making impact descriptions, and in preparation of a final p-SIA report-document meetings with the listed stakeholders (or by stakeholders chosen representatives) have taken place.		
13.26	(c) These meetings have been minuted and these records are attached to the final report; names and contact details of participating stakeholders are included.		
13.27	(d) Evidence is provided that draft and final p-SIA reports have been submitted to a local government representative and, if stakeholders so desire, to a (by stakeholders chosen) legally registered civil organization.		
13.28	(e) B-EIA done and completed according to guidance in the ASC standards (appropriate accreditation and consultation).		
13.29	2. The risks and actual (past and present) impacts of the current or intended farm and at least two alternatives (one of these is the "no farm or no expansion" scenario). Concepts to cover include:		
13.30	(a) Economic aspects (influence on employment opportunities, influence on other livelihoods in community).		
13.31	(b) Natural resource access and use (land and water tenure, influence on quality and availability of natural resources including water).		
13.32	(c) Human assets (food security, health and safety, education, indigenous knowledge).		
13.33	(d) Physical infrastructure (access to roads, electricity, telephone, housing, waste disposal systems).		
13.34	(e) Social and cultural aspects (indigenous/traditional/customary rights and beliefs, social exclusion/inclusion, gender equity, changes in age composition of the community, local informal institutions and organizations).		
13.35	(f) Governance aspects (influence of aquaculture on norms, taboos, regulations, laws, conflict management and whether these changes add up to more or less transparency, accountability and participation in decision making).		
13.36	3. Research and report probable impacts that are likely to be most important. In doing this, it is important to arrange meetings with stakeholders to let them prioritize and to let them express how they assess/view/feel; identify both positive and negative risks and impacts.		
13.37	4. Do deeper investigations into priority impacts with a focus on the question: "What changes will lead to if they indeed come about?" These include:		
13.38	(a) Physical effects to man-made and natural structures and processes.		
13.39	(b) Likely adaptations and the social and economic effects of making such adaptations.		
13.40	(c) How these effects and indirect effects would compare to having no intervention.		
13.41	(d) How effects may or might be cumulative.		
13.42	5. Make recommendations to maximize the positive and minimize the negative, with consideration to compensation options for those lands and people impacted. Also include recommendations on how to avoid these issues with the intended farm or farm development.		
13.43	6. Propose a mitigation plan assuming the farm development will take place or continue (in an adapted form if that seems appropriate); include a "closure and reclamation plan" explaining how repair or restoration will take place after farm closure or bankruptcy		
13.44	7. Develop and approve with all stakeholders a monitoring plan and indicators on both positive and negative risks and impacts (make use of FDG and/or PRA methodologies in this step).		
13.45	8. A summary with recommendations and conclusions is made available to all involved in the process and, through local public notices, made accessible to all members of the local community.		

Summary of Certification & Accreditation Requirement (CAR) Non Conformities (NC)

Standard: Salmon
Standard version: 1.3
CAR version: 2.2

NC Type	6) MS option 1, AR	7) MS option 2, AR	8) IMS Auditor	NC Totals
Major	0	0	0	0
Minor	1	0	0	1
Total	1	0	0	1

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

6) MS option 1, AR - NC Summary

NC Code	Requirements	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Root cause analysis	NC correction	NC Corrective action	Extension justification	New deadline for NC close-out	Notes
	1.6.1 Procedure for managing complaints submitted to management by stakeholders and staff members as specified in the applicable ASC Farm Standard.	There was no written procedure for handling complaints.	Minor	There was no written procedure for handling complaints. The audit team was able to confirm that a complaint had already been dealt with. This is a minor non-conformity as the system is capable of handling complaints.	25/03/2021	24/06/2021		Open				When a complaint was received, it was dealt with by the operations department on a case-by-case basis, and no procedures were in place.	We have developed a procedure for dealing with complaints.	We will continue to respond to complaints in accordance with our procedures.			

Adjust the column width as needed to show the whole text or provide more space to write
Corresponds to ASC Salmon standard version 1.3

																Proposed by UoC and accepted by CAB	Proposed by UoC and accepted by CAB	Proposed by UoC and accepted by CAB		
Indicator Number	Indicator Text	Audit Evidence	Overall Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Minor NC detected for sites (List site ID's)	Major NC detected for sites (List site ID's)	Deadline for NC close-out	Actual date of close out	NC Status	VR submitted	Status of submitted VR	VR used	O&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation		
1.1.1	Indicator: Presence of documents demonstrating compliance with local and national regulations and requirements on land and water use Requirement: Yes Applicability: All	[Sakaiminato Marine Aquaculture Farm] URLs to the relevant land and water use laws are listed, including the Fishery Act, Sustainable Aquaculture Production Assurance Act, Act on the Protection of Fishery Resources, the Tottori Prefecture Nature Conservation Ordinance, and the Sakaiminato City Basic Environmental Ordinance. There are no regulations of Tottori Prefecture related to aquaculture. Confirmed the license until 31 August 2023 to carry out marine cage culture of Coho salmon in the demarcated fishery area No. 34 with the certificate issued by the Tottori Prefectural Fishermen Cooperative Association. Aquaculture is carried out in accordance with the rules for the exercise of demarcated fishing rights. The land and building registers of the head office were checked. The land and building registers of the head office were checked. This land for the warehouse where fishing gear is stored (Fukusado-shi) and the land for the workers' huts are leased from the Sakaiminato Branch of the Tottori Prefectural Fishermen Cooperative Association. Confirmed the invoice for the rental of the fishing gear warehouse in December 2020, the invoice for the use of the hut in April 2020 and the record of payment. Feed and processing plant materials are stored in a warehouse rented from Tottori Co., Ltd. Checked the warehouse rent invoice and bank transfer records for December 2020. Confirmed that no inspection regarding compliance with national laws, local ordinances and regulations had been carried out. Checked the Oasen Chikuhon Park area and park plan map and the location map of Tottori Prefecture's bird and animal protection areas, and confirmed that the farm is not within these designated areas and that the farm does not conflict with any national conservation areas. [Sado Branch] URLs to the relevant land and water use laws are listed, including the Fishery Act, Sustainable Aquaculture Production Assurance Act, Act on the Protection of Fishery Resources, the Niigata Prefecture Nature Conservation Ordinance, and the Sado City Basic Environmental Ordinance. There are no regulations of Niigata Prefecture related to aquaculture. Confirmed the license to carry out marine cage culture of Coho salmon with max 20 cages in the demarcated fishery area No. 29 for a period of 1 year (automatically renewed) from 1st Nov. 2020 issued by the Sado City Uchida Fisheries Cooperative Association. Aquaculture is carried out in accordance with the rules for the exercise of demarcated fishing rights. Checked the building lease agreement for the office and warehouse and the building lease agreement for the warehouse where the feed is stored. Confirmed that no inspection regarding compliance with national laws, local ordinances and regulations had been carried out. According to the fishery improvement plan prepared by the Uchida Fishery cooperative, the Uchida Fishery cooperative is supposed to visit the farm site once a year, but this has not been done yet. Checked the location map of the Sado Yuhiko Yonesan National Park area, the area of the prefectural natural park of Kousado, and the bird and animal protection area, and confirmed that the farm is not within these designated areas, and that the farm is not in conflict with the national conservation area.	Compliant																	
		1.1.2	Indicator: Presence of documents demonstrating compliance with all tax laws Requirement: Yes Applicability: All	Checked the statute of Yamagatake Shusan and found that the business included fish farming. The company had a list of links to websites where the corporate and income tax laws could be checked and also listed in the file. The tax affairs of the company were managed by an external firm of tax accountants and a service agreement with the firm was identified. The following tax records were identified as examples of the evidence of tax payments: [Sakaiminato Marine Aquaculture Farm] - Corporate tax payment records (for fiscal year 2019) - Tottori Prefecture Corporate Citizen's Tax, Business Tax and Local Corporate Special Tax Receipt (e.g. paid out on 26 November 2019) - Sakaiminato City Property Tax Receipt (e.g. paid out on 28 September 2019) - Sakaiminato City corporate inhabitant tax receipt certificate (e.g. paid out on 30 May 2019) - Tottori Prefecture car and light vehicle tax receipt (e.g. paid out on 30 May 2019) [Sado Branch] - Niigata Prefecture prefectural corporate prefectural tax, business tax and local corporate special tax receipt (e.g. paid out on 26 November 2019) - Sado City Property Tax Receipt (e.g. delivered on January 29, 2020) - Sado City Corporate Citizen's Tax Receipt (e.g. paid out on 30 May 2019) - Sado City Light Vehicle Tax Payment Certificate (e.g. paid out on 26 September 2019)	Compliant															
1.1.3	Indicator: Presence of documents demonstrating compliance with all relevant national and local labour laws and regulations Requirement: Yes Applicability: All	The report lists URLs to written labour laws and regulations, including the Labor Standards Act, the Labor Contract Act, the Industrial Safety and Health Act and the Fisheries Accident Compensation Act. The Sakaiminato site had a record of reports submitted to the Labor Standards Bureau at the time of the accident. There have been no risks to either the Sakaiminato or Sado sites regarding compliance with national labour laws and regulations.	Compliant																	
1.1.4	Indicator: Presence of documents demonstrating compliance with regulations and permits concerning water quality impacts Requirement: Yes Applicability: All	Water Pollution Prevention Act, Tottori Prefecture Nature Conservation Ordinance, Sakaiminato City Basic Environmental Ordinance, Niigata Prefecture Nature Conservation Ordinance, Sado City Basic Environmental Ordinance are listed [Sakaiminato Marine Aquaculture Farm] Aquaculture facilities are not regulated by the Water Pollution Prevention Act or the effluent standards of the Tottori Prefecture Ordinance. There are no permits to be applied for. Wastewater from the processing plant is discharged in the facility's disposal system and the sludge is disposed of as industrial waste. When the fish are harvested, the blood from the fish flows into the sea, but this is not regulated and there are no instructions from the city to sea action. [Sado Branch] There are no effluent regulations for aquaculture facilities.	Compliant																	
2.1.1	Indicator: Redox potential or (3) sulphide levels in sediment outside of the Allowable Zone of Effect (AZE) (6), following the sampling methodology outlined in Appendix I of the Salmon standard v.1.3 Requirement: Redox potential > 0 mV or Sulphide < 1,500 µM/L Applicability: All farms except: Closed production systems that can demonstrate that they collect and responsibly dispose of 75% of solid nutrients from the production system are exempt from standards under Criterion 2.1. See Appendix VI for requirements on transparency for 2.1.1, 2.1.2 and 2.1.3.	[Sakaiminato Marine Aquaculture Farm] A diver along the boundaries of the AZE and the GPS locations of all sediment sampling points was presented. The sediment is a fine silt. Option 2 (sulphide concentration) was selected. The bottom sediment survey was carried out in October 2020, prior to stocking the smolts. Sulfide concentrations outside the AZE were <38 µM/L at sampling point 6, <58 µM/L at sampling point 7 and <58 µM/L at sampling point 8, and <100 µM/L at all survey points including inside the AZE. Although the values are in conformity, it is necessary to re-survey during the biomass peak period in March, because the survey was conducted when there were no fish in the cage. The concentration of sulphide was analyzed by the Chubu Branch of the Japan Marine Biological Laboratory, and the concentration was calculated from mg/dry weight of sulphide, specific gravity and 32.07/mg sulphur. After the end of this production cycle, the results will be submitted to ASC in accordance with Appendix VI. [Sado Branch] From the mean current and the settling speed of the feed, the distance of the feed runoff in water was estimated to be 32-20cm. The AZE was set at 30 m from the outer frame of the cage (see 2.1.4). In accordance with the ASC standard, a bottom sediment survey was carried out at 9 investigation points including the control area. The survey was conducted on 22 January 2021, but the bottom sediment was hard sand, and only one sample had been successfully collected, at one point in the control area. It was confirmed that the anchor of the cage was not buried in the sediment, although the anchor of the cage was generally buried in the sediment after half a year. The surrounding sea area was judged to be an environment where sand and other substances were hard to sediment. The interview with Mr. Yonaguchi, Deputy Director of Sado Regional Promotion Bureau, Niigata Prefecture, who participated in the bottom sediment investigation, also suggested that it was impossible to collect the sediment. Therefore, it is judged that 2.1.1 to 2.1.2 and 2.1.3 are not applicable to the Sado branch site. As a point of reference, the redox potential of the bottom sediment in the only successfully sampled control zone was measured at 1.20 mV.	Major	[Sakaiminato Marine Aquaculture Farm] The bottom sediment survey had been carried out in October 2020, before the stocking of the smolts. The 1st index outside the AZE was 3.39 at sampling point 6, 3.50 at sampling point 7 and 4.46 at sampling point 8, and was 3.2 at all survey points including the control. Although the values are in conformity, it is necessary to re-survey during the biomass peak period in March, because the survey was conducted when there were no fish in the cage. This is a major non-conformity as no certification decision can be made.	25/03/2021	50003396	24/06/2021	Open							[Sakaiminato Marine Aquaculture Farm] As the results of the survey at Biomass Peak could not be presented at the time of the initial audit, the results of the survey conducted in October were presented.	[Sakaiminato Marine Aquaculture Farm] A bottom sediment survey was carried out on 29 March, which is considered to be the peak biomass period. The redox potential at all survey points met the standard.	[Sakaiminato Marine Aquaculture Farm] We will continue to conduct the bottom sediment survey during the biomass peak period.			
2.1.2	Indicator: Faunal index score indicating good (7) to high ecological quality in sediment outside the AZE, following the sampling methodology outlined in Appendix I of the Salmon standard v.1.3 Requirement: AZTI Marine Benthic Index (AMBI) score < 3.3, or Shannon-Wiener Index score > 2, or Benthic Quality Index (BQI) score > 15, or Indirect Trophic Index (ITI) score > 25 Applicability: All farms except: Closed production systems that can demonstrate that they collect and responsibly dispose of 75% of solid nutrients from the production system are exempt from standards under Criterion 2.1. See Appendix VI for requirements on transparency for 2.1.1, 2.1.2 and 2.1.3.	[Sakaiminato Marine Aquaculture Farm] A diver showing the boundaries of the AZE and the GPS locations of all sediment sampling points was presented. (2.1.1) Shannon-Wiener H' index (option 2) was selected. The bottom sediment survey was carried out in October 2020, before the stocking of the smolts. The 1st index outside the AZE was 3.39 at sampling point 6, 3.50 at sampling point 7 and 4.46 at sampling point 8, and was 3.2 at all survey points including the control. Although the values are in conformity, it is necessary to re-survey during the biomass peak period in March, because the survey was conducted when there were no fish in the cage. If index was calculated by the appropriate formula from the data and the number of organisms in the bottom sediment after the analysis of the bottom sediment sample by the Chubu Branch of Japan Marine Biological Laboratory Co., the results of the sample analysis and the calculation of the index value by the Chubu Branch of the Japan Marine Biological Laboratory, Inc. were confirmed. After the end of the production cycle, the data for the current production cycle will be submitted to ASC in accordance with Appendix VI. [Sado Branch] As per 2.1.1, no bottom sediment could be sampled and is therefore N/A. For reference, the 1st index of the controls, which was the only area where a sample could be obtained, was 3.63.	Major	[Sakaiminato Marine Aquaculture Farm] The bottom sediment survey had been carried out in October 2020, before the stocking of the smolts. The 1st index outside the AZE was 3.39 at sampling point 6, 3.50 at sampling point 7 and 4.46 at sampling point 8, and was 3.2 at all survey points including the control. Although the values are in conformity, it is necessary to re-survey during the biomass peak period in March, because the survey was conducted when there were no fish in the cage. This is a major non-conformity as no certification decision can be made.	25/03/2021	50003396	24/06/2021	Open							[Sakaiminato Marine Aquaculture Farm] As the results of the survey at Biomass Peak could not be presented at the time of the initial audit, the results of the survey conducted in October were presented.	[Sakaiminato Marine Aquaculture Farm] A bottom sediment survey was carried out on 29 March, which is considered to be the peak biomass period. The redox potential at all survey points met the standard.	[Sakaiminato Marine Aquaculture Farm] We will continue to conduct the bottom sediment survey during the biomass peak period.			

Corresponds to Salmon Standard v.1.3

20/141

Corresponds to Salmon Standard v.1.3

21/141

Corresponds to Salmon Standard v.1.3

22/141

Audit findings Salmon

Corresponds to Salmon Standard v.1.3

1.1.5	<p>Indicator: In areas with wild salmonids, (46) evidence of data (46) and the farm's understanding of that data, around salmonid migration routes, migration timing and stock productivity in major waterways within 50 kilometers of the farm</p> <p>Requirement: Yes</p> <p>Applicability: All farms operating in areas with wild salmonids except farms that release no water. Farm stops for which there is a release of water that may contain pathogens into the natural (freshwater or marine) environment are exempt from the standards under Criterion 3.1.</p>	<p>The paper and literature on the migration of salmon throughout Japan are discussed. Chum salmon leave the Japanese coast in spring and early summer, migrate to the Sea of Okhotsk and the Bering Sea, then return to their home rivers on the Japanese coast to spawn from September to December, and descend to the sea from March to May. Masu salmon spawn summer in the Sea of Okhotsk, migrate to Hokkaido from October onwards and then to the Sea of Japan, where they over-winter and grow up before returning to the waters around their home rivers in spring and early summer. The migration from the rivers to the sea occurs between April and June. No literature was kept on the subject.</p> <p>The main river within a 50 km radius of the Sakakimato Fish Farm is the Hingogawa River. Interviews with the Hingogawa fishermen's cooperative confirmed that chum salmon and masu salmon are migrating up the Hingogawa River (journal reported in 2020 3rd). March-April, when they are thought to be migrating to the sea, was identified as the most busy time for wild salmon to be affected.</p> <p>No runs of masu salmon have been observed in the Kitakabu River, Sado's main river, but very few runs of salmon have been reported in smaller rivers in the north-east and north-west of the island. The period from April to June, which is considered to be the period of migration to the sea, is judged to be the most sensitive period for released masu salmon.</p>	Minor	[Sakakimato Marine Aquaculture Farm(Sado Branch)] No literature material on migration and productivity was kept on masu salmon. General information on masu salmon in this area was collected by interview and is therefore a minor non-conformity.	21/03/2021	24/06/2021	Open	[Sakakimato Marine Aquaculture Farm(Sado Branch)] In both Totori and Sado, masu salmon runs are rarely observed, so we did not search for any data.	[Sakakimato Marine Aquaculture Farm(Sado Branch)] We searched for literature on masu salmon.	[Sakakimato Marine Aquaculture Farm(Sado Branch)] We will continue to gather new knowledge and other information.
1.1.6	<p>Indicator: In areas of wild salmonids, monitoring of sea lice levels, as well as self-migrating salmon (swimmers or on control and trout or Arctic char, with results made publicly available. See requirements in Appendix II of the Salmon standard v.1.3</p> <p>Requirement: Yes</p> <p>Applicability: All farms operating in areas with wild salmonids except farms that release no water. Farm stops for which there is no release of water that may contain pathogens into the natural (freshwater or marine) environment are exempt from the standards under Criterion 3.1.</p>	<p>Existing methods to control sea lice parasites on wild salmonids have been established in rivers, and the Hingogawa Fishermen's Cooperative confirmed that chum salmon and masu salmon do migrate upstream (journal reported in 2020 3rd) in Totori Prefecture. As there is no wild salmon fishery and no monitoring of salmon lice, the Sakakimato site plans to observe and count salmon lice at action. There is no fishing for wild salmon in the coastal waters, so the only chance of salmon lice monitoring is if they are accidentally caught in gill-nets or feed-nets. On Sado, staff will check the salmon on the market.</p> <p>The results are published immediately on the website.</p> <p>At the end of the year's harvest, the results of salmon lice infestation levels in wild salmonids will be submitted to ASC in accordance with Appendix VI.</p>	Compliant							
1.1.7	<p>Indicator: In areas of wild salmonids, maximum on-farm lice levels during sensitive periods for wild fish (47). See detailed requirements in Appendix II of the Salmon standard v.1.3</p> <p>Requirement: 0.1 mature female lice per farmed fish</p> <p>Applicability: All farms operating in areas with wild salmonids except farms that release no water. Farm stops for which there is no release of water that may contain pathogens into the natural (freshwater or marine) environment are exempt from the standards under Criterion 3.1.</p>	<p>During the delicate period of wild individuals (March-April [Sakakimato] and April-June [Sado] when they are going down to the sea), the farms are harvesting and feed findings show that this is not the time for salmon lice to increase.</p> <p>The results of the salmon lice tests at the farms are available on the website: http://www.yamaku.jp/company/cv/154/</p> <p>No salmon lice have been found in individuals from farms on Sado and only a few in Sakakimato in March (2021) (only on one day only).</p> <p>Based on the results of the monitoring of wild salmon lice (September 2020), the maximum on-farm lice level has been set at 0.12 individuals/fish or less in both Sakakimato and Nagata.</p>	Compliant							
1.2.1	<p>Indicator: If a non-native species is being produced, demonstration that the species was widely commercially produced in the area by the date of publication of the ASC salmon standard</p> <p>Requirement: Yes (49)</p> <p>Applicability: All farms. Exceptions shall be made for production facilities that are 100 percent sterile fish or operations that demonstrate separation from the wild by effective physical barriers that are in place and well-maintained to ensure no escapes of escaped specimens or biological material that might survive and subsequently reproduce.</p>	<p>Chum salmon is listed as an invasive species in the National Institute for Environmental Studies Invasive species database. Harvest of chum salmon was reported in the aquaculture production statistics of Tottori Prefecture (1992-1994), and the annual reports of the Fisheries Experiment Station of Tottori Prefecture for 1993 and 1992 confirmed that chum salmon were being cultured.</p> <p>In Nagata Prefecture, the results of a statistical survey on the harvest of sea farms recorded the harvest of chum salmon in the sea area of Sado from 1995.</p> <p>Thus, although chum salmon is an invasive species, there is documentation to suggest that it was widely farmed commercially in each of the areas prior to the date of publication of the ASC salmon standard (13 June 2021).</p>	Compliant							
1.2.2	<p>Indicator: If a non-native species is being produced, evidence of scientific research (50) completed within the past five years that investigates the risk of establishment of the species within the farm's jurisdiction and these results submitted to ASC for review (51)</p> <p>Requirement: Yes (52)</p> <p>Applicability: All</p>	<p>Chum salmon is an alien species. The National Institute for Environmental Studies (NIES) invasive species database reports that the species has not been established in Japan since it was imported in 1972, a release project was conducted in Hokkaido in 1976, but it was confirmed that the species has not established.</p> <p>An assessment of the risk of non-native salmon establishing in Mito Bay was documented by the Tottori Prefecture Fisheries Center staff, taking into account the scientific research literature.</p> <p>It was confirmed that it is unlikely that chum salmon, which are known to have a critical water temperature of 18°C for spawning, would be able to establish themselves in Mito Bay, where the water temperature rises to nearly 30°C in August. Four years ago, in Sakakimato, a cage came into contact with the released during towing, damaging the net and allowing the chum salmon to escape, but there has been no report of chum salmon reproducing in the sea. At present, along surveys are carried out before and after towing, and there has been no recurrence.</p> <p>Sado's summer water temperature are similar to those of Sakakimato, so the risk of establishment is also judged to be low.</p>	Compliant							
1.2.3	<p>Indicator: Use of non-native species for sea lice control for on-farm management purposes</p> <p>Requirement: None</p> <p>Applicability: All</p>	<p>No use of non-native species for sea lice control for on-farm management purposes.</p>	Compliant							
1.3	<p>Indicator: Use of transgenic (54) salmon by the farm</p> <p>Requirement: None</p> <p>Applicability: All</p>	<p>The farm declares on its website that it does not farm transgenic salmon: http://www.yamaku.jp/company/cv/154/</p> <p>The farm had obtained declarations of non-use of genetically modified eggs from three fish nurseries (a lodge from Tachikawa Aquaculture dated 21 November 2020, a lodge from Family Park Sandarbi dated 13 January 2021 and a pledge from Onchu Fushuwa Cooperative dated 16 December 2020)</p> <p>The nursery fish eggs were purchased from Tachikawa Aquaculture, and the purchase records of chum salmon wild egg were checked. No evidence of gene transfer was found.</p>	Compliant							
1.4.1	<p>Indicator: Maximum number of escapes (57) in the most recent production cycle</p> <p>Requirement: 300 (58)</p> <p>Applicability: All farms. A rare exception to this standard may be made for an escape event that is clearly documented as being outside the farm's control. Only one such exceptional episode is allowed in a 10-year period for the purposes of this standard. The 10-year period starts at the beginning of the production cycle for which the farm is applying for certification. The farmer must demonstrate that there was no reasonable way to predict the event. But should the episode. See auditing guidance for additional details.</p>	<p>[Sakakimato Marine Aquaculture Farm]</p> <p>No escaped incidents have been identified in the last two years.</p> <p>For the most recent production cycle, the number of stocked stock minus the number of dead fish and harvested fish has been calculated, resulting in an unexplained loss of 4% to 8% of the total number.</p> <p>The number of fish was calculated from the average weight and the total weight without counting them individually, which may have caused measurement errors using the gravimetric method.</p> <p>No damage to the nets has been observed, so escapement is unlikely.</p> <p>[Sado Branch]</p> <p>Both the number of stock and the number of harvest are estimated by weight. The difference in weight between stock and harvest was 0.2% when dead fish were deducted. As no damage to the net was observed, the likelihood of escape is low.</p>	Compliant							

Audit findings Salmon

Corresponds to Salmon Standard v.1.3

[illegible]

Audit findings Salmon

Corresponds to Salmon Standard v.1.3

[illegible]

4.6.1	<p>Indicator: Documentation of GHG emissions of the feed(7) used during the previous production cycle, as outlined in Appendix V of the Salmon standard v.1.3</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	<p>The feed companies presented the results of their GHG emissions calculations.</p> <p>The GHG emissions from feed production in 2023 were 12.6 kg CO2/ feed tonne.</p> <p>Based on the amount of feed used in this production cycle, the GHG emissions from feed were 433.56 CO2 (Sakaminato) and 510.02 CO2 (Sado).</p> <p>In accordance with Annex VI, the above results for GHG emissions from feed for each production cycle are presented to ASC.</p>	Compliant																	
4.7.1	<p>Indicator: For farms that use copper treated nets(9), evidence that nets are not cleaned(11) or treated in situ in the marine environment</p> <p>Requirement: Yes</p> <p>Applicability: All Farms. Closed production systems that do not use nets and do not use antifoulants shall be considered exempt from standards under Criterion 4.7.</p>	<p>An internal procedure for net washing and repair had been prepared, which outlined the procedure for washing nets onshore. The areas in which net washing is carried out were also confirmed during the on-site assessment. The procedures, types of nets used and antifouling agents are common to both Sakaminato and Sado.</p> <p>The contractor who carries out the antifouling treatment of the nets informed us that they use Broomox CUR350, which is approved by the National Federation of Fisheries Cooperative Associations, as an antifouling material.</p> <p>We checked the Material Safety Data Sheet for Broomox CUR350 and confirmed that it contains copper as an ingredient.</p> <p>It is a chemical fibre net and no procedure has been developed to wash the net rigorously at sea, but rather to remove the deposits on land using a high-pressure washer.</p> <p>At the end of the current production cycle, ASC will be informed if copper antifouling agents are used on the farms in accordance with Annex VI.</p>	Compliant																	
4.7.2	<p>Indicator: For any farm that cleans nets at on-land sites, evidence that net-cleaning sites have effluent treatment (9)</p> <p>Requirement: Yes</p> <p>Applicability: All Farms. Closed production systems that do not use nets and do not use antifoulants shall be considered exempt from standards under Criterion 4.7.</p>	<p>It was confirmed that nets are washed onshore at both the Sakaminato and Sado sites.</p> <p>The absence of wastewater treatment facilities was confirmed during the on-site assessment.</p> <p>When the nets are washed onshore using high pressure washers to remove adhering material, the solids are collected and disposed of as general waste. At Sakaminato Port, it was recognised that treated water was being discharged from the quay into the harbor and copper levels in the seabed sediment had been investigated at three points in the bay. The results confirmed the presence of copper, although not at high levels, at 15mg/kg, 8mg/kg and below 5mg/kg.</p> <p>The Environmental Standard for Soil Contamination under the Basic Environment Law states that copper is 120mg/kg and although well below this value, it does not comply with the ASC standard.</p> <p>The Sado site also washed in a large area of the bay harbor with a wash water that does not run off. The farm believes that the cleaning water evaporates naturally and the farm are not aware of any run-off of treated water from the quay into the harbor. There is a drainage ditch leading to the sea in the work square, where water is constantly flowing. It cannot be excluded that the treated water or the residual components after evaporation may have been released by the subsequent rain.</p>	Major	[Sakaminato Marine Aquaculture Farm] [Sado Branch]	25/03/2021							24/06/2021						[Sakaminato Marine Aquaculture Farm] [Sado Branch]	[Sakaminato Marine Aquaculture Farm] [Sado Branch]	[Sakaminato Marine Aquaculture Farm] [Sado Branch]
4.7.3	<p>Indicator: For farms that use copper nets or copper-treated nets, evidence of testing for copper levels in the sediment details of the AZZ, following methodology in Appendix I of the Salmon standard v.1.3</p> <p>Requirement: Yes</p> <p>Applicability: All Farms. Closed production systems that do not use nets and do not use antifoulants shall be considered exempt from standards under Criterion 4.7.</p>	<p>The nets used at both the Sakaminato and Sado sites are antifouled and the Material Safety Data Sheet for the antifouling material used, Broomox CUR350, confirms the presence of copper as a component.</p> <p>At Sakaminato, copper levels were measured in sediment samples at fixed points outside the AZZ selected in 2.1.1d and 2.1.2c, confirming the details of the survey.</p> <p>Copper levels were tested using an inductively coupled plasma optical emission spectrophotometer (ICP-AES) and were measured in the laboratory of the Japan Marine Biological Laboratory. Copper concentrations in the sediment were less than 5mg/kg at all points.</p> <p>At the Sado site, sediment samples were examined only from the control area where it was possible to collect mud, and copper levels were less than 5 mg/kg."</p>	Compliant																	
4.7.4	<p>Indicator: Evidence that copper levels(9) are < 34 mg Cu/kg dry sediment weight, or, in instances where the Cu in the sediment exceeds 34 mg Cu/kg dry sediment weight, demonstration that the Cu concentration falls within the range of background concentrations as measured at three reference sites in the water body</p> <p>Requirement: Yes</p> <p>Applicability: All Farms. Closed production systems that do not use nets and do not use antifoulants shall be considered exempt from standards under Criterion 4.7.</p>	<p>The nets used at both the Sakaminato and Sado sites are antifouled and the Material Safety Data Sheet for the antifouling material used, Broomox CUR350, confirms the presence of copper as a component.</p> <p>At Sakaminato, copper levels were measured in sediment samples at fixed points outside the AZZ selected in 2.1.1d and 2.1.2c, confirming the details of the survey.</p> <p>Copper levels were tested using an inductively coupled plasma optical emission spectrophotometer (ICP-AES) and were measured in the laboratory of the Japan Marine Biological Laboratory. Copper concentrations in the sediment were less than 5mg/kg at all points.</p> <p>At the Sado site, sediment samples were examined only from the control area where it was possible to collect mud, and copper levels were less than 5 mg/kg.</p> <p>At the end of the current production cycle, data on copper levels in sediment will be submitted to ASC for each production cycle in accordance with Annex VI.</p>	Compliant																	
4.7.5	<p>Indicator: Evidence that the type of biocides used in net antifouling are approved according to legislation in the European Union, or the United States, or Australia</p> <p>Requirement: Yes</p> <p>Applicability: All Farms. Closed production systems that do not use nets and do not use antifoulants shall be considered exempt from standards under Criterion 4.7.</p>	<p>The nets used at both the Sakaminato and Sado sites are antifouled and the Material Safety Data Sheet for the antifouling material used, Broomox CUR350, confirms the presence of copper as a component.</p> <p>EU regulations have been checked and documents confirming the authorisation of copper as an antifouling material.</p>	Compliant																	
5.1.1	<p>Indicator: Evidence of a fish health management plan for the identification and monitoring of fish diseases, parasites and environmental conditions relevant for good fish health, including implementing corrective action when required</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	<p>[Sakaminato Marine Aquaculture Farm]</p> <p>Fish health management procedures and fish disease control procedures have been developed. Dead fish are collected during daily operations, and the condition of dead fish is visually checked. At the fish farm, underwater cameras installed in the cages are used to monitor health conditions such as feeding activity and density, as well as environmental conditions such as turbidity and light intensity, in order to improve the aquaculture environment, including fish diseases.</p> <p>The identification of parasites is also monitored as described in 3.1.4. The only causes of mortality that have been observed so far are trauma by nets and the death of weak individuals. In the event of a suspected fish disease outbreak, the fish should be tested for the disease by a specialist laboratory and, after confirmation of the name of the disease, the fish should be treated according to expert guidance. In the event of an outbreak of a disease, the feeding of the fish will be stopped, the density of the cage will be adjusted and the cage will be moved if necessary.</p> <p>The health management plan had not been approved by a specialist with the same level of expertise as the designated veterinarian.</p> <p>[Sado Branch]</p> <p>Health management items are prepared in the process management standard, and accurate fish disease diagnosis and appropriate treatment are carried out, in order to prevent delays in the detection of disease in the event of a fish die-off.</p> <p>Knowledge of fish disease is acquired and a communication system is established. In addition, in accordance with the production quality control guidelines of the Japan Fisheries Association, if there is any abnormality in the rearing or feeding of breeding fish, or if there are many dead fish, or if a disease is suspected, the fishery experiment station or specialist institution is contacted and the procedure followed.</p> <p>The health management plan was confirmed by a fish quarantine officer from the Nagato Prefecture Fisheries Experiment Station who has the same level of expertise as the designated veterinarian. Records were checked.</p>	Minor	[Sakaminato Marine Aquaculture Farm]	25/03/2021	5000396							24/06/2021					[Sakaminato Marine Aquaculture Farm]	[Sakaminato Marine Aquaculture Farm]	[Sakaminato Marine Aquaculture Farm]

Corresponds to Salmon Standard v.1.3

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Corresponds to Salmon Standard v.1.3

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Corresponds to Salmon Standard v.1.3

31/141

[illegible]

Audit findings Salmon

Corresponds to Salmon Standard v.1.3

[illegible]

Corresponds to Salmon Standard v.1.3

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6.13	<p>Indicator: Percentage of smolt groups(162) tested for select diseases of regional concern prior to entering the grow-out phase on farms(164)</p> <p>Requirement: 100%</p> <p>Applicability: All Smolt Producers</p>	<p>A common procedure for smolt farm shipping operations has been drawn up. The condition of the fish and the quantity and condition of dead fish are checked on a daily basis. The condition, weight and number of fish are also checked prior to shipment. The condition of the fish is also checked on the day of shipment.</p> <p>The Island Fisheries Research Station in Nigata Prefecture provided a list of seven possible disease outbreaks. Of these, only vibriosis has been vaccinated against. No other disease outbreaks have been confirmed.</p>	Compliant																
6.14	<p>Indicator: Detailed information, provided by the designated veterinarian, of all chemicals and therapeutics used during the smolt production cycle, the amounts used (including grams per litre of fish produced), the dates used, which group of fish were treated and against which diseases, proof of proper dosing and all diseases and pathogens involved on the site</p> <p>Requirement: Yes</p> <p>Applicability: All Smolt Producers</p>	<p>The product name of the drug used, reason for dosing, date of use, quantity used, number of fish treated and average fish weight are recorded.</p> <p>A record book of saline use, a record book of vaccine use and a record book of anaesthetics are were checked at two smolt farms in Tottori. No prescriptions are required for the use of these drugs. The vaccine is for Vibrio (Piscine) and is administered according to procedure. Iodine is used to disinfect eggs and anaesthesia is used for size measurement. No drugs are used to treat the disease.</p> <p>Records of the use of pharmaceuticals at Smolt Farm in Nigata were checked. No medicines were used on coho salmon this season, except for a vaccine for Vibrio. Other fish species were treated with OTC medication in accordance with fish disease test reports and antimicrobial instructions.</p>	Compliant																
6.15	<p>Indicator: Allowance for use of therapeutic treatments that include antibiotics or chemicals that are banned(164) in any of the primary salmon producing or exporting countries(165)</p> <p>Requirement: Yes</p> <p>Applicability: All Smolt Producers</p>	<p>"The 2nd Report on the Use of Fishery Medicines" issued by the Ministry of Agriculture, Forestry and Fisheries (MAFF), which stipulates the list of fishery medicines that can be used in Japan, as well as a list of therapeutic treatments including antibiotics and chemicals that are permitted for use on food fish in Canada, the USA, the EU and Chile. No banned antibiotics or chemicals are used.</p>	Compliant																
6.16	<p>Indicator: Number of treatments of antibiotics over the most recent production cycle</p> <p>Requirement: <3</p> <p>Applicability: All Smolt Producers</p>	<p>Verified the vaccine use record book, vaccine use record book and anaesthetic use record book of two smolt farms in Tottori.</p> <p>Checked the records of drug use at Smolt Farm in Nigata.</p> <p>No chemicals were used on coho salmon in either Tottori or Nigata, other than the Vibrio vaccine.</p>	Compliant																
6.17	<p>Indicator: Allowance for use of antibiotics listed as critically important for human medicine by the WHO(166)</p> <p>Requirement: None (167)</p> <p>Applicability: All Smolt Producers</p>	<p>Yamaguchi Salmon keeps the latest list of critically important antibiotics for human medicine by the WHO.</p> <p>The records were checked and no relevant antibiotics were used.</p>	Compliant																
6.18	<p>Indicator: Evidence of compliance(168) with the OIE Aquatic Animal Health Code(169)</p> <p>Requirement: Yes</p> <p>Applicability: All Smolt Producers</p>	<p>"The OIE Aquatic Animals Health Code" can be found on the website at any time.</p> <p>The farm lists outbreaks of OIE listed diseases in the country.</p> <p>Precaution is carried out in accordance with Japanese regulations, which ensure compliance with the OIE.</p>	Compliant																
6.19	<p>Indicator: Evidence of company level policies and procedures in line with the labour standards under 6.1 to 6.11</p> <p>Requirement: Yes</p> <p>Applicability: All Smolt Producers</p>	<p>Smolt farms are own sites, the content of indicator 6.1 to 6.11 applies to each smolt farm.</p>	Compliant																
6.20	<p>Indicator: Evidence of regular consultation and engagement with community representatives and organizations</p> <p>Requirement: Yes</p> <p>Applicability: All Smolt Producers</p>	<p>Tottori</p> <p>In the Fukubara and Shimogawa, there are consultations with the local community at least twice a year. Smolt Farm communicates with landowners on a regular basis. As an example, checked records of meetings with local communities at Smolt Farm in Tottori to discuss issues such as dealing with water shortages. Confirmed records of discussions with the forest owner and the Green Youth League during participation in the Tottori Prefecture Symbolic Forest "Forest to protect spring water and the sea to nurture fish" activities.</p> <p>Nigata</p> <p>The Tama Smolt Farm in Nigata has plans to establish a new farm in another area, and there are records of discussions with residents. Records of visits to residents for this briefing were checked. In preparation for this audit, smolt farm interviewed the Ikarataniyo community and will be helping them to clear the undergrowth upstream. However, this kind of community engagement was not a regular event and there was no mechanism for consultation more than twice a year.</p>	Minor	[Nigata] Communication between Tama Smolt Farm and the local community is not regular, e.g. during events, and there was no mechanism for consultation more than twice a year. This is a minor non-conformity as consultations are held with the local community when necessary.	25/03/2021						24/06/2021								
6.21	<p>Indicator: Evidence of a policy for the presentation, treatment and resolution of complaints by community stakeholders and organizations</p> <p>Requirement: Yes</p> <p>Applicability: All Smolt Producers</p>	<p>Tottori</p> <p>The company's hatchery (Iinaga) received a letter of request on 1 December 2019 from the local community association requesting slow driving in the area. Yamaguchi Salmon replied in the name of the company's president on 23 December 2019 to take measure and was accepted by the local community association. No further complaints have been received since then.</p> <p>Nigata</p> <p>The Tama Smolt Farm has not received any complaints. As some households in the area were using groundwater, discussions were held with the residents' association. The residents' association agreed to the use of groundwater at the smolt farm, with the expenditure from paying for the installation of water distribution pipes by the resident households.</p> <p>Complaints were dealt with and resolved without problems, but there was no grievance procedure in place when complaints were submitted by community stakeholders or organizations.</p>	Minor	[Tottori] [Nigata] Complaints were dealt with and resolved without any problems, but there was no grievance procedure in place when a complaint was submitted by a community stakeholder or organisation. This is a minor non-conformity as it is a deficiency in the preparation of the procedure and the complaint response was appropriately resolved.	25/03/2021							24/06/2021							
6.22	<p>Indicator: Where relevant, evidence that indigenous groups were consulted as required by relevant local and/or national laws and regulations</p> <p>Requirement: Yes</p> <p>Applicability: All Smolt Producers</p>	<p>Not applicable as there are no indigenous people.</p>	N/A	Not applicable as there are no indigenous people.															Not applicable as there are no indigenous people.
6.23	<p>Indicator: Where relevant, evidence that the farm has undertaken proactive consultation with indigenous communities</p> <p>Requirement: Yes</p> <p>Applicability: All Smolt Producers</p>	<p>Not applicable as there are no indigenous people.</p>	N/A	Not applicable as there are no indigenous people.															Not applicable as there are no indigenous people.

8.25	<p>Indicator: Allowance for stocking smolts produced in cage culture</p> <p>Requirement: Permitted only if supplying farms are 1) operated in a region where indigenous salmonids are present or the same species being cultivated and 2) the farm is certified to the ASC Freshwater Trout Standard</p> <p>Applicability: open (net-pen) production of smolt</p>	Not applicable as the smolt farms are not open production.	N/A	Not applicable as the smolt farms are not open production.													Not applicable as the smolt farms are not open production.	
8.26	<p>Indicator: Water quality monitoring matrix completed and submitted to ASC (see Appendix VII of the Salmon standard v.1.3)</p> <p>Requirement: Yes(171)</p> <p>Applicability: open (net-pen) production of smolt</p>	Reviewed monitoring records between October 2020 and January 2021 for total phosphorus, nitrogen, TSS and BOD at three smolt farms in Tottori and Niigata. Measurements have been taken by an external agency using the HACH DR9000 or by pack testing on site. It is planned to measure every quarter.	Compliant															
8.27	<p>Indicator: Minimum oxygen saturation in the outflow (methodology in Appendix VII of the Salmon standard v.1.3)</p> <p>Requirement: 60%(172, 173)</p> <p>Applicability: All Smolt Producers Using Semi-Closed or Closed Production Systems</p>	The dissolved oxygen concentration monitoring records for the three Smolt Farm sites in Tottori and Niigata were reviewed. At all sites, there were several days when the dissolved oxygen concentration fell below 60%. When the dissolved oxygen concentration falls below 60%, it is restored by water injection or by transferring water from other ponds. Monitoring was carried out daily and it was recorded that there were no weeks when the level fell below 60% for seven consecutive days.	Compliant															
8.28	<p>Indicator: Macro-invertebrate surveys downstream from the farm's effluent discharge demonstrate benthic health that is similar or better than surveys upstream from the discharge (methodology in Appendix VII of the Salmon standard v.1.3)</p> <p>Requirement: Yes</p> <p>Applicability: All Smolt Producers Using Semi-Closed or Closed Production Systems</p>	<p>[Tottori]</p> <p>A Macro-invertebrate survey was carried out in October 2020. The analysis of benthic samples was entrusted to a specialized survey company. The benthic fauna was compared using the mean score method of analysis of benthic samples from upstream and downstream of the drainage.</p> <p>At three upstream Smolt Farm, the upstream and downstream biota scores were comparable. At the Fukuhangawa Smolt Farm, the biota score was lower in the downstream than in the upstream. An additional survey will be conducted in March 2021 to confirm the results.</p> <p>[Niigata]</p> <p>Tsutsu Smolt Farm commissioned a specialist survey company to analyze benthic samples in December 2020 and found that the benthic biota score was inferior downstream of the drainage than upstream. An additional survey will be carried out in March 2021 to confirm this.</p>	Major	<p>[Tottori] [Niigata]</p> <p>At one site in Tottori and one site in Sado, the benthic fauna downstream of the drainage position was not as good as or better than upstream. The standard could not be met and is therefore a major non-conformity.</p>	25/03/2021		24/06/2021		Open						<p>[Tottori] [Niigata]</p> <p>A single survey was insufficient to understand the biota.</p>	<p>[Niigata]</p> <p>The second benthic biota survey resulted in a good biota both upstream and downstream of the drainage outlet.</p>	<p>[Tottori] [Niigata]</p> <p>The survey will continue to be carried out once a year.</p>	
8.29	<p>Indicator: Evidence of implementation of Biosolids (Sludge) Best Management Practices (BMPs) (Appendix VI of the Salmon standard v.1.3)</p> <p>Requirement: Yes</p> <p>Applicability: All Smolt Producers Using Semi-Closed or Closed Production Systems</p>	<p>[Tottori]</p> <p>Confirmed procedures for sludge disposal at two smolt farms and a hatchery. Sludge from the settling tanks is pumped by the company's own trucks, dewatered at the head office wastewater treatment facility, and then consigned to an industrial waste disposal company for disposal. The recorded record of the sludge disposal is confirmed.</p> <p>[Niigata]</p> <p>Tsutsu Smolt Farm has developed an aquaculture management procedure. This includes a section on the disposal of sludge. If there is an accumulation of biosolids in the settling ponds, it will be treated in a responsible manner and records will be maintained. However, no records of sludge disposal were presented.</p>	Minor	<p>[Niigata]</p> <p>Records of sludge disposal were not presented. This is a minor non-conformity as the records are incomplete.</p>	25/03/2021		24/06/2021		Open						<p>[Niigata]</p> <p>To date, no records have been kept of sludge disposal as it has never been carried out.</p>	<p>[Niigata]</p> <p>To date, no records have been kept of sludge disposal as it has never been carried out.</p>	<p>[Niigata]</p> <p>It is planned to treat the sludge when it is collected in the future.</p>	

Year	Project	Location	Year	Project	Location	Year	Project	Location
2010	Project 1	Location 1	2011	Project 2	Location 2	2012	Project 3	Location 3
2013	Project 4	Location 4	2014	Project 5	Location 5	2015	Project 6	Location 6
2016	Project 7	Location 7	2017	Project 8	Location 8	2018	Project 9	Location 9
2019	Project 10	Location 10	2020	Project 11	Location 11	2021	Project 12	Location 12
2022	Project 13	Location 13	2023	Project 14	Location 14	2024	Project 15	Location 15
2025	Project 16	Location 16	2026	Project 17	Location 17	2027	Project 18	Location 18
2028	Project 19	Location 19	2029	Project 20	Location 20	2030	Project 21	Location 21
2031	Project 22	Location 22	2032	Project 23	Location 23	2033	Project 24	Location 24
2034	Project 25	Location 25	2035	Project 26	Location 26	2036	Project 27	Location 27
2037	Project 28	Location 28	2038	Project 29	Location 29	2039	Project 30	Location 30
2040	Project 31	Location 31	2041	Project 32	Location 32	2042	Project 33	Location 33
2043	Project 34	Location 34	2044	Project 35	Location 35	2045	Project 36	Location 36
2046	Project 37	Location 37	2047	Project 38	Location 38	2048	Project 39	Location 39
2049	Project 40	Location 40	2050	Project 41	Location 41	2051	Project 42	Location 42
2052	Project 43	Location 43	2053	Project 44	Location 44	2054	Project 45	Location 45
2055	Project 46	Location 46	2056	Project 47	Location 47	2057	Project 48	Location 48
2058	Project 49	Location 49	2059	Project 50	Location 50	2060	Project 51	Location 51
2061	Project 52	Location 52	2062	Project 53	Location 53	2063	Project 54	Location 54
2064	Project 55	Location 55	2065	Project 56	Location 56	2066	Project 57	Location 57
2067	Project 58	Location 58	2068	Project 59	Location 59	2069	Project 60	Location 60
2070	Project 61	Location 61	2071	Project 62	Location 62	2072	Project 63	Location 63
2073	Project 64	Location 64	2074	Project 65	Location 65	2075	Project 66	Location 66
2076	Project 67	Location 67	2077	Project 68	Location 68	2078	Project 69	Location 69
2079	Project 70	Location 70	2080	Project 71	Location 71	2081	Project 72	Location 72
2082	Project 73	Location 73	2083	Project 74	Location 74	2084	Project 75	Location 75
2085	Project 76	Location 76	2086	Project 77	Location 77	2087	Project 78	Location 78
2088	Project 79	Location 79	2089	Project 80	Location 80	2090	Project 81	Location 81
2091	Project 82	Location 82	2092	Project 83	Location 83	2093	Project 84	Location 84
2094	Project 85	Location 85	2095	Project 86	Location 86	2096	Project 87	Location 87
2097	Project 88	Location 88	2098	Project 89	Location 89	2099	Project 90	Location 90
2100	Project 91	Location 91	2101	Project 92	Location 92	2102	Project 93	Location 93
2103	Project 94	Location 94	2104	Project 95	Location 95	2105	Project 96	Location 96
2106	Project 97	Location 97	2107	Project 98	Location 98	2108	Project 99	Location 99
2109	Project 100	Location 100	2110	Project 101	Location 101	2111	Project 102	Location 102
2112	Project 103	Location 103	2113	Project 104	Location 104	2114	Project 105	Location 105
2115	Project 106	Location 106	2116	Project 107	Location 107	2117	Project 108	Location 108
2118	Project 109	Location 109	2119	Project 110	Location 110	2120	Project 111	Location 111
2121	Project 112	Location 112	2122	Project 113	Location 113	2123	Project 114	Location 114
2124	Project 115	Location 115	2125	Project 116	Location 116	2126	Project 117	Location 117
2127	Project 118	Location 118	2128	Project 119	Location 119	2129	Project 120	Location 120
2130	Project 121	Location 121	2131	Project 122	Location 122	2132	Project 123	Location 123
2133	Project 124	Location 124	2134	Project 125	Location 125	2135	Project 126	Location 126
2136	Project 127	Location 127	2137	Project 128	Location 128	2138	Project 129	Location 129
2139	Project 130	Location 130	2140	Project 131	Location 131	2141	Project 132	Location 132
2142	Project 133	Location 133	2143	Project 134	Location 134	2144	Project 135	Location 135
2145	Project 136	Location 136	2146	Project 137				

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

Indicator Number (CAB)	Indicator Text	Audit Evidence	Overall indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Minor NC detected for this site (List site ID's)	Major NC detected for this site (List site ID's)	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	ORA conducted and	Root cause analysis	NC correction	NC Correction action	Auditor evaluation	Extension justification	Next deadline for NC close-out	Notes
2.1.1	Indicator: Redox potential (E) (mV) levels in sediment outside of the Akasaka Zone of Effect (AZE) [6]. Following the sampling methodology outlined in Appendix A of the Salmon standard > 1.3 (AZE [6]).	[Salakematsu Marine Aquaculture Farm] From the mean current and the settling speed of the feed, the distance of the feed source in water was estimated to be 30.5m. The AZE was set at 30 m from the outer frame of the cage (see 2.1.4). Food was supplied to the cage from a feeding machine located in the centre of the cage. A diagram showing the boundaries of the AZE and the GPS positions of all sediment sampling points was presented. The sediment is a fine silt. Option 2 (bathymetric concentration) was selected.	Major	[Salakematsu Marine Aquaculture Farm] The bottom sediment survey was carried out in October 2020, prior to the stocking of the smolts. Sediment concentrations outside the AZE were <338 µM/L at sampling point 7 and <338 µM/L at sampling point 8, and <330 µM/L at all survey points including inside the AZE. Although the values are in conformity, it is necessary to re-survey during the biomass peak period in March, because the survey was conducted when there were no fish in the cage. The concentration of sulphide was analysed by the Chubu Branch of the Japan Marine Biological Laboratory, and the concentration was calculated from oxygen O_2 weight of sulphide, specific gravity and 52.02 mg/L sulphide.	21/02/2021		5003396	24/06/2021		Open					[Salakematsu Marine Aquaculture Farm] As the results of the survey at Biomass Peak could not be presented to the time of the initial audit, the results of the survey conducted in October were presented.	[Salakematsu Marine Aquaculture Farm] A bottom sediment survey was carried out on 28 March, which is considered to be the peak biomass period. The redox potential at all survey points met the standard.	[Salakematsu Marine Aquaculture Farm] A bottom sediment survey was carried out on 28 March, which is considered to be the peak biomass period. The redox potential at all survey points met the standard.	[Salakematsu Marine Aquaculture Farm] We will continue to conduct the bottom sediment survey during the biomass peak period.			
	Indicator: Redox potential E (mV) / Sulphide $\leq 1.500 \mu\text{M/L}$			[Salakematsu Marine Aquaculture Farm] From the mean current and the settling speed of the feed, the distance of the feed source in water was estimated to be 15-25m. The AZE was set at 30 m from the outer frame of the cage (see 2.1.4). A diagram showing the boundaries of the AZE and the GPS locations of all sediment sampling points was presented. (2.1.1) The V of index inside the AZE was 3.0 at sampling point 7 and 3.4 at sampling point 8, and was <3.1 at all survey points including the control. Although the values are in conformity, it is necessary to re-survey during the biomass peak period in March, because the survey was conducted when there were no fish in the cage. The results of the sample analysis and the calculation of the index value by the Chubu Branch of the Japan Marine Biological Laboratory Co. were confirmed. After the end of the production cycle, the data for the current production cycle will be submitted to ASC in accordance with Appendix V.	20/02/2021		5003396	24/06/2021		Open					[Salakematsu Marine Aquaculture Farm] As the results of the survey at Biomass Peak could not be presented to the time of the initial audit, the results of the survey conducted in October were presented.	[Salakematsu Marine Aquaculture Farm] A bottom sediment survey was carried out on 28 March, which is considered to be the peak biomass period. The V of index outside the AZE was <3.1 at all survey points except the control area.	[Salakematsu Marine Aquaculture Farm] We will continue to conduct the bottom sediment survey during the biomass peak period.				
	Indicator: All farms except Closed production systems that can demonstrate that they collect and responsibly dispose of 75% of solid nutrients from the production system are exempt from production system are exempt from standard under Criterion 2.1.5. See Appendix V for requirements on transparency for 2.1.1, 2.1.2 and 2.1.3.			[Salakematsu Marine Aquaculture Farm] A diagram showing the boundaries of the AZE and the GPS locations of all sediment sampling points was presented. (2.1.1) The bottom sediment survey had been carried out in October 2020, before the stocking of the smolts. The V of index inside the AZE was 3.0 at sampling point 7 and 3.4 at sampling point 8, and was <3.1 at all survey points including the control. Although the values are in conformity, it is necessary to re-survey during the biomass peak period in March, because the survey was conducted when there were no fish in the cage. The results of the sample analysis and the calculation of the index value by the Chubu Branch of the Japan Marine Biological Laboratory Co. were confirmed. After the end of the production cycle, the data for the current production cycle will be submitted to ASC in accordance with Appendix V.	20/02/2021		5003396	24/06/2021		Open					[Salakematsu Marine Aquaculture Farm] As the results of the survey at Biomass Peak could not be presented to the time of the initial audit, the results of the survey conducted in October were presented.	[Salakematsu Marine Aquaculture Farm] A bottom sediment survey was carried out on 28 March, which is considered to be the peak biomass period. The V of index outside the AZE was <3.1 at all survey points except the control area.	[Salakematsu Marine Aquaculture Farm] We will continue to conduct the bottom sediment survey during the biomass peak period.				
2.1.2	Indicator: Faunal index score including food (F) to high ecological quality in sediment outside the AZE, following the sampling methodology outlined in Appendix A of the Salmon standard > 1.3 (AZE [6]).	[Salakematsu Marine Aquaculture Farm] A diagram showing the boundaries of the AZE and the GPS locations of all sediment sampling points was presented. (2.1.1) Shannon-Wiener's H' index (option 2) was selected. The bottom sediment survey had been carried out in October 2020, before the stocking of the smolts. The V of index inside the AZE was 3.0 at sampling point 7 and 3.4 at sampling point 8, and was <3.1 at all survey points including the control. Although the values are in conformity, it is necessary to re-survey during the biomass peak period in March, because the survey was conducted when there were no fish in the cage. The results of the sample analysis and the calculation of the index value by the Chubu Branch of the Japan Marine Biological Laboratory Co. were confirmed. After the end of the production cycle, the data for the current production cycle will be submitted to ASC in accordance with Appendix V.	Major	[Salakematsu Marine Aquaculture Farm] The bottom sediment survey had been carried out in October 2020, before the stocking of the smolts. The V of index inside the AZE was 3.0 at sampling point 7 and 3.4 at sampling point 8, and was <3.1 at all survey points including the control. Although the values are in conformity, it is necessary to re-survey during the biomass peak period in March, because the survey was conducted when there were no fish in the cage. The results of the sample analysis and the calculation of the index value by the Chubu Branch of the Japan Marine Biological Laboratory Co. were confirmed. After the end of the production cycle, the data for the current production cycle will be submitted to ASC in accordance with Appendix V.	20/02/2021		5003396	24/06/2021		Open					[Salakematsu Marine Aquaculture Farm] As the results of the survey at Biomass Peak could not be presented to the time of the initial audit, the results of the survey conducted in October were presented.	[Salakematsu Marine Aquaculture Farm] A bottom sediment survey was carried out on 28 March, which is considered to be the peak biomass period. The V of index outside the AZE was <3.1 at all survey points except the control area.	[Salakematsu Marine Aquaculture Farm] We will continue to conduct the bottom sediment survey during the biomass peak period.				
	Indicator: All farms except Closed production systems that can demonstrate that they collect and responsibly dispose of 75% of solid nutrients from the production system are exempt from production system are exempt from standard under Criterion 2.1.5. See Appendix V for requirements on transparency for 2.1.1, 2.1.2 and 2.1.3.			[Salakematsu Marine Aquaculture Farm] A diagram showing the boundaries of the AZE and the GPS locations of all sediment sampling points was presented. (2.1.1) The bottom sediment survey had been carried out in October 2020, before the stocking of the smolts. The V of index inside the AZE was 3.0 at sampling point 7 and 3.4 at sampling point 8, and was <3.1 at all survey points including the control. Although the values are in conformity, it is necessary to re-survey during the biomass peak period in March, because the survey was conducted when there were no fish in the cage. The results of the sample analysis and the calculation of the index value by the Chubu Branch of the Japan Marine Biological Laboratory Co. were confirmed. After the end of the production cycle, the data for the current production cycle will be submitted to ASC in accordance with Appendix V.	20/02/2021		5003396	24/06/2021		Open					[Salakematsu Marine Aquaculture Farm] As the results of the survey at Biomass Peak could not be presented to the time of the initial audit, the results of the survey conducted in October were presented.	[Salakematsu Marine Aquaculture Farm] A bottom sediment survey was carried out on 28 March, which is considered to be the peak biomass period. The V of index outside the AZE was <3.1 at all survey points except the control area.	[Salakematsu Marine Aquaculture Farm] We will continue to conduct the bottom sediment survey during the biomass peak period.				
	Indicator: Number of macrofaunal taxa in the sediment within the AZE, following the sampling methodology outlined in Appendix A of the Salmon standard > 1.3 (AZE [6]).	[Salakematsu Marine Aquaculture Farm] A diagram showing the boundaries of the AZE and the GPS locations of all sediment sampling points was presented. (2.1.1) Samples were collected and analysed from a total of nine sites, both inside and outside the AZE, as well as a control site. The bottom sediment survey had been carried out in October 2020, before the stocking of the smolts. The species composition and numbers of macrofauna in the sediment were identified by the Chubu Branch of the Marine Biological Laboratory of Japan. Taxa with more than 100 individuals per m ² and pollution indicator species were identified, and more than two taxa with more than 100 individuals that were not pollution indicator species were found in the AZE. The results of the sample analysis by the Chubu Branch of the Japan Marine Biological Laboratory, Inc. were confirmed. Although the result is in conformity, it is necessary to re-survey during the biomass peak period in March, because the survey was conducted when there were no fish in the cage. After the end of the current production cycle, the analysis results shall be submitted to ASC in accordance with Appendix V.	Major	[Salakematsu Marine Aquaculture Farm] The bottom sediment survey had been carried out in October 2020, before the stocking of the smolts. The species composition and numbers of macrofauna in the sediment were identified by the Chubu Branch of the Marine Biological Laboratory of Japan. Taxa with more than 100 individuals per m ² and pollution indicator species were identified, and more than two taxa with more than 100 individuals that were not pollution indicator species were found in the AZE. The results of the sample analysis by the Chubu Branch of the Japan Marine Biological Laboratory, Inc. were confirmed. Although the result is in conformity, it is necessary to re-survey during the biomass peak period in March, because the survey was conducted when there were no fish in the cage. After the end of the current production cycle, the analysis results shall be submitted to ASC in accordance with Appendix V.	20/02/2021		5003396	24/06/2021		Open					[Salakematsu Marine Aquaculture Farm] As the results of the survey at Biomass Peak could not be presented to the time of the initial audit, the results of the survey conducted in October were presented.	[Salakematsu Marine Aquaculture Farm] A bottom sediment survey was carried out on 28 March, which is considered to be the peak biomass period. The results of the survey conducted in October were presented.	[Salakematsu Marine Aquaculture Farm] We will continue to conduct the bottom sediment survey during the biomass peak period.				
2.1.3	Indicator: Weekly average percent saturation (PS) of dissolved oxygen (DO) (17) in farm, calculated following methodology in Appendix																				

6.1.1	Indicator: Evidence of comprehensive(12) and proactive anti-discrimination policies, procedures and practices Requirement: Yes Applicability: All	Yumphaeng Suwan has published a declaration on its website stating that it "does not not to engage in hiring, remuneration, training participation, promotion, dismissal or retirement on the basis of human rights, class, national origin, religion, disability, gender, sexual orientation, union membership, political affiliation, age or any other condition giving rise to discrimination". The anti-harassment policy provides for a harassment helpline and a complaint resolution procedure. The Sakaminato has a suggestion box at the entrance. There is no suggestion yet. However, as there is no operational procedure for the suggestion box, it is unclear how employees' opinions will be dealt with. In the Sakaminato, there are anti-harassment posters and an external mental health consultation service. In the company, the person in charge of the business section is the contact person for consultation. However, there was no clear, documented procedure indicating how complaints are filed, recorded and dealt with when an employee wishes to make a complaint of discrimination. The employment, promotion and advancement of permanent employees is regulated in the Employment Ordinance, and their salaries are determined in accordance with the wage rules according to their job description and authority. There was no record of training for managers and employees on diversity and anti-discrimination."	[Sakaminato Marine Aquaculture Farm] (Sado Branch) There was no clear written procedure indicating how complaints are filed, recorded and dealt with when an employee wishes to make a complaint of discrimination. The company does not provide flexwork first aid training, but they should be required to take them in case of an emergency.	25/03/2021	24/06/2021	Open
		[Sakaminato Marine Aquaculture Farm] There is a dining operation procedure, an electric carving table operation procedure and a wastewater disinfection procedure. Each of these procedures describes near-misses (based on assumptions and past cases) and countermeasures. There are safety procedures for forklift and crane operations (handbook for training). Forklift trucks are checked before work starts and once a month; the daily check record for 31 January 2021 and the monthly check record for January 2021 are checked. Trucks are also checked in the same way. However, there have been cases where equipment has continued to be used even after some problems were raised in the daily inspection records of the equipment. In addition, there was no record of what action was taken afterwards. When a procedure is drawn up and approved internally, the employee in charge of SO documents it to the employee by e-mail or explains it in person. Explanations are given during the morning and end of day meetings and recorded in the daily report. Examples of near-misses were noted at the post-season, acclimatisation and pre-harvest meetings. The minutes of the Post acclimatisation meeting on 25 December 2020 were reviewed. Monthly study meetings are held in accordance with the ISO 22000 training plan. The main focus is on food safety, but once a year the need for a procedure manual is also studied. A health and safety committee is operational, which meets once a month. Safety periods are carried out once a month at one of the selected sites, the results of the meetings on 31 January 2021 were reviewed. The minutes are not shared, but the content is communicated by superior F/I is relevant to their department. There is a list of qualifications. The status of the lifting equipment is checked twice a year during competent evaluations. Emergency contact numbers and evacuation routes are in place. Fire drills have never been carried out. Inspection of the lifting equipment is carried out in accordance with the Fire Service Act. The company does not provide flexwork first aid training. Employees receive an annual medical check-up. Others receive a hyperbaric medical check-up twice a year. It was confirmed that the records of medical examinations for the entire company are kept at the head office in Sakaminato. Sado Works Basic health and safety measures are the same as in Sakaminato. Daily inspection records for forklifts, vessels, cranes and work vehicles were checked. The procedure manual is prepared separately from that of Sakaminato. Confirmed that safety precautions are described in this document. The minutes of the freshwater green-out, acclimatisation and standing meeting on 31 January 2021 and the shipping and harvesting meeting on 3 March 2021 were reviewed to confirm that safety measures were also discussed. The procedures are also disseminated at the meetings. The monthly health and safety committee meetings are attended separately from Sado. In the event of a work-related accident, a report is formulated on the nature of the accident and the measures taken. A poster about health and safety committee meetings is displayed near the office. There is a disaster manual, which includes information on what to do in the event of an earthquake or tsunami. Emergency contact network and evacuation routes are posted. Fire extinguishers are regularly checked.	[Sakaminato Marine Aquaculture Farm] (Sado Branch) The company does not provide flexwork first aid training, but they should be required to take them in case of an emergency. [Sakaminato Marine Aquaculture Farm] There have been cases where equipment has continued to be used even after some problems were raised in the daily inspection records of the equipment, and there was no record of what action had been taken afterwards. Continued use of poorly maintained equipment can lead to accidents. Overall, the necessary safety measures have been taken, but some items were judged to require improvement and therefore a minor non-conformity was made.	25/03/2021	24/06/2021	Open
6.1.2	Indicator: Evidence that workers use Personal Protective Equipment (PPE) effectively Requirement: Yes Applicability: All	[Sakaminato Marine Aquaculture Farm] Some of the procedures stipulate safety equipment and some do not. The wearing of safety equipment is checked during the monthly safety patrols. There is no system for routine checks. All employees use helmets for work at height with girdles. They are worn at all times when working. The safety patrol officer is also in charge of updating the equipment. Dring equipment is self-checked on the day of use and replaced in the daily log. The girdles are noted and reported by the housing company. Fishing vessels are inspected once every five years. Fire extinguishers on fishing vessels have been checked on occasion, but are not recorded. There is no first aid kit on board. If an employee needs medical attention on board, he should return to shore immediately. The distance from the ship to the port is about 4.5 km. In case of an emergency, they can be contacted by mobile phone. [Sado Branch] There is a manual for wearing protective equipment for forklifts and cranes. Helmets have been purchased once and have never been replaced, and there are no rules regarding their replacement. Helmets are only compulsory for full-time employees, while part-time employees are left to their own discretion and are not provided with helmets. However, during an inspection of a harvesting operation, a part-time employee wearing around a small excavator used as a crane was not wearing a helmet, which could be dangerous. Checked the fishing vessel pre-trip records of the three vessels owned by the company, as well as the "visual inspection certificates" and "visual inspection notebooks". Fire extinguishers were not checked except for the pleasure boats. No first aid kit was available on board. If they need medical attention on board, they return to shore immediately. The distance from the ship to the port is less than one kilometre. In case of emergency, they can be contacted by mobile phone.	[Sakaminato Marine Aquaculture Farm] (Sado Branch) Rules for wearing safety equipment for each task are not clearly documented. Helmets expiry rules are not set. The substitution of fire extinguishers on fishing vessels has not been confirmed. [Sado Branch] Helmets are only compulsory for full-time employees, while part-time employees are left to their own discretion and are not provided with helmets. However, during an inspection of a harvesting operation, a part-time employee wearing around a small excavator used as a crane was not wearing a helmet, which could be dangerous. The same rules for wearing safety equipment apply to both full-time workers and part-time employees. Although no major accidents have occurred as a result of the above, there is a risk of accidents occurring in the future and therefore a minor non-conformity.	25/03/2021	24/06/2021	Open
		[Sakaminato Marine Aquaculture Farm] The company prepares a "Pledge of Compliance with the Code of Social Conduct" and has its suppliers sign it. It is a condition of doing business with the company that the pledge is signed. The pledge was received from almost all of the suppliers with whom the firm currently does business. However, in Sakaminato, the site forgot to obtain pledges from its main suppliers for aquaculture facilities. [Sado Branch] The company forgot to obtain signatures on the "Pledge on Compliance with the Social Code of Conduct" from its main suppliers of aquaculture facilities. All other suppliers have submitted their pledges. In all other suppliers have submitted their pledges, and due to a minor procedural error, this is a minor non-conformity.	[Sakaminato Marine Aquaculture Farm] (Sado Branch) The company forgot to obtain signatures on the "Pledge on Compliance with the Social Code of Conduct" from its main suppliers of aquaculture facilities. All other suppliers have submitted their pledges. In all other suppliers have submitted their pledges, and due to a minor procedural error, this is a minor non-conformity.	25/03/2021	24/06/2021	Open
6.1.3	Indicator: Evidence of a policy to ensure social compliance of its suppliers and contractors Requirement: Yes Applicability: All	In Sakaminato, a reference box has been placed at the entrance. There have been no submissions yet. However, as there is no operational procedure for the suggestion box, it is unclear how opinions will be handled. In Sado, anti-harassment posters and an external mental health consultation service are displayed. In the company, the person in charge of the business section is the contact person for consultation. There was no clear, documented procedure indicating how an employee can submit a complaint if they wish to do so, and how it will be recorded and dealt with.	[Sakaminato Marine Aquaculture Farm] (Sado Branch) There was no clear, documented procedure indicating how an employee can submit a complaint if they wish to do so, and how it will be recorded and dealt with. As no complaints were actually made, this is an issue of inadequate procedures, this is a minor non-conformity.	25/03/2021	24/06/2021	Open
		[Sakaminato Marine Aquaculture Farm] The current policy did not state that disciplinary action was to be taken for the improvement of the employees. In practice, there have been no instances of disciplinary action, only issues of inadequate policy, and therefore this is a minor non-compliance.	[Sakaminato Marine Aquaculture Farm] (Sado Branch) The current policy did not state that disciplinary action was to be taken for the improvement of the employees. In practice, there have been no instances of disciplinary action, only issues of inadequate policy, and therefore this is a minor non-compliance.	25/03/2021	24/06/2021	Open
6.1.4	Indicator: Evidence of a functioning disciplinary action policy whose aim is to improve the worker (14) Requirement: Yes Applicability: All	The rules of employment provide for disciplinary measures. In the event of a case requiring disciplinary action, the Award and Punishment Committee meets to decide on the nature of the action to be taken. The regulations list the grounds on which disciplinary action may be taken. However, the current regulations did not specify that disciplinary action is aimed at the improvement of the employees.	[Sakaminato Marine Aquaculture Farm] (Sado Branch) The current policy did not state that disciplinary action was to be taken for the improvement of the employees. In practice, there have been no instances of disciplinary action, only issues of inadequate policy, and therefore this is a minor non-compliance.	25/03/2021	24/06/2021	Open
		[Sakaminato Marine Aquaculture Farm] A comparison of last year's 15 minutes and wage ledger for several employees showed that in some cases the hours worked as recorded on the timesheet were not recorded in the wage ledger and were not reflected as premium pay. It is not appropriate to adjust time and records in the discretion of management, as this would allow arbitrary changes to be made to working hours and could result in employees not being paid the premium they are entitled to. It is necessary to clarify the timing of timesheet stamping and to ensure that employees know how to use the timesheet so that they can get premium pay according to the hours worked as recorded on the timesheet. As premium pay is being paid and this is an operational issue, it is a minor non-conformity.	[Sakaminato Marine Aquaculture Farm] (Sado Branch) The current policy did not state that disciplinary action was to be taken for the improvement of the employees. In practice, there have been no instances of disciplinary action, only issues of inadequate policy, and therefore this is a minor non-compliance.	25/03/2021	24/06/2021	Open
6.1.5	Indicator: Evidence that the company regularly performs training of staff in fish husbandry, general farm and fish escape management and health and safety procedures Requirement: Yes Applicability: All	[Sakaminato Marine Aquaculture Farm] Education and training is provided as appropriate. Meetings are held before each season's work and summary meetings are held after the work. Study sessions are held as required, the employee education and guidance report of 28 November 2020 Training is provided for new employees, but there are no regulations or records of training for new employees. From April 2020 onwards, new employees in the marine farm are taught on a one-to-one basis with a dedicated owner member of staff. [Sado Branch] Training is carried out in accordance with the ISO 22000 annual education and training plan, and the plan for 2020 was confirmed. Meetings are held before each season's work and a summary meeting is held after work. There are no new employees at the Sado Branch.	[Sakaminato Marine Aquaculture Farm] (Sado Branch) The company provides training for new employees. However, there were no regulations or records of training for new employees. Since it is necessary to ensure that new employees understand the management methods of the aquaculture farm, including HAC, when they join the farm, it is necessary to stipulate the contents of the training for new employees and to keep records of the training is conducted. As the new employee training staff has been implemented and this is an issue of inadequate regulations and records, this is a minor non-conformity.	25/03/2021	24/06/2021	Open
		[Sakaminato Marine Aquaculture Farm] The company forgot to obtain signatures on the "Pledge on Compliance with the Social Code of Conduct" from its main suppliers of aquaculture facilities. All other suppliers have submitted their pledges. In all other suppliers have submitted their pledges, and due to a minor procedural error, this is a minor non-conformity.	[Sakaminato Marine Aquaculture Farm] (Sado Branch) The company forgot to obtain signatures on the "Pledge on Compliance with the Social Code of Conduct" from its main suppliers of aquaculture facilities. All other suppliers have submitted their pledges. In all other suppliers have submitted their pledges, and due to a minor procedural error, this is a minor non-conformity.	25/03/2021	24/06/2021	Open
6.1.6	Indicator: Evidence of worker access to effective, fair and confidential grievance procedures Requirement: Yes Applicability: All	[Sakaminato Marine Aquaculture Farm] The company forgot to obtain signatures on the "Pledge on Compliance with the Social Code of Conduct" from its main suppliers of aquaculture facilities. All other suppliers have submitted their pledges. In all other suppliers have submitted their pledges, and due to a minor procedural error, this is a minor non-conformity.	[Sakaminato Marine Aquaculture Farm] (Sado Branch) The company forgot to obtain signatures on the "Pledge on Compliance with the Social Code of Conduct" from its main suppliers of aquaculture facilities. All other suppliers have submitted their pledges. In all other suppliers have submitted their pledges, and due to a minor procedural error, this is a minor non-conformity.	25/03/2021	24/06/2021	Open
		[Sakaminato Marine Aquaculture Farm] The company forgot to obtain signatures on the "Pledge on Compliance with the Social Code of Conduct" from its main suppliers of aquaculture facilities. All other suppliers have submitted their pledges. In all other suppliers have submitted their pledges, and due to a minor procedural error, this is a minor non-conformity.	[Sakaminato Marine Aquaculture Farm] (Sado Branch) The company forgot to obtain signatures on the "Pledge on Compliance with the Social Code of Conduct" from its main suppliers of aquaculture facilities. All other suppliers have submitted their pledges. In all other suppliers have submitted their pledges, and due to a minor procedural error, this is a minor non-conformity.	25/03/2021	24/06/2021	Open
6.1.7	Indicator: Evidence of worker access to effective, fair and confidential grievance procedures Requirement: Yes Applicability: All	[Sakaminato Marine Aquaculture Farm] The company forgot to obtain signatures on the "Pledge on Compliance with the Social Code of Conduct" from its main suppliers of aquaculture facilities. All other suppliers have submitted their pledges. In all other suppliers have submitted their pledges, and due to a minor procedural error, this is a minor non-conformity.	[Sakaminato Marine Aquaculture Farm] (Sado Branch) The company forgot to obtain signatures on the "Pledge on Compliance with the Social Code of Conduct" from its main suppliers of aquaculture facilities. All other suppliers have submitted their pledges. In all other suppliers have submitted their pledges, and due to a minor procedural error, this is a minor non-conformity.	25/03/2021	24/06/2021	Open
		[Sakaminato Marine Aquaculture Farm] The company forgot to obtain signatures on the "Pledge on Compliance with the Social Code of Conduct" from its main suppliers of aquaculture facilities. All other suppliers have submitted their pledges. In all other suppliers have submitted their pledges, and due to a minor procedural error, this is a minor non-conformity.	[Sakaminato Marine Aquaculture Farm] (Sado Branch) The company forgot to obtain signatures on the "Pledge on Compliance with the Social Code of Conduct" from its main suppliers of aquaculture facilities. All other suppliers have submitted their pledges. In all other suppliers have submitted their pledges, and due to a minor procedural error, this is a minor non-conformity.	25/03/2021	24/06/2021	Open
6.1.8	Indicator: Evidence of worker access to effective, fair and confidential grievance procedures Requirement: Yes Applicability: All	[Sakaminato Marine Aquaculture Farm] The company forgot to obtain signatures on the "Pledge on Compliance with the Social Code of Conduct" from its main suppliers of aquaculture facilities. All other suppliers have submitted their pledges. In all other suppliers have submitted their pledges, and due to a minor procedural error, this is a minor non-conformity.	[Sakaminato Marine Aquaculture Farm] (Sado Branch) The company forgot to obtain signatures on the "Pledge on Compliance with the Social Code of Conduct" from its main suppliers of aquaculture facilities. All other suppliers have submitted their pledges. In all other suppliers have submitted their pledges, and due to a minor procedural error, this is a minor non-conformity.	25/03/2021	24/06/2021	Open
		[Sakaminato Marine Aquaculture Farm] The company forgot to obtain signatures on the "Pledge on Compliance with the Social Code of Conduct" from its main suppliers of aquaculture facilities. All other suppliers have submitted their pledges. In all other suppliers have submitted their pledges, and due to a minor procedural error, this is a minor non-conformity.	[Sakaminato Marine Aquaculture Farm] (Sado Branch) The company forgot to obtain signatures on the "Pledge on Compliance with the Social Code of Conduct" from its main suppliers of aquaculture facilities. All other suppliers have submitted their pledges. In all other suppliers have submitted their pledges, and due to a minor procedural error, this is a minor non-conformity.	25/03/2021	24/06/2021	Open
6.1.9	Indicator: Evidence of worker access to effective, fair and confidential grievance procedures Requirement: Yes Applicability: All	[Sakaminato Marine Aquaculture Farm] The company forgot to obtain signatures on the "Pledge on Compliance with the Social Code of Conduct" from its main suppliers of aquaculture facilities. All other suppliers have submitted their pledges. In all other suppliers have submitted their pledges, and due to a minor procedural error, this is a minor non-conformity.	[Sakaminato Marine Aquaculture Farm] (Sado Branch) The company forgot to obtain signatures on the "Pledge on Compliance with the Social Code of Conduct" from its main suppliers of aquaculture facilities. All other suppliers have submitted their pledges. In all other suppliers have submitted their pledges, and due to a minor procedural error, this is a minor non-conformity.	25/03/2021	24/06/2021	Open
		[Sakaminato Marine Aquaculture Farm] The company forgot to obtain signatures on the "Pledge on Compliance with the Social Code of Conduct" from its main suppliers of aquaculture facilities. All other suppliers have submitted their pledges. In all other suppliers have submitted their pledges, and due to a minor procedural error, this is a minor non-conformity.	[Sakaminato Marine Aquaculture Farm] (Sado Branch) The company forgot to obtain signatures on the "Pledge on Compliance with the Social Code of Conduct" from its main suppliers of aquaculture facilities. All other suppliers have submitted their pledges. In all other suppliers have submitted their pledges, and due to a minor procedural error, this is a minor non-conformity.	25/03/2021	24/06/2021	Open
6.1.10	Indicator: Evidence of worker access to effective, fair and confidential grievance procedures Requirement: Yes Applicability: All	[Sakaminato Marine Aquaculture Farm] The company forgot to obtain signatures on the "Pledge on Compliance with the Social Code of Conduct" from its main suppliers of aquaculture facilities. All other suppliers have submitted their pledges. In all other suppliers have submitted their pledges, and due to a minor procedural error, this is a minor non-conformity.	[Sakaminato Marine Aquaculture Farm] (Sado Branch) The company forgot to obtain signatures on the "Pledge on Compliance with the Social Code of Conduct" from its main suppliers of aquaculture facilities. All other suppliers have submitted their pledges. In all other suppliers have submitted their pledges, and due to a minor procedural error, this is a minor non-conformity.	25/03/2021	24/06/2021	Open
		[Sakaminato Marine Aquaculture Farm] The company forgot to obtain signatures on the "Pledge on Compliance with the Social Code of Conduct" from its main suppliers of aquaculture facilities. All other suppliers have submitted their pledges. In all other suppliers have submitted their pledges, and due to a minor procedural error, this is a minor non-conformity.	[Sakaminato Marine Aquaculture Farm] (Sado Branch) The company forgot to obtain signatures on the "Pledge on Compliance with the Social Code of Conduct" from its main suppliers of aquaculture facilities. All other suppliers have submitted their pledges. In all other suppliers have submitted their pledges, and due to a minor procedural error, this is a minor non-conformity.	25/03/2021	24/06/2021	Open
6.1.11	Indicator: Evidence of worker access to effective, fair and confidential grievance procedures Requirement: Yes Applicability: All	[Sakaminato Marine Aquaculture Farm] The company forgot to obtain signatures on the "Pledge on Compliance with the Social Code of Conduct" from its main suppliers of aquaculture facilities. All other suppliers have submitted their pledges. In all other suppliers have submitted their pledges, and due to a minor procedural error, this is a minor non-conformity.	[Sakaminato Marine Aquaculture Farm] (Sado Branch) The company forgot to obtain signatures on the "Pledge on Compliance with the Social Code of Conduct" from its main suppliers of aquaculture facilities. All other suppliers have submitted their pledges. In all other suppliers have submitted their pledges, and due to a minor procedural error, this is a minor non-conformity.	25/03/2021	24/06/2021	Open
		[Sakaminato Marine Aquaculture Farm] The company forgot to obtain signatures on the "Pledge on Compliance with the Social Code of Conduct" from its main suppliers of aquaculture facilities. All other suppliers have submitted their pledges. In all other suppliers have submitted their pledges, and due to a minor procedural error, this is a minor non-conformity.	[Sakaminato Marine Aquaculture Farm] (Sado Branch) The company forgot to obtain signatures on the "Pledge on Compliance with the Social Code of Conduct" from its main suppliers of aquaculture facilities. All other suppliers have submitted their pledges. In all other suppliers have submitted their pledges, and due to a minor procedural error, this is a minor non-conformity.	25/03/2021	24/06/2021	Open

7.1.2	Indicator: Measures and evidence of an effective CSR policy and mechanism for the presentation, treatment and resolution of complaints by community stakeholders and organizations	Neither the Sakumata nor Sado sites have received any complaints from the local community. Interviews with stakeholders and questionnaires have not identified any complaints. When complaints are received, they are dealt with on a case-by-case basis, and there is no complaints handling policy or procedure that sets out how complaints from the community are received, handled and resolved.	Minor	[Sakumata Marine Aquaculture Farm] (Sado Branch) There is no complaints handling policy or procedure that sets out how complaints from the community are received, handled and resolved. As no complaints have been received from the local community and this is an issue of inadequate policies and procedures, it is a minor non-conformity.	25/03/2021	24/06/2021	Open	[Sakumata Marine Aquaculture Farm] (Sado Branch) When a complaint was received, it was dealt with by the operations department on a case-by-case basis, and no procedures were in place.	[Sakumata Marine Aquaculture Farm] (Sado Branch) When a complaint was received, it was dealt with by the operations department on a case-by-case basis, and no procedures were in place.	[Sakumata Marine Aquaculture Farm] (Sado Branch) When a complaint was received, it was dealt with by the operations department on a case-by-case basis, and no procedures were in place.
	Requirement: Yes Applicability: All									
7.2	Indicator: Evidence of assessments of company's impact on access to resources	[Sakumata Marine Aquaculture Farm] Sea cucumbers and Japanese uryu Shell have been identified as resources used by the local community. However, there was no documented assessment of the impact of the farm on community access to these resources. [Sado Branch] There is no set yearly. The resources used by the local community are considered to be the fish caught in the net. In order to understand the impact of the fish farm on access to the resource, interviews with residents and staff on 25 December 2020. As a result, it was determined that there has been no negative impact on the community's access to the resource, as there has been no reduction in harvest since 2013 when Tomiyama Suisan was established and no complaints from fishermen.	Minor	[Sakumata Marine Aquaculture Farm] The assessment of the impact of the fish farm on local community access to resources such as sea cucumber and Japanese uryu Shell was not documented. As there have been no complaints from the local community and it is unlikely that there is any actual impact, the issue is deemed to be one of inadequate documentation and is therefore a minor non-conformity.	25/03/2021	24/06/2021	Open	[Sakumata Marine Aquaculture Farm] The stock status of sea cucumbers and Japanese uryu Shell was not investigated, but this was sufficient as an assessment of the impact on community access.	[Sakumata Marine Aquaculture Farm] Documented as an assessment of the impact on community access to resources, based on the results of a survey of the status of resources such as sea cucumbers and Japanese uryu Shell.	[Sakumata Marine Aquaculture Farm] Continue to investigate the status of resources such as sea cucumbers and Japanese uryu Shell and continue to assess the impact on community access to these resources.
	Requirement: Yes Applicability: All	There were also no comments from stakeholder interviews or questionnaires that there has been an impact on community access to the resource.								
8.1	Indicator: Compliance with local and external regulations on water use and discharge, specifically providing permits related to water quality	[Tatsumi (smelt enclosed in the Sakumata Marine Aquaculture Farm)] In Tatsumi, smelt is grown at Hongo hatchery and two small farms (Shimogawaga and Fukuhagawaga). All three farms are semi-enclosed systems that take river water and discharge it into the river. The status of river water abstraction permits was not known. There is no regulation or permit system for water quality or discharge.	Minor	[Tatsumi] In Tatsumi, smelt is grown at Hongo hatchery and two small farms (Shimogawaga and Fukuhagawaga). All three farms are semi-enclosed systems that take river water and discharge it into the river. The status of river water abstraction permits was not known. Taking into account the stakeholder's comments, it is unlikely that this is an unauthorised operation, and no restriction on the amount of water taken. There are no regulations or permit systems for water quality or effluent. The production system type, together with other data, will be communicated to ASC.	25/03/2021	24/06/2021	Open	[Tatsumi] The evidence of documents concerning water rights on rivers was overlooked.	[Tatsumi] We checked the status of the permits for the abstraction of river water and submitted the permits.	[Tatsumi] If there are any changes or renewal to the permit in the future, documentary evidence will be submitted.
	Requirement: Yes Applicability: All Smolt Producers									
8.4	Indicator: Maximum total amount of phosphorus released into the environment per production lot of fish produced over a 12-month period (see Appendix VI of the Salmon standard v.1.5)	Phosphorus discharged during the whole 12-month production cycle was calculated from smolt production, mortality, phosphorus content of fish bodies, and phosphorus content of feed. The phosphorus content of the feed was provided by the feed company. The amount of sludge recovered was small and was not included in the calculations. [Tatsumi / Fukuhagawaga Small Farm and Shimogawaga Small Farm released 11.5kg and 1.4kg of phosphorus respectively. The Hongo hatchery is not applicable as it is an incubation facility. The Tatsumi Small Farm in Nagai released 12.7kg of phosphorus.	Major	[Tatsumi / Nagai] The maximum amount of phosphorus released into the environment per lot of smolt produced in the 12 months was more than 4kg at all sites and did not comply with the standard. The numerical standard has not been achieved and is therefore a major non-conformity.	25/03/2021	24/06/2021	Open	[Tatsumi / Nagai] A site survey was carried out with the intention of increasing could be possible to decrease the amount of sludge recovered from fish production and from sludge recovery system using a mobile sludge dewatering vehicle or drum filter was submitted.	[Tatsumi / Nagai] The amount of phosphorus recovered from fish production and from sludge recovery system using a mobile sludge dewatering vehicle or drum filter was submitted.	[Tatsumi / Nagai] We will trial phosphorus recovery using mobile sludge dewatering vehicle or drum filter during this period to meet the standard. The collection of sludge will be disposed of at industrial waste in addition to the above plan, the company will also consider purchase smelt from
	Requirement: Yes Applicability: All Smolt Producers									
8.20	Indicator: Evidence of regular consultation and engagement with community representatives and organizations	[Tatsumi] On the Fukushima and Shimogawaga, there are consultations with the local community at least twice a year. Smolt Farm communicates with landowners on a regular basis. As an example, checked records of meetings with local communities at Smolt Farm in Tatsumi to discuss issues such as dealing with water discharges. Confirmed records of discussions with the forest owner and the Green Youth League during participation in the Tatsumi Prefecture "Sportsman Forum" event to protect spring water and the sea nurture fish activities. [Nagai] The Tatsumi Small Farm in Nagai has plans to establish a new farm in another area, and there are records of discussions with residents. Records of visits to residents for this briefing were checked. In preparation for this audit, smolt farm interview the Ibaratomo community will be helping them to clear the undergrowth upstream. However, this kind of community engagement was not a regular event and there was no mechanism for consultation more than twice a year.	Minor	[Nagai] Communication between Tatsumi Smolt farm and the local community is not regular. e.g. during events, and there was no mechanism for consultation more than twice a year. This is a minor non-conformity as consultations are held with the local community when necessary.	25/03/2021	24/06/2021	Open	[Nagai] Discussions were held with the Chairman of the Tomioka Community Association and the Chairman of the Ibaratomo Miyazaki Association.	[Nagai] It was decided that regular discussions would take place in the future when the community chairman is elected and when the Ibaratomo Miyazaki Association participates in the undergrowth cutting carried out by the Ibaratomo Miyazaki Association.	[Nagai] We will continue to respond to complaints in accordance with our procedures.
	Requirement: Yes Applicability: All Smolt Producers									
8.21	Indicator: Evidence of a policy for the presentation, treatment and resolution of complaints by community stakeholders and organizations	[Tatsumi] The company's hatchery (Nagai) received a letter of request on 5 December 2019 from the local community association requesting slow driving in the area. Tomiyama Suisan replied in the name of the company's president on 25 December 2019 to take measures and was accepted by the local community association. No further complaints have been received since then. [Nagai] The Tatsumi Small Farm has not received any complaints. As some households in the area were using groundwater, discussions were held with the residents' association. The residents' association agreed to the use of groundwater by the smolt farm, with the aquaculture farm paying for the installation of water distribution pipes by the required households.	Minor	[Tatsumi / Nagai] Complaints were dealt with and resolved without any problem, but there was no grievance procedure in place when a complaint was submitted by a community stakeholder or organization. This is a minor non-conformity as it is a deficiency in the preparation of the procedure and the complaint response was appropriately resolved.	25/03/2021	24/06/2021	Open	[Tatsumi / Nagai] When a complaint was received, it was dealt with by the operations department on a case-by-case basis, and no procedures were in place.	[Tatsumi / Nagai] When a complaint was received, it was dealt with by the operations department on a case-by-case basis, and no procedures were in place.	[Tatsumi / Nagai] We will continue to respond to complaints in accordance with our procedures.
	Requirement: Yes Applicability: All Smolt Producers	Complaints were dealt with and resolved without problems, but there was no grievance procedure in place when complaints were submitted to community stakeholders or organizations.								
8.26	Indicator: Macro-invertebrate surveys downstream from the farm's effluent discharge demonstrate benthic health that is similar or better than surveys upstream from the discharge (see Appendix VI of the Salmon standard v.1.5)	[Tatsumi] A Macro-invertebrate survey was carried out in October 2019. The analysis of benthic samples was entrusted to a specialized survey company. The benthic fauna was compared using the mean score method of analysis of benthic samples from upstream and downstream of the drainage. At the Fukushima Small Farm, the upstream and downstream biota scores were comparable. At the Fukuhagawaga Small Farm, the biota score was lower in the downstream than in the upstream. An additional survey will be conducted in March 2021 to confirm the results.	Major	[Tatsumi / Nagai] At the Tatsumi and Sado sites the benthic fauna downstream of the drainage position was not as good as the upstream. The standard could not be met and is therefore a major non-conformity.	25/03/2021	24/06/2021	Open	[Tatsumi / Nagai] A single survey was sufficient to understand the benthic fauna.	[Tatsumi / Nagai] The second benthic biota survey resulted in a good biota both upstream and	[Tatsumi / Nagai] A second survey was carried out on 8 March and the results showed good biota both upstream and downstream of the drainage. The survey will continue to be carried out once a year.
	Requirement: Yes Applicability: All Smolt Producers Using Semi-Closed or Closed Production Systems	Tatsumi Small Farm commissioned a specialist survey company to analyse benthic samples in December 2020 and found that the benthic biota score was inferior downstream of the drainage than upstream. An additional survey will be carried out in March 2021 to confirm this.								
8.29	Indicator: Evidence of implementation of biofilters (sludge) Best Management Practices (BMPs) (Appendix VII of the Salmon standard v.1.5)	[Tatsumi] Procedures for sludge disposal at the smolt farms and a hatchery. Sludge from the settling tanks is pumped by the company's own trucks, delinked at the head office wastewater treatment facility, and then consigned to an industrial waste disposal company for disposal. The method record of the sludge disposal is confirmed. [Nagai] Tatsumi Small Farm has developed an aquaculture management procedure. This includes a section on the disposal of sludge. If there is an accumulation of biofilters in the settling ponds, it will be treated in a responsible manner and records will be maintained. However, no records of sludge disposal were presented.	Minor	[Nagai] Records of sludge disposal were not presented. This is a minor non-conformity as the records are incomplete.	25/03/2021	24/06/2021	Open	[Nagai] To date, no records have been kept of sludge disposal as it has never been carried out.	[Nagai] To date, no records have been kept of sludge disposal as it has never been carried out.	[Nagai] To plan to treat the sludge when it is collected in

[illegible]

[illegible]

[illegible]

[illegible]

[illegible]

Where the requirement is "None", please use 0 (zero)
if requirement is met

Corresponds to ASC Seriola & Cobia standard version 1.1

[illegible]

[illegible]

[illegible]

[illegible]

Summary of Standard Non Conformities (NC)

Standard: Salmon
Version: 1.3

NC Type	NC Totals
Major	0
Minor	0
Total	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CAB)	Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Minor NC detected for sites (List site ID's)	Major NC detected for sites (List site ID's)	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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[illegible]

[illegible]

[illegible]

[illegible]

[illegible]

[illegible]

[illegible]

Metric table

Where the requirement is "None", please use 0 (zero) if requirement is met

Corresponds to ASC Seabass, Seabream & Meagre standard version 1.1

[illegible]

[illegible]

[illegible]

[illegible]

Summary of Standard Non Conformities (NC)

Standard: Salmon
Version: 1.3

NC Type	NC Totals
Major	0
Minor	0
Total	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CAB)	Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Minor NC detected for sites (List site ID's)	Major NC detected for sites (List site ID's)	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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[illegible]

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[illegible]

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[illegible]

[illegible]

[illegible]

Where the requirement is "None", please use 0 (zero) if requirement is met

Corresponds to ASC Freshwater Trout standard version 1.2

[illegible]

[illegible]

[illegible]

[illegible]

Summary of Standard Non Conformities (NC)

Standard: Salmon
Version: 1.3

NC Type	NC Totals
Major	0
Minor	0
Total	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CAB)	Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Minor NC detected for sites (List site ID's)	Major NC detected for sites (List site ID's)	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor Evaluation	Extension justification	New deadline for NC close-out	Notes
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[illegible]

[illegible]

[illegible]

<p>7.8.1</p> <p>Indicator: Incidences, violations or abuse of working hours and overtime laws or expectations (see Appendix of the Bivale standard v.1.1)</p> <p>Requirement: None</p> <p>Applicability: All</p>	Compliant																																							
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Metric table

Where the requirement is "None", please use 0 (zero) if requirement is met

Corresponds to ASC Bivalve standard version 1.1

[illegible]

Summary of Standard Non Conformities (NC)

Standard: Salmon
Version: 1.3

NC Type	NC Totals
Major	0
Minor	0
Total	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CAB)	Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Minor NC detected for sites (List site ID's)	Major NC detected for sites (List site ID's)	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	O&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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[illegible]

[illegible]

[illegible]

[illegible]

it is "None", please use 0 (zero) if requirement is met

Corresponds to ASC Abalone standard version 1.1

[illegible]

Summary of Standard Non Conformities (NC)

Standard: Salmon
Version: 1.3

NC Type	NC Totals
Major	0
Minor	0
Total	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CAB)	Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Minor NC detected for sites (List site ID's)	Major NC detected for sites (List site ID's)	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	O&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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[illegible]

[illegible]

[illegible]

Where the requirement is "None", please use 0 (zero)
if requirement is met

Corresponds to ASC Tilapia standard version 1.2

[illegible]

2
3

2
3



50
51
52



2
3



1
0
-1



25
24
23



0.6
0.5
0.4

2
3

8	✓	67	✗	2	✓	5	✓	97	✓	63	12	✗	22
9	✓	68	✗	3	✓	6	✓	98	✓	62	13	✗	23
10	✓	69			✓	7	✓	99	✓	61	14	✗	24

✕
✕
✕

6
7
8

✕
✕
✕

22
23
24

✕
✕
✕

12
13
14

✕
✕

2
3

Summary of Standard Non Conformities (NC)

Standard: Salmon
Version: 1.3

NC Type	NC Totals
Major	0
Minor	0
Total	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CAB)	Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Minor NC detected for sites (List site ID's)	Major NC detected for sites (List site ID's)	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	O&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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[illegible]

[illegible]

[illegible]

[illegible]

[illegible]

Metric table

Where the requirement is "None", please use 0 (zero) if requirement is met

Corresponds to ASC Shrimp standard version 1.1

[illegible]

[illegible]

[illegible]

Summary of Standard Non Conformities (NC)

Standard: Salmon
Version: 1.3

NC Type	NC Totals
Major	0
Minor	0
Total	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CAB)	Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Minor NC detected for sites (List site ID's)	Major NC detected for sites (List site ID's)	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	O&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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[illegible]

[illegible]

[illegible]

[illegible]

Where the requirement is "None", please use 0 (zero) if requirement is met

Corresponds to ASC Pangasius standard version 1.2

Summary of Standard Non Conformities (NC)

Standard: Salmon
Version: 1.3

NC Type	NC Totals
Major	0
Minor	0
Total	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CAB)	Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Minor NC detected for sites (List site ID's)	Major NC detected for sites (List site ID's)	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	O&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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[illegible]

1	1.1	1.1.1	1.1.2	1.1.3	1.1.4	1.1.5	1.1.6	1.1.7	1.1.8	1.1.9	1.1.10	1.1.11	1.1.12	1.1.13	1.1.14	1.1.15	1.1.16	1.1.17	1.1.18	1.1.19	1.1.20	1.1.21	1.1.22	1.1.23	1.1.24	1.1.25	1.1.26	1.1.27	1.1.28	1.1.29	1.1.30	1.1.31	1.1.32	1.1.33	1.1.34	1.1.35	1.1.36	1.1.37	1.1.38	1.1.39	1.1.40	1.1.41	1.1.42	1.1.43	1.1.44	1.1.45	1.1.46	1.1.47	1.1.48	1.1.49	1.1.50	1.1.51	1.1.52	1.1.53	1.1.54	1.1.55	1.1.56	1.1.57	1.1.58	1.1.59	1.1.60	1.1.61	1.1.62	1.1.63	1.1.64	1.1.65	1.1.66	1.1.67	1.1.68	1.1.69	1.1.70	1.1.71	1.1.72	1.1.73	1.1.74	1.1.75	1.1.76	1.1.77	1.1.78	1.1.79	1.1.80	1.1.81	1.1.82	1.1.83	1.1.84	1.1.85	1.1.86	1.1.87	1.1.88	1.1.89	1.1.90	1.1.91	1.1.92	1.1.93	1.1.94	1.1.95	1.1.96	1.1.97	1.1.98	1.1.99	1.1.100
2	2.1	2.1.1	2.1.2	2.1.3	2.1.4	2.1.5	2.1.6	2.1.7	2.1.8	2.1.9	2.1.10	2.1.11	2.1.12	2.1.13	2.1.14	2.1.15	2.1.16	2.1.17	2.1.18	2.1.19	2.1.20	2.1.21	2.1.22	2.1.23	2.1.24	2.1.25	2.1.26	2.1.27	2.1.28	2.1.29	2.1.30	2.1.31	2.1.32	2.1.33	2.1.34	2.1.35	2.1.36	2.1.37	2.1.38	2.1.39	2.1.40	2.1.41	2.1.42	2.1.43	2.1.44	2.1.45	2.1.46	2.1.47	2.1.48	2.1.49	2.1.50	2.1.51	2.1.52	2.1.53	2.1.54	2.1.55	2.1.56	2.1.57	2.1.58	2.1.59	2.1.60	2.1.61	2.1.62	2.1.63	2.1.64	2.1.65	2.1.66	2.1.67	2.1.68	2.1.69	2.1.70	2.1.71	2.1.72	2.1.73	2.1.74	2.1.75	2.1.76	2.1.77	2.1.78	2.1.79	2.1.80	2.1.81	2.1.82	2.1.83	2.1.84	2.1.85	2.1.86	2.1.87	2.1.88	2.1.89	2.1.90	2.1.91	2.1.92	2.1.93	2.1.94	2.1.95	2.1.96	2.1.97	2.1.98	2.1.99	2.1.100
3	3.1	3.1.1	3.1.2	3.1.3	3.1.4	3.1.5	3.1.6	3.1.7	3.1.8	3.1.9	3.1.10	3.1.11	3.1.12	3.1.13	3.1.14	3.1.15	3.1.16	3.1.17	3.1.18	3.1.19	3.1.20	3.1.21	3.1.22	3.1.23	3.1.24	3.1.25	3.1.26	3.1.27	3.1.28	3.1.29	3.1.30	3.1.31	3.1.32	3.1.33	3.1.34	3.1.35	3.1.36	3.1.37	3.1.38	3.1.39	3.1.40	3.1.41	3.1.42	3.1.43	3.1.44	3.1.45	3.1.46	3.1.47	3.1.48	3.1.49	3.1.50	3.1.51	3.1.52	3.1.53	3.1.54	3.1.55	3.1.56	3.1.57	3.1.58	3.1.59	3.1.60	3.1.61	3.1.62	3.1.63	3.1.64	3.1.65	3.1.66	3.1.67	3.1.68	3.1.69	3.1.70	3.1.71	3.1.72	3.1.73	3.1.74	3.1.75	3.1.76	3.1.77	3.1.78	3.1.79	3.1.80	3.1.81	3.1.82	3.1.83	3.1.84	3.1.85	3.1.86	3.1.87	3.1.88	3.1.89	3.1.90	3.1.91	3.1.92	3.1.93	3.1.94	3.1.95	3.1.96	3.1.97	3.1.98	3.1.99	3.1.100
4	4.1	4.1.1	4.1.2	4.1.3	4.1.4	4.1.5	4.1.6	4.1.7	4.1.8	4.1.9	4.1.10	4.1.11	4.1.12	4.1.13	4.1.14	4.1.15	4.1.16	4.1.17	4.1.18	4.1.19	4.1.20	4.1.21	4.1.22	4.1.23	4.1.24	4.1.25	4.1.26	4.1.27	4.1.28	4.1.29	4.1.30	4.1.31	4.1.32	4.1.33	4.1.34	4.1.35	4.1.36	4.1.37	4.1.38	4.1.39	4.1.40	4.1.41	4.1.42	4.1.43	4.1.44	4.1.45	4.1.46	4.1.47	4.1.48	4.1.49	4.1.50	4.1.51	4.1.52	4.1.53	4.1.54	4.1.55	4.1.56	4.1.57	4.1.58	4.1.59	4.1.60	4.1.61	4.1.62	4.1.63	4.1.64	4.1.65	4.1.66	4.1.67	4.1.68	4.1.69	4.1.70	4.1.71	4.1.72	4.1.73	4.1.74	4.1.75	4.1.76	4.1.77	4.1.78	4.1.79	4.1.80	4.1.81	4.1.82	4.1.83	4.1.84	4.1.85	4.1.86	4.1.87	4.1.88	4.1.89	4.1.90	4.1.91	4.1.92	4.1.93	4.1.94	4.1.95	4.1.96	4.1.97	4.1.98	4.1.99	4.1.100
5	5.1	5.1.1	5.1.2	5.1.3	5.1.4	5.1.5	5.1.6	5.1.7	5.1.8	5.1.9	5.1.10	5.1.11	5.1.12	5.1.13	5.1.14	5.1.15	5.1.16	5.1.17	5.1.18	5.1.19	5.1.20	5.1.21	5.1.22	5.1.23	5.1.24	5.1.25	5.1.26	5.1.27	5.1.28	5.1.29	5.1.30	5.1.31	5.1.32	5.1.33	5.1.34	5.1.35	5.1.36	5.1.37	5.1.38	5.1.39	5.1.40	5.1.41	5.1.42	5.1.43	5.1.44	5.1.45	5.1.46	5.1.47	5.1.48	5.1.49	5.1.50	5.1.51	5.1.52	5.1.53	5.1.54	5.1.55	5.1.56	5.1.57	5.1.58	5.1.59	5.1.60	5.1.61	5.1.62	5.1.63	5.1.64	5.1.65	5.1.66	5.1.67	5.1.68	5.1.69	5.1.70	5.1.71	5.1.72	5.1.73	5.1.74	5.1.75	5.1.76	5.1.77	5.1.78	5.1.79	5.1.80	5.1.81	5.1.82	5.1.83	5.1.84	5.1.85	5.1.86	5.1.87	5.1.88	5.1.89	5.1.90	5.1.91	5.1.92	5.1.93	5.1.94	5.1.95	5.1.96	5.1.97	5.1.98	5.1.99	5.1.100
6	6.1	6.1.1	6.1.2	6.1.3	6.1.4	6.1.5	6.1.6	6.1.7	6.1.8	6.1.9	6.1.10	6.1.11	6.1.12	6.1.13	6.1.14	6.1.15	6.1.16	6.1.17	6.1.18	6.1.19	6.1.20	6.1.21	6.1.22	6.1.23	6.1.24	6.1.25	6.1.26	6.1.27	6.1.28	6.1.29	6.1.30	6.1.31	6.1.32	6.1.33	6.1.34	6.1.35	6.1.36	6.1.37	6.1.38	6.1.39	6.1.40	6.1.41	6.1.42	6.1.43	6.1.44	6.1.45	6.1.46	6.1.47	6.1.48	6.1.49	6.1.50	6.1.51	6.1.52	6.1.53	6.1.54	6.1.55	6.1.56	6.1.57	6.1.58	6.1.59	6.1.60	6.1.61	6.1.62	6.1.63	6.1.64	6.1.65	6.1.66	6.1.67	6.1.68	6.1.69	6.1.70	6.1.71	6.1.72	6.1.73	6.1.74	6.1.75	6.1.76	6.1.77	6.1.78	6.1.79	6.1.80	6.1.81	6.1.82	6.1.83	6.1.84	6.1.85	6.1.86	6.1.87	6.1.88	6.1.89	6.1.90	6.1.91	6.1.92	6.1.93	6.1.94	6.1.95	6.1.96	6.1.97	6.1.98	6.1.99	6.1.100
7	7.1	7.1.1	7.1.2	7.1.3	7.1.4	7.1.5	7.1.6	7.1.7	7.1.8	7.1.9	7.1.10	7.1.11	7.1.12	7.1.13	7.1.14	7.1.15	7.1.16	7.1.17	7.1.18	7.1.19	7.1.20	7.1.21	7.1.22	7.1.23	7.1.24	7.1.25	7.1.26	7.1.27	7.1.28	7.1.29	7.1.30	7.1.31	7.1.32	7.1.33	7.1.34	7.1.35	7.1.36	7.1.37	7.1.38	7.1.39	7.1.40	7.1.41	7.1.42	7.1.43	7.1.44	7.1.45	7.1.46	7.1.47	7.1.48	7.1.49	7.1.50	7.1.51	7.1.52	7.1.53	7.1.54	7.1.55	7.1.56	7.1.57	7.1.58	7.1.59	7.1.60	7.1.61	7.1.62	7.1.63	7.1.64	7.1.65	7.1.66	7.1.67	7.1.68	7.1.69	7.1.70	7.1.71	7.1.72	7.1.73	7.1.74	7.1.75	7.1.76	7.1.77	7.1.78	7.1.79	7.1.80	7.1.81	7.1.82	7.1.83	7.1.84	7.1.85	7.1.86	7.1.87	7.1.88	7.1.89	7.1.90	7.1.91	7.1.92	7.1.93	7.1.94	7.1.95	7.1.96	7.1.97	7.1.98	7.1.99	7.1.100
8	8.1	8.1.1	8.1.2	8.1.3	8.1.4	8.1.5	8.1.6	8.1.7	8.1.8	8.1.9	8.1.10	8.1.11	8.1.12	8.1.13	8.1.14	8.1.15	8.1.16	8.1.17	8.1.18	8.1.19	8.1.20	8.1.21	8.1.22	8.1.23	8.1.24	8.1.25	8.1.26	8.1.27	8.1.28	8.1.29	8.1.30	8.1.31	8.1.32	8.1.33	8.1.34	8.1.35	8.1.36	8.1.37	8.1.38	8.1.39	8.1.40	8.1.41	8.1.42	8.1.43	8.1.44	8.1.45	8.1.46	8.1.47	8.1.48	8.1.49	8.1.50	8.1.51	8.1.52	8.1.53	8.1.54	8.1.55	8.1.56	8.1.57	8.1.58	8.1.59	8.1.60	8.1.61	8.1.62	8.1.63	8.1.64	8.1.65	8.1.66	8.1.67	8.1.68	8.1.69	8.1.70	8.1.71	8.1.72	8.1.73	8.1.74	8.1.75	8.1.76	8.1.77	8.1.78	8.1.79	8.1.80	8.1.81	8.1.82	8.1.83	8.1.84	8.1.85	8.1.86	8.1.87	8.1.88	8.1.89	8.1.90	8.1.91	8.1.92	8.1.93	8.1.94	8.1.95	8.1.96	8.1.97	8.1.98	8.1.99	8.1.100
9	9.1	9.1.1	9.1.2	9.1.3	9.1.4	9.1.5	9.1.6	9.1.7	9.1.8	9.1.9	9.1.10	9.1.11	9.1.12	9.1.13	9.1.14	9.1.15	9.1.16	9.1.17	9.1.18	9.1.19	9.1.20	9.1.21	9.1.22	9.1.23	9.1.24	9.1.25	9.1.26	9.1.27	9.1.28	9.1.29	9.1.30	9.1.31	9.1.32	9.1.33	9.1.34	9.1.35	9.1.36	9.1.37	9.1.38	9.1.39	9.1.40	9.1.41	9.1.42	9.1.43	9.1.44	9.1.45	9.1.46	9.1.47	9.1.48	9.1.49	9.1.50	9.1.51	9.1.52	9.1.53	9.1.54	9.1.55	9.1.56	9.1.57	9.1.58	9.1.59	9.1.60	9.1.61	9.1.62	9.1.63	9.1.64	9.1.65	9.1.66	9.1.67	9.1.68	9.1.69	9.1.70	9.1.71	9.1.72	9.1.73	9.1.74	9.1.75	9.1.76	9.1.77	9.1.78	9.1.79	9.1.80	9.1.81	9.1.82	9.1.83	9.1.84	9.1.85	9.1.86	9.1.87	9.1.88	9.1.89	9.1.90	9.1.91	9.1.92	9.1.93	9.1.94	9.1.95	9.1.96	9.1.97	9.1.98	9.1.99	9.1.100
10	10.1	10.1.1	10.1.2	10.1.3	10.1.4	10.1.5	10.1.6	10.1.7	10.1.8	10.1.9	10.1.10	10.1.11	10.1.12	10.1.13	10.1.14	10.1.15	10.1.16	10.1.17	10.1.18	10.1.19	10.1.20	10.1.21	10.1.22	10.1.23	10.1.24	10.1.25	10.1.26	10.1.27	10.1.28	10.1.29	10.1.30	10.1.31	10.1.32	10.1.33	10.1.34	10.1.35	10.1.36	10.1.37	10.1.38	10.1.39	10.1.40	10.1.41	10.1.42	10.1.43	10.1.44	10.1.45	10.1.46	10.1.47	10.1.48	10.1.49	10.1.50	10.1.51	10.1.52	10.1.53	10.1.54	10.1.55	10.1.56	10.1.57	10.1.58	10.1.59	10.1.60	10.1.61	10.1.62	10.1.63	10.1.64	10.1.65	10.1.66	10.1.67	10.1.68	10.1.69	10.1.70	10.1.71	10.1.72	10.1.73	10.1.74	10.1.75	10.1.76	10.1.77	10.1.78	10.1.79	10.1.80	10.1.81	10.1.82	10.1.83	10.1.84	10.1.85	10.1.86	10.1.87	10.1.88	10.1.89	10.1.90	10.1.91	10.1.92	10.1.93	10.1.94	10.1.95	10.1.96	10.1.97	10.1.98	10.1.99	10.1.100
11	11.1	11.1.1	11.1.2	11.1.3	11.1.4	11.1.5	11.1.6	11.1.7	11.1.8	11.1.9	11.1.10	11.1.11	11.1.12	11.1.13	11.1.14	11.1.15	11.1.16	11.1.17	11.1.18	11.1.19	11.1.20	11.1.21	11.1.22	11.1.23	11.1.24	11.1.25	11.1.26	11.1.27	11.1.28	11.1.29	11.1.30	11.1.31	11.1.32	11.1.33	11.1.34	11.1.35	11.1.36	11.1.37	11.1.38	11.1.39	11.1.40	11.1.41	11.1.42	11.1.43	11.1.44	11.1.45	11.1.46	11.1.47	11.1.48	11.1.49	11.1.50	11.1.51	11.1.52	11.1.53	11.1.54	11.1.55	11.1.56	11.1.57	11.1.58	11.1.59	11.1.60	11.1.61	11.1.62	11.1.63	11.1.64	11.1.65	11.1.66	11.1.67	11.1.68	11.1.69	11.1.70	11.1.71	11.1.72	11.1.73	11.1.74	11.1.75	11.1.76	11.1.77	11.1.78	11.1.79	11.1.80	11.1.81	11.1.82	11.1.83	11.1.84	11.1.85	11.1.86	11.1.87	11.1.88	11.1.89	11.1.90	11.1.91	11.1.92	11.1.93	11.1.94	11.1.95	11.1.96	11.1.97	11.1.		

[illegible]

Metric table Where the requirement is "None", please use 0 (zero) if requirement is met

Corresponds to ASC Flatfish standard version 1.0

Summary of Standard Non Conformities (NC)

Standard: Salmon
Version: 1.3

NC Type	NC Totals
Major	0
Minor	0
Total	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CAB)	Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Minor NC detected for sites (List site ID's)	Major NC detected for sites (List site ID's)	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	O&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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[illegible]

Metric table Where the requirement is "None", please use 0 (zero) if requirement is met

Corresponds to ASC Tropical Marine Finfish standard version 1.0

Summary of Standard Non Conformities (NC)

Standard: Salmon
Version: 1.3

NC Type	NC Totals
Major	0
Minor	0
Total	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CAB)	Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Minor NC detected for sites (List site ID's)	Major NC detected for sites (List site ID's)	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	O&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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