

Instructions and disclaimers

General:

The announcement and report shall be submitted via mail to ASC in **Excel** if not specified differently: certification@asc-aqua.org

If you would like to register a problem or issue with the document or wish to leave feedback and suggestions for improvements, please contact: certification@asc-aqua.org

All fields must be visible in Excel format and no field shall be omitted or deleted. ASC reserves the right to refuse to publish incomplete and low quality reports.

This document has been developed in collaboration with the [ASC Programme Assurance team](#) and [Standards & Science team](#) for ASC single-site, multi-site and group certification farm audits. A copy of all documents (Audit reports templates, confidential annexes etc.) are uploaded on to the ASC website and are publicly available.

Only fully completed forms are accepted by the ASC. Incomplete forms may lead to a delay in the certification process.

In case the audit report template for Multi-site and Group-certification does not contain enough site rows for the amount of sites applicable for a specific applicant, please contact certification@asc-aqua.org

How to use this document

This document layout is formatted into tables for data entry and questions to record answers and evidence.

You can adjust the width of the columns to display all information.

Users shall **not** delete any rows or columns.

Data filled out in the audit announcement (form 3) sheet, will be automatically populated in the audit report itself.

Be aware that there are 3 different types of audit report templates: 1. Single-site, 2. Multi-site and 3. Group certification.

Next to these 3 templates, there is the Audit Report Template V2.0 Confidential Annex, applicable to the 3 different versions of the audit report template.

Document type - PDF conversion - Guidance

Always save your document as a **macro-enabled** spreadsheet (click on "File", "Save As" and make sure the document type is saved as "Excel Macro-Enabled Workbook").

If your audit team plans to work on a shared online document - ensure the platform app supports **macro-enabled** functionality.

Please do not delete any rows, columns, fields or sheets (except for irrelevant species sheets). As the template is running multiple macros and formulas in the background, it is NOT possible to take out any sheets and copy paste them back in.

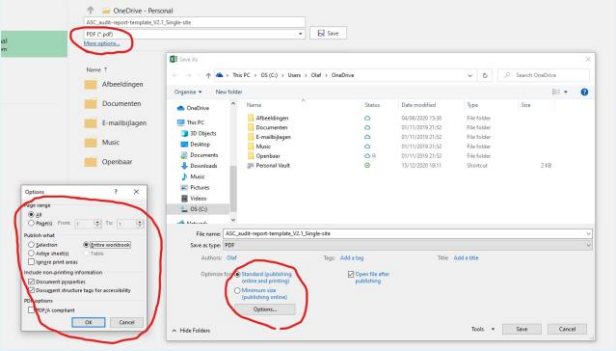
To save the full excel document as a pdf, please follow these steps:

1. Click on "File", "Save As"
2. Select PDF as document type and click on "more options"
3. Click on "options" in the box that shows up
4. Set "page range" to All and set "publish what" to Entire workbook and click on "ok"
5. Click on "Save" and the entire document will be saved as a PDF document in the correct format

Extra note:

The page layout is selected in a way that all tables are visible in the PDF.

Tables may appear small, however it is possible to read them by using the PDF zoom function.



Spelling Check

How to run the excel spell check in this document?

- This can be enabled with the following key combination: Ctrl + Shift + S.
- This opens the spell-check dialogue box, cycling through all the recognised spelling mistakes.
- After the last recognised mistake is address - the dialogue closes and the worksheet is automatically locked again.
- If there are no mistakes the dialogue box does not open.
- This then needs to be repeated for each worksheet with audit data.

(The spell check ignores capitalised abbreviations - but will still identify mix abbreviations as mistakes e.g. UoC)

What if changes are made?

If changes were made e.g. from the announcement to the audit report or when an updated report is submitted to ASC, ALL cells containing changes shall be highlighted in yellow for transparency reasons, and as far as possible a clear statement on the update shall be made in the respective field.

Confidential information - Data protection and publication of reports

All fields in the announcement and audit report are mandatory and shall be filled out and will be made publicly available. However, should the client or CAB desire to submit certain data confidentially (e.g., for GDPR or commercial sensitivity reasons), this data can be entered in the **confidential annexes**, which are provided in a **separate document** than this audit report template. Please BE AWARE that confidential Annex-3 is always mandatory to be filled out and shall be submitted to the ASC, together with the audit report. Confidential annexes will NOT be made publicly available.

Comments or guidance

For a lot of the fields in the announcement and audit report, a guidance field pops up as soon as the field is selected.

Some fields are marked with a red triangle in the corner, meaning that the field contains a guidance comment.

Data restrictions & conditional formatting

This audit report template contains a lot of fields with data validation and data restriction fields, allowing for only a certain type of data to be filled out in the specific field (For example, date fields, picklists, numbers etc.). Please ONLY fill out the requested type of data. If not filled out correctly, an error message will pop up, giving guidance to enter the correct type of data.

The audit report template also contains a lot of fields with conditional formatting, meaning that cells or field will be highlighted, based on the type of data filled out. Some cells are highlighted with red, these will change to blue when data is entered into the cell. This makes it easier to detect fields that are not filled out, but shall be filled out. Please note that all fields are required and shall be filled out.

Link to all the sheets
Audit Announcement (Form3)
1) General_client-CAB info
2) Audit information
3) Site information
4) Harvest witnessing
5) Stakeholder engagement
6) Social Audit
7) Traceability risk assessment
8) UoC volumes&Audit Closing
9) Open & Extended NCs
10) BEIA-PSIA checklist
Audit findings "species"

Audit Announcement (Form 3)

Please note that all data entered in this audit announcement sheet will be automatically populated to the specific fields in the sheets of the audit report itself. SinecOS is/are provided by ASC in the confirmation email of the publication of this Form 3.

2. General client/CAB information

1.1 Document Type	Final Report
1.2 Document language	English
1.3 Second Document language	N/A
1.4 Unit of certification body	Single Site
1.5 Company name	MOVI Capital
1.6 UIC Name	Sustainable Park
1.9 Country where UIC is located	Canada
1.10 Accredited Standard	Salesian
1.7 Standard version	1.3
1.8 Certification process to subject by CAR version	2.2
1.9 Name of the Conformity assessment body (CAR)	DNV GL Business Assurance Norway AS

Client contact person - from the UIC	
1.15 First name	Adrian
1.16 Surname	Mogren
1.17 Position in the UIC (job title)	Certification Manager
1.18 Email address	Adrian.Mogren@dnv.com
1.19 Phone number	+1 250 800 3275
1.20 Other means of contact e.g. Skype	N/A

2. Audit information

2.1 ASC standard activities covered by the audit		ASC standard processes			
2.1.1	Process 1	Control			
2.1.2	Process 2	Control			
2.1.3	Process 3	Control			
2.1.4	Process 4	Control			
2.1.5	Process 5	Control			
2.1.6	Process 6	Control			
2.1.7	Process 7	Control			
2.1.8	Process 8	Control			
2.2 Activities covered under the scope of the certification and under the scope of the audit		Activity	Under scope of certification	Under scope of this audit	Name
Activities in the table apply to final product only					
2.2.1	Shipping	Control	Control	Control	N/A
2.2.2	Receiving	Control	Control	Control	N/A
2.2.3	Inventory Mgt	Control	Control	Control	N/A
2.2.4	Transfering	Control	Control	Control	N/A
2.2.5	Harvest	Control	Control	Control	N/A
2.2.6	Recreation	Control	Control	Control	N/A
2.2.7	Fattening	Control	Control	Control	N/A
2.2.8	Transportation	Control	Not Controlled	Not Controlled	N/A
2.2.9	Storage (if present in farm)	Not Controlled	Not Controlled	Not Controlled	N/A
2.2.10	Feeding (if present in farm)	Not Controlled	Not Controlled	Not Controlled	N/A
2.2.11	Feeding (if present in farm)	Not Controlled	Not Controlled	Not Controlled	N/A
2.2.12	Other Process/Activity	Not Controlled	Not Controlled	Not Controlled	N/A
2.3 Certification cycle		2			
2.4 Audit type		Recertification audit			
2.5 Audit number or certification cycle		1			
2.6 Will harvesting be witnessed during audit?		Yes			
2.6.1 If harvest is NOT witnessed, please justify:		Reserve Audit			
2.7 Audit conducted (in country/Region)					

Please indicate the hours assigned to the different audit activities in the table below, separated by the hours spent on the activities by the environmental and social auditor/s

2.2		2.3		2.4	
Time assigned to audit activities		Social Auditor's		Environmental Auditor's	
Office activities	2A		2A		
On-site activities	0		0		
Total man days	3		3		

Audit team and other involved persons		2.1		2.2		2.3		2.4		2.5	
S/N		Name		Role		Expertise needed for the audit		Expertise needed for technical aspects only		Pilot on-site or remote?	
Carlsson	Paul	Audit Team leader		N/A		Remote					

3. Site information

3.1	3.2	3.3	3.4	3.5	3.6	3.7	3.8	3.9	3.10	3.11	3.12	3.13	3.14	3.15	3.16	3.17	3.18
Site name	Ownership	Primary culture species	Cycle duration	Latitude (N, S) (00.000000)*	Longitude (E, W)	Production system*	Number of production units	Start date of audits	End date of audits								
Samarang Pass	Owned	Atlantic salmon (<i>Salmo salar</i>)	Long-cycle species (18 months)	50.409260	126.129900	Net pens		31 August 2002	06 August 2003								

8. Stakeholder engagement

Item of Company/ Organization if applicable	Contact person - First name	Contact person - Surname	Country where stakeholder is based	Email address of contact person/ stakeholder	Stakeholder type	Is stakeholder type well defined (yes/no)	Contact date/ schedule	Did the stakeholder consent/ not?	Stakeholder comments - please use the same AC number if available
Stand Sunda Foundation	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Conservation	22 July 2021		
Living Oceans Society	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Conservation	22 July 2021		
Waka Lido/ma	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Conservation	22 July 2021		
Pacific Salmon Foundation	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Conservation	22 July 2021		
BC Council of Forest Industries	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Forestry	22 July 2021		
Seafood Alliance	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Forestry	22 July 2021		
Vancouver Island North Tourism	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Tourism	22 July 2021		
Seaward Town Council	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Government	N/A	22 July 2021		
Regional District of Mount Waddington	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Government	N/A	22 July 2021		
BC North West	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Government	N/A	22 July 2021		
Stikine Regional District	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Government	N/A	22 July 2021		
City of Alert Bay	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Government	N/A	22 July 2021		
James Wulffus Fishery Commem	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Conservation / Fisheries	22 July 2021		
Skagitim	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Conservation / Fisheries	22 July 2021		
Hydrobus	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Conservation / Fisheries	22 July 2021		
BC Centre for Aquatic Health Sciences	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Research	22 July 2021		
Salmon Farmers Association	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Industry	Industry	22 July 2021		
Canadian Aquaculture Industry Association	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Industry	22 July 2021		
United Seafooders Local 1397	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Industry	22 July 2021		
Di'haad/ we First Nation	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	First Nations	Ripsholders	22 July 2021		
Gweaw-em Tux	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Ripsholders	22 July 2021		
Mamalliluk First Nation	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	First Nations	Ripsholders	22 July 2021		
Nemgis First Nation	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	First Nations	Ripsholders	22 July 2021		
Towasimuk (Dzowad'awen) First Nation	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	First Nations	22 July 2021		
Tsawlini Nation	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	First Nations	22 July 2021		
Kwetchukwuk-ah-beaw-e-mish First Nation	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	First Nations	22 July 2021		
Wai Wa Kum First Nation	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	First Nations	Ripsholders	22 July 2021		
Wai Wa'at First Nation	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	First Nations	22 July 2021		
K'winkw First Nation	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	First Nations	22 July 2021		
BATH Directors/ Nations Coordinator	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	First Nations	Ripsholders	22 July 2021		
British Columbia Transparency Initiative	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Conservation	22 July 2021		
James Garfield	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Conservation	22 July 2021		
Capital Coast National District	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Conservation	22 July 2021		

1. General, client/CAB information

1.1 Document Type	Final Report
1.2 Document language	English
1.3 Second document language	N/A
1.4 Unit of certification type	Single Site
1.4.1 Company name	MOWI Canada
1.4.2 UoC name	Sargeaunt Pass
1.5 Country where UoC is located	Canada
1.6 ASC Standard	Salmon
1.7 Standard version	1.3
1.8 Certification process is subject to CAR version	2.2
1.9 Name of the Conformity assessment body (CAB)	DNV GL Business Assurance Norway AS
Client contact person - from the UoC	
1.15 First name	Adam
1.16 Surname	McFee
1.17 Position in the UoC (Job title)	Certification Manager
1.18 Email address	Adam.McFee@mowi.com
1.19 Phone number	+1 250 850 3276
1.20 Other means of contact e.g. Skype	N/A

2) Audit information

Date - **Audit announcement** published on ASC website

Date - **Draft report** published on ASC website

Date - **Final report** submitted to ASC

Audit ID

ASC standard principles covered by the audit

09 July 2021	
27 September 2021	
03 November 2021	
provided by ASC with publication confirmation	
Principle 1	Covered
Principle 2	Covered
Principle 3	Covered
Principle 4	Covered
Principle 5	Covered
Principle 6	Covered
Principle 7	Covered
Principle 8	Covered

Activities covered under the scope of the certification and under the scope of the audit.

Activities in the table apply to final product only.

Activity	Under scope of certification	Under Scope of this audit	Notes
2.6.1 Stocking	Covered	Covered	
2.6.2 Nursing	Covered	Covered	
2.6.3 Growing Out	Covered	Covered	
2.6.4 Transferring	Covered	Covered	
2.6.5 Harvest	Covered	Covered	
2.6.6 Vaccination	Covered	Covered	
2.6.7 Fallowing	Covered	Covered	
2.6.8 Transportation	Not Covered	Not Covered	
2.6.9 Storage (if present at farm)	Not Covered	Not Covered	
2.6.10 Processing (if present at farm)	Not Covered	Not Covered	
2.6.11 Packing (if present at farm)	Not Covered	Not Covered	
2.6.12 Other (Please describe)	Not Covered	Not Covered	

Certification cycle

Audit type

Audit number in certification cycle

Will harvesting be witnessed during audit?

If harvest is NOT witnessed, please justify:

Audit conducted (On-site/Remote):

2
Recertification audit
1
No
Remote Audit
Remote

Please indicate the hours assigned to the different audit activities in the table below, separated by the hours spend on the activities by the environmental- and social auditor(s):

2.12.1	2.12.2	2.12.3
Time assigned to audit activities	Social Auditor(s)	Environmental auditor(s)
Off-site activities	24	24
On-site activities		
Total man days	3	3

[illegible]

3. Site information

List all sites here, that are included in the certificate.

GIS, polygon data and map on site level validated by auditor?

Yes

3.1	3.2	3.3	3.4	3.5	3.13	3.14	3.15	3.16	3.17	3.18	3.19	3.20	3.21	3.22	3.22.1	3.22.2	3.23	3.23.1	3.24	3.25	3.26	3.26.1	3.27	3.28	3.29	3.30	3.31	3.32
Site ID - provided by ASC with publication confirmation of audit announcement	Site name	Ownership	Primary culture species	Secondary species (choose multiple species as relevant)	Latitude (N, S) (00.000000)*	Longitude (E, W) (00.000000)*	Production system	Number of production units	Production type	Production method	Date of inclusion into the UoC (for scope extension/group/multi-site)	Start date of audit	End date of audit	First date of juvenile stocking for the current production cycle	Estimated number of months post audit to peak biomass/ first harvest	Salms at the time of the current audit	List of other certificates (choose multiple options as relevant)	List of other certificates, if 3.23 is "Other", please list the certificates.	Is the site partially certified?	If partially certified, which part is not in the UoC and why?	The volumes indicated in the fields 3.27-3.30 apply to the following full calendar year:	Type of volumes indicated in 3.27-3.30	ASC-certified production volume (in Kg)	non ASC-certified production volume (in Kg)	Dispatched or sold as ASC-certified Volume (in Kg)	Dispatched or sold as non ASC-certified Volume (in Kg)	For Disleye/Abalone: Volumes indicate in 3.27 - 3.30 are given in live weight equivalent or volume without shell	Notes/ Other information
	Sargeaunt Pass	Owned	Atlantic salmon (Salmo salar)		50.405260	126.112990	Net-pens	11.000000	44411.000000	Intensive		03 August 2021	06 August 2021	09-Apr-21	9	On-growing (<75% biomass)	GAA/BAP		No		2020	Actual volume		4,895,545		4,895,545		Harvest not witnessed on this site at this time.

4. Harvest witnessing

4.1	4.2	4.3	4.4	4.5	4.6	4.7	4.8
Site ID - provided by ASC with publication confirmation of audit announcement.	Site name	Date of witnessed harvest:	Production unit ID:	Volume harvested (in Kg):	Average weight of animals (in g)	Partial harvest / full harvest:	Note/ Other information
	Sargeaunt Pass						Harvest not witnessed at this time on this site. Due to Covid restrictions and the fact that the fish are not near harvest size.

ASC takes data protection very seriously. ASC will only use any personal information you give us in connection with the audit report. For more information please read our privacy policy, which can be found here:

This table collects all the information relevant to stakeholders consulted during the audit process. Each stakeholder should be entered into a separate row, even where from the same company/organization

[illegible]

1) General, Client and CAB information

Announcement and audit report

Means of transportation between office and site(s) and between sites within UoC
 Estimated travel time between office and site(s) and between sites within UoC
 Number of complaints received from stakeholders over past 12 months
Number of resolved complaints
Average time to resolve complaints (**days**)
 Last Social Impacts Assessment (SIA) conducted in (**year**)

Name of nearby communities, Indigenous or not and the distance of the UoC to the nearest neighbouring community/-ies or neighbours (in km)

Name of nearby community	Indigenous	Distance of the UoC to the nearest neighbouring community/-ies or neighbours (in km)

Social audits performed at UoC

Standard	Certified since (Date)	Certified until (Date)	Date of last audit (Date)
SA8000			
BSCI	N/A	N/A	
SMETA	N/A	N/A	
ISO 45000			
ASC			
Others (specify)			

Subcontractors

Name of	Place of work	Areas of work/processes

Please note that auditor training on farm traceability is also covered in the MSC farm traceability module

Activities covered under the scope of the certification and under the scope of the self-

Activities covered under the scope of the certification and under the scope of the self-

7.2	Is feasibility of the transformation of certified and non-certified product, including product of the supplier (other appropriate species, produced with the same operation).	
	Is a partial certification	Yes
	Is there no auto-certification	Yes
	Is common appearance species produced in the SOC	Yes
	Is there appearance species	Yes
	Can one use the same	Yes
	Production with branches extracted from the certification scope	
	Is Average 1/5 products produced at less than 45°C in the SOC per year	100%
	Is it possible to use refrigeration systems	Yes
	Physical description	Yes
	400 items, 402 items, numbered	Yes
	Is there a description for non-SOC products	Yes
	Descriptions	Yes
	Is A1, A2 items and others SOC	Yes
	Is acceptable, not acceptable	Yes
	Is the supplier the same and the site plus the data and the between least and number of the site, the processing site and the supplier's system is the same	Yes
	Is the processing system includes the machine and production (not)	Yes
	Is there a description for the site plus the data and the between least and number of the site, the processing site and the supplier's system is the same	Yes

[illegible]

ASC CAR 17.6.3-5 Product flow, traceability and segregation

Please describe the product flow within the UoC

Smolts from Mowhatchery to Mowh seaable for on-growing and then harvest to Mowh processing plant.

Conduct a traceability test of harvested products. In case of partial certification perform a traceability test for ASC and non-ASC products.

Smolts from Mowi hatchery to Mowi sea site for on-growing and then harvest to Mowi

Conduct a traceability test of harvested products. In case of partial certification perform a traceability test for ASC and non-ASC products.

Conduct a traceability test of harvested products. In case of partial certification perform a traceability test for ASC and non-ASC products.

7.7	Traceability tests successfully conducted	YES
7.8	Traceability information allows to link each stage of handling certified products	YES

ASC CAR 17.6.10.1 Point of First sale / handling		Proc Code
7.12	Port Hands processing unit	ASC-C-0060

Port Hand processing unit	ASC-C-005A0

begin

8. UoC volumes & Audit Closing

Please indicate the correct volumes of the applicable quarter and year.

Volume reporting for complete UoC				
Quarter of the year:	Quarter 1	Quarter 2	Quarter 3	Quarter 4
The volumes indicated in this table apply to the following year:	2020	2020	2020	2020
Type of volumes indicated in 8.2 - 8.5	Actual volume	Actual volume	Actual volume	Actual volume
ASC-certified production volume (in Kg)	0	0	4895545	0
Non ASC-certified production volume (in Kg)	0	0	0	0
Dispatched or sold as ASC-certified Volume (in Kg)	0	0	0	0
Dispatched or sold as non ASC-certified Volume (in Kg)	0	0	4895545	0

Decision	
8.6	Certification decision
8.7	Certificate valid from
8.8	Certificate valid till
8.9	Eligibility date

Confidential Annexes	Annex filled in?	Annex submitted to ASC?
8.10 Annex-1 Interviewee information		
8.11 Annex-2 Stakeholder comments		
8.12 Annex-3 Social information		
8.13 Annex-4 Volume data		

9. Open & Extended NCs

Please indicate in the table below ONLY the non-conformities detected in the previous audit, which had the status: open or extended in the previous final audit report.

This table is to evaluate the closure of the open/extended non-conformities from the previous audit. Add rows to the tables as needed.

[illegible]

10. B-EIA & p-SIA checklist

Checklist and guideline for auditors on a complete B-EIA & p-SIA process and report.
Please find all requirements for B-EIA and p-SIA in the ASC standards.

Biodiversity-inclusive Environmental Impact Assessment			
	B-EIA checklist	Validated by auditor?	Notes
10.1	1. Quality of the B-EIA process (e.g., was it participatory and transparent?). B-EIA carried out by a valid expert in accordance with requirements lined out in the ASC standards.	NA	
10.2	(b) The B-EIA was publicly (locally) communicated with sufficient time for interested parties to participate and/or get informed.		
10.3	(c) Stakeholders are listed and impact descriptions are documented and in preparation of the final B-EIA report, meetings with the listed stakeholders (or by stakeholders chosen representatives) have taken place.		
10.4	(d) These meetings have been recorded and the minutes are attached to the final report; names and contact details of participating stakeholders included.		
10.5	(e) Evidence is provided that draft and final B-EIA reports have been submitted to local government representatives and, if requested by stakeholders, a legally registered civil organization chosen by these stakeholders.		
10.6	(f) Evidence is provided that the final B-EIA reports have been submitted and reviewed by a specialist with appropriate expertise on biodiversity issues.		
10.7	(g) B-EIA completed according to guidance on B-EIA and pSIA relationship (transparency and consultation).		
10.8	2. Risk analysis: actual (past and present) impacts of the current farms, or potential impacts of the intended farm or expansion of existing farm and at least two alternatives (one of these is the "no farm or no expansion" scenario). Concepts to cover include:		
10.9	(a) The type of farming, possible alternatives and a summary of activities likely to affect biodiversity.		
10.10	(b) An analysis of opportunities and constraints for biodiversity (include "no net biodiversity loss" or "biodiversity restoration" alternatives).		
10.11	(c) Expected biophysical changes (in soil, water, air, flora and fauna) resulting from proposed or existing activities or induced by any socioeconomic changes.		
10.12	(d) Spatial and temporal scale of influence, identifying effects on connectivity between ecosystems, and potential cumulative effects.		
10.13	(e) Available information on baseline conditions and any anticipated trends in biodiversity in the absence of the proposal.		
10.14	(f) Likely biodiversity impacts associated with the proposal or current operations in terms of composition, structure and function of surrounding ecosystems		
10.15	(g) Biodiversity services and values identified in consultation with stakeholders and anticipated magnitude, direction and timeline of changes in these (highlight any irreversible impacts).		
10.16	(h) Possible measures to avoid, minimize or compensate for significant biodiversity damage or loss, making reference to any legal requirements. Information required to support decision making and summary of important gaps.		
10.17	(i) Proposed IA methodology and timescale.		
10.18	3. Impact statement is available and contains all of the requirements listed above along with a clear indication of authors and affiliations.		
10.19	4. Review process, reviewers (decision makers), and decisions clearly documented.		
10.20	5. Clear understanding as to how options for mitigation and offsetting were determined and how avoidance actions were prioritized over compensation		
10.21	6. Names, affiliations and experience of the reviewing specialist are documented and clear understanding of how affected groups were involved and how balanced consideration was given to conservation vs. development goals in the peer review.		
10.22	7. Clear articulation of a biodiversity management system including targets and monitoring strategies for mitigation.		

Participatory Social Impact Assessment			
	p-SIA checklist	Validated by auditor?	Notes
10.23	1. Quality of the p-SIA process (e.g., is it participatory and transparent).		
10.24	(a) The intent to conduct a p-SIA is locally publicly communicated with sufficient time for interested parties to participate and/or get informed.		
10.25	(b) In listing stakeholders, in making impact descriptions, and in preparation of a final p-SIA report-document meetings with the listed stakeholders (or by stakeholders chosen representatives) have taken place.		
10.26	(c) These meetings have been minuted and these records are attached to the final report; names and contact details of participating stakeholders are included.		
10.27	(d) Evidence is provided that draft and final p-SIA reports have been submitted to a local government representative and, if stakeholders so desire, to a (by stakeholders chosen) legally registered civil organization.		
10.28	(e) B-EIA done and completed according to guidance in the ASC standards (appropriate accreditation and consultation).		
10.29	2. The risks and actual (past and present) impacts of the current or intended farm and at least two alternatives (one of these is the "no farm or no expansion" scenario). Concepts to cover include:		
10.30	(a) Economic aspects (influence on employment opportunities, influence on other livelihoods in community).		
10.31	(b) Natural resource access and use (land and water tenure, influence on quality and availability of natural resources including water).		
10.32	(c) Human assets (food security, health and safety, education, indigenous knowledge).		
10.33	(d) Physical infrastructure (access to roads, electricity, telephone, housing, waste disposal systems).		
10.34	(e) Social and cultural aspects (indigenous/traditional/customary rights and beliefs, social exclusion/inclusion, gender equity, changes in age composition of the community, local informal institutions and organizations).		
10.35	(f) Governance aspects (influence of aquaculture on norms, taboos, regulations, laws, conflict management and whether these changes add up to more or less transparency, accountability and participation in decision making).		
10.36	3. Research and report probable impacts that are likely to be most important. In doing this, it is important to arrange meetings with stakeholders to let them prioritize and to let them express how they assess/view/feel; identify both positive and negative risks and impacts.		
10.37	4. Do deeper investigations into priority impacts with a focus on the question: "What changes will lead to if they indeed come about?" These include:		
10.38	(a) Physical effects to man-made and natural structures and processes.		
10.39	(b) Likely adaptations and the social and economic effects of making such adaptations.		
10.40	(c) How these effects and indirect effects would compare to having no intervention.		
10.41	(d) How effects may or might be cumulative.		
10.42	5. Make recommendations to maximize the positive and minimize the negative, with consideration to compensation options for those lands and people impacted. Also include recommendations on how to avoid these issues with the intended farm or farm development.		
10.43	6. Propose a mitigation plan assuming the farm development will take place or continue (in an adapted form if that seems appropriate); include a "closure and reclamation plan" explaining how repair or restoration will take place after farm closure or bankruptcy		
10.44	7. Develop and approve with all stakeholders a monitoring plan and indicators on both positive and negative risks and impacts (make use of FDG and/or PRA methodologies in this step).		
10.45	8. A summary with recommendations and conclusions is made available to all involved in the process and, through local public notices, made accessible to all members of the local community.		

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Corresponds to ASC Salmon standard version 1.3

														Proposed by UoC and accepted by CAB	Proposed by UoC and accepted by CAB	Proposed by UoC and accepted by CAB				
Indicator Number	Indicator Text	Audit Evidence	Overall Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes	
1.1.1	Indicator: Presence of documents demonstrating compliance with local and national regulations and requirements on land and water use Requirement: Yes Applicability: All	All applicable laws are available the Mowi quality management system. The system is called Sharpoint, and the sites are required by DFO to have a copy of the PAR licence onsite. Facility reference number 1059, Licence number AQDF-121003 Sargeant Passage, 71-burne Channel UF, part bed of Sargeant Passage, R 1, Coast District. Expiry June 20, 2022. Land File No: 1403328, PFMA Area: 12-35. There is a licence of occupation that covers the sealfloor under the farm that is owned by the crown. The tenures in the Broughton area ran out in June 2018 for this production site. The Provincial government is in an ongoing consultation with the first nations to allow tenures to be re-applied to certain farm sites in the Broughton. There is also an agreement called "The Broughton Way Forward" signed off in December 2018, between Cermaq, MOWI and the first nations in the area. This has resulted in MOWI decommissioned 5 production sites in the Broughton area under the agreement. By 2022 10 sites between MOWI and Cermaq will be de-commissioned. Allowable Peak biomass is 5258 tons. Inspections are not legally required however sites occasionally get visits from different divisions such as Benthic division, compliance divisions and Fish health divisions. Reports are not made available to the sites unless there is non-conformity detected. DFO visits are related to Lic, mortalities and occasionally benthic samples. DFO visits are recorded in the visitor book of the site. "Government grants the lease once it is confirmed that national preservation areas are not affected. Maps are in place. http://www.pac.dfo-mpo.gc.ca/fm-gp/maps-cartes/rca-acs/index-eng.html . There have been no changes since the last audit in relation to the site that might impact the	Compliant																	
1.1.2	Indicator: Presence of documents demonstrating compliance with all tax laws Requirement: Yes Applicability: All	Cheque number 402770 and dated 3/7/2020 was paid for site taxes. The tax laws are maintained and reviewed by the companies accountants. Laws are equally available online. The licence and Tenure documents detail the site as an Aquaculture facility.	Compliant																	
1.1.3	Indicator: Presence of documents demonstrating compliance with all relevant nation and local labour laws and regulations Requirement: Yes Applicability: All	All national labour codes and laws applicable to the farm are available on the Mowi Human Resources management system. There have been no inspections that are legally required unless there are serious incidents related to health and safety.	Compliant																	
1.1.4	Indicator: Presence of documents demonstrating compliance with regulations and permits concerning water quality impacts Requirement: Yes Applicability: All	No water impact permits are required for Marine sites.	Compliant																	
2.1.1	Indicator: Redox potential or (S) sulphide levels in sediment outside of the Allowable Zone of Effect (AZE) (6), following the sampling methodology outlined in Appendix I of the Salmon standard v.1.3 Requirement: Redox potential > 0 mV or Sulphide ≤ 1,500 µMol/L Applicability: All farms except: Closed production systems that can demonstrate that they collect and responsibly dispose of > 75% of solid nutrients from the production system are exempt from standards under Criterion 2.1. See Appendix VI for requirements on transparency for 2.1.1, 2.1.2 and 2.1.3. Inspection: Marine room score minimum 9 points (1) to high ecological quality in sediment outside the AZE, following the sampling methodology outlined in Appendix I of the Salmon standard v.1.3	Maps have been prepared by the Environmental assessment company called Mainstream Biological consulting and are included in the benthic reports previously covered for the last peak biomass which was surveyed August 18th 2020. Letter from DFO on October 18th 2019. The bottom is soft. Sulphides are measured. Sampling is done along two transects at stations at edge of cages and 30m and 125m distant. Sampling done inside and outside AZE for ASC. DFO authorisation letters in place allowing stocking as the site passed the DFO sulphide requirements. ASC has now allowed a VR for Canada to only have to comply with the national requirements on Sulphides. This VR is number 224. The results from 74.5, 39.2, and 86.2 um means for the 3 stations tested. Letter from DFO dated 29/9/202 stating that no further monitoring was required prior to fish entry at the site. Signed by senior biologist at DFO.	Compliant																	
2.1.2	Indicator: AZTI Marine Biotic Index (AMBI)(8) score ≤ 3.3, or Shannon-Wiener Index score ≥ 3, or Benthic Quality Index (BQI) score ≥ 15, or Infaunal Trophic Index (ITI) score ≥ 25 Applicability: All farms except: Closed production systems that can demonstrate that they collect and responsibly dispose of > 75% of solid nutrients from the production system are exempt from standards under Criterion 2.1. See Appendix VI for requirements on transparency for 2.1.1, 2.1.2 and 2.1.3.	No longer required due the VR 224.	N/A	No longer required																
2.1.3	Indicator: Number of macrofaunal taxa in the sediment within the AZE, following the sampling methodology outlined in Appendix I of the Salmon standard v.1.3 Requirement: ≥ 2 highly abundant (H) taxa that are not pollution indicator species Applicability: All farms except: Closed production systems that can demonstrate that they collect and responsibly dispose of > 75% of solid nutrients from the production system are exempt from standards under Criterion 2.1. See Appendix VI for requirements on transparency for 2.1.1, 2.1.2 and 2.1.3.	No longer required due the VR 224.	N/A	No longer required																
2.1.4	Indicator: Definition of a site-specific AZE based on a robust and credible (10) modelling system (11) Requirement: Yes Applicability: All farms except: Closed production systems that can demonstrate that they collect and responsibly dispose of > 75% of solid nutrients from the production system are exempt from standards under Criterion 2.1. See Appendix VI for requirements on transparency for 2.1.1, 2.1.2 and 2.1.3.	Mowi uses the DEPOMOD modelling tool to determine the AZE. The model allows parameters can be changed to reflect whats happening. The model is based on average feed use of 1055kg/cage/day and was done in 2009. The site has not changed since then. DEPOMOD is used as the modelling tool and is favoured by DFO. The model was developed in Scotland in conjunction with SEPA. Verification is being done using the sampling results specifically for Sulphides as required in Canada	Compliant																	
2.2.1	Indicator: Weekly average percent saturation (16) of dissolved oxygen (DO) (17) on farm, calculated following methodology in Appendix I of the Salmon standard v.1.3 Requirement: ≥ 70% (18) Applicability: All farms. An exception to this standard shall be made for farms that can demonstrate consistency with a reference site in the same water body.	Both continuous monitoring and twice daily recording is done using insitu oxygen recorders. There are 3 farm based automatic recorders set at 5m deep. The site also takes oxygen with a hand held YSI meter when the site takes its other environmental readings in the morning. There were some readings under the 70% however the site then took reference samples and these are consistent with the readings at the site. Full results have been supplied to ASC as part of the transparency requirements.	Compliant																	
2.2.2	Indicator: Maximum percentage of weekly samples from 2.2.1 that fall under 2 mg/L DO Requirement: 5% Applicability: All	There were no weeks under 2mg/l DO	Compliant																	

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5.1.4	<p>Indicator: Percentage of mortalities that are recorded, classified and receive a post-mortem analysis</p> <p>Requirement: 100% (98)</p> <p>Applicability: All</p>	<p>The mortality records on the farm were reviewed along with the protocols for assigning the cause of mortality. Daily mort checks are carried out using uplifts on the site. All the staff have been trained in assigning reasons for mortality. DATS training system was reviewed for staff. All records are recorded onto the site mortality sheet and records are placed into the Aquafarmer system.</p> <p>Unknown reasons for mortality or assigning disease not previously diagnosed must be referred to the fish health team. Mort sheets have all required information to allow ascription of mortality reasons.</p> <p>The offsite lab used is only when unknown mortalities need to be assessed. The lab is situated in Campbell River. Third party labs can also be used such as Centre for Aquatic Health Sciences in Campbell River.</p> <p>All classifications are logged on the daily sheets and then into the Aquafarmer system. All records of mortalities from previous generations and their reports are kept within the company's management system. The figures are used to generate annual reports</p> <p>The current year class has a viral mortality of 0. The total number unexplained and viral (U) for the current generation is 3,206 fish or 0.32%. Total mortality numbers was 51730 fish.</p>	Compliant																
5.1.5	<p>Indicator: Maximum viral disease-related mortality(99) on farm during the most recent production cycle</p> <p>Requirement: ≤10%</p> <p>Applicability: All</p>	<p>For the 2019 completed yearclass the Viral number was 1.5% or 23853 fish. Total mortality was 10.6% or 168719 fish.</p> <p>The information has been submitted to ASC</p>	Compliant																
5.1.6	<p>Indicator: Maximum unexplained mortality rate from each of one previous two production cycles, for farms with total mortality > 6%</p> <p>Requirement: ≤40% of total mortalities</p> <p>Applicability: All farms with > 6% total mortality in the most</p>	<p>Total mortality for the current year class is 5.1% and 51730 fish. Previous yearclass (2019) was 10.6% and 168719 fish. The viral mortality % for total mortality was 14.13%</p>	Compliant																
5.1.7	<p>Indicator: A farm-specific mortalities reduction program that includes defined annual targets for reductions in mortalities and reductions in unexplained mortalities</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	<p>The company uses Aquafarmer to recorded monthly mortalities in both percentage terms for count and biomass. Done on an overall company basis and based on historical information and how each site has produced in the past.</p> <p>There is a companywide reduction plan and targets set for the production. The current target set for 2021 is for 90% survival. Mort causes include Mechanical, poor performers and mouth myxobacteria depending on the area. The plan indicates that trials of a vaccine for Lesions and Mouth Myxo are ongoing.</p> <p>There are weekly tactical meetings for the staff on the site. The company sets KPIS which are Biomass produced, Survival, yield per smolt, rolling growth index (all higher than previous cycle). Also lower EFCR and BPCR are set.</p>	Compliant																
5.2.1	<p>Indicator: On-farm documentation that includes, at a minimum, detailed information on all chemicals(101) and therapeutants used during the most recent production cycle, the amounts used (including grams per ton of fish produced), the dates used, which group of fish were treated and against which diseases, proof of proper dosing, and all disease and pathogens detected on the site</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	<p>There were no Lice treatments and one antibiotic treatments in the current cycle.</p>	Compliant																
5.2.2	<p>Indicator: Allowance for use of therapeutic treatments that include antibiotics or chemicals that are banned(102) in any of the primary salmon producing or importing countries (103)</p> <p>Requirement: None</p> <p>Applicability: All</p>	<p>The company has a food safety technician who controls this element. The CFIA website is used by the company in relation to the banned list of substances. The website has information on residue limits allowed for each country worldwide. The company has put together a positive list of medicines based on this website. Details on the list include Chemical name, Type, Product name, Supplier, withdrawal period and if ASC allows it or not.</p> <p>Following the use and a therapeutant, the Aquafarmer system locks in place the withdrawal time. The information on use is input by the farm. During audit, the Drug treatment logs were reviewed, and the site has to maintain these records onsite. MS222 was recorded as having a 5-day withdrawal. Withdrawal time is documented on the prescriptions which are also kept.</p> <p>Maxxim in Vancouver carries out residue testing for each site prior to harvest. They are accredited to Standards Council of Canada no. 117. Testing is mandatory from CFIA for harvested fish and is done by each production area at least once per year.</p>	Compliant																
5.2.3	<p>Indicator: Percentage of medication events that are prescribed by a veterinarian</p> <p>Requirement: 100%</p> <p>Applicability: All</p>	<p>All medications are prescribed by the company vet. DFO review all treatments on site when the site is visited by DFO staff. This is done at least once during the cycle.</p> <p>There has been one treatment of Antibiotic required. Details are: Rx: # 21-TMO48</p> <p>Species: Atlantic salmon Age: 2021 S1 Tanks/Pen ID: All pens</p> <p>Fish Inventory: 1,078,000 Average Weight: 0.145 kg Biomass: 156,300 kg</p> <p>Feed Rate: 2% biomass consumption</p> <p>Reason for use: Mouth myxobacterial (Tenacibaculum sp.) infection</p> <p>Rx: Aquaflox premix (Florfenicol)</p> <p>Dosage: 20 mg florfenicol / kilogram fish</p> <p>Inclusion Rate: Active Conc: 1.0 kilograms florfenicol / tonne of ration</p> <p>Premix Conc: 2.0 kilograms Aquaflox premix / tonne of ration</p> <p>Feed Type: Complete feed for salmon Feed Size: 4 mm Pigment: Standard</p> <p>Amount of Feed: 32 tonnes</p>	Compliant																
5.2.4	<p>Indicator: Compliance with all withholding periods after treatments</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	<p>Fish health plan section 2.11.1 Treatment records states that MOWI Canada West does not harvest fish until they have cleared withdrawal period described by the Veterinarian.</p> <p>The medicine positive list has withdrawal periods. Emmeactin benzoate (SLICE) tested pre-harvest and has a withdrawal period of 60 days.</p>	Compliant																
5.2.5	<p>Indicator: The farm shall publicly report (via Appendix of the Salmon standard v.1.3) the 3. Weighted Number of Medicinal Treatments (see Appendix VII) for each production cycle</p> <p>3. The parasiticide load for each agent over the production cycle</p> <p>3. The benthic parasiticide residue levels</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	<p>Reports are to appear on the Mowi website. The WNMt for the current year class (2021) is 0. Previous year class 2019 had a WNMt of 1.</p>	Compliant																
5.2.6	<p>Indicator: The Weighted Number of Medicinal Treatments shall be at or below the country Entry Level (see Appendix VII of the Salmon standard v.1.3)</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	<p>The WNMt for country level of Canada is equal to the level that all countrys must aspire to of 3. This site has a WNMt of 0 for the 2021 yearclass.</p>	Compliant																
5.2.7	<p>Indicator: The farm shall reduce the Weighted Number of Medicinal Treatments, after achieving indicator 5.2.6, with 25% per 2 years until the WNMt is at or below the Global Level (see Appendix VII of the Salmon standard v.1.3)</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	<p>Its already below the Global level at 0.</p>	Compliant																
5.2.8	<p>Indicator: The farm shall implement Integrated Pest Management (IPM) according to the guidance in Appendix VII of the Salmon standard v.1.3</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	<p>Mowi IPM in place signed and dated December 2019</p> <p>https://corporate.sureedge.net/corplite/wp-content/uploads/sites/7/2019/12/MCW-IPM-2019.pdf</p> <p>The plan is followed and represents the actions that are used to control Lice in the farm area.</p>	Compliant																

5.2.9	<p>Indicator: The farm shall public present (e.g. via company website) the IPM measures that the company applies which need to be approved by a authorised veterinarian</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	<p>The IPM was reviewed as being in place on the companies website and dated 01/12/2020.</p>	Compliant															
5.2.10	<p>Indicator: The farm shall monitor parasiticide residue levels annually in the benthic sediment directly outside the AZE</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	<p>ASC has determined that this indicator under QA 111 is not applicable.</p>	N/A	ASC has determined that this indicator under QA 111 is not applicable.														
5.2.11	<p>Indicator: Allowance for prophylactic use of antimicrobial treatments(104)</p> <p>Requirement: None</p> <p>Applicability: All</p>	<p>Records have been reviewed. Treatments logs are maintained on the farm site. This is a legal requirement. Drug use is reported to DFO each quarter. There have been no prophylactic treatments.</p>	Compliant															
5.2.12	<p>Indicator: Allowance for use of antibiotics listed as critically important for human medicine by the World Health Organization (WHO)(105)</p> <p>Requirement: None(106)</p> <p>Applicability: All</p>	<p>The company has a medicines positive list that is maintained by the food safety manager. On the CFIA website there is a list of banned substances by country and worldwide. No antibiotics used were critically important within the company.</p>	Compliant															
5.2.13	<p>Indicator: Number of treatments(107) of antibiotics over the most recent production cycle</p> <p>Requirement: ≤ 3</p> <p>Applicability: All</p>	<p>There have been one antibiotic treatment for this current cycle to treat A-Typical Furunculosis. See 5.2.3</p>	Compliant															
5.2.14	<p>Indicator: If more than one antibiotic treatment is used in the most recent production cycle, demonstration that the antibiotic load(108) is at least 15% less than of the average of the two previous production cycles</p> <p>Requirement: Yes (109)</p> <p>Applicability: All</p>	<p>There has only been one treatment of Florfenicol this current production cycle. See 5.2.3</p>	Compliant															
5.2.15	<p>Indicator: Presence of documents demonstrating that the farm has provided buyers(110) of its salmon a list of all therapeutants used in production</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	<p>Once per year in January MOWI supply their customers with a 'Suppliers Quality Assurance Certificate'. It mentions potential treatments and refers the reader to web links with the Canadian Food inspection agency for regulatory status. It lists the possible supply plants. On the bottom of the Suppliers QA certificate, there is a statement from the Food Safety assurance technician to contact her if there are any questions. Her number and extension are included.</p>	Compliant															
5.3.1	<p>Indicator: When bio-assays to determine resistance with two applications of a treatment have not produced the expected effect</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	<p>The company actually carries out bio assays prior to treatments in order to gauge the does needed to make the treatment effective. These bioassays are carried out by CMVS. Bioassays are carried out prior to treatment. If it shows poor results then another treatment action would be applied. The current situation in the Broughton does not allow the company to use SLICE and the company is committed to using physical and freshwater treatments rather than chemical treatments.</p>	Compliant															
5.3.2	<p>Indicator: When bio-assay tests determine resistance is forming, use of an alternative, permitted treatment, or an immediate harvest of all fish on the site</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	<p>Bio assays are carried out pre-treatment that determines what dosage the lice are sensitive to. The bioassays are carried out by the centre for aquatic health sciences in Campbell river.</p>	Compliant															
5.3.3	<p>Indicator: Specific rotation, providing that the farm has 3+ effective medicinal treatment product available, every third treatment must belong to a different family of drugs</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	<p>In General internal medicinal treatments allowed in Canada for Lice is just one, and that's Emamectin benzoate or SLICE. The only other allowed pesticide is Hydrogen peroxide baths V022. This site is committed to using no chemical or infect treatments as part of the consultations in the Broughton area, with stakeholders and rightsholders.</p>	Compliant															
5.4.1	<p>Indicator: Evidence that all salmon on the site are a single-year class(112)</p> <p>Requirement: 100% (113)</p> <p>Applicability: All farms. Exception is allowed for: 1) farm sites that have closed, contained production units where there is complete separation of water between units and no sharing of filtration systems or other systems that could spread disease, or, 2) farm sites that have 295% water recirculation, a pre-entry disease screening protocol, dedicated quarantine capability and biosecurity measures for waste to ensure there is no discharge of live biological material to the natural environment (e.g. UV or other effective treatment of effluent)</p>	<p>Records confirm that the fish are single year class. The video reviews showed all the salmon on site were the same size. It's a licence requirement that only one year class is stocked per site and DFO inspect each site at least once during the production cycle and review stocking records. Fallow dates were from 23/09/2020 to 09/04/2021. The fish were supplied by Mowi internal hatcheries</p>	Compliant															
5.4.2	<p>Indicator: Evidence that if the farm suspects an unidentified transmissible agent, or if the farm experiences unexplained increased mortality(114), the farm has:</p> <p>1. Reported the issue to the ABM and to the appropriate regulatory authority</p> <p>2. Increased monitoring and surveillance(115) on the farm and within the ABM</p> <p>3. Promptly(116) made findings publicly available</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	<p>The site does not suspect any unidentified transmissible agents. There have been no unexplained mortality events. There is a red and green system in place that asses the mortality trends.</p> <p>There were no large or unusual mortality events, and all mortality is diagnosed. Nothing was suspected as being unidentified.</p> <p>There were no large or unusual mortality events, and all mortality is diagnosed both onsite and using approved labs to confirm diagnosis.</p> <p>DFO must be informed if 4000kg of mort's or 2% of the inventory in 24 hours or 10000kg or more or 5% of total fish in 5 days if mortalities occur. This reporting has not been necessary for the current or previous production cycle.</p>	Compliant															
5.4.3	<p>Indicator: Evidence of compliance(117) with the OIE Aquatic Animal Health Code(118)</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	<p>Current version of the code is online and the relevant staff have knowledge where to find it. Auditor was supplied a link within the site submissions.</p> <p>The Vet stated that the policies around fish health are based on the OIE health code and that DFO also follow and develop licencing around the OIE Code.</p> <p>The staff are trained in animal welfare and the fish health plan.</p>	Compliant															
5.4.4	<p>Indicator: If an OIE-notifiable disease(119) is confirmed on the farm, evidence that:</p> <p>1. the farm, at a minimum, immediately culled the pen(s) in which the disease was detected</p> <p>2. the farm immediately notified the other farms in the ABM (120)</p> <p>3. the farm and the ABM enhanced monitoring and conducted rigorous testing for the disease</p> <p>4. the farm promptly(121) made findings publicly available</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	<p>Notifiable diseases are immediately conveyed to the DFO and the CFIA who take control and determine the action. There is a legal onus on the fish health team to do this. Notifiable diseases in this area are IHN, IPN, VHS, ISA, OMV, Whirling disease and Coldwater Vibriosis. There has been none in the current or previous production cycles.</p> <p>There is a variance in place and granted by ASC as VHS is endemic in the area and DFO have not required to cull the fish. This was allowed for other sites in BC, and the variance number was 89 and 91.</p> <p>There have been no notifiable diseases according to the mortality records.</p>	Compliant															

6.1.1	Indicator: Evidence that workers have access to trade unions (if they exist) and union representative(s) chosen by themselves without managerial interference Requirement: Yes Applicability: All	The code of conduct provided to all employees and accessible on the company intranet system. Code of Conduct section 5.3. Relates to this area and states "Mowi recognises the right of all workers and employees freely to form and join groups for the promotion and defence of their occupational interests, including the right to engage in collective bargaining" No trade unions exist at the site. Employees confirmed that they have signed the Contract of Employment and receive and a copy of the Employee Handbook.	Compliant																
6.1.2	Indicator: Evidence that workers are free to form organisations, including unions, to advocate for and protect their rights. Requirement: Yes Applicability: All	Contracts of Employment states the worker's right of freedom of association. Mowi also confirms this within the Code of Conduct section 5.3. Employees confirmed that the Code of Conduct is provided to them and that they are trained and tested. The training records show evidence of training and the results are maintained on the DATS training systems.	Compliant																
6.1.3	Indicator: Evidence that workers are free and able to bargain collectively for their rights Requirement: Yes Applicability: All	There are no outstanding cases for violations of employees' freedom of association and collective bargaining rights The code of conduct communicated a commitment to ensure the collective bargaining rights of all workers, as stated in 6.1.1 & 6.1.2 and within 5.3 of the Mowi Code of Conduct. The evidence reviewed shows that workers are free and able to bargain collectively and detailed in the Code of Conduct and training records.	Compliant																
6.2.1	Indicator: Number of incidences of child(12.3) labour(124) Requirement: None Applicability: All except: Child: Any person under 15 years of age. A higher age would apply if the minimum age law of an area stipulates a higher age for work or mandatory schooling. Minimum age may be 14 if the country allows it under the developing country exceptions in ILO convention 138.	There are no persons employed under the age of 15. In section 5.4 of the Code of Conduct " Mowi is committed to the abolition of child labour, and all forms of forced or compulsory labour. Mowi considers the minimum age for employment as not lower than the age of completion of compulsory schooling as set by national law, and in any event not lower than 15 years of age." Identification is held on file for all farm employees and is signed and verified by senior management at the point of employment.	Compliant																
6.2.2	Indicator: Percentage of young workers(125) that are protected(126) Requirement: 100% Applicability: All	The Mowi Code of Conduct, section 5.4, sets out the primary controls. Young workers risk assessments are carried out and displayed in the working areas. All young workers assessed before employment commences. All workers, including young workers, have the working hours recorded on a time management system. No young workers were employed at the time of the audit.	Compliant																
6.3.1	Indicator: Number of incidences of forced(129), bonded(130) or compulsory labour Requirement: None Applicability: All	Workers are provided with and have signed contracts of employment. Through documentation checks, it confirmed that all working hours are conducted voluntarily. The employer does not withhold employee's original identity documents or any part of workers' salaries, benefits, property or documents to oblige them to continue working for the employer. No employees are repaying debt. Confirmed in worker interviews	Compliant																
6.4.1	Indicator: Evidence of comprehensive(132) and proactive anti-discrimination policies, procedures and practices Requirement: Yes Applicability: All	The anti-discrimination policy that is in place indicates that the company does not engage in or support discrimination in hiring, remuneration, access to training, promotion, termination or retirement based on race, caste, national origin, religion, disability, gender, sexual orientation, union membership, political affiliation, age or any other condition that may give rise to discrimination. Discrimination complaints are dealt with through the grievance procedures. Grievance procedures are communicated to all workers. All managers have been trained in equality and diversity, and evidence of the training is recorded on DATS. (Training Management System)	Compliant																
6.4.2	Indicator: Number of incidences of discrimination Requirement: None Applicability: All	The facility has a procedure in place to document all discrimination complaints. To date, there have not been any complaints. There is no evidence of discrimination. Workers interviewed stated that the company did not discriminate against them. Workers interviewed had not experienced or heard of any issues with regards to discrimination.	Compliant																
6.5.1	Indicator: Percentage of workers trained in health and safety practices, procedures(133) and policies on a yearly basis Requirement: 100% Applicability: All	There are established procedures and policies to protect employees. These are communicated within the human resources policy and the Code of Conduct section 4.1. Employees are trained in emergency response procedures. The training has been recorded in the onsite training systems (DATS) and displayed on the employee notice boards. Ongoing training carried out on online training software management systems. It is the responsibility of the site managers to ensure that training is carried out and up to date. The Code of Conduct Section 4.1 sets out the Health & Safety rules.	Compliant																
6.5.2	Indicator: Evidence that workers use Personal Protective Equipment (PPE) effectively Requirement: Yes Applicability: All	The site has carried out risk assessments for all operations and has identified the PPE required for each task. The site uses the risk assessment to understand the risks and eliminate the risks where possible. Employees all receive induction training which includes the correct and proper use of Personal Protective Equipment. Training records are maintained on the DATS system and it is the managers responsibility to ensure training is carried out. PPE was available and being used by the workers.	Compliant																
6.5.3	Indicator: Presence of a health and safety risk assessment and evidence of preventive actions taken Requirement: Yes Applicability: All	Risk assessments are used to identify the risk and employees are trained against the risk assessments. Manager review the Risk assessments annually or sooner if the process changes or new machinery is implemented. Workers are also trained on how to carry out risk assessments. Risk Assessments are used for creating SOP's	Compliant																
6.5.4	Indicator: Evidence that all health- and safety-related accidents and violations are recorded and corrective actions are taken when necessary Requirement: Yes Applicability: All	All health & safety-related accidents are recorded. The Health & Safety Manager investigates accidents to establish root cause and implements a corrective action plan and review of the working procedures where required. All information is recorded in the DATS system.	Compliant																
6.5.5	Indicator: Evidence of employer responsibility and/or proof of insurance (accident or injury) for 100% of worker costs in a job-related accident or injury when not covered under national law Requirement: Yes Applicability: All	Mowi have confirmed that insurance is provided.	Compliant																
6.5.6	Indicator: Evidence that all diving operations are conducted by divers who are certified Requirement: Yes Applicability: All	Checks of certifications are made by Mowi every 60 days. Divers have the required accreditations. All external divers are given full details of the activities that are required. Mowi keeps records of diving operation.	Compliant																
6.6.1	Indicator: The percentage of workers whose basic wage(134) (before overtime and bonuses) is below the minimum wage(135) Requirement: 0 (None) Applicability: All	Wages are recorded in an electronic accounting system and verified. All pay is in line or above minimum wage requirements. All workers confirmed that wages are paid correctly.	Compliant																
6.6.2	Indicator: Evidence that the employer is working toward the payment of basic needs wage(136) Requirement: Yes Applicability: All	Mowi use Hays group to assist with setting pay levels and carry out here own reviews to ensure that levels are correct. There are details of living wages for BC available which states the living wage is \$12.65 MOWI starting wage is \$20.00	Compliant																
6.6.3	Indicator: Evidence of transparency in wage-setting and rendering(137) Requirement: Yes Applicability: All	Employees are paid bi-weekly by electronic bank transfer. Wages and benefits are documented before the point of employment and written into the contract of employment. Employees confirmed within the interview process that information was available, and electronic transfer payments are made directly to their bank accounts.	Compliant																

6.7.1	Indicator: Percentage of workers who have contracts(139) Requirement: 100% Applicability: All	There was no evidence of Labor only contracts or false apprenticeships, this was confirmed in worker interviews. All employees are provided with a contract of employment, and a copy of the contract was available in the personnel files.	Compliant																
6.7.2	Indicator: Evidence of a policy to ensure social compliance of its suppliers and contractors Requirement: Yes Applicability: All	MOWI keeps a list of approved suppliers and contractors and records of communications. Where subcontractors are used, they check that the companies have socially responsible practices and policies.	Compliant																
6.8.1	Indicator: Evidence of worker access to effective, fair and confidential grievance procedures Requirement: Yes Applicability: All	HR Policy details complaint procedure which explains the reporting procedure, including bullying and harassment and confidentiality policy. Policies can be accessed through the intranet. This was confirmed through employee interviews. All communication such as complaints, grievances and discipline are recorded in the employee personnel file.	Compliant																
6.8.2	Indicator: Percentage of grievances handled that are addressed(140) within a 30-day timeframe Requirement: 100% Applicability: All	Mowi have established a documented grievance policy and procedure. Workers interviewed stated no grievances had been raised. The company policy is to respond to each stage of the process within 14 days.	Compliant																
6.9.1	Indicator: Incidences of excessive or abusive disciplinary actions Requirement: None Applicability: All	There was no evidence of excessive or abusive disciplinary actions that would impact the workers physical or mentally in the policies reviewed.	Compliant																
6.9.2	Indicator: Evidence of a functioning disciplinary action policy whose aim is to improve the worker (141) Requirement: Yes Applicability: All	The MOWI has written disciplinary policy that "explicitly" states to improve the worker. Mowi also has a performance management policy None of the workers had been involved in a disciplinary procedure confirmed during interviews.	Compliant																
6.10.1	Indicator: Incidences, violations or abuse of working hours(143) and overtime laws Requirement: None Applicability: All	Working hours are provided by site managers to the payroll and working hours' department. The workers confirmed that working hours are correct before this. Records on the attendance system show that workers are not exceeding the working hours that are allowed. The MOWI holds a document for the Employment Standards Act for BC for working regulations. The working shift pattern is carried out over two weeks. The shift pattern consists of 8 days on and six days off. The averaged hours over the two weeks is 40 hours per week. The shift pattern is agreed before the commencement of employment. The contract of employment clearly stated the contracted working hours. Workers confirmed that the facility did not abuse the working hour's regulations and laws.	Compliant																
6.10.2	Indicator: Overtime is limited, voluntary(144), paid at a premium rate and restricted to exceptional circumstances Requirement: Yes Applicability: All	The time and attendance system confirmed that overtime is infrequent. The employees confirmed that overtime is rare and is voluntary. The employees are paid a premium rate for overtime hours; they are paid 150% for the first 2 hours and 200% for any hours worked after that.	Compliant																
6.11.1	Indicator: Evidence that the company regularly performs training of staff in fish husbandry, general farm and fish escape management and health and safety procedures Requirement: Yes Applicability: All	MOWI encourages employees to participate in training courses and supports the workers in doing this. HR policy section 9 Employee training and development and education assistance programs. All training records are maintained on the DATS system. Workers confirmed that they are encouraged to learn and be involved with training courses.	Compliant																
6.12.1	Indicator: Demonstration of company-level(146) policies in line with the standards under 6.1 to 6.11 above Requirement: Yes Applicability: All	All requested documentation was provided and reviewed. The Code of Conduct Policy and the HR Policy are in line with all social and labour requirements. The Senior Management Team approves corporate policy at Sergeantant Pass The scope of all corporate policies covers all company operations.	Compliant																
7.1.1	Indicator: Evidence of regular and meaningful(147) consultation and engagement with community representatives and organizations Requirement: Yes Applicability: All	Communication log details all meetings and interactions. Joint Committee with all stakeholders represented meet every other month. The company recently sent out a communication to all the local communities with details on new technology, Therapeutic Treatments, opportunities for future growth and information regarding certification. Notes are taken during the meeting and follow up emails are sent out to stakeholders No representatives made themselves available for the audit	Compliant																
7.1.2	Indicator: Presence and evidence of an effective(148) policy and mechanism for the presentation, treatment and resolution of complaints by community stakeholders and organizations Requirement: Yes Applicability: All	Mowi has a policy Doc45/FW905 External Complaint resolution. A communication log has been created to record all engagements that is sent out or received from community stakeholders whether this is and inquiry, information request or complaint. The Log details who raised the query and the nature of the query, date and response. All contact is managed by the communications team and overseen by the communication manager. The complaints procedure is detailed and sets out the requirements for handling each complaint. No complaints relevant to this site on review of the complaint log. No representatives made themselves available for the audit.	Compliant																
7.1.3	Indicator: Evidence that the farm has posted visible notice(149) at the farm during times of therapeutic treatments and has, as part of consultation with communities under 7.1.1, communicated about potential health risks from treatments Requirement: Yes Applicability: All	Notices are posted on the site if Therapeutic Treatments are being carried out. The signage that is used was seen during the farm inspection. The signage used is clear and can be seen by anyone passing the farm. This has been communicated as noted in the communication log. Notices are posted on the side of the MOWI house so that anyone entering the site can see it. No representatives made themselves available for the audit.	Compliant																
7.2.1	Indicator: Evidence that indigenous groups were consulted as required by relevant local and/or national laws and regulations Requirement: Yes Applicability: All farms that operate in indigenous territories or in proximity to indigenous or aboriginal people	Mowi is operating in some indigenous territories and has several agreements (IBA) in place with FN groups. Mowi holds 55 licensed ocean salmon farms in B.C. with about 30 operating at any one time, while others lay fallow. Mowi Canada has a track record of collaboration with First Nation partners – with some agreements dating back 20 years. The company operates within the traditional territories of 24 First Nations and has formal agreements with 15 of these Nations and eight First Nation-owned businesses. Approximately 20 per cent of the MOWI Canada workforce is of First Nations heritage.	Compliant																
7.2.2	Indicator: Evidence that the farm has undertaken proactive consultation with indigenous communities Requirement: Yes (150) Applicability: All farms that operate in indigenous territories or in proximity to indigenous or aboriginal people	There is an agreement called 'The Broughton Way Forward' signed off in December 2018, between Cermaq, MOWI and the first nations in the area. This has resulted in MOWI decommissioned 5 production sites in the Broughton area under the agreement. By 2022 10 sites between MOWI and Cermaq will be de-commissioned.	Compliant																
7.2.3	Indicator: Evidence of a protocol agreement, or an active process(151) to establish a protocol agreement, with indigenous communities Requirement: Yes Applicability: All farms that operate in indigenous territories or in proximity to indigenous or aboriginal people	The agreements demonstrate that MOWI is aware of Local, national laws and regulations for each FN. There are agreements in place as detailed in 7.2.1 and continuous engagements as detailed 7.2.1. No representatives made themselves available for the audit.	Compliant																
7.3.1	Indicator: Changes undertaken restricting access to vital community resources(152) without community approval Requirement: None Applicability: All	Mowi have a Tenure in place and an agreement with the FN's within the area and as documented in 7.2.1. The agreement includes and ensures that Mowi does not restrict access to vital community resources No representatives made themselves available for the audit.	Compliant																

7.3.2	<p>Indicator: Evidence of assessments of company's impact on access to resources</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	<p>The CEAA report for the site includes consultation with FN, local community and government. No representatives made themselves available for the audit.</p>	Compliant															
8.1	<p>Indicator: Compliance with local and national regulations on water use and discharge, specifically providing permits related to water quality</p> <p>Requirement: Yes</p> <p>Applicability: All Smolt Producers</p>	<p>The hatcheries involved for this site is Big tree Creek, Ocean Falls and Dalrymple. Ocean falls is a flow through farm discharging to the sea. The other two are re-circulation hatcheries. The hatcheries are all owned by Mowi.</p> <p>The Aquaculture Licence numbers are AQFW 112572 2015 valid until June 18th 2024. Facility reference number 79, for Big Tree Creek.</p> <p>For Ocean falls facility number 1689, the Licence number is AQFW 112568 2015 Valid until Jun 18th 2024.</p> <p>For Dalrymple hatchery facility reference number 47 the Licence number is AQFW 112571 and valid until June 18th 2024.</p> <p>Big tree Creek has been converted to re-circulation and are not compliant to the old flow through discharge licences. The site has just completed modernisation. All other hatcheries are starting updating their equipment to modernize treatments and effluents.</p> <p>The licences are monitored by DFO for compliance.</p>	Compliant															
8.2	<p>Indicator: Compliance with labour laws and regulations</p> <p>Requirement: Yes</p> <p>Applicability: All Smolt Producers</p>	<p>See principle 6 as the hatcheries are owned by Mowi.</p>	Compliant															
8.3	<p>Indicator: Evidence of an assessment of the farm's potential impacts on biodiversity and nearby ecosystems that contains the same components as the assessment for grow-out facilities under 2.4.1</p> <p>Requirement: Yes</p> <p>Applicability: All Smolt Producers</p>	<p>Biodiversity impact assessment for the hatcheries was drawn up in November 2014. There are a series of recommendations at the end of the report mainly to do with the effluent discharge and its effect. Dalrymple Hatchery. There is an addendum dated December 2018 and carried out by Mainstream biological consulting. The report covers upgrades to the hatchery and re-assesses the impacts covered in 2014.</p> <p>The hatcheries are owned by MOWI and they have confirmed implementing the assessment on potential impacts.</p>	Compliant															
8.4	<p>Indicator: Maximum total amount of phosphorus released into the environment per metric ton (mt) of fish produced over a 12-month period (see Appendix VII of the Salmon standard v.1.3)</p> <p>Requirement: 4 kg/mt of fish produced over a 12-month period</p> <p>Applicability: All Smolt Producers</p>	<p>The fish onsite are all related to the 2019 production at the hatcheries.</p> <p>All Skretting feed has been used.</p> <p>The Skretting feed is declared as 1.5 to 1.7% depending on the feed type.</p> <p>For Big tree Creek for 2019 there was 750.772 tons of feed</p> <p>For Big tree Creek for 2019 there was 701.75 tons production.</p> <p>For Big tree Creek for 2019 there was 2090.45kg of phosphorus in the effluent.</p> <p>For Big tree Creek for 2019 there was 2.98kg of phosphorus per ton of production.</p> <p>For Ocean fall for 2019 there was 275.166 tons of feed.</p> <p>For Ocean fall for 2019 there was 303.091 tons of production.</p> <p>For Ocean fall for 2019 there was 303.091 tons of production.</p> <p>For Ocean falls is NA as the site discharges to the sea.</p> <p>For Dalrymple for 2019 there was 519.847 tons feed.</p> <p>For Dalrymple for 2019 there was 595.826 tons of production.</p> <p>For Dalrymple for 2019 there was 523.28kg of phosphorus in the effluent.</p> <p>For Dalrymple for 2019 there was 0.88kg of phosphorus per ton of production.</p> <p>There is a new Variance in place number 231 that allows Phosphorus to be calculated in the effluent water rather than the sludge. There is also a VR for Ocean falls number 92 as they are discharging to the marine environment.</p>	Compliant															
8.5	<p>Indicator: If a non-native species is being produced, the species shall have been widely commercially produced in the area prior to the publication(154) of the ASC Salmon Standard</p> <p>Requirement: Yes (155)</p> <p>Applicability: All Smolt Producers, Exceptions shall be made for production systems that use 100 percent sterile fish or systems that demonstrate separation from the wild by effective physical barriers that are in place and well-maintained to ensure no escapes of reared specimens or biological material that might survive and subsequently reproduce.</p>	<p>Non-native Atlantic salmon are farmed. The DFO website shows that introductions occurred in 1985 from Scotland. Evidence provided in the form of the information on the DFO website showing egg importations. www.dfo-mpo.gc.ca</p>	Compliant															
8.6	<p>Indicator: Maximum number of escapes(156) in the most recent production cycle</p> <p>Requirement: 300(157) fish</p> <p>Applicability: All Smolt producers. A rare exception to this standard may be made for an escape event that is clearly documented as being outside of the farm's control. Only one such exceptional episode is allowed in a 10-year period for the purposes of this standard. The 10-year period starts at the beginning of the production cycle for which the farm is applying for certification. The farmer must demonstrate that there was no reasonable way to predict the events that caused the episode. Extreme weather (e.g., 100-year storms) or accidents caused by farms located near high-traffic waterways are not intended to be covered under this exception.</p>	<p>The system on two of the hatcheries are a full re-circulation with grids and screens in place. All monitoring records are submitted to DFO who keep them indefinitely and are available on their website. The hatchery has to comply with reporting conditions with the PAR licence the same as the marine sites. There is a requirement of 3 screens to be in place on each of the hatcheries. All the hatcheries are BAP certified annually where escapes are also taken into account. The hatcheries are all owned by Mowi.</p>	Compliant															
8.7	<p>Indicator: Accuracy(158) of the counting technology or counting method used for calculating the number of fish</p> <p>Requirement: >98%</p> <p>Applicability: All Smolt Producers</p>	<p>Vaki automatic counters are used with a reported accuracy of +/- 2%. The smolts are counted three times at vaccination, Loading for transfer and then by the well boat into the pens.</p> <p>There is a new Smolt inventory control SOP for hatchery sites Document FW265.</p>	Compliant															
8.8	<p>Indicator: Evidence of a functioning policy for proper and responsible treatment of non-biological waste from production (e.g., disposal and recycling)</p> <p>Requirement: Yes</p> <p>Applicability: All Smolt Producers</p>	<p>The hatcheries are part of Mowi Canada. The feed bags, pallets and plastic are all sent back to the feed company. There is a waste management plan in place for MOWI. The policy also covers the sea. S/FW963. There is a declaration on Environmental and biodiversity policy and signed by the Managing director of MOWI stating that there is a commitment to environmental certification programs such as ASC.</p>	Compliant															
8.9	<p>Indicator: Presence of an energy-use assessment verifying the energy consumption at the smolt production facility (see Appendix V subsection 1 of the Salmon standard v.1.3 for guidance and required components of the records and assessment)</p> <p>Requirement: Yes, measured in kilojoule/mt fish/production cycle</p> <p>Applicability: All Smolt Producers</p>	<p>Records are in place showing electricity and fuel consumption for 2019.</p> <p>Big tree Creek 32,655,953,162 kj</p> <p>Big Tree Creek 701 tons production</p> <p>Big Tree Creek 46,535,024 kj/mt production</p> <p>Ocean falls 3,151,448,570 kj</p> <p>Ocean Falls 303.09 tons production</p> <p>Ocean Falls 10,397,698 kj/mt production</p> <p>Dalrymple 26,947,161,531 kj</p> <p>Dalrymple 595.83 tons production</p> <p>Dalrymple 45,226,562 kj/mt production</p>	Compliant															
8.10	<p>Indicator: Records of greenhouse gas (GHG)(159) emissions(160) at the smolt production facility and evidence of an annual GHG assessment (see Appendix V of the Salmon standard v.1.3)</p> <p>Requirement: Yes</p> <p>Applicability: All Smolt Producers</p>	<p>Same emission factors as used by the Marine farms from DEFRA.</p> <p>Warming potential is also from DEFRA. Results from 2019.</p> <p>Big Tree Creek 5,943,704 kg/CO2eq</p> <p>Ocean Falls 1,416,087 kg/CO2eq</p> <p>Dalrymple 5,004,790 kg/CO2eq</p>	Compliant															

8.1.1	<p>Indicator: Evidence of a fish health management plan, approved by the designated veterinarian, for the identification and monitoring of fish diseases and parasites</p> <p>Requirement: Yes</p> <p>Applicability: All Smolt Producers</p>	<p>The fish health management plan is the same as the FHMP used on the seawater sites for MOWI.</p> <p>The internal MOWI veterinarian covers all the MOWI operations.</p>	Compliant																
8.1.2	<p>Indicator: Percentage of fish that are vaccinated for selected diseases that are known to present a significant risk in the region and for which an effective vaccine exists(161)</p> <p>Requirement: 100%</p> <p>Applicability: All Smolt Producers</p>	<p>Vaccinating for viruses is not compulsory in Canada, but the three companies in the BC area have agreed to vaccinate as part of the regional management plan. The fish health plan has a detailed list of all diseases in the area. All smolts at this hatchery were vaccinated against IHN, Furunculosis, BKD and Vibrio. All fish are vaccinated with Alphaject 5-3, Renagen, Emergen dip and Apex BHN. Vaccines trials can also be carried out.</p> <p>The product CV lists the fish vaccinations. All vaccines are approved by DFO.</p>	Compliant																
8.1.3	<p>Indicator: Percentage of smolt groups(162) tested for select diseases of regional concern prior to entering the grow-out phase on farm(163)</p> <p>Requirement: 100%</p> <p>Applicability: All Smolt Producers</p>	<p>Prior to transfer, smolts are tested for diseases such as VHS, BKD, IPN, ISA and bacterial diseases.</p> <p>The hatchery is owned by Mowi so no declaration is needed.</p>	Compliant																
8.1.4	<p>Indicator: Detailed information, provided by the designated veterinarian, of all chemicals and therapeutants used during the smolt production cycle, the amounts used (including grams per ton of fish produced), the dates used, which group of fish were treated and against which diseases, proof of proper dosing and all disease and pathogens detected on the site</p> <p>Requirement: Yes</p> <p>Applicability: All Smolt Producers</p>	<p>No antibiotics have been used in 2020 in any hatchery. Incoming water is disinfected with Ozone. All other chemical or therapeutant use is recorded on Aquafarmer for example MS222 used for anesthetizing fish. Formalin used to treat Fungus.</p>	Compliant																
8.1.5	<p>Indicator: Allowance for use of therapeutic treatments that include antibiotics or chemicals that are banned(164) in any of the primary salmon producing or importing countries(165)</p> <p>Requirement: Yes</p> <p>Applicability: All Smolt Producers</p>	<p>Mowi ASA has an extensive list of countries and their allowable and unallowable contaminants, drugs and microbiology and statutory limits for fish for all these growing areas. This database is updated when a country changes its limits by anybody in the Mowi organisation that has the current information. Every possible worldwide therapeutant is listed. Mowi Canada also have a medicine positive list showing drugs allowable however in the case of Triburion even though it is allowed MOWI no longer uses it for the US market. Even though there is a positive list, it does not mean that the treatments are used. The list is maintained by the food safety officer within the fish health group.</p>	Compliant																
8.1.6	<p>Indicator: Number of treatments of antibiotics over the most recent production cycle</p> <p>Requirement: ≤ 3</p> <p>Applicability: All Smolt Producers</p>	<p>There have been no treatments.</p>	Compliant																
8.1.7	<p>Indicator: Allowance for use of antibiotics listed as critically important for human medicine by the WHO (166)</p> <p>Requirement: None (167)</p> <p>Applicability: All Smolt Producers</p>	<p>There have been no treatments.</p>	Compliant																
8.1.8	<p>Indicator: Evidence of compliance(168) with the OIE Aquatic Animal Health Code(169)</p> <p>Requirement: Yes</p> <p>Applicability: All Smolt Producers</p>	<p>A copy of the OIE code is available to all staff through the "SharePoint". The appendix 1 in the Fish Health plan includes a link for OIE and refers to the Code.</p> <p>The hatchery is owned by Mowi so they are aware of ASC requirements.</p>	Compliant																
8.1.9	<p>Indicator: Evidence of company-level policies and procedures in line with the labour standards under 6.1 to 6.11</p> <p>Requirement: Yes</p> <p>Applicability: All Smolt Producers</p>	<p>The same policies apply as detailed in Principle 6 as it is the same company.</p>	Compliant																
8.2.0	<p>Indicator: Evidence of regular consultation and engagement with community representatives and organizations</p> <p>Requirement: Yes</p> <p>Applicability: All Smolt Producers</p>	<p>Meetings dated July 24th 2019 with the Sayward council (Big Tree and Dalrymple). The meeting included the Mayor and full council dated November 5th 2019. There was also an ASC invite letter to the City of Campbell river Council on the same date. There was a meeting on January 15th 2020.</p> <p>There was a letter sent out to CCRD The Central Coast regional district dated 24th July 2019 and received no replies. Letter was an update on activities and included an invite to meet. Documents show the questions are recorded and follows ups are done if required when no immediate answers are available. Community consultation document dated Jan 14th 2020. Due to Covid there has been little follow up in 2020 due to travel and meeting restrictions.</p>	Compliant																
8.2.1	<p>Indicator: Evidence of a policy for the presentation, treatment and resolution of complaints by community stakeholders and organizations</p> <p>Requirement: Yes</p> <p>Applicability: All Smolt Producers</p>	<p>Mowi own the facility See P7</p>	Compliant																
8.2.2	<p>Indicator: Where relevant, evidence that indigenous groups were consulted as required by relevant local and/or national laws and regulations</p> <p>Requirement: Yes</p> <p>Applicability: All Smolt Producers</p>	<p>Mowi own the facility See P7. The company has an indigenous relations department and where MOWI activities occur then all bands are consulted. MOWI have provided the bands contacts as part of the stakeholder contact information for these audits.</p>	Compliant																
8.2.3	<p>Indicator: Where relevant, evidence that the farm has undertaken proactive consultation with indigenous communities</p> <p>Requirement: Yes</p> <p>Applicability: All Smolt Producers</p>	<p>Mowi own the facility See P7. The company has an indigenous relations department and where MOWI activities occur then all bands are consulted. MOWI have provided the bands contacts as part of the stakeholder contact information for these audits.</p>	Compliant																
8.2.5	<p>Indicator: Allowance for stocking various producers in cage culture</p> <p>Requirement: Permitted only if supplying farms are 1) operated in a region where indigenous salmonids are present of the same species being cultivated and 2) the farm is certified to the ASC Freshwater trout Standard</p>	<p>Land based hatcheries.</p>	N/A	Land based hatcheries.															
8.2.6	<p>Indicator: Water quality monitoring matrix completed and submitted to ASC (see Appendix VIII of the Salmon standard v.1.3)</p> <p>Requirement: Yes(171)</p> <p>Applicability: open (net-pen) production of smolt</p>	<p>Land based hatcheries.</p>	Compliant																
8.2.7	<p>Indicator: Minimum oxygen saturation in the outflow (methodology in Appendix VII of the Salmon standard v.1.3)</p> <p>Requirement: 60%(172, 173)</p> <p>Applicability: All Smolt Producers Using Semi-Closed or Closed Production Systems</p>	<p>Results have shown that all effluent measurements from all the hatcheries are above 60%. Detailed results have been sent to ASC.</p>	Compliant																

8.28	<p>Indicator: Macro-invertebrate surveys downstream from the farm's effluent discharge demonstrate benthic health that is similar or better than surveys upstream from the discharge (methodology in Appendix VII of the Salmon standard v.1.3)</p> <p>Requirement: Yes</p> <p>Applicability: All Smolt Producers Using Semi-Closed or Closed Production Systems</p>	<p>For Big Tree report dated December 2018 is based on samples taken by Mainstream biological and was analysed and written up by Biologica based in Victoria and is due a new report in 2020 as its been doing so well in previous reports.</p> <p>For Dalrymple report dated July 2019 is based on samples taken by Mainstream biological and was analysed and written up by Biologica based in Victoria. The hatchery was rebuilding and re-structured in 2019 and the facility now has primary treatment in place and is looking at secondary treatment.</p> <p>Mainstream Biological follow the Appendix as specified to them by Mowi.</p> <p>For the Dalrymple hatchery, the Macro Invertebrate report states that water quality is fair downstream compared to good or very good upstream of the hatchery.</p>	Compliant														
8.29	<p>Indicator: Evidence of implementation of biosolids (sludge) Best Management Practices (BMPs) (Appendix VII of the Salmon standard v.1.3)</p> <p>Requirement: Yes</p> <p>Applicability: All Smolt Producers Using Semi-Closed or Closed Production Systems</p>	<p>Bio-sludge management plan in place as part of the ASC requirements. Last revised February 2020. Big tree creek has 6 collection tanks that can hold 2500 litre each. Removal frequency is 1-2 times per year. Dalrymple has 2 linked tanks with a capacity of 28,000 litres each and emptied as production requires. Flow diagrams for the hatcheries were provided. Mowi confirm that sludge is removed.</p> <p>Hatcheries remove sludge to Renewable resources.</p>	Compliant														

Metric table

Where the requirement is "None", please use 0 (zero) if requirement is met

Corresponds to ASC Salmon standard version 1.3

[illegible]

[illegible]

[illegible]

Summary of Standard Non Conformities (NC)

Standard: Salmon

Version: 1.3

NC Type	NC Totals
Major	0
Minor	0
Total	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CAB)	Indicator Number	Indicator Text	Audit Evidence	Overall Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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Metric table Where the requirement is "None", please use 0 (zero) if requirement is met

Corresponds to ASC Seriola & Cobia standard version 1.1

[illegible]

[illegible]

[illegible]

7.3.1	7.3.2	7.2.1
Social	Social	Social
Changes undertaken restricting access to vital community resources without community approval	Assessments of company's impact on access to resources	Local groups consulted during project design and operation

Summary of Standard Non Conformities (NC)

Standard: Salmon
Version: 1.3

NC Type	NC Totals
Major	0
Minor	0
Total	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CAB)	Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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Metric table

Where the requirement is "None", please use 0 (zero) if requirement is met

Corresponds to ASC Seabass, Seabream & Meagre standard version 1.1

[illegible]

[illegible]

[illegible]

[illegible]

Summary of Standard Non Conformities (NC)

Standard: Salmon
Version: 1.3

NC Type	NC Totals
Major	0
Minor	0
Total	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CAB)	Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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Metric table

Where the requirement is "None", please use 0 (zero) if requirement is met

Corresponds to ASC Freshwater Trout standard version 1.2

Indicator No	2.2.1	2.3.1	2.4.1	2.6.1	3.1.1	3.2.1	3.2.2	3.3.4
Impact Category	Other	Wildlife Interaction	Wildlife Interaction	Wildlife Interaction	Water quality	Water quality	Water quality	Water quality
Indicator Text	For new farms installed on land after February 2013 (or for significant expansions), minimum buffer zone between the farm and an adjacent water body in which there is no farm infrastructure that might impede wildlife's access to the water, except for inflow and outflow systems	New introductions of exotic species from the date of the publication of the ASC Freshwater Trout Standard, unless the hatchery/fingerling facility is a closed production system	Allowance for the culture of transgenic trout, including the offspring of genetically engineered trout	Intentional use of lethal predator control	Maximum amount of water that a farm can abstract from a natural flowing water body (such as a river or stream)	Maximum total amount of phosphorus released into the environment per metric ton (mt) of fish produced over a 12-month period (see methodology in Appendix II-A)	Minimum oxygen saturation in the outflow, measured monthly (see methodology in Appendix II-B)	Maximum baseline total phosphorus concentration of the water body (see Appendix II-H)
Requirement/ Site ID								
0								

3.3.5	3.3.7a	3.3.7b	3.3.8	4.1.7	5.2.2	5.3.1	5.3.2a	5.3.2b
Water quality	Water quality	Water quality	Water quality	Other	Feed	Feed	Feed	Feed
Minimum percent oxygen saturation of water 50 centimetres above bottom sediment (at all oxygen monitoring locations described in Appendix II-G)	Maximum allowed increase in total phosphorus concentration in lake from baseline - for water bodies with a surface area of less than 1,000 km².	Maximum allowed increase in total phosphorus concentration in lake from baseline - for water bodies with a surface area of 1,000 km² or greater	Maximum total amount of phosphorus released into the environment per metric ton (t) of fish produced over a 12-month period (see Appendix II-A)	Minimum frequency of inspection of the farm by a designated veterinarian who specializes in aquatic animal health. The inspection must review the farm health plan.	Prior to 100% achievement of 5.2.1, the Fishsource score required for the fisheries from which marine raw material in feed is derived (excluding trimming and by-products)	Fishmeal Forage Fish Dependency Ratio (FFDRm) for grow-out (calculated using formulas in Appendix III, subsection 1)	Option A: Compliance with the following requirement: Fish Oil Forage Fish Dependency Ratio (FFDRo) for grow-out (calculated using formulas in Appendix III, subsection 1) c	option B: Compliance with the following requirement: Maximum level of EPA/DHA content from marine sources as a percentage of fatty acids in the feed (excluding EPA/DHA from trimmings and by-products)

[illegible]

6.5.1	6.6.1	6.7.1	6.8.1
Social	Social	Social	Social
The percentage of employees who are paid a basic needs wage.	Incidences of employees denied freedom to associate, the ability to bargain collectively or denied access to representatives, or representative organizations, chosen by workers	Incidences of abusive disciplinary actions	Violations or abuse of working hours and overtime laws and agreements

Summary of Standard Non Conformities (NC)

Standard: Salmon
Version: 1.3

NC Type	NC Totals
Major	0
Minor	0
Total	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code	Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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Metric table

Where the requirement is "None", please use 0 (zero) if requirement is met

Corresponds to ASC Bivalve standard version 1.1

[illegible]

Summary of Standard Non Conformities (NC)

Standard: Salmon
Version: 1.3

NC Type	NC Totals
Major	0
Minor	0
Total	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CAB)	Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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[illegible]

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Summary of Standard Non Conformities (NC)

Standard: Salmon
Version: 1.3

NC Type	NC Totals
Major	0
Minor	0
Total	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CAB)	Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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Metric table

Where the requirement is "None", please use 0 (zero) if requirement is met

Corresponds to ASC Tilapia standard version 1.2

Indicator No	6.2.1	6.2.2	6.2.3	6.2.6	6.2.7	3.1.1	5.1.1	5.1.2
Impact Category	Chemicals/therapeutants	Chemicals/therapeutants	Chemicals/therapeutants	Chemicals/therapeutants	Chemicals/therapeutants	Feed	Feed	feed
Indicator Text	Allowance for the use of chemicals and therapeutants for disease and pest control that are banned in the importing or producing country	Allowance for the prophylactic use of antibiotics, prior to any evidence of a disease problem	Minimum hold time required before any water in which fish have been fed with feed containing methyl or ethyl testosterone can be released (in Hours)	Allowance for the use of antibiotics critical for human medicine, as categorized by the World Health Organization	Number of treatments of antibiotics over the most recent production cycle	The total amount of phosphorus added to the culture system per metric ton of fish produced per year. Use equations from Appendix III. (in Kg)	Feed Fish Equivalence Ratio (FFER). See Appendix IV for feed calculations.	Allowance for the use of fishmeal and fish oil in tilapia feed containing products from fisheries that are listed on the IUCN's Red List or the species list maintained by the Convention on the International Trade of Endangered Species of Wild Fauna and Flora
Requirement/ Site ID								
0								

2
3

2
3



50
51
52



2
3



1
0
-1



25
24
23



0.6
0.5
0.4

2
3

5.1.4a Feed	5.1.4b Feed	6.1.1 Mortality/survival/escapes	2.6.1 other	4.1.4 other	4.1.5 other	2.3.1 Water quality	2.4.1 Water quality	2.4.3 Water quality
Prior to achievement of 5.1.3, the average FishSource score characterizing the fishery(ies) from which the fishmeal or fish oil is derived. See Appendix V for explanation of FishSource scoring. (With no individual score)	Prior to achievement of 5.1.3, the average FishSource score characterizing the fishery(ies) from which the fishmeal or fish oil is derived. See Appendix V for explanation of FishSource scoring. (in stock assessment category)	Percent recovery of fish stocked in production stages after they have attained a size of 100 grams	Hectares of allowable wetland conversion since 1999 (in Ha)	In cage culture systems, the minimum distance between the bottom of the cage and the bottom of the receiving water where the cage is placed (in m)	The minimum percentage of males or sterile fish in a culture unit	The percent change in diurnal dissolved oxygen of receiving waters relative to dissolved oxygen at saturation for the water's specific salinity and temperature	Secchi disk visibility limit above which production is not certifiable (in Meters)	Total phosphorus concentration limit in receiving waters (in µg/L)

8	✓	67	✗	2	✓	5	✓	97	✓	63	12	✗	22
9	✓	68	✗	3	✓	6	✓	98	✓	62	13	✗	23
10	✓	69			✓	7	✓	99	✓	61	14	✗	24

[illegible]

x
x
x

6
7
8

x
x
x

22
23
24

x
x
x

12
13
14

x
x

2
3

7.4.2 Social	7.4.3 Social	7.5.1 Social
Percentage of health- and safety- related accidents and violations recorded and mitigated through corrective actions	Employer responsibility and proof of insurance (accident/ injury for employee costs in a job-related accident or injury when not covered under national law	The percentage of employees who are paid fair and decent wages

Summary of Standard Non Conformities (NC)

Standard: Salmon
Version: 1.3

NC Type	NC Totals
Major	0
Minor	0
Total	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CAB)	Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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[illegible]

Metric table

Where the requirement is "None", please use 0 (zero) if requirement is met

Corresponds to ASC Shrimp standard version 1.1

Indicator No	5.3.1	5.3.2	5.3.5	5.3.6	7.2.1a	7.2.1b
Impact Category	Chemicals/therapeutants	Chemicals/therapeutants	Chemicals/therapeutants	Chemicals/therapeutants	Feed	Feed
Indicator Text	Allowance for use of antibiotic and medicated feed on ASC-labelled products (farm can be certified but specific product receiving medicated feed will not be authorized to carry ASC label).	Allowance for the use of antibiotics categorized as critically important by the World Health Organization (WHO), even if authorized by the pertinent national authorities.	Allowance for treating water with pesticides banned or restricted by the Rotterdam Convention on Prior Informed Consent (PIC), the Stockholm Convention on Persistent Organic Pollutants (POPs) or classed as "extremely hazardous" or "highly hazardous" (classes Ia and Ib) by the World Health Organization (WHO).	Allowance for discharge of any hazardous chemicals without previous neutralization	Timeframe for 100% (mass balance) fishmeal and fish oil used in feed to come from fisheries certified by a full ISEAL member that has guidelines specifically promoting ecological sustainability of forage fisheries (in Years)	FishSource score, for the fishery(ies) from which a minimum of 80% of the fishmeal and fish oil by volume is derived (See Appendix IV, subsection 3 for explanation of FishSource scoring) a. for Fishsource Criteria 4 (spawning biomass assessment) (or compliance with alternative interim proposal 7.1.1c)
Requirement/ Site ID						
0						

7.2.1c Feed	7.2.2 Feed	7.4.1a Feed	7.4.1b Feed	5.1.3a Mortality/survival/escapes	5.1.3b Mortality/survival/escapes	5.1.3c Mortality/survival/escapes	6.2.2a other
FishSource score , for the fishery(ies) from which a minimum of 80% of the fishmeal and fish oil by volume is derived (See Appendix IV, subsection 3 for explanation of FishSource scoring) b. for Fishsource Criteria 1, 2, 3 and 5 (or compliance with alternative interim proposal 7.1.1c)	Percentage of non-marine ingredients from sources certified by an ISEAL member's certification scheme that addresses environmental and social sustainability	Feed Fish Equivalence Ratio (FFER) L. vannamei	Feed Fish Equivalence Ratio (FFER) P. monodon	Annual average farm survival rate (SR): 1) Unfed and non-permanently aerated pond systems	Annual average farm survival rate (SR): 2) Fed but non-permanently aerated pond systems	Annual average farm survival rate (SR): 3) Fed and permanently aerated pond systems.	Percent of total post larvae from closed loop hatchery for L. vannamei, P. indicus, P. stylirostris (i.e., farm-raised broodstock)

6.2.2b other	2.5.3a Water quality	2.5.3b Water quality	7.5.1a Water quality	7.5.1b Water quality	7.5.2a Water quality	7.5.2b Water quality	7.5.4 Water quality	7.5.5 Water quality
Percent of total post larvae from closed loop hatchery for P. monodon (must be increased over time, and reach 100% within six years after the publication of the standard.) (i.e., farm-raised broodstock)	Water-specific conductance in freshwater wells used by the farm or located on adjacent properties. (in µS/cm)	Chloride concentration in freshwater wells used by the farm or located on adjacent properties. (in mg/l)	Nitrogen effluent load per ton of shrimp produced for L. vannamei over a 12-month period.	Nitrogen effluent load per ton of shrimp produced for P. monodon over a 12-month period.	Phosphorous effluent load per ton of shrimp produced for L. vannamei over a 12-month period	Phosphorous effluent load per ton of shrimp produced for P. Monodon over a 12-month period	Treatment of effluent water from permanently aerated ponds. Evidence that all discharged water goes through a treatment system, and concentration of settleable solids in effluent water (in ml/l)	Percentage change in diurnal dissolved oxygen (DO) relative to DO at saturation in receiving water body for the water's specific salinity and temperature.

[illegible]

Summary of Standard Non Conformities (NC)

Standard: Salmon
Version: 1.3

NC Type	NC Totals
Major	0
Minor	0
Total	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CAB)	Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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[illegible]

[illegible]

[illegible]

Metric table

Corresponds to ASC Pangasius standard version 1.2

[illegible]

7.8.3b Social	7.10.2 Social	7.10.3 Social	7.11.2 Social	7.11.3 Social	7.11.5 Social	7.14.3a Social	7.14.3b Social	7.14.3c Social
Minimum time in days/month off for all workers	Maximum length of probation period stated in the contract for workers, other than farm managers and workers with an university degree (in Months)	Maximum length of probation period stated in the contract for farm managers and workers with an university degree	percentage of issues raised by workers which are registered, tracked and responded to by the employer	Percentage of complaints that are resolved within one month after being received	Timeframe in years for the contracting of suppliers and service providers that ensure suitable health and safety conditions for their workers	Percentage of conflicts resolved within 6 months of the date of being filed	Percentage of conflicts resolved within 1 year of the date of being filed	Percentage of conflicts resolved within 2 years of the date of being filed

Summary of Standard Non Conformities (NC)

Standard: Salmon
Version: 1.3

NC Type	NC Totals
Major	0
Minor	0
Total	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CAB)	Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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[illegible]

(zero) if requirement is met

Corresponds to ASC Flatfish standard version 1.0

[illegible]

[illegible]

[illegible]

Summary of Standard Non Conformities (NC)

Standard: Salmon
Version: 1.3

NC Type	NC Totals
Major	0
Minor	0
Total	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CAB)	Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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[illegible]

[illegible]

Where the requirement is "None", please use 0 (zero) if requirement is met

[illegible]

[illegible]

[illegible]

6.7.1	6.8.2	6.2.1	6.3.1	6.4.2	6.6.1	6.9.1	6.10.1
Social	Social	Social	Social	Social	Social	Social	Social
Percentage of workers who have contracts	Percentage of grievances handled that are addressed within a 90-day timeframe	Number of incidences of child labour	Number of incidences of forced, bonded or compulsory labour	Number of incidences of discrimination	The percentage of workers whose basic wage(before overtime and bonuses) is below the minimum wage	Incidences of excessive or abusive disciplinary actions	Incidences, violations or abuse of working hours and overtime laws

Summary of Standard Non Conformities (NC)

Standard: Salmon
Version: 1.3

NC Type	NC Totals
Major	0
Minor	0
Total	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CAB)	Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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