The appropriate and report shall be submitted via mail to ASC in Excel if not specified differently: certification@asc-agua.org

If you would like to register a problem or issue with the document or wish to leave feedback and suggestions for improvements, please contact: certification@asc-aqua.org

All fields must be visible in Excel format and no field shall be omitted or deleted. ASC reserves the right to refuse to publish incomplete and low quality reports.

This document has been developed in collaboration with the ASC Programme Assurance team and Standards & Science team for ASC single-site, multi-site and group certification farm audits. A copy of all documents (Audit reports templates, confidential annexes etc.) are uploaded on to the ASC website and are publicly available.

Only fully completed forms are accepted by the ASC. Incomplete forms may lead to a delay in the certification process.

In case the audit report template for Multi-site and Group-certification does not contain enough site rows for the amount of sites applicable for a specific applicant, please contact certification@asc-aqua.org

This document layout is formatted into tables for data entry and questions to record answers and evidence.

You can adjust the width of the columns to display all information.

Users shall not delete any rows or columns.

Data filled out in the audit announcement (form 3) sheet, will be automatically populated in the audit report itself.

Be aware that there are 3 different types of audit report templates: 1. Single-site, 2. Multi-site and 3. Group certification.

Next to these 3 templates, there is the Audit Report Template V2.0 Confidential Annex, applicable to the 3 different versions of the audit report template.

Document type - PDF conversion - Guidance

Always save your document as a macro-enabled spreadsheet (click on "File", "Save As" and make sure the document type is saved as "Excel Macro-Enabled Workbook").

If your audit team plans to work on a shared online document - ensure the platform app supports macro-enabled functionality.

Please do not delete any rows, columns, fields or sheets (except for irrelevant species sheets). As the template is running multiple macros and formulas in the background, it is NOT possible to take out any sheets and copy

To safe the full excel document as a pdf, please follow these steps:

1. Click on "File", "Save As"

2. Select PDF as document type and click on "more options"

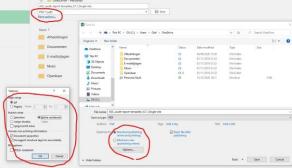
3. Click on "options" in the box that shows up

4. Set "page range" to All and set "publish what" to Entire workbook and click on "ok"

5. Click on "Save" and the entire document will be saved as a PDF document in the correct format

The page layout is selected in a way that all tables are visible in the PDF.

Tables may appear small, however it is possible to read them by using the PDF zoom function.



How to run the excel spell check in this document?

- This can be enabled with the following key combination: Ctrl + Shift + S.

- This opens the spell-check dialogue box, cycling through all the recognised spelling mistakes. - After the last recognised mistake is address - the dialogue closes and the worksheet is automatically locked again.

- If there are no mistakes the dialogue box does not open.

- This then needs to be repeated for each worksheet with audit data.

(The spell check ignores capitalised abbreviations - but will still identify mix abbreviations as mistakes e.g. UoC)

What if changes are made?

If changes were made e.g. from the announcement to the audit report or when an updated report is submitted to ASC, ALL cells containing changes shall be highlighted in vellow for transparency reasons, and as far as possible a clear statement on the update shall be made in the respective field.

Confidential information - Data protection and publication of reports

All fields in the announcement and audit report are mandatory and shall be filled out and will be made publicly available. However, should the client or CAB desire to submit certain data confidentially (e.g., for GDPR or commercial sensitivity reasons), this data can be entered in the confidential annexes, which are provided in a separate document than this audit report template. Please BE AWARE that confidential Annex-3 is always mandatory to be filled out and shall be submitted to the ASC, together with the audit report, Confidential annexes will NOT be made publicly available.

Comments or guidance

For a lot of the fields in the announcement and audit report, a guidance field pops up as soon as the field is selected.

Some fields are marked with a red triangle in the corner, meaning that the field contains a guidance comment.

Data restrictions & conditional formatting

This audit report template contains a lot of fields with data validation and data restriction fields, allowing for only a certain type of data to be filled out in the specific field (For example, date fields, picklists, numbers etc.). Please ONLY fill out the requested type of data. If not filled out correctly, an error message will pop up, giving guidance to enter the correct type of data.

The audit report template also contains a lot of fields with conditional formatting, meaning that cells or field will be highlighted, based on the type of data filled out. Some cells are highlighted with red, these will change to blue when data is entered into the cell. This makes it easier to detect fields that are not filled out, but shall be filled out. Please note that all fields are required and shall be filled out.



Audit Announcement (Form 3)
Please note that all data entered in this audit annou Site(D(s) is/are provided by ASC in the confirmation

Please note that all data entered to this under one charging like years to be confound control (see Fig. 1). The confound control (see Fig. 1) is control to the confound control (see Fig. 1). The control to the control (see Fig. 1) is control to the control to the control (see Fig. 1). The control to the control (see Fig. 1) is control to the control (see Fig. 1). The control to the control (see Fig. 1) is control to the control (see Fig. 1) is control to the control (see Fig. 1). The control (see Fig. 1) is control (see Fig. 1). So control (see Fig. 1) is control (see Fig. 1). So control (see Fig. 1) is control (see Fig. 1). So control (see Fig. 1) is control (see Fig. 1). So control (s

dit ir	domation			
2.1	ASC standard principles covered by the audit		ASC standard principles	
		2.1.1 Principle 1	Covered	
		2.1.2 Principle 2	Covered	
		2.1.3 Principle 3	Covered	
		2.1.4 Principle 4	Covered	
		2.1.5 Principle 5	Covered	
		2.1.6 Principle 6	Covered	
		2.1.7 Principle 7	Covered	
		2.1.8 Drinninks 8	Covered	

2.2	Activities covered under the scope of the certificatio	n		Under scape of certification	Under Scope of this audit	Notes
	and under the scope of the audit.					
	Activities in the table apply to final product only.					
		2.2.1	Stocking	Covered	Covered	N/A
		2.2.2	Nursing	Covered	Covered	N/A
		2.2.3	Growing Out	Covered	Covered	N/A
		2.2.4	Transferring	Covered	Covered	N/A
		2.2.5	Harvest	Covered	Covered	N/A
		2.2.6	Vaccination	Covered	Covered	N/A
					Covered	N/A
					Not Covered	N/A
		2.2.9			Not Covered	N/A
	2.	2.10		Not Covered	Not Covered	N/A
	2.	2.11		Not Covered	Not Covered	N/A
	2	2.12	Other (Please describe)	Not Covered	Not Covered	N/A

	Ownership	Primary culture species	Cycle duration	Latitude (N, S) (00.00000)*	Longitude (E,W)		Number of production units	Start date of audit	End date of audit
Sameaunt Pass	Owned	Atlantic salmon (Salmo salar)	Long-cycle species (>6 months)	50.405260	126.112990	Net-pers	11	03 August 2021	06 August 2021

1.1	4.2	4.3	4.4	4.5	4.6	4.7	4.8	4.9	4.10
Name of Company/ Organisation if applicable	Contact person - First name	Contact person - Surname	Country where stakeholder is based	fmail address of contact person/ stakeholder	Stakeholder type	If stakeholder type	Contact date	Did the stakeholder	Stakeholder
						"other" was selected	stakeholder	submit comments?	comments relate
						what type?			what ASC standar
									indicator number
David Suzuki Foundation	See Confidential Annex	See Confidential Annex	See Confidential Anney	See Confidential Annex	Other	Conservation	22 July 2021		
Living Oceans Society	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Conservation	22 July 2021		
Ducks Unlimited	See Confidential Annex	See Confidential Annex	See Confidential Anney	See Confidential Annex	Other	Conservation	22 July 2021		
Pacific Salmon Foundation	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Conservation	22 July 2021		
BC Council of Forest Industries	See Confidential Annex	See Confidential Annex	See Confidential Anney	See Confidential Annex	Other	Ecrestry	22 July 2021		
IC Seafood Alliance	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Fisheries	22 July 2021		
Vancouver Island North Tourism	See Confidential Annex	See Confidential Annex	See Confidential Anney	See Confidential Annex	Other	Tourism	22 July 2021		
Savward Town Council	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Government	N/A	22 July 2021		
Regional District of Mount Waddington	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Government	N/A	22 July 2021		
Port McNeil	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Government	N/A	22 July 2021		
Strathcona Regional District	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Anney	Government	N/A	22 July 2021		
Village of Alert Bay	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Government	N/A	22 July 2021		
lames Walkus Fishing Company	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Anney	Other	Contractors / Suppliers	22 July 2021		
lames Walkus Fishing Company Skretting	See Confidential Annex	See Confidential Annex	See Confidential Annex See Confidential Annex	See Confidential Annex	Other	Contractors / Suppliers Contractors / Suppliers	22 July 2021 22 July 2021		
	See Confidential Annex	See Confidential Annex	See Confidential Annex See Confidential Annex	See Confidential Arriex			22 July 2021 22 July 2021		
Noboco BC Centre for Aquatic Health Sciences	See Confidential Annex	See Confidential Annex	See Confidential Annex See Confidential Annex	See Confidential Annex	Other Other	Contractors / Suppliers Research	22 July 2021 22 July 2021		
BC Salmon Farmers Association	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Industry	22 July 2021		
Canadian Aquaculture Industry Association	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Arriex			22 July 2021		
			See Confidential Annex See Confidential Annex	See Confidential Annex See Confidential Annex	Other	Industry			
United Steelworkers Local I-1937	See Confidential Annex	See Confidential Annex		See Confidential Annex	Other	Industry	22 July 2021		
Da'naxda'xw First Nation	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	First Nations Rightsholders	22 July 2021		
Swarana'anuw Tribe	See Confidential Annex	See Confidential Anney	See Confidential Annex	See Confidential Anney	Other	First Nations Rightsholders	22 July 2021		
			See Confidential Anney		Other	First Nations	22 July 2021		
Mamalilicula First Nation	See Confidential Anney	See Confidential Anney		See Confidential Anney		Rightsholders	,		
			See Confidential Annex		Other	First Nations	22 July 2021		
Nameis First Nation	See Confidential Annex	See Confidential Annex	See Compension Armes	See Confidential Annex	Curei	Rightsholders	22 1017 2022		
			See Confidential Anney		Other	First Nations	22 July 2021		
Fsawataineuk (Dzawada'enusw) First Nation	See Confidential Annex	See Confidential Annex	See Compension Armes	See Confidential Anney	Curei	Rightsholders	22 1017 2022		
Isawataneuk (Uzawada enusw) rint Nadon	Jee Compensar Annex	Jee Compension Annex	See Confidential Anney	Jee Compensar Amer	Other	First Nations	22 July 2021		
Flowitsis Nation	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Contr	Pirst Nations Biahrsholders	22 1019 2021		
Cwicksutaineuk-ah-kwaw-ah-mish First Nation	See Confidential Annex	See Confidential Annex	See Confidential Annex	Jee Compensar Amer	Other	First Nations	22 July 2021		
CMICKSUTAINIUK-an-KWaW-an-mish First Nation	See Contidential Arriex	See Contidential Artnex	See Connoential Annex	See Confidential Annex	Coner	Rightsholders	22 July 2021		
Mai Wai Kum First Nation	See Confidential Anney	See Confidential Anney	See Confidential Anney	See Contidential Annex	Other	Rightsholders First Nations			
Well Wall Kluth First Nation	See Contidential Arriex	See Contidential Artnex	See Connoential Annex	See Confidential Anney	Coner	Rightsholders	22 July 2021		
Me Wai Kai First Nation	See Confidential Anney	See Confidential Anney	See Confidential Anney	See Contidential Annex					
We Wai Kai First Nation	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Anney	Other	First Nations Bishocholders	22 July 2021		
				See Contidential Annex					
Comoks First Nation	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	First Nations	22 July 2021		
				See Confidential Annex		Rightsholders			
BATI Director/First Nations Coordinator	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annay	Other	First Nations	22 July 2021		
						Rightsholders			
Broughton Apuaculture Transition Initiative	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Conservation	22 July 2021		
Marine Coordinator	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Conservation	22 July 2021		
Central Coast Regional District	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Conservation	22 July 2021		

- 1.1 Document Type
- 1.2 Document language 1.3 Second document language

- 1.3 Second document language
 1.4 Unit of certification type
 1.4.1 Company name
 1.4.2 UoC name
 1.5 Country where UoC is located
 1.6 ASC Standard
 1.7 Standard version
 1.8 Coefficients records is subject 1.8 Certification process is subject to CAR version
- 1.9 Name of the Conformity assessment body (CAB)

- Client contact person from the UoC
 1.15 First name
 1.16 Surname
 1.17 Position in the UoC (Job title)
 1.18 Email address
- 1.19 Phone number
- $1.20\ \textit{Other means of contact e.g. Skype}$

	Final Report	
English		
N/A Single Site		
Single Site		
MOWI Canada		
Sargeaunt Pass		
Canada		
Salmon		
1.3		
2.2		

DNV GL Business Assurance Norway AS

Adam	
McFee	
Certification Manager	
Adam.McFee@mowi.com	
+1 250 850 3276	
N/A	

Date - Audit announcement published on ASC 09 July 2021 Date - Draft report published on ASC website 27 September 2021 Date - Final report submitted to ASC 03 November 2021 provided by ASC with publication confirmation ASC standard principles covered by the audit Principle 1 Principle 3 Principle 4 Covered Principle 5 Covered Principle 6 Covered Principle 7 Covered Principle 8

Activities covered under the scope of the certification and under the scope of the audit. Activities in the table apply to final product only.

the ie audit.	Activity	Under scope of certification	Under Scope of this audit	Notes
oduct				
2.6.1	Stocking	Covered	Covered	
2.6.2	Nursing	Covered	Covered	
2.6.3	Growing Out	Covered	Covered	
2.6.4	Transferring	Covered	Covered	
2.6.5	Harvest	Covered	Covered	
2.6.6	Vaccination	Covered	Covered	
2.6.7	Fallowing	Covered	Covered	
2.6.8	Transportation	Not Covered	Not Covered	
2.6.9	Storage (if present at farm)	Not Covered	Not Covered	
2.6.10	Processing (if present at farm)	Not Covered	Not Covered	
2.6.11	Packing (if present at farm)	Not Covered	Not Covered	
2.6.12	Other (Please describe)	Not Covered	Not Covered	

Certification cycle
Audit type
Audit number in certification cycle
Will harvesting be witnessed during audit?
If harvest is NOT witnessed, please justify:
Audit conducted (On-site/Remote):

2
Recertification audit
1
No
Remote Audit
Remote

Please indicate the hours assigned to the different audit activities in the table below, separated by the hours spend on the activities by the environmental- and social auditor(s):

2.12.1	2.12.2	2.12.3
Time assigned to audit activities	Social Auditor(s)	Environmental auditor(s)
Off-site activities	24	24
On-site activities		
Total man days	3	3

Audit team and other involved	persons			
2.13	2.14	2.15	2.16	2.17
Surname	First name	Role	Expertise needed for the audit (required for technical experts only)	Person on-site or remote?
Casburn	Paul		N/A	Remote
Cleatheroe	Richard	Social Auditor	N/A	Remote

3. Site information

List all sites here, that are included in the certificate

GIS, polygon data and map on site level Ves validated by auditor?

	la a	2.2			0.40		0.45			0.40	0.10							To an a					la an			To as	
5.1	5.2	5.5	3.4	3.5	3.13	3.14	3.15	3.1b	3.1/	3.18	3.19	3.20	3.21	3.22	3.22.1	3.22.2	3.23	3.23.1	3.24	3.25	3.2b	3.26.1	3.27	3.28	3.29 3.3	3.31	3.32
Site ID - pr	ovided by Site name	Ownership	Primary culture species	Secondary species (choose	Latitude (N, S)	Longitude (E,W)	Production system	Number of	Production type	Production	Date of inclusion into the UoC	Start date of audit	End date of audit			Status at the time of the	List of other certificates (choose	List of other certificates: If 3.23 is	Is the site	If partially certified, which part is not in the UoC and	The volumes	Type of volumes	ASC-certified 1	Non ASC-certified	Dispatched or sold Dis	atched or sold For Bivalve/A	oalone: Note/ Other
ASC with p	ublication			multiple species as relevant)	(00.000000)*	(00.000000)*		production		method	(for scope			stocking for the current		current audit	multiple options as relevant)	"Other", please list the certificates:	partially certified?	why?	indicated in the	indicated in 3.27-	production volume		as ASC-certified as	on ASC- Volumes indi	ate in information
confirmation	on of audit							units			extension/group/multi-site)										fields 3.27-3.30	3.30	(in Kg)	volume (in Kg)	Volume (in Kg) cer	ified Volume (in 3.27 - 3.30 are	given in
announcer	nent																				apply to the				Kg)	live weight ed	uivalent
																					following full					or volume wit	hout
																					calendar vear:					shell	
	Sargeaunt Pass	Owned	Atlantic salmon (Salmo salar)		50.40526	126.112	990 Net-pens	11.000000	44411.00000	0 Intensive		03 August 2021	06 August 2021	09-Apr-21	9	On-growing (<75%	GAA/BAP		No		20	20 Actual volume		4,895,545		4,895,545	Harvest not
																biomass)											witnessed on this
																											site at this time.

4. Harvest witnessing

4.1	4.2	4.3	4.4	4.5	4.6	4.7	4.8
Site ID - provided by ASC	Site name	Date of witnessed	Production unit	Volume	Average	Partial harvest /	Note/ Other information
with publication		harvest:	ID:	harvested (in	weight of	full harvest:	
confirmation of audit				Kg):	animals (in g)		
announcement.				o.			
							Harvest not witnessed at this
	Corgonunt Dass						time on this site. Due to Covid
	Sargeaunt Pass						restrictions and the fact that the
							fish are not near harvest size.

5. Stakeholder engagement

ASC takes data protection very seriously. ASC will only use any personal information you give us in connection with the audit report.

For more information please read our privacy policy, which can be found here:

ASC <u>Privacy policy</u>

Provides takeholder comments including CAB response, please use the confidential Annex-2 in case of any confidential data not to be published.

This table collects all the information relevant to stakeholders consulted during the audit process. Each stakeholder should be entered into a separate row, ever where from the same company/organization

5.1	5.2	5.3	5.4	5.5	5.6	5.7	5.8	5.9	5.10	5.11	5.12	5.13	5.14
Name of Company/ Organisation if		Contact person - Surname	Country where	Email address of contact	Stakeholder type	If stakeholder type "other"	Contact date stakeholder	Did the	Stakeholder comments	Brief summary of points raised by	Date stakeholder	Date CAB responded	Actual changes made due to stakeholde
applicable			stakeholder is based	person/ stakeholder		was selected what type?		stakeholder	relate to what ASC	stakeholder or complete submission	submission was	to stakeholder	comment?
						,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		submit	standard indicator		received		
								comments?	number?				
David Suzuki Foundation	See Confidential Annex	See Confidential Annex		See Confidential Annex	Other	Conservation	22 July 20	21 No					
Living Oceans Society	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Conservation	22 July 20	21 No					
Ducks Unlimited	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Conservation	22 July 20	21 No					
Pacific Salmon Foundation	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Conservation	22 July 20	21 No					
BC Council of Forest Industries	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Forestry	22 July 20						
BC Seafood Alliance	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Fisheries	22 July 20	21 No					
Vancouver Island North Tourism	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Tourism	22 July 20						
Sayward Town Council	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Government	N/A	22 July 20						
Regional District of Mount Waddington	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Government	N/A	22 July 20	21 No					
Port McNeill	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Government	N/A	22 July 20						
Strathcona Regional District	See Confidential Annex	See Confidential Annex		See Confidential Annex	Government	N/A	22 July 20	21 No					
Village of Alert Bay	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Government	N/A	22 July 20	21 No					
James Walkus Fishing Company	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Contractors / Suppliers	22 July 20						
Skretting	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Contractors / Suppliers	22 July 20	21 No					
Noboco	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Contractors / Suppliers	22 July 20						
BC Centre for Aquatic Health Sciences	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Research	22 July 20	21 No					
BC Salmon Farmers Association	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Industry	22 July 20	21 No					
Canadian Aquaculture Industry	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Industry	22 July 20	21 No					
Association													
United Steelworkers Local I-1937	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Industry	22 July 20						
Da'naxda'xw First Nation	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	First Nations Rightsholders	22 July 20	21 No					
Gwawa'enux Tribe	See Confidential Annex	See Confidential Annex		See Confidential Annex	Other	First Nations Rightsholders	22 July 20	21 No					
Mamalilikulla First Nation	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	First Nations Rightsholders	22 July 20	21 No					
Namgis First Nation	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	First Nations Rightsholders	22 July 20	21 No					
Tsawataineuk (Dzawada'enuxw) First	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	First Nations Rightsholders	22 July 20	21 No					
Nation													
Tlowitsis Nation	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	First Nations Rightsholders	22 July 20	21 No					
Kwicksutaineuk-ah-kwaw-ah-mish First	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	First Nations Rightsholders	22 July 20	21 No					
Nation													
Wei Wai Kum First Nation	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	First Nations Rightsholders	22 July 20	21 No					
We Wai Kai First Nation	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	First Nations Rightsholders	22 July 20	21 No					
K'omoks First Nation	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	First Nations Rightsholders	22 July 20	21 No					
BATI Director/First Nations Coordinato	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Conservation	22 July 20	21 No					
Broughton Aquaculture Transition	See Confidential Annex	See Confidential Annex		See Confidential Annex	Other	Conservation	22 July 20	21 No					
Initiative													
Marine Coordinator	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Conservation	22 July 20	21 No					
Central Coast Regional District	See Confidential Annex	See Confidential Annex	See Confidential Annex	See Confidential Annex	Other	Conservation	22 July 20	21 No					

1) General, Client and CAB information

Announcement and audit report



Means of transportation between office and	
site(s) and between sites within UoC	
Estimated travel time between office and site(s)	
and between sites within UoC	
Number of complaints received from	
stakeholders over past 12 months	
Number of resolved complaints	
Average time to resolve complaints (days)	
Last Social Impacts Assessment (SIA) conducted in	
(year)	

Name of nearby communities, Indigenous or not and the distance of the UoC to the nearest neighbouring community/-ies or neighbours (in km)

Name of nearby community	Indigenous	Distance of the UoC to the nearest neighbouring community/-ies or neighbours (in km)

Social audits performed at UoC

Standard	Certified since	Certified until	Date of last audit (Date)
	(Date)	(Date)	
SA8000			
BSCI	N/A	N/A	
SMETA	N/A	N/A	
ISO 45000			
ASC			
Others (specify)			

Subcontractors

Name of	Place of work	Areas of work/processes

	Under scope of certification
Stocking	Count
Norsing	Not Counted
Growing Out	Cowing
Transferring	Not Coursed
Harvest	Courted
Varrination	Not Counted
Fallowing	Courted
Transportation	Counted
Connect Of research at farms	
Processing (if present at farm)	
Packing 6f present at farmi	
Other (Disses describe)	

Other (Please describe)	
1. Possibility of mixing or substitution of certified and non-certified product, includin	g product of the same or
similar appearance species, produced within the same operation.	
	no
Reason for partial certification:	
NA.	
b) Similar appearance species produced in the UoC	no
Similar appearance species:	
Salmo salar on all sites	
Production units or batches excluded from the certification scope	
c) Average % of products produced as non-ASC in the UoC per year	100%
d) Traceability and segregation systems	
Physical identification	ves
Description	
All sites named. All pens numbered.	
Segregation systems for non-ASC product	ves
Description	
NA. All sites and pens ASC	
Traceability records identification	ves
Description	
est site naming the pen and the site plus the date and the harvest boat and number of fi	sh, to the processing plant of
Other traceability systems in place:	

Rationale	
est site naming the pen and the site plus the date and the harvest boat and numb	er of fish, to the processing plant o
2. Possibility of mixing or substitution of certified and non-certified product. I	ncluding product of the same or
al Non-ASC farms of the same or similar species limiting with the UoC	no
Description of neighbour farms	
None	
b) Non-ASC Neighbour forms owned or related to the same UoC	ne
If yes, Name of farms in case are related to the client.	
c) Non-ASC products from other farms handled in the UpC	no
Stage(s) when the non-ASC products are handled in the UoC	
d) Segregation systems	
Physical barriers	yes
Description	
Distance over many many miles and separate processing plants	
Physical identification	VES
Description	
Segregation systems for non-ASC product	VES
Description	
Traceability records identification	595
Description	
Others systems:	
Do the traceability systems mitirate the mixing and substitution risks?	SWS

Distance over many many miles and separate processing plants	
a) Company uses subcontracted services for harvestine, processine, packing or	ves
Description	
Walkus fishing but only the subcontractor only works for the UOC.	
b) Company uses subcontracted services providers for storage or transportation	no
Description	
c) Traceability and segregation systems	
Subcontractors are CoC certified	NAME OF THE OWNER, WHEN PERSON AND THE OWNER, WH
Description	
Contract and/or agreements in place including traceability conditions	ves
Description	
Traceability records identification	ves
Description	
Others systems	
Union systems:	
Do the traceability systems mitirate the mixing and substitution risks?	VES
Rationale	
est site naming the pen and the site plus the date and the harvest boat and number of	fish, to the processing plant or

Page 1	Level
al .	n/a
Description	
b)	n/a
Description	
4	n/a
Description	
d) Traceability and segregation systems available for the risks above	n/a
Description	

ASC CAR 17.6.3-5 Product flow, traceability and segregation

Please describe the product flow within the Lic.

Sends from Main-Inhibitation in Meas assisted for originating and then harvest to Measi proceeding plet

Conduct is towards from the Assistance of the As

		Details of Docum	nentation Reviewed	
	Production stage	Description	Date	Description of how code or documents link product
A) From Transport Sheet, Harvest boat was Gemia Jove, Number of fish was 17015. Site	Harvest	Pen 8	23/09/2020	Date
BiFrom Transport Sheet. Harvest boat was Gemia Jove. Number of fish was 885. Site wa	Harvest	Pen 12	23/09/2020	Harvest box
CIChart Hona was 0000 End Hona was 1000				Gha
D)				
D				
n .				
ଜ				
Ю				
D.				
I)				

		Details of Docum	entation Reviewed	
	Production stage	Description	Date	Description of how codes or documents link product at each stage.
A) 80				
80				
0				
DI DI				
0				
D .				
@				
н				
D				
B				
n				
ti .				
M				

7.7	Traceability test(s) successfully conducted	ves
7.8		

The traceability and segregation systems in the operation are sufficient to ensure all	
products identified and sold as certified by the operation originate from the unit of	
certification	yes
The traceability and segregation systems are not sufficient and a separate chain of	
custody certification is required for the operation before products can be sold as ASC-	
certified or can be eliable to carry the ASC loap.	

ASC-C-0054	net läselv renossine unit

ASC CAR 17.6.10.2 The	point from	which ch	ain of	custody	is required	ŧ
begin						

7.13	
7 12 1	From transportation from the UoC to the first point of sale or handling.

8. UoC volumes & Audit Closing

Please indicate the correct volumes of the applicable quarter and year.

	Volume reporting for complete UoC						
	Quarter of the year:	Quarter 1	Quarter 2	Quarter 3	Quarter 4		
8.1	The volumes indicated in this						
	table apply to the following	2020	2020	2020	2020		
	year:						
8.1.1	Type of volumes indicated in	Actual volume	Actual valuma	Actual valuma	Actual volume		
	8.2 - 8.5	Actual volume	Actual volume	Actual volume	Actual volume		
8.2	ASC-certified production	0	0	4005545	0		
	volume (in Kg)	0	0	4895545	0		
8.3	Non ASC-certified production	0	0	0	0		
	volume (in Kg)	0	0	0	0		
8.4	Dispatched or sold as ASC-	0	0	0	0		
	certified Volume (in Kg)	U	U	0	0		
8.5	Dispatched or sold as non	0	0	4005545	0		
	ASC-certified Volume (in Kg)	0	0	4895545	0		

	Decision
8.6	Certification decision
8.7	Certificate valid from
8.8	Certificate valid till
8.9	Eligibility date

	Confidential Annexes	Annex filled in?	Annex submitted to ASC?
8.10	Annex-1 Interviewee		
	information		
8.11	Annex-2 Stakeholder		
	comments		
8.12	Annex-3 Social information		
8.13	Annex-4 Volume data		

9. Open & Extended NCs

Please indicate in the table below ONLY the non-conformities detected in the previous audit, which had the status: open or extended in the previous final audit report.

This table is to evaluate the closure of the open/extended non-conformities from the previous audit. Add rows to the tables as needed.

9.1		9.3				9.7	9.8	9.0
Indicator Number	Indicator evaluation in previous audit	Last day of previous audit	NC detected for sites (List site ID's)	Deadline for NC close- out, determined in previous audit	NC Status in previous audit	NC Status in current audit	Actual deadline for NC close-out	Notes/additional evidence
	N/A							

10. B-EIA & p-SIA checklist

Checklist and guideline for auditors on a complete B-EIA & p-SIA process and report. Please find all requirements for B-EIA and p-SIA in the ASC standards.

	Biodiversity-inclusive	Environment	al Impact Assessment
	B-EIA checklist	Validated by	Notes
10.1	Quality of the B-EIA process (e.g., was it participatory)	auditor?	
	and transparent?). B-EIA carried out by a valid expert in accordance with requirements lined out in the ASC		NA
	standards.		
10.2	(b) The B-EIA was publicly (locally) communicated with sufficient time		
	for interested parties to participate and/or get informed.		
10.3	(c) Stakeholders are listed and impact descriptions are		
	documented and in preparation of the final B-EIA report, meetings		
	with the listed		
	stakeholders (or by stakeholders chosen representatives) have taken		
10.4	place. (d) These meetings have been recorded and the		
	minutes are attached to the final report; names and contact details of		
	participating		
10.5	stakeholders included. (e) Evidence is provided that draft and final B-EIA		
	reports have been submitted to local government representatives and, if		
	requested by		
	stakeholders, a legally registered civil organization chosen by these		
106	stakeholders.		
10.6	(f) Evidence is provided that the final B-EIA reports have been		
	submitted and reviewed by a specialist with appropriate expertise on		
10.7	biodiversity issues.		
10.7	(g) B-EIA completed according to guidance on B-EIA and pSIA		
	relationship (transparency and consultation).		
10.8	2. Risk analysis: actual (past and present) impacts of the		
	current farms, or potential impacts of the intended farm or		
	expansion of existing farm and at least two alternatives (one of these		
	is the "no		
	farm or no expansion" scenario). Concepts to cover include:		
10.9	(a) The type of farming, possible alternatives and a summary of		
10.10	activities likely to affect biodiversity.		
10.10	(b) An analysis of opportunities and constraints for biodiversity (include		
	"no net biodiversity loss" or "biodiversity restoration" alternatives).		
10.11	(c) Expected biophysical changes (in soil, water, air,		
	flora and fauna) resulting from proposed or existing activities or induced		
	by any socioeconomic changes.		
10.12			
	(d) Spatial and temporal scale of influence, identifying effects on		
	connectivity between ecosystems, and potential cumulative effects.		
10.13			
10.13	(e) Available information on baseline conditions and		
	any anticipated		
	trends in biodiversity in the absence of the proposal.		
10.14			
	(f) Likely biodiversity impacts associated with the proposal or current		
	operations in terms of composition, structure and		
	function of surrounding ecosystems		
10.15	(g) Biodiversity services and values identified in		
	consultation with stakeholders and anticipated magnitude, direction and		
	timeline of changes in these (highlight any irreversible impacts).		
10.16	(h) Possible measures to avoid, minimize or		
	compensate for significant biodiversity damage or loss, making reference to any		
	legal requirements. Information required to support decision		
	making and summary of important gaps.		
10.17	(j) Proposed IA methodology and timescale.		
10.18	Impact statement is available and contains all of the requirements listed above along with a clear indication		
	of authors and affiliations.		
10.19	Review process, reviewers (decision makers), and decisions clearly documented.		
10.20			
	Clear understanding as to how options for mitigation and offsetting were determined and how avoidance		
	actions were prioritized over compensation		
10.21	Names, affiliations and experience of the reviewing		
10.21	specialist are		
	documented and clear understanding of how affected groups		
	were involved and how balanced consideration was given to		
	conservation vs. development goals in the peer review.		
10.22	7. Clear articulation of a biodiversity management		
	system including targets and monitoring strategies for mitigation.		

	Participato	ory Social Impa	act Assessment
10.23	p-SIA checklist	auditor?	Notes
10.23	Quality of the p-SIA process (e.g., is it participatory and transparent).		
10.24	(a) The intent to conduct a p-SIA is locally publicly communicated with sufficient time for interested parties to participate and/or get informed.		
10.25	(b) in listing stakeholders, in making impact descriptions, and in preparation of a final p-SIA report-document meetings with the listed stakeholders (or by stakeholders chosen representatives) have taken place.		
10.26	(c) These meetings have been minuted and these records are attached to the final report; names and contact details of participating stakeholders are		
10.27	included. (d) Evidence is provided that draft and final p-SIA reports have been submitted to a local government representative and, if stakeholders so desire, to a (by stakeholders chosen) legally registered civil organization.		
10.28	(e) B-EIA done and completed according to guidance in the ASC standards (appropriate accreditation and consultation).		
10.29	2. The risks and actual (past and present) impacts of the current or intended farm and at least two alternatives (one of these is the "no farm or no expansion" scenario). Concepts to cover include:		
10.30	(a) Economic aspects (influence on employment opportunities, influence on other livelihoods in community).		
10.31	(b) Natural resource access and use (land and water tenure, influence on quality and availability of natural resources including water).		
10.32	(c) Human assets (food security, health and safety, education, indigenous knowledge).		
10.33	(d) Physical infrastructure (access to roads, electricity, telephone, housing, waste disposal systems).		
10.34	(e) Social and cultural aspects (indigenous/traditional/customary rights and beliefs, social exclusion/inclusion, gender equity, changes in age composition of the community, local informal institutions and organizations).		
10.35	(f) Governance aspects (influence of aquaculure on norms, taboos, regulations, laws, conflict management and whether these changes add up to more or less transparency, accountability and participation in decision making.		
10.36	3. Research and report probable impacts that are likely to be most important. In doing this, it is important to arrange meetings with stakeholders to let them prioritize and to let them express how they assess/view/feel; identify both positive and negative risks and impacts.		
10.37	Do deeper investigations into priority impacts with a focus on the question: "What changes will lead to if they indeed come about?" These include:		
10.38	(a) Physical effects to man-made and natural structures and processes.		
10.39	(b) Likely adaptations and the social and economic effects of making such adaptations.		
10.40	(c) How these effects and indirect effects would compare to having no intervention.		
10.42	(d) How effects may or might be cumulative. 5. Make recommendations to maximize the positive and minimize the negative, with consideration to compensation options for those lands and people impacted. Also include recommendations on how to avoid these issues		
10.43	with the intended farm or farm development. 6. Propose a mitigation plan assuming the farm development will take place or continue (in an adapted form if that seems appropriate); include a "closure and reclamation plan" explaining how repair or restoration will take place after farm closure or bankruotze.		
10.44	Develop and approve with all stakeholders a monitoring plan and indicators on both positive and negative risks and impacts (make use of FDG		
10.45	and/or PRA methodologies in this step). 8. A summary with recommendations and conclusions is made available to all involved in the process and, through local public notices, made accessible to all members of the local community.		

Adjust the column width as needed to show the whole text or provide more space to write Corresponds to ASC Salmon standard version 1.3

									Proposed by UoC and	Proposed by UoC and	Proposed by UoC and accepted by CAB				
Indicate	or Indicator Text	Audit Evidence	Overall Indicator evaluation	Description, justification and conclusion for the evaluation	Deadline for NC close-out	Actual date of close- out NC Status VR submitted	Status of submitted VR	VR used Q&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-	Notes
1.1.1	Indicator: Presence of documents demonstrating compliance with boal and national regulations and requirements on land and administrative materials. Regularization: Applicability: All Indicator: Presence of documents demonstrating compliance.	All applicable laws are available the Mowi quality management system. The system is called Sarapoint, and the attes are required by DOT to have a copy of the PAI (increa consist. Channel Life, part bed of Sergeauth Passage, 81, Coast District, Sergery Incre. 20, 202. Land Tile No. 1460328; PRMA Ares: 12-83. There is a licence of occupation that covers the seafloor under the farm that is owned by the crown. The tenures in the froughton area are not in Jane 2018 for this production late. The Provincial government is in an ongoing consultation with the first nation to allow tenures to be reapplied to certain trans tests in the Broughton with the first nations to allow tenures to be reapplied to certain trans tests in the Broughton 2018, between Cerman, MOWI and the first nations in the area. This has resulted in MOWI decommissioned 5 production late; in the Roughton area usule the agreement. By 2002 10 sites between MOWI and Cerman, will be de-commissioned. Allowable Peak biomass is \$528 tors. Inspection are not legally required however sites occasionally get visits from different decisions out as benther division, compliance divisions and fair health divisions. Reports are related to Lice, mortalities and occasionally benthic samples. DFO visits are recorded in the visitor book of the site. Tovernment grants the lease once it is confirmed that national preservation areas are not affected. Maps are in place. http://www.apc.efo-report.com/mp/maps-cartes/re-asc/index-eng.html.	Compliant	decision	COA COA		and the second								
1.1.2	with all tax laws Requirement: Yes Anniirahility: All	Cregor untither 400770 and dated 37/2000 was paid for slist taxes. The tax laws are realistance and reviewed by the companies accountants, Laws are equally available online. The licence and Tenure documents detail the site as an Aquaculture facility. All national labour codes and laws applicable to the farm are available on the Mowl Human	Compliant												
1.1.3	with all relevant nation and local labour laws and regulations Requirement: Yes Applicability: All	As factional about codes and this appearance on the raim are available on the known muman Responses management system. The Report can management be seen to appear the second system of the second s	Compliant												
1.1.4	with regulations and permits concerning water quality impacts Requirement: Yes Applicability: All		Compliant												
2.1.1	outside of the Allowable Zone of Effect (AZE) (a), following the sampling methology outlined in Appendix (a) of the Salmon standard vi.13 Requirement: Redox potential > 0 mV Subhide s 1,500 µMo/V. Applicability. All farms except: Cored production systems that	Maps have been prepared by the Environmental assessment company called Maintream Biological consulting and are included in the benthic preparts previously covered for the last peak bornas which was surveyed August 18th 2020. Letter from DFO on Chotoer 18th 2021. The bottoms is 0.51 highlightes are measures are set edge of cage and 400 am of 125-in distant. Sampling done inside and consiste AET for ACC_DFO authorisation letters in place allowing stacking as the site seasof the DFO subfired requirements. ACR is now allowed a VM for Canada to only have to comply with the national requirements on Sulphilas. This VR is make 224. The results from 24.5 ago 24 and 82 auth means for the 3 stations tested. Letter from DFO dated 23/9/202 stating that no further monitoring wa required prior to fish entity at the site. Signed by senior biologist at DFO.	Compliant												
2.1.2	indicator - results insisted score in easiering good (17 in mg) ecological quality in sectioned scored better AET, following the sampling entitleddiscips custimed in Appendix is of the Salmon standards v.3.3 Requirement: ATIT Marine Botic Index (AMBI(R) score 6.3.3, or hearth Country in the Salmon Water Salmon - Water Index score 9.3, or hearth Country Index score 9.3, or an extra score 9.3, or an extra score 9.3 or an extra sc	No longer required due the VR 224.	n/a	No longer required											
2.1.3		No longer required due the VR 224.	N/A	No longer required											
2.1.4	indicator: Definition of a site-specific ATE based on a robust and redsible (10) modelling system (1.1). Requirement: "See Applicability: All farms except; Closed production systems that can demonstrate that they collect and responsibly dispose of > 75% of solid nutries from the production system are exempt from standards under Citerion 2.1.5es Appendix VI for requirements on targe arrenty of 2.1.3, 1.2 and 2.1.3.	Mowi uses the EROMOD modelling tool to determine the AZE. The model allows parameters can be changed for reflect which bappening. The model is based on average fred use of 1055sty/cage/day and was done in 2009. The site has not changed since them. OF MORTH of the ADE	Compliant												
2.2.1	Indicator: Weekly average percent saturation (15) of dissolved oxygen (DO) (17) or fam, calculated following methodology in Appendix of the Sahron standard v.1.3 Requirement: 2 70% (18) Applicability, All Farms. An exception to this standard shall be made for farms that can demonstrate consistency with a reference of the line same water half to some water half to reference of the line same water half to the same line of the line of the line of the reference of the line same water half to the same line of the reference of the line same water half to the same line of the reference of the line same water half to the same line of the reference of the line same water half to the line of the reference of the line same water half to the line of the li	both continuous monitoring and brief daily recording is done using insitu outgen recorders. There are 3 farm fload automatic recordings at 4 sin deep. The site also takes outgen with a hand held 'SI meter when the site takes its other environmental readings in the morning. There were some readings under the ZNG however the site them took reference samples and these are consistent with the readings at the site. Full results have been supplied to ASC as part of the transparency requirements.	Compliant												
2.2.2	Indicator: Maximum percentage of weekly samples from 2.2.1 that fall under 2 mg/L DO Requirement: 5% Applicability: All	There were no weeks under 2mg/l DO	Compliant												

Audit	findings Salmon				Corresponds to Salmon Standard v.:	1.3			
		7. (18)					1 1	 	
2.2.3	Indicator: For jurisdictions that have national or regional coastal water quality taggit 10th, demonstrated howing the bid-pairs analysis that the farm is in a size recently (200 citasified as having"; good "of "very good" water quality (201). Requirement: Yes (22) Applicability: All farms except, Closed production systems that on demonstrate the collection and responsible disposal of > 75% of solid nutrients as well as > 50% of disposhed nutrients (through bid farting and/or other technologies) are exempt from standards 2.2.3 and 2.2.4.	The CAB has been told that up until March 2019 that the production area had regional coastal water quality guidelines. The CME, Canadian council for ministers of the environment set quality guidelines. The parameters covered in the Marine environment are Nitrate, Nitrite and Phosphorus though Placephorus has no levels set. Placephorus has no levels set. analysis condition of Constal Risthic Colombia and Nurierral residence from net cage aquaculture in Quastino sound and Central coast. Papers reviewed from 1982 to 2005. Water samples for the area are in piles until December 2019. Mainstream biological have supplied a report addendum called MOVIM Canada West northern comitoring and Data Analysis 2017 to 2018. Report dated March 2019. In the summary its quoted. All sites across all production areas, nitrate and ammonia concentrations were well device the 2019 of verying guidelines and instituteness consummars. Average phosphate	Compliant						
2.2.4	water quality tagets, verificance of monitoring of integers and phosphorius (2) Silve-los of narm and a reference site, following methodology in Appendix of the Salmon standard v.1.3 Requirement: Considering with reference site Applicability. Af farm, except, Cloude production systems that, can demonstrate the collection and responsible disposed of a 75% of solid multiple with a 75% of Salmon site of a violation of or solid multiple with a 75% of Salmon site of the or for solid multiple site.	concentrations remained below Img/I across all production areas. The company apples quarterly testing as per the variance allowed number 198. Samples are sent to CMS (Centre for Aquatic Health Sciences). This is done on a site by site basis. The result from the 2020 report has been sent to ASC.	Comp§ant						
2.2.5	demand (BOD)(24) of the farm on a production cycle basis Requirement: Yes Anotic ability: All	800 for the previous production cycle (2019) was 9378170 tons 0.2. The current 800 will be calculated at the end of the current cycle.	Compliant						
2.2.6	chemicals, including veterinary drugs, thereby ensuring that adverse impacts on environmental quality are minimised Requirement: Yes Applicability: All	Materials storage handling and watte disposal D.S.FW 963 and this cover soil, fuell etc. The company has established a procedure called Occupational hygiene that includes controlling to hazards such as Chemical esposure. DATS system for Training includes S.FW 963 and Handling Hazardous materials. Staff were reviewed for training in chemical handling and Hazardous waste disposal by the social auditor. He also reviewed personal training files on the DATS sought possible. Size tours showed no issues with chemical storage and where they were located. Spill bits were evident and well marked and accessors.	Compliant						
2.3.1	Indicator: Percentage of fires (20) in the feed at point of entry to the farm (20) (schized following methodology in Appendix I of the Salmon standard v 1.3). Requirement: «1 his yawiight of the feed. Applicability. Alf firms except, To be measured every quarter or every three months. Samples that are measured shall be chosen earlions. Feed may be sampled immediately prior to delivery to farm for sites with no feed storage where it is not possible to sample on farm. Code production system that can demonstrate have produced in the site of the code of t	Skretting feed is used on the sils. There is a VM applied that allows the feed company to conduct the fine steeling for the sile. Delsife from quarter is 1 no 2000 show that the feeds tested, which include MM Spirit \$100 BIA, MW Premium 1000 70A 9mm 1T and MW Premium 2000 65A 9mm 1T all show maximum values of 0.1%.	Compliant						
2.4.1	indicator: Evidence of an assessment of the farm's potential impacts on biodiversity and nearby ecosystems that contains at a minimum the components outlined in Appendix i-3 Requirement: Yes Applicability: All	The company has a wildfile interaction plan ID SW9505 that is a BAP requirement for its contribution in Louise and red lated species. The plan was put in place several years ago, but the current update was July 2015. Risks include film mortalities as an attractant, and the control measures include routine mort retrieval, appropriate mort disposal and containment and mortalities stored away from the main production area. Mortality records are in place and refer main let. Birchots are addled to the company's database, and records for disposal and the farm birth of the control of the company's database, and records for disposal and sets was established. The workers are trained annually in the WIP as part of the workers DATS (Training requirements). Risks include fish mortalities as an attractant, and the control measures include routine mort retrieval, appropriate mort disposal and containment and mortalities stored away from the main production area. Mortality records are in place, fish rets are inspected. Diver inspection done every for dary, Mortality records are in place, fish rets are inspected. Diver inspection con every for dary, Mortality records are in place, fish rets are inspected. Diver inspection charges are supplied for exception of the production area. The control measures include and the sum of the mortalities are not place and taught.	Compliant						
2.4.2	Indicator, allowance for the farm to be used in a protected area (27) or High Conservation Value Area(28) (HCVAs) Requirement: Note (29) Applicability: All, The following exceptions shall be made: *For protected area classified by the international binoin for the conservation of history or Vol (these are area processed primary (but) so callege, by Vol (these are areas processed primary) for their indicaces or for sustainable. *For protected area (but) and consequence of the sustainable of the conservation objections as the sum ownershall impacts are compatible with the conservation objections of the fact (CVA) of significant. The burdiene of grow double be placed on the farm to demonstrate that it is not negatively impacing the conseason an area has been identified as a layed. *For farms located in a protected area if a wax designated as unchained to the conservation objects and provided the farm can demonstrate that it is more impacts on the conservation objects on the protected compatible with the conservation objects of the protected compatible such as conservation objects on the farm as a result of the common objects of the protected care.	The farm has provided Go-ordinates for the Form 3 that is correct. The company has also provided AGK with the required GGs confines and CCY: the action into the metal. The GG maps were reviewed on the AGK website. The company also provided an overview map of all the protected areas within the Geographic area. The sale is not in a HCVA.	Compliant						
2.5.1	Indicator: Number of days in the production cycle when acoustic deterrent devices (ADDs) or acoustic harassment devices (AHDs) were used Requirement: 0 Applicability: All		Compliant						
2.5.2	Indicator: Number of mortalities (32) of endangered or red-listed (33) marine mammals or birds on the farm Requirement: 0 (tero) Applicability: All	There was a total of a birds entanglend in the previous production cycle dated as 2019 and harvested out in September 2020. These were listed by the company on its webtiles and consisted of 2 x pelagic Commonants, 1 kingfisher and 1 x Crow. None are listed as being endangered or red-listed.	Compliant						

Audit	findings Salmon				Corresponds to Salmon Standard v.	L.3			
2.5.3	Indicator: Evidence that the following steps were taken prior to lethal action (3d) against a predator: 1. A diother accuracy were pursued prior to using lethal action 2. Approximate years from a senior manager above the farm manager 3. Equity proximate years from a senior manager above the farm manager 3. Equity proximate years from a senior manager above the farm provided proximate years of the farm proximate years of the farm provided provided years of the farm provided years of the farm provided provided years of the farm years	No lethal actions in the past year. There is a predator avoidance plan Document SW137 that states there is a no kill policy in place.	Compliant						
2.5.4	rars situation where human safety is endiangered. Should this enquired, post incident approval from a serior manager should be made and relevant authorities must be informed influenced. Full middle and relevant authorities must be informed influenced from the control of the middle state. Full relevant the information bout any lethal incidents on the farm has been made easily publicly available (36). Requirement: Yes Applicability: All	Incidents were posted on the Mowi website for the previous year class. https://mowi.com/caw/sustainability/aquaculture-stewardship-council/data-reporting-for-sargeaunt-pass/	Compliant						
2.5.5	over the prior two years Requirement: < 9 lethal incidents, (38) with no more than two of the incidents being marine mammals Applicability: All		Compliant						
2.5.6	assessment of the risk of leithal incident(s) has been undertaken and demonstration of concrete steps taken by the farm to reduce the risk of future incidences Requirement: Yes Annicrability: All	This document used for reporting and assessing the incident is called the Animal incident de- brief document help in sharepoint. Document last updated august 18 h 2019. The form includes an investigation into the incident and corrective action. Seniors site staff are save of the reporting, and corrective actions process and emails are sent to other sites to make sure that all are aware of the corrective actions. No issues on the current year class of fish stocked.	Compliant						
3.1.1	Indicator: Participation in a Area-Based Management (JABM) wheme for managing disease and resistance to transment hist includes coordination of stocking, following, therapeutic treatments and immunition-sharing, located requirements are in Appendix if of the Salmon standard v.1.3 Requirement: Yes Applicability. All except farms that selesse no water; Farm sites for which there is no release of water that may contain gathagen	There are other farm and companies in the Broughton production area. Other companies to what SCERMAG and Grieg are also farming in the area. None of the sites are very near to each other. The ASC VR 146 Exception to Area Based Management is applied. DFO are currently going bowards ABM for salmon farming in the area but to date full guidelines are not in place. DFO has management zones in BC. There are fish health surveillance zones in BC. These foll health zones only require notification for moving fish. http://www.dfo-pog.c.c./a/wuckfull-vect-Chrighs-cether-ce-ing.thm! The site is managed as per the ABM requirements. The nearby sites are all owned by the same company and co-ordination is in place as a result. The other active sites are Humphrey Rock and Wicklow Point.	Compliant						
3.1.2	Applicability: All except farms that release no water; Farm sites	Research is mainly focused through the EC salmon farmers. One member of Mow sits on the science advisory council. At research is sixed on the wow becommonfarmers ca, and the four principal areas of research are listed that include: Understanding the interactions between salmon farms and the environment and investigating potential impacts while developing miligations as appropriate. DEO and academics, site on the science advisory council of the BC salmon farmers association, both funding and non-funding support or given. Depending on the project information is provided from the farm lates to the council. There was a sign emolitoring device developed from the properties to the council. There was a sign emolitoring device Aqualcium and the Centre for Aqualcium shall research. There are internal records available if there are any denieth of colliboration. Most requests for collaboration are made to the BCSFA and denials are the decision of its Science Advisory Committee.	Compliant						
3.1.3	Applicability: All except farms that release no water: Farm sites	Stell	Compliant						
3.1.4	Applicability. All except farms that release to water, Farm size for which there is no resease of water that may contain participant into the natural iffreshwater or maining miscontent are exempt from the standards under Otterion 3.1.	There is an GDP called SW B22 called as like monitoring in marine sizes list updated July 2020. This SQDP follows the PDP requirements on lice counting and varianting. Details found at https://www.pbc.acflormon.gc.ca/aquaculture/licence-permis/docs/licence-ond-permis-mar/funde-eng-himilia Gounting includes a reference cage and 2 other pens where 20 fish each are sampled countinely, if the threshold is exceeded for molestilly their through the series where 20 fish each are sampled sensible periods all cages are counted. All Lice levels are reported to DF.O. Dorl also carry out their own size visits to count lice levels. Information sampling counts are bigged on the Mow dishboard. The company also maintains as spreaded. DFO is given the counts monthly and if there is a lice level exceedance then they will have been notified. DFO also pablish the Lice dair from the farms. All the properties approach. The cape long licent plant in the properties properties. The disc possible properties of the properties of th	CompSant						
3.1.5	and the farm's understanding of that data, around salmonid migration routes, migration timing and stock productivity in major was successful to the same stock productivity in major was successful to the farm ————————————————————————————————————	There are six salmond species in the area. S are pacific salmon: Chinook (Donothynchus thatwaytchs); scotey (O. nerfa); chot (D. kitautch); pink (O. putbuchs); and, chum (D. keta). The sixth species is the rainbow troat or steethead (D. mykos). The sensitive period for the area is listed at March 1st to June 20th. DFC comples an annual outbook for salmon stocks and posts same to 1st website. There is an annual Salmon Outbook report for wide find published annually. Information is provided for individual river systems and for each of the five species of Pacific salmon.	Compliant						

Audit	•				·					
3.1.6	indicator: In areas of wild salmonids, monitoring of sea lice level on wild out-nigrating salmon juveniles or on coastal lea trout or Arctic Char, with results made publicly available. See requirements: Napprotise of or the Salmon standard v.1.3 Requirement: Yes approximately approximately and season standard v.1.3 Requirement: Yes approximately a reserve with wild salmonids except farms that refease no water, a reason site for which there is not release of water that may contain participants in the natural forehouster or names eventroments are exempt from the standards under Otherion 3.1.	The company has informed the CAB that they operate in a wild Salmonid area Sampling is curred out by Maintream Biological Consulting for sampling, and the BC Centre for Aquatic Health Sciences (CAMS) of name are an disentily set for on wild salmon. Wild for Aquatic Health Sciences (CAMS) of the CAMS of	Compliant							
3.1.7	indicator: in zeas of wild silvannicis, maximum and erm for excels during somble periods for wild field; See destable requirements in Appendix of the Salmon standard v.1.3 Requirement: 0.1 mature female lice per farmed fish applicability. All times operating in zero, with midd salmonists usually all times operating in zero, with midd salmonists usually all times operating in zero, with midd salmonists usually all times operating in zero, with midd salmonists usually all times that release on waters it am sinks for salmon there is no reference of water than my contain participants into the natural fireshwater or manuface personnent are exempt from the standards sinder Orderon a 1.	Wild salmonids are in the areas. centible periods are form March 1st to June 30th. Sensible periods are set as March 1st to June 30th under pacific regulation 7.3. There is a variance request in Dake number 1416 cf. Canada which allows up to 3 motiles. For the entire sensible period the readings were below 1 motile legs average per week. Wild finit lec counts and farm like counts are being looked at for trends and to date there has been no action needed.	Compliant							
3.2.1	standards under Criterion 3.1. demonstration that the spaces was visit with commercially produced in the area by the date of publication of the ACC Salmon Standard Applicability: All forms. Exceptions shall be made for production yet the standard of the ACC Salmon Standard Applicability: All forms. Exceptions shall be made for production yet made to the standard of the ACC Salmon Standard Salmon	1372: Fex Allantic salmon farm on the Pacific Coast established in Pages Sound by Cornal Anhinea and the Manisonal Mainrie Fisheries Service. The salmon were oblatined from Canadian fish in the Gaspe Peninsula in the Gard of St. Lawrence, from the Wairrd Fails Natherly in Organs which had been raising silmon in ponds since the 1950s and using them to improve sport fishing in the area. Washington salmon farms obtained Aslantic eggs for their hatcheries from Mahneler's project and also form overseas broodstock from Western Europe. Ref 12 "The Net Pen Salmon Farming Industry in the Pacific Northwest." NOAA Technical Memorandum NMSS-NWFSC-49. Published September, 2001.	Compliant							
3.22	Regulrement: Yes (52) Applicability: All	ASC and the CAB have been informed that the firsh fameed is Atlantic salmon which is a notative species. The report Wold Journell Salmond Monotrine program - Discovery is laund-a2017; prepared by Mainstream Biological Consulting in: and signed by Lance Sewardson, and the control of the species. The program of the control of the species. Also see the report on lice on wild juvenile salmon detailed in 1.16 where no yourself beliattic sclimon were recountered to the species. Also see the report on lice on wild juvenile salmon detailed in 1.16 where no yourself beliattic sclimon were recountered for the profit on the makeup and subundance of species present on rivers in the area. The results published in Neville et al. (2015) show the manipry of the cache belong of the rivers by DO on the makeup and subundance of species present on rivers in the area. The results published in Neville et al. (2015) show the manipry of the cache believe placific herring and Pacific salmon. The surveys conducted in the EC region from 2010-2017 captured about 250,000 fair by purse selen with approximately 30,000 from the selentified as Pacific salmon or selenteed. In addition, over the same time period the trad survey sampled more than 200,000 fair by the report of the trade survey sampled more than 200,000 fair by the report of the trade survey sampled more than 200,000 fair by the report of the trade survey sampled more than 200,000 fair by the report of the reason of Allantic salmon of any age class captured. From 1990 to 2004 there was an Atlantic salmon in the area. Andress, 2015. Summary of reported failution salmon (salmon salm) catches and sightings in british Columbia and results of fried work conducted in 2011 and 2012. Can, Tech. Rep. Tah. From 1990 to 2004 there was an Atlantic salmon in the area. The program of the selection of the sel	Compliant							
3.2.3	Indicator: Use of non-naive species for sea lice control for on- farm management purposes Requirement: None Applicability: All Indicator: Use of transgenic (54) salmon by the farm	None used. None used.	Compliant							
3.3	Requirement: None	Notice used.	Compliant							
3.4.1	Against Annual Medicator Maximum number of escapees (57) in the most recent production cycle. Requirement: 200 (58) Applicability: All farm. A rare exception to this standard may be most recent production of the production cycle (a billioned in a 18 period for the production cycle (a billion	There have been no reported escapes in this most recent production cycle or the previous production cycle. There have been no reports in the media of escapes. Any excapes must be reported to DFO and they also publicly report them.	Compliant							
3.4.2	Requirement: ≥ 98% Applicability: All	states accuracy between 98% and 100%. Records are kept of counting accuracy on a freshwater production spreadsheet. There is a new SOP reference FW289 called smolt inventory control. This provides quideline as to which count to use. The snoth suppliers are all MONO wored. both off-site and ornobe which count and ornoble type count as well as vaccination counts. Writensed callination on office as there was no well beat vacable on the day of the site visit.	Compliant							
3.4.3	Indicator: Estimated unexplained loss (60) of farmed salmon is made publicly available Requirement: Yes Applicability: All	Mortalities are recorded along with stocking counts, harvest and escapes in the Aqualarmer system. Unexplained loss for the completed 2019 year class was declared as -0.82% (-6474 pieces). EUL is reported on the Mowi Canada West website. https://mowi.com/caw/justainability/aquaculture-stewardship-council/data-reporting-for- argeount-pass).	Compliant							

Audit	indings Saimon				Corresponds to Salmon	Juliana v.	1.5				
							1				
	Indicator: Evidence of escape prevention planning and related	As part of the PAR licence (Pacific aquaculture regulation), there is an escape prevention plan SW 951. There is also a fish containment plan for SW 962. There is an Escape response									
	employee training, including; net strength testing; appropriate	flowchart located on the sites. There is also a Escape response - Marine sites SW954.									
	net mesh size; net traceability; system robustness; predator management; record keeping and reporting of risk events (e.g.,	There is regular training for onsite staff in relation to implementing the escape prevention									
	management; record keeping and reporting of risk events (e.g.,	plan including annual DATS training online. The site has an escape prevention box with									
3.4.4	holes, infrastructure issues, handling errors, reporting and follow up of escape events); and worker training on escape prevention	netting, needles, weights, ropes etc. and once per year, there is a mock escape drill. There is	Compliant								
3.4.4	and counting technologies	specific site escape risk analysis detailing the history of escapes in the area. Escape	Compliant								
	and counting recombiogres	prevention kits and they were inspected on the site. Cameras that pan and tilt are in each									
	Requirement: Yes	cage with excellent resolutions monitor the behaviour of the fish. The diver checks the cages									
		every 60 days on every site and updates the net log as to what was found. Net records are in									
	Applicability: All	place. Diver inspection records in place. Site carried out an fish escape drill in June 2020. The									
		staff onsite then are still onsite now. All skretting feed is used on the site. The location of the production unit is in Richmond BC.									
	Indicator: Evidence of traceability, demonstrated by the feed producer, of feed ingredients that make up more than 1% of the	All skretting feed is used on the site. The location of the production unit is in Richmond BC. The feed company has been made aware of the ASC requirements.									
		The feed company has been made aware of the ASC requirements. Skretting Also has both BAP and GGAP certification. The BAP certificate is valid until 22nd									
4.1.1	feed (63)	October 2021. The Global GAP number is GGN/GLN: 4052852980685 and valid until	Compliant								
	Requirement: Yes	November 2021.	Companie								
	nequirement. Tes	The feed company applies Method 1.									
	Applicability: All										
	Indicator: Fishmeal Forage Fish Dependency Ratio (FFDRm) for	The company works closely with the feed company to evaluate the FFDRm. Calculations									
	grow-out (calculated using formulas in Appendix IV of the Salmon	exclude trimmings. eFCR is calculated by an XL datasheet. There is a system that also records									
	standard v.1.3)	all feed type and quantity. Data from the most recent complete production cycle. FFDRm is									
4.2.1		0.15.	Compliant								
	Requirement: < 1.2										
	And Probability of the										
	Applicability: All	Option 1 is chosen. All feed use is recorded. The company works closely with the feed									
	Indicator: Fish Oil Forage Fish Dependency Ratio (FFDRo) for	Option 1 is chosen. All feed use is recorded. The company works closely with the feed company to evaluate the FFDRm. Calculations exclude trimmings. This system also records all									
	standard v.1.3).	feed type and quantity. FFDRo is 1.95									
	or	need type and quantity. It bits is 2.33									
	Maximum amount of EPA and DHA from direct marine sources										
	Maximum amount of EPA and DHA from direct marine sources (65)(calculated according to Appendix IV of the Salmon standard										
4.2.2	v.1.3)		Compliant								
					l I					1	
	Requirement: FFDRo < 2.52					1		1		1	
	or				l I					1	
	(EPA + DHA) < 30 g/kg feed									1	
	And Total Para All									1	
	Applications: Timeframe for all fishers and find all all and find all and find all all all all all all all and find all all all all all all all all all al	NA.		ASC state NA	 	-		 			
	Indicator: Timeframe for all fishmeal and fish oil used in feed to	NA .		ASL state NA						1	
	come from fisheries(66) certified under a scheme that is an ISEAL member (67) and has guidelines that specifically promote									1	
	responsible environmental management of small pelagic fisheries										
4.3.1			N/A								
	Requirement: Not required										
	Applicability: N/A										
	Indicator: Prior to achieving 4.3.1, the FishSource score (65, 68)	Database from the feed supplier listing all feed ingredients shows all fish used and their fish scores is kept by Skretting.									
	for the fishery(ies) from which all marine raw material in feed is	scores is kept by Skretting.									
	derived	Under the mass balance guidance there is more fish with scores above the score level than									
432		not.	Compliant								
	Requirement: All individual scores ≥ 6,	King Mackerel - Gulf of Mexico 8.2 and 8.7									
	and biomass score ≥ 6	Blue Whiting - NE Atlantic 10 and 6.4 Gulf Menhaden - Gulf of Mexico 10 and 9.5									
	Applicability: All	All scores were available.									
	Indicator: Prior to achieving 4.3.1, demonstration of third-party	The feed company has BAP certification on feed that covers traceability. Cert ID IN20 / 50890									
	varified chain of custody and traceability for the batches of	Valid until 22nd October 2021. See 4.1.1 The BAP standard cover all the species and sources									
	fishmeal and fish oil which are in compliance with 4.3.2	used in the feed production.									
4.3.3	normed and toll on which are in compliance with 4.3.2	asca in the reca production.	Compliant								
	Requirement: Yes										
	Applicability: All										
		Database from the feed supplier listing all historic and current feed ingredients and shows all									
	Indicator: Feed containing fishmeal and/or fish oil originating	fish used and their origin.									
	from by-products (69) or trimmings from IUU (70) catch or from fish species that are categorized as vulnerable, endangered or	Skretting (Nutreco), under their sustainable procurement policy for Marine products version									
	fish species that are categorized as vulnerable, endangered or	states under section 7 criteria that the supplier needs to provide documentation that the									
	critically endangered, according to the IUCN Red List of	meal and oil are IFFO RS or MSC certified. Under section 7.2 of the Skretting (Nutreco) criteria									
	Threatened Species(71), whole fish and fish meal from the same species and family as the species being farmed	for Marine raw materials, it mentions Endangered or critically endangered but not									
	species and family as the species being farmed	vulnerable. Skretting has further provided a table showing that no vulnerable species are registered in their list of supplied raw material."									
	Requirement: None (72)	Skretting has a signed declaration that there are no IUU species used. Under Nutreco supplier									
434	nequirement. None (72)	code of conduct. This is also a BAP requirement that the feed company is certified to. List of	Compliant								
4.3.4	Applicability: All, For species listed as "vulnerable" by IUCN, an	species from trimmings is in place. Eg. King mackerel from Gulf of Mexico. Skretting Supplier	Compilant								
	exception is made if a regional population of the species has been assessed to be not vulnerable in a National Red List process that is	Code of Conduct which suppliers of fish oil and meal are required to sign.				1		1		1	
	assessed to be not vulnerable in a National Red List process that is	From that COC: Threatened species: Suppliers shall not process species or by-products from									
	managed explicitly in the same science-based way as IUCN. In	species that are classified as Critically Endangered or Endangered in the IUCN Red List.			l I					1	
	cases where a National Red List doesn't exist or isn't managed in	Species that are listed as Vulnerable are not eligible for use as by-product, unless for fisheries				1		1		1	
	accordance with IUCN guidelines, an exception is allowed when	from a discrete sub- population assessed to be responsibly managed.			l I					1	
	an assessment is conducted using IUCN's methodology and demonstrates that the population is not vulnerable.	IUU fishing activity: Fishery material shall not be from illegal, unreported and unregulated				1		1		1	
	demonstrates that the population is not vulnerable.	(IUU) fishing activity.			l I					1	
		In addition, the BAP standard also has a similar requirement which is met.			 	1					
	Indicator December and middeed of a community	Nutreco has a supplier code of conduct that is online that includes no IUU and declares responsible sourcing and commitment to the environment. Mowi has a policy on sustainable				1		1		1	
	Indicator: Presence and evidence of a responsible sourcing policy for the feed manufacturer for marine ingredients that includes a	responsible sourcing and commitment to the environment. Mowi has a policy on sustainable Salmon feed. It states no IUU and sources must come from MSC or IFFO RS schemes or the								1	
	commitment to continuous improvement of source fisheries (73)	fish source scores being greater than 6. It's dated April 2020.				1		1		1	
4.3.5	circ to continuous improvement of source fisheries (73)	From Mowi policy on sustainable salmon feed: dated April 2020: Marine raw materials	Compliant							1	
	Requirement: Yes	processed from whole fish shall be sourced from suppliers who adhere to responsible fishers	7							1	
		management practices. This entails sourcing fishmeal and oil from fisheries that are certified									
	Applicability: All	as sustainable according to the Marine Stewardship Council (MSC) standard and/or the IFFO									
		RS scheme and/or achieve Fish Source scores ≥6 in all categories and ≥6 in the biomass									
	Indicator: Presence and evidence of a responsible sourcing	Skretting is part of the Nutreco group, and a vendor policy is in a place where all suppliers must sign applicable declarations guaranteeing source. Responsible sourcing policy must be						I I		1	1
	policy for the feed manufacturer for feed ingredients that comply with recognized crop moratoriums(76) and local laws(77)	must sign applicable declarations guaranteeing source. Responsible sourcing policy must be			l I					1	
	with recognized crop moratoriums(76) and local laws(77)	signed by the suppliers as part of their contract with Skretting. Policy is on the Skretting				1		1		1	
4.4.1	Requirement: Yes	website.	Compliant		l I					1	
	Requirement: res									1	
	Applicability: All									1	
	Indicator: Percentage of sova or sova-derived ingredients in the	Mowi policy on sustainable salmon feed dated September 2020. Details include for Soy:									
	feed that are certified by the Roundtable for Responsible Sou	'Certified under ProTerra, the Roundtable for Responsible Soy (RTRS) or equivalent.			l I					1	
	feed that are certified by the Roundtable for Responsible Soy (RTRS) or equivalent (78)	The feed company has submitted in its sourcing policy that the feed uses RTRS Soy in its feed			l I					1	
4.4.2		Skretting have pruchased 500 credits from RTRS dated 12/8/2020. Certificate RTRS-CTP-	Compliant							1	
-	Requirement: 100%	B002382.								1	
		Since then however, the company has now stopped using Soya in the feed that is being used.			l I					1	
	Applicability: All					L					
	Indicator: Evidence of disclosure to the buyer(79) of the salmon	There is a supplier quality assurance letter, sent to customers, that is used to declare that			-	1					
	of inclusion of transgenic(80) plant raw material, or raw materials	there may be transgenic plant material used in the feed in the form of Soya, Canola.								1	
	derived from transgenic plants, in the feed	Camelina oil and Corn Gluten (>1%).								1	
4.4.3			Compliant							1	
4.4.5	Requirement: Yes, for each individual raw material containing > 1% transgenic content (81)									1	
	1% transgenic content (81)									1	
	And Production and									1	
	Applicability: All				 L	1	l	1	<u> </u>	1	

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	Indicator: Deceases and oxidence of a functioning policy for	Materials storage and waste disposal plan SFW 963 dated July 2020. The declaration is in the				1	1	1 1			1	
	Indicator: Presence and evidence of a functioning policy for proper and responsible(83) treatment of non-biological waste from production (e.g., disposal and recycling)	plan, and it refers to the ASC standard. Each site has separation of waste for recycling purposes, and this includes domestic and industrial waste. Plastics, Ropes, Wood are the										
451	from production (e.g., disposal and recycling)	purposes, and this includes domestic and industrial waste. Plastics, Ropes, Wood are the most common waste materials. Also, there are oils used hazmat pads and household waste										
4.5.1	Requirement: Yes	disposed of. Nets, plastics, oils and ropes are all recycled. The company has an SOP on	Compliant									
		recycling and each staff member does annual DATS training on recycling.										
	Applicability: All	Non-biologic waste includes ropes, plastics, oils and batteries. Full description in the										
	Indicator: Evidence that non-biological waste (including net	Materials storage and waste disposal plan SFW 963 dated July 2020.										
	Indicator: Evidence that non-biological waste (including net pens) from grow-out site is either disposed of properly or	Disposal forms are used by the site managers when equipment is being de-commissioned,										
4.5.2	recycled	and there is a column for describing what happens to the item, i.e. either sold, recycled or donated. Equipment is also donated to enhancement facilities. On the company website	Compliant									
4.3.2	Requirement: Yes	there is an email address for applying for old equipment sales. There have been no fines or	Compilant									
		infractions.										
	Applicability: All	There was no evidence of waste build up on the site. During the Covid situation there was no reduction of transporting of waste materials away from the site.										
	mulcator. Presence of an energy use assessment verifying the	There is a GHG Energy assessment excel sheet used. Items recorded include petrol, Diesel										
	energy consumption on the farm and representing the whole life cycle at sea, as outlined in Appendix V of the Salmon standard	and gas (propane).										
	v.1.3	The total energy used for the end of the last production cycle was 13,363,944,300 kj or 2,744,700kj/mt fish produced.										
4.6.1		Weight of fish produced was 4896 Mt.	Compliant									
	Requirement: Yes, measured in kilojoule/t fish produced/production cycle	The assessment is being done under the annual Mowi reporting requirements.										
	Indicator: Records of greenhouse gas (GHG(85)) emissions(86) or	n Records are maintained and are calculated annually. Results are 1,185,951 kg/CO2 eq for the 2019 year class. Emission factors have been selected and approved for the annual stock										
	farm and evidence of an annual GHG assessment, as outlined in	2019 year class. Emission factors have been selected and approved for the annual stock										
4.6.2	Appendix V of the Salmon standard v.1.3	exchange reporting requirements. Records are maintained using the DEFRA diagnostic tool database. The original GHG	Compliant									
	Requirement: Yes	calculations and the GWP conversions all originated from DEFRA in the UK where Scotland										
	A CONTRACTOR OF A STATE OF A STAT	has been using these calculations for longer than Canada										
	Indicator: Documentation of GHG emissions of the feed (87) used	The requirement was communicated to the feed company Skretting. For the 2019 yearclass the GHG emissions in feed was 15,311,216 kg CO2 equivalents.										
	during the previous production cycle, as outlined in Appendix V	For the 2019 yearclass the GHG emissions in feed was 15,311,216 kg CO2 equivalents.										
4.6.3	of the Salmon standard v.1.3		Compliant									
4.0.5	Requirement: Yes		Compilant									
	Applicability: All	No Conner nets		No Copper nets								
	Indicator: For farms that use copper-treated nets(90), evidence that nets are not cleaned(91) or treated in situ in the marine	no copper nea		no copper need								
	environment											
4.7.1	Requirement: Yes		N/A									
	Applicability: All farms. Closed production systems that do not use nets and do not use antifoulants shall be considered exempt											
	from standards under Criterion 4.7.											
	from standards under Criterion 4.7. Indicator: For any farm that cleans nets at on-land sites, evidence that net-cleaning sites have effluent treatment (92)	Washed in situ.										
	evidence that net-cleaning sites have effluent treatment (92)											
4.7.2	Requirement: Yes											
4.7.2			Compliant									
	Applicability: All farms. Closed production systems that do not use nets and do not use antifoulants shall be considered exempt											
	from standards under Criterion 4.7.											
	Indicator: For farms that use copper nets or copper-treated nets	No Copper nets		No Copper nets								
	evidence of testing for copper level in the sediment outside of the AZE, following methodology in Appendix I of the Salmon											
	standard v.1.3											
4.7.3	Requirement: Yes		N/A									
	Requirement: Yes											
	Applicability: All farms. Closed production systems that do not use nets and do not use antifoulants shall be considered exempt											
	use nets and do not use antifoulants shall be considered exempt											
	from standards under Criterion 4.7. Indicator: Evidence that copper levels(93) are < 34 mg Cu/kg dry	No Copper nets		No Copper nets								
	sediment weight,	"										
	or, in instances where the Cu in the sediment exceeds 34 mg Cu/kg											
	dry sediment weight, demonstration that the Cu concentration											
4.7.4	at three reference sites in the water body		N/A									
	Requirement: Yes											
	Applicability: All farms. Closed production systems that do not use nets and do not use antifoulants shall be considered exempt											
	from standards under Criterion 4.7. Indicator: Evidence that the type of biocides used in net											
	Indicator: Evidence that the type of biocides used in net	No biocides used.		No biocides used.		1						
	antifouling are approved according to legislation in the European Union, or the United States, or Australia					1						
						1						
4.7.5	Requirement: Yes		N/A									
					1 1					1	1	
	Applicability: All farms. Closed production systems that do not											
	Applicability: All farms. Closed production systems that do not use nets and do not use antifoulants shall be considered exempt from standards under Critician 4.7.											
	Applicability: All farms. Closed production systems that do not use nets and do not use antifoulants shall be considered exempt from standards under Criterion 4.7. Indicator: Evidence of a fish health management plan for the	There is a regional health plan that is re-viewed annually. Current plan is dated October 2020.										
	Applicability: All farms. Closed production systems that do not use nets and do not use antifolulants shall be considered exempt from standards under Criterion 4.7. Indicator: Evidence of a fish health management plan for the identification and monitoring of fish diseases, parasites and	There is a regional health plan that is re-viewed annually. Current plan is dated October 2020. The plan is submitted to the DFO for part of the licence requirements. The Salmonid Health										
	from standards under Criterion 4.7. Indicator: Evidence of a fish health management plan for the identification and monitoring of fish diseases, parasites and environmental conditions relevant for good fish health, including	There is a regional health plan that is re-viewed annually. Current plan is dated October 2020. The plan is submitted to the EOT for part of the kenset requirements. The Salmond Health considerable of the Committee of the Com										
5.1.1	from standards under Criterion 4.7. Indicator: Evidence of a fish health management plan for the identification and monitoring of fish diseases, parasites and environmental conditions relevant for good fish health, including implementing corrective action when required	applicable SOPs. There are specific site health plans that are started at the beginning of the	Compliant									
5.1.1	from standards under Criterion 4.7. Indicator: Evidence of a fish health management plan for the identification and monitoring of fish diseases, parasites and environmental conditions relevant for good fish health, including	requirements or the Hintsh Aquaculture Licence and references a comprehensive set or applicable SOPs. There are specific site health plans that are started at the beginning of the cycle and are live until the fish are harvested. This plan includes goals and records all visits	Compliant									
5.1.1	from standards under Criterion 4.7. Indicator: Evidence of a fish health management plan for the identification and monitoring of fish diseases, parasites and environmental conditions relevant for good fish health, including implementing corrective action when required	requirements of the Initian Aquaculture Licence and references a comprehensive set or applicable SOPs. There are specific site health plans that are started at the beginning of the cycle and are live until the fish are harvested. This plan includes goals and records all visits and activities of the health staff including results of tests. The company has an internal	Compliant									
5.1.1	from standards under Criterion 4.7. Indicator: Evidence of a fish health management plan for the identification and monitoring of fish diseases, parasites and environmental conditions relevant for good fish health, including implementing corrective action when required	requirements or time imman auguocuture tence and reterencies a comprehensive set or a applicable SDS. There are specified the health plans that are started at the beginning of the cycle and are live until the fish are harvested. This plan includes goals and records all wists and activities of the health staff including results of tests. The company has an internal Veterinarian and health team. The health until maintains are cord of all health visits on a database. This records site records.	Compliant									
5.1.1	From standards under Citerion 4.7. Michael: Video of a 16th health management plan for the identification and monotoning of fish diseases, parasites and environmental conditions relevant for good fish health, viculding implementing corrective action when required Requirement: Yes Applicability: All	requirements or the returns. There are specific to the return of a complete form of a complete form of a specific day for the pass that are started at the beginning of the operations of the control of	Compliant									
5.1.1	From standards under Citerion 4.7. Michael: Video of a 16th health management plan for the identification and monotoning of fish diseases, parasites and environmental conditions relevant for good fish health, viculding implementing corrective action when required Requirement: Yes Applicability: All	requirements or the remarks applicable Vision and the properties SOP. There are specific the fresh plants that are started at the beginning of the opposition of SOP. The season of the properties of the specific the properties of the vision of the properties of the started that the specific of the started to the started that the specific of the started to the started that the st	Compliant									
	From standards under Citatrion 4.7. Michaelite: Citatrion 6.7 in health management plan for the obersification and monitoring of fish diseases, parasites and international analysis of fish diseases, parasites and implementation standard for part of his health, recluding implementing corrective action when required Regularment: "Yes Applicability: All Michaelite: Districts by a designated verterina size (55) at least food brinds a year, and by a Roh health manager(56) at least once a month.	requirements of the control of the c										
5.1.1	From student's under Citerion 4.7. Micdater: Vidence of in 6 health management plan for the identification and monotroing of fish desease, parasites and environmental conditions relevant for good fish health, viculding implementing corrective action when required Requirement: Yes Indicator: Tile visits by a designated veterinarium(95) at least four times a year, and by a fish health manager(96) at least once a month.	requirements or the internal authorities (Lectice and references a Complementive Set of supplicable SOF). The case specific is the health plans that are started at the beginning of the cycle and are leve until the finit are harvested. This plan includes goals and records all voice the complement of the complement of the complement of the complement of the service between the complement of the comment of the comments, the munter of finish examined and tests are done from the area small profit of every month to 2 months depending on finish size and if there are any issues pending. Extend the results are inherent to the results. Due to low staff numbers, he size of the area to be covered and the recent Covid situation, fish health visits have been reduced. The company has supplied and kassessment dated September 2019, that covers areas such as Mort	Compliant Compliant									
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5.12	From stundards under Criterion 2, and a management plan for the Medicater's Videor of in this hash management plan for the mention of inchination of inchination and inchination of inchination incommentation on the student for good fash health, including implementing corrections, selected for good fash health, including implementating corrections, selected for good fash health, including implementating corrections and selected for the selected for the selected fash of	requirements of the control of the c	Compliant									
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5.12	Non studentish under Criterion 2, in Medicater: Victorio of in this hash management plan for the Medicater: Victorio of in this hash management plan for the Medicater: Victorio of in this hash management plan for the medicater in the medicater in the medicater in the medicater in the medicater. Size visits by a designated veterinarian(95) at least once a month of the medicater. Size visits by a designated veterinarian(95) at least once a month. Requirement: Yes Applicability: All Indicater: Percentage of dead fish removed and disposed of in a responsible manner. Requirement: 100% (97)	registeration of Their as in cyclindrical test habition due to the critical according to the cycle and are be until the final are have cell, the plan colleder goals and recross all visits and activities of the health staff including results of tests. The company has an internal Verleirandrian and health team. The health unit maintains a record of all health visits on a database. This records site records, comments, the number of fish examined, and tests are dione. Their secreds site records, comments, the number of fish examined, and tests are dione. There are sampling of fish done of the comments of the comments of the comments, the number of the comments of the company has supplied a rick assessment dated September 2019, that covers areas such as Nort covered and the recent Covid situation, fin health visits have been reduced. The company has supplied a rick assessment dated September 2019, that covers areas such as Nort classification and feet counts. Staff are well trained and experienced and can internet directly with beath staff using phone and Skyper freeded. If the company has supplied a rick assessment dated September 2019, that covers areas such as Nort clustering the public week the counts. Staff are well taked and experienced and can internet directly with beath staff using phone and Skyper freeded. All dead final recromed daily from each cage. They're all assessed as to their cause of death and recorded into a day mort sheet and transferred into the Aqualarmer. Mort collection was observed of mist the audit. A uptility are used in the daily accorded into a day mort sheet and transferred into the Aqualarmer. Mort collection was observed on its effect and put a root as the barge reviewed on site during the voil. When the contract were reviewed on site during the voil.	Compliant									
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5.1.2	Non studentish under Criterion 2, in Medicater: Victorio of in this hash management plan for the Medicater: Victorio of in this hash management plan for the Medicater: Victorio of in this hash management plan for the medicater in the medicater in the medicater in the medicater in the medicater. Size visits by a designated veterinarian(95) at least once a month of the medicater. Size visits by a designated veterinarian(95) at least once a month. Requirement: Yes Applicability: All Indicater: Percentage of dead fish removed and disposed of in a responsible manner. Requirement: 100% (97)	registeration of Their as in cyclindrical test habition due to the critical according to the cycle and are be until the final are have cell, the plan colleder goals and recross all visits and activities of the health staff including results of tests. The company has an internal Verleirandrian and health team. The health unit maintains a record of all health visits on a database. This records site records, comments, the number of fish examined, and tests are dione. Their secreds site records, comments, the number of fish examined, and tests are dione. There are sampling of fish done of the comments of the comments of the comments, the number of the comments of the company has supplied a rick assessment dated September 2019, that covers areas such as Nort covered and the recent Covid situation, fin health visits have been reduced. The company has supplied a rick assessment dated September 2019, that covers areas such as Nort classification and feet counts. Staff are well trained and experienced and can internet directly with beath staff using phone and Skyper freeded. If the company has supplied a rick assessment dated September 2019, that covers areas such as Nort clustering the public week the counts. Staff are well taked and experienced and can internet directly with beath staff using phone and Skyper freeded. All dead final recromed daily from each cage. They're all assessed as to their cause of death and recorded into a day mort sheet and transferred into the Aqualarmer. Mort collection was observed of mist the audit. A uptility are used in the daily accorded into a day mort sheet and transferred into the Aqualarmer. Mort collection was observed on its effect and put a root as the barge reviewed on site during the voil. When the contract were reviewed on site during the voil.	Compliant									

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		The mortality records on the farm were reviewed along with the protocols for assigning the							1 1			
		The mortality records on the farm were reviewed along with the protocols for assigning the										
		cause of mortality. Daily mort checks are carried out using uplifts on the site. All the staff have been trained in assigning reasons for mortality. DATS training system was reviewed for										
		staff. All records are recorded onto the site mortality sheet and records are placed into the										
	Indicator: Percentage of mortalities that are recorded, classified and receive a post-mortem analysis	Aquafarmer system.										
	and receive a post-mortem analysis	Unknown reasons for mortality or assigning disease not previously diagnosed must be										
5.1.4	Requirement: 100% (98)	referred to the fish health team. Mort sheets have all required information to allow assignation of mortality reasons.	Compliant									
	Requirement. 100% (56)	The offsite lab used is only when unknown mortalities need to be assessed. The lab is										
	Applicability: All	situated in Campbell River. Third party labs can also be used such as Centre for Aquatic										
		Health Sciences in Campbell River.										
		All classifications are logged on the daily sheets and then into the Aquafarmer system.										
		All records of mortalities from previous generations and their reports are kept within the company's management system. The figures are used to generate annual reports										
	Indicator: Maximum viral disease-related mortality(99) on farm	The current year class has a viral mortality of 0. The total number unexplained and viral (0)										
	during the most recent production cycle	for the current generation is 3,206 fish or 0.32%. Total mortality numbers was 51730 fish.										
5.1.5												
5.1.5	Requirement: ≤ 10%	For the 2019 completed yearclass the Viral number was 1.5% or 23853 fish. Total mortality	Compliant									
		was 10.6% or 168719 fih										
	Applicability: All indicator: waximum unexplained mortality rate from each or the	The information has been submitted to ASC Total mortality for the current year class is 5.1% and 51730 fish. Previous yearclass (2019) was										
	previous two production cycles, for farms with total mortality >	10.6% and 168719 fish. The viral mortality % for total mortality was 14.13%										
	6%	10.0% and 100719 lish. The viral mortality % for total mortality was 14.15%										
5.1.6			Compliant									
	Requirement: ≤ 40% of total mortalities											
	Applicability: All farms with > 6% total mortality in the most											
		The company uses Aquafarmer to recorded monthly mortalities in both percentage terms for count and biomass. Done on an overall company basis and based on historical information										
	Indicator: A farm-specific mortalities reduction program that includes defined annual targets for reductions in mortalities and	and how each site has produced in the past.										
	includes defined annual targets for reductions in mortalities and reductions in unexplained mortalities	There is a companywide reduction plan and targets set for the production. The current target										
5.1.7	reductions in unexplained mortalities	set for 2021 is for 90% survival. Mort causes include Mechanical, poor performers and mouth	Compliant									
3.2.7	Requirement: Yes	myxobacteria depending on the area. The plan indicates that trials of a vaccine for Lesions	Compiunt									
		and Mouth Myxo are ongoing. There are weekly tactical meetings for the staff on the site. The company sets KPIS which are				I						
	Applicability: All	Here are weekly tactical meetings for the staff on the site. The company sets KPIS which are Biomass produced, Survival, yield per smolt, rolling growth index (all higher than previous				I						
		cycle). Also Lower EFCR and BFCR are set.				1					1	
	Indicator: On-farm documentation that includes, at a minimum,	There were no Lice treatments and one antibiotic treatments in the current cycle.										
	detailed information on all chemicals (101) and therapeutants used during the most recent production cycle, the amounts used					I						
	used during the most recent production cycle, the amounts used					1					1	
	(including grams per ton of fish produced), the dates used, which											
5.2.1	group of fish were treated and against which diseases, proof of proper dosing, and all disease and pathogens detected on the site		Compliant			1						
	proper dosing, and an disease and patriogens detected on the site											
	Requirement: Yes											
	Applicability: All											
		The company has a food safety technician who controls this element. The CFIA website is										
		used by the company in relation to the banned list of substances. The website has information on residue limits allowed for each country worldwide. The company has put										
	Indicator: Allowance for use of therapeutic treatments that	together a positive list of medicines based on this website. Details on the list include Chemical										
	include antibiotics or chemicals that are banned (102) in any of the	name, Type, Product name, Supplier, withdrawal period and if ASC allows it or not.										
5.2.2	primary salmon producing or importing countries (103)	Following the use and a therapeutant, the Aquafarmer system locks in place the withdrawal										
5.2.2	Requirement: None	time. The information on use is input by the farm. During audit, the Drug treatment logs were	Compliant									
	Requirement: None	reviewed, and the site has to maintain these records onsite. MS222 was recorded as having a										
	Applicability: All	5-day withdrawal. Withdrawal time is documented on the prescriptions which are also kept. Maxxam in Vancouver carries out residue testing for each site prior to harvest. They are										
		Maxim in Vancouver carries out residue testing for each site prior to harvest. They are accredited to Standards Council of Canada no. 117. Testing is mandatory from CFIA for										
		harvested fish and is done by each production area at least once per year.										
		All medications are prescribed by the company vet. DFO review all treatments on site when										
		the site is visited by DFO staff. This is done at least once during the cycle.										
		There has been one treatment of Antibiotic required. Details are:										
		Rx: #_21-TM048										
	Indicator: Percentage of medication events that are prescribed	Species: Atlantic salmon Age: 2021 S1 Tanks/Pen ID: All pens										
	by a veterinarian	Fish Inventory: 1,078,000 Average Weight: 0.145 kg Biomass: 156,300 kg Feed Rate: 2% biomass consumption										
5.2.3		Reason for use: Mouth myxobacterial (Tenacibaculum sp.) infection	Compliant									
	Requirement: 100%	Rx: Aquaflor premix (Florfenicol)										
	Applicability: All	Dosage: 20 mg florfenicol / kilogram fish										
	Applicability. All	Inclusion Rate: Active Conc: 1.0 kilograms florfenicol / tonne of ration										
		Premix Conc: 2.0 kilograms Aquaflor premix / tonne of ration Feed Type: Complete feed for salmon Feed Size: 4 mm Pigment: Standard										
		Amount of Feed: 32 tonnes										
						1						
	Indicator: Compliance with all withholding periods after	Fish health plan section 2.11.1 Treatment records states that MOWI Canada West does not				1						
	treatments	harvest fish until they have cleared withdrawal period described by the Veterinarian. The medicine positive list has withdrawal periods. Emmamectin benzoate (SLICE) tested pre-				1					1	
5.2.4		The medicine positive list has withdrawal periods. Emmamectin benzoate (SLICE) tested pre-	Compliant			I						
3.2.4	Requirement: Yes	harvest and has a withdrawal period of 60 days.	Соприанс			1						
	Analisahiling All					1						
	Applicability: All Indicator: The farm shall publicly report (via Appendix of the	Reports are to appear on the Mowi website. The WNMT for the current year class (2021) is 0.				1						
	Salmon standard v.1.3) the:	Previous year class 2019 had a WNMT of 1.				l						
	Weighted Number of Medicinal Treatments (see Appendix VII)					1						
	for each production cycle					l						
						l						
5.2.5	2. The parasiticide load for each agent over the production cycle		Compliant			1					1	
	3. The benthic parasiticide residue levels					1						
						1					1	
	Requirement: Yes					1						
						l						
	Applicability: All											
	Indicator: The Weighted Number of Medicinal Treatments shall	The WNMT for country level of Canada is equal to the level that all countrys must aspire to of 3. This site has a WNMT of 0 for the 2021 yearclass				l						
	be at or below the country Entry Level (see Appendix VII of the Salmon standard v.1.3	3. This site has a WNMT of 0 for the 2021 yearclass				1					1	
5.2.6	Saimon Standard V.1.3		Compliant			1						
3.1.0	Requirement: Yes					I						
						1					1	
	Applicability: All											
	Indicator: The farm shall reduce the Weighted Number of	Its already below the Global level at 0.										
	Medicinal Treatments, after achieving indicator 5.2.6, with 25% per 2 years until the WNMT is at or below the Global Level (see					1						
	per 2 years until the WNMT is at or below the Global Level (see Appendix VII of the Salmon standard v.1.3)					I						
5.2.7	Appendix viros trie salinon standard v.1.5;		Compliant			1						
	Requirement: Yes					I						
						1					1	
	Applicability: All											
	Indicator: The farm shall implement Integrated Pest Management	Mowi IPM in place signed and dated December 2019.			_			· <u></u>				
	(IPM) according to the guidance in Appendix VII of the Salmon standard v.1.3	https://corpsite.azureedge.net/corpsite/wp-content/uploads/sites/7/2019/12/MCW-IPM- 2019.pdf				1					1	
528	AUTORO V. 1.3	2019.pdf The plan is followed and represents the actions that are used to control Lice in the farm area.	Compliant			I						
3.2.8	Requirement: Yes	and the same of th	- January			1					1	
						1						
						1						
	Applicability: All											

Audit	findings Salmon					Corresponds to Salmon Standard v.:				
	Indicator: The farm shall public present (e.g. via company	The IPM was reviewed as being in place on the companies website and dated 01/12/2020.					ı t	1		
	website) the IPM-measures that the company applies which need to be approved by a authorised veterinarian	1								
5.2.9			Compliant							
	Requirement: Yes									
	Applicability: All Indicator: The farm shall monitor parasiticide residue levels	ASC has determined that this indicator under QA 111 is not applicable.		ASC has determined that this						
	annually in the benthic sediment directly outside the AZE	ASC has determined that this indicator under QA 111 is not applicable.		indicator under QA 111 is not						
5.2.10			N/A	applicable.						
	Applicability: All Indicator: Allowance for prophylactic use of antimicrobial	Records have been reviewed. Treatments logs are maintained on the farm site. This is a legal								
	treatments(104)	requirement. Drug use is reported to DFO each quarter. There have been no prophylactic treatments.								
5.2.11	Requirement: None		Compliant							
	Applicability: All									
	Applicability: All Indicator: Allowance for use of antibiotics listed as critically important for human medicine by the World Health Organization (WHO(105))	The company has a medicines positive list that is maintained by the food safety manager. On the CFIA website there is a list of banned substances by country and worldwide. No								
5.2.12	(WHO(105))	antibiotics used were critically important within the company.	Compliant							
5.2.12	Requirement: None(106)		Compilant							
	Applicability: All									
	Indicator: Number of treatments(107) of antibiotics over the most recent production cycle	There have been one antibiotic treatment for this current cycle to treat A-Typical Furunculosis. See 5.2.3								
5.2.13		Toronadas, see s.r.s	Compliant							
	Requirement: ≤ 3									
	Applicability: All Indicator: If more than one antibiotic treatment is used in the	There has only been one treatment of Florfenicol this current production cycle. See 5.2.3								
	most recent production cycle, demonstration that the antibiotic load(108) is at least 15% less that of the average of the two	, , , , , , , , , , , , , , , , , , , ,								
5 2 14	load(108) is at least 15% less that of the average of the two previous production cycles		Compliant						1	
3.2.14	Requirement: Yes (109)		фин							
	And Inchallent All									
	Indicator: Presence of documents demonstrating that the farm	Once per year in January MOWI supply their customers with a 'Suppliers Quality Assurance								
	has provided buyers(110) of its salmon a list of all therapeutants used in production	Certificate'. It mentions potential treatments and refers the reader to web links with the Canadian Food inspection agency for regulatory status. It lists the possible supply plants. On								
5.2.15	Requirement: Yes	the bottom of the Suppliers QA certificate, there is a statement from the Food Safety assurance technician to contact her if there are any questions. Her number and extension are	Compliant							
		included.								
	Applicability: All indicator: Bio-assay analysis to determine resistance when two applications of a freatment have not produced the expected	The company actually carries out bio assays prior to treatments in order to gauge the does								
5.3.1	effect	needed to make the treatment effective. These bioassays are carried out by CAHS. Bioassays are carried out prior to treatment. If it shows poor results then another treatment	Compliant							
3.3.2	Requirement: Yes	action would be applied. The current situation in the Broughton does not allow the company to use SLICE and the company is committed to using physical and Freshwater treatmetns	Compiunt							
	Indicator: When bio-assay tests determine resistance is forming,	rather than chemical treatments. Bio assays are carried out pre-treatment that determines what dosage the lice are sensitive								
	use of an alternative, permitted treatment, or an immediate	to. The bioassays are carried out pre-treatment that determines what dosage the lice are sensitive to. The bioassays are carried out by the centre for aquatic health sciences in Campbell river.								
5.3.2	harvest of all fish on the site		Compliant							
	Requirement: Yes									
	Applicability: All									
	Indicator: Specific rotation, providing that the farm has >1 effective medicinal treatment product available, every third	In General internal medicinal treatments allowed in Canada for Lice is just one, and that's Emmamectin benzoate or SLICE. The only other allowed pesticide is Hydrogen peroxide baths								
5.3.3	effective medicinal treatment product available, every third treatment must belong to a different family of drugs	H2O2. This site is committed to using no checmial or infeed treatments as part of the consultations	Compliant							
	Requirement: Yes	in the Broughton area, with stakeholders and rightsholders.								
	Applicability: All	Records confirm that the fish are single year class. The vidoe reviews showed all the salmon								
	Indicator: Evidence that all salmon on the site are a single-year class(112)	on site were the same size. It's a licence requirement that only one year class is stocked per								
	Requirement: 100% (113)	site and DFO inspect each site at least once during the production cycle and review stocking records. Fallow dates were from 23/09/2020 to 09/04/2021.								
	Applicability: All farms. Exception is allowed for:	The fish were supplied by Mowi internal hatcheries								
5.4.1	there is complete separation of water between units and no sharing of filtration systems or other systems that could spread		Compliant						1	
	disease, or, 2) farm sites that have ≥95% water recirculation, a pre-entry									
	disease screening protocol, dedicated quarantine capability and biosecurity measures for waste to ensure there is no discharge of									
	live biological material to the natural environment (e.g. UV or								1	
	other effective treatment of effluent). Indicator: Evidence that if the farm suspects an unidentifiable	The site does not suspect any unidentifiable transmissible agents. There have been no unexplained mortality events. There is a red and green system in place that asses the							+	
	transmissible agent, or if the farm experiences unexplained increased mortality(114), the farm has:	unexplained mortality events. There is a red and green system in place that asses the mortality trends.							1	
	Reported the issue to the ABM and to the appropriate regulatory authority	There were no large or unusual mortality events, and all mortality is diagnosed. Nothing was suspected as being unidentified.							1	
5.4.2	2. Increased monitoring and surveillance(115) on the farm and	There were no large or unusual mortality events, and all mortality is diagnosed both onsite	Compliant							
	within the ABM 3. Promptly(116) made findings publicly available	and using approved labs to confirm diagnosis. DFO must be informed if 4000kg of mort's or 2% of the inventory in 24 hours or 10000kg or more or 5% of total fish in 5 days of mortalities occur. This reporting has not been necessary								
	Requirement: Yes	more or 5% of total fish in 5 days of mortalities occur. This reporting has not been necessary for the current or previous production cycle.								
	1.00									
	Indicator: Evidence of compliance(117) with the OIE Aquatic Animal Health Code(118)	Current version of the code is online and the relevant staff have knowledge where to find it.								
		Auditor was supplied a link within the site submissions. The Vet stated that the policies around fish health are based on the OIE health code and that								
5.4.3	Requirement: Yes	DFO also follow and develop licencing around the OIE Code. The staff are trained in animal welfare and the fish health plan.	Compliant							
	Applicability: All									
	farm, evidence that:	Notifiable diseases are immediately conveyed to the DFO and the CFIA who take control and determine the action. There is a legal onus on the fish health team to do this. Notifiable							1	
	the disease was detected	diseases in this area are IHN, IPN, VHS, ISA, OMV, Whirling disease and Coldwater Vibriosis. There has been none in the current or previous production cycles.							1	
	2. the farm immediately notified the other farms in the ABM (120	There is a variance in place and granted by ASC as VHS is endemic in the area and DFO have not required to cull the fish. This was allowed for other sites in BC, and the variance number							1	
5.4.4	rigorous testing for the disease	was 89 and 91.	Compliant							
	4. the farm promptly(121) made findings publicly available	There have been no notifiable diseases according to the mortality records.							1	
	Requirement: Yes								1	
	Applicability All									
	Applicability: All						1			

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	Indicator: Evidence that workers have access to trade unions (if	The code of conduct provided to all employees and accessible on the company intranet			T T	1	İ	ĺ				
	Indicator: Evidence that workers have access to trade unions (if they exist) and union representative(s) chosen by themselves without managerial interference	system. Code of Conduct section 5.3. Relates to this area and states "Mowi recognises the										
611	without managerial interference	right of all workers and employees freely to form and join groups for the promotion and defence of their occupational interests, including the right to engage in collective bargaining.	Compliant									
6.1.1	Requirement: Yes	No trade unions exist at the site. Employees confirmed that they have signed the Contract of	Compliant									
		Employment and receive and a copy of the Employee Handbook.										
	Applicability: All Indicator: Evidence that workers are free to form organizations.	Contracts of Employment states the worker's right of freedom of accordation. Move also										
	including unions, to advocate for and protect their rights	Contracts of Employment states the worker's right of freedom of association. Mowi also confirms this within the Code of Conduct section 5.3.										
6.1.2	Requirement: Yes	Employees confirmed that the Code of Conduct is provided to them and that they are trained and tested. The training records show evidence of training and the results are maintained on	Compliant									
	Requirement: Yes	and tested. The training records show evidence of training and the results are maintained on the DATS training systems.										
	Applicability: All											
	Indicator: Evidence that workers are free and able to bargain collectively for their rights											
6.1.3	collectively for their rights	The code of conduct communicated a commitment to ensure the collective bargaining rights										
6.1.3	Requirement: Yes		Compliant									
	Andread Street All	The evidence reviewed shows that workers are free and able to bargain collectively and detailed in the Code of Conduct and training records.										
	Indicator: Number of incidences of child(123) labour(124)	There are no persons employed under the age of 15. In section 5.4 of the Code of Conduct " Mowi is committed to the abolition of child labour,										
		In section 5.4 of the Code of Conduct " Mowi is committed to the abolition of child labour,										
	Requirement: None	and all forms of forced or compulsory labour. Mowi considers the minimum age for employment as not lower than the age of completion of compulsory schooling as set by										
6.2.1	Applicability: All except; Child: Any person under 15 years of age. A higher age would apply if the minimum age law of an area stipulates a higher age for work or mandatory schooling. Minimum age may be 14 if the country allows it under the	national law, and in any event not lower than 15 years of age." Identification is held on file for all farm employees and is signed and verified by senior	Compliant									
	age. A higher age would apply if the minimum age law of an area	Identification is held on file for all farm employees and is signed and verified by senior management at the point of employment.										
	Minimum age may be 14 if the country allows it under the	management at the point or employment.										
	Indicator: Percentage of young workers(125) that are protected(126)	The Mowi Code of Conduct, section 5.4, sets out the primary controls. Young workers risk										
622		assessments are carried out and displayed in the working areas. All young workers assessed before employment commences. All workers, including young workers, have the working	Compliant									
6.2.2	Requirement: 100%	hours recorded on a time management system. No young workers were employed at the time of the audit.	Compliant									
	Applicability: All											
	Indicator: Number of incidences of forced(129), bonded(130) or	Workers are provided with and have signed contracts of employment. Through										
	compulsory labour	documentation checks, it confirmed that all working hours are conducted voluntarily. The employer does not withhold employee's original identity documents or any part of workers'										
6.3.1	Requirement: None	salaries, benefits, property or documents to oblige them to continue working for the	Compliant									
	Analizabilita All	employer.										
	аррисавшту: Ап	No employees are repaying debt. Confirmed in worker interviews Code of Conduct section 5.2 & 6.1, states that Mowi does not accept any form of										
		discrimination.										
		The anti-discrimination policy that is in place indicates that the company does not engage in										
	Indicator: Evidence of comprehensive (132) and proactive anti- discrimination policies, procedures and practices	or support discrimination in hiring remuneration, access to training promotion, termination										
	discrimination policies, procedures and practices	or retirement based on race, caste, national origin, religion, disability, gender, sexual orientation, union membership, political affiliation, age or any other condition that may give										
6.4.1	Requirement: Yes	rise to discrimination.	Compliant									
	Applicability: All	Discrimination complaints are dealt with through the grievance procedures. Grievance procedures are communicated to all workers.										
		All managers have been trained in equality and diversity, and evidence of the training is recorded on DATS. (Training Management System)										
	Indicator: Number of incidences of discrimination	The facility has a procedure in place to document all discrimination complaints. To date, there										
642	Requirement: None	have not been any complaints. There is no evidence of discrimination. Workers interviewed stated that the company did not discriminate against them. Workers interviewed had not	Compliant									
6.4.2	Requirement: None	stated that the company did not discriminate against them. Workers interviewed had not experienced or heard of any issues with regards to discrimination.	Compliant									
	Applicability: All											
	Indicator: Percentage of workers trained in health and safety practices, procedures(133) and policies on a yearly basis	There are established procedures and policies to protect employees. These are communicated within the human resources policy and the Code of Conduct section 4.1.										
		Employees are trained in emergency response procedures. The training has been recorded in										
6.5.1	Requirement: 100%	the onsite training systems (DATS) and displayed on the employee notice boards. Ongoing training carried out on online training software management systems. It is the responsibility	Compliant									
		of the site managers to ensure that training is carried out and up to date. The Code of										
	Applicability: All	Conduct Section 4.1 sets out the Health & Safety rules. The site has carried out risk assessments for all operations and has identified the PPE										
	Indicator: Evidence that workers use Personal Protective Equipment (PPE) effectively	required for each task. The site uses the risk assessment to understand the risks and										
6.5.2		eliminate the risks where possible. Employees all receive induction training which includes	Compliant									
	Requirement: Yes	the correct and proper use of Personal Protective Equipment. Training records are maintained on the DATS system and it is the managers responsibility to ensure training is										
	Applicability: All	energial and DDE was use analishle and being used by the workers										
	Indicator: Presence of a health and safety risk assessment and	Raines until Pre-was was available and being seed by the workers. Risk assessments are used to identify the risk and employees are trained against the risk assessments. Manager review the Risk assessments annually or sooner if the process changes										
6.5.3			Compliant									
6.5.3	Requirement: Yes	assessments. Risk Assessments are used for creating SOP's	Compliant									
	Applicability: All											
	Indicator: Evidence that all health- and safety-related accidents	All health & safety-related accidents are recorded. The Health & Safety Manager investigates accidents to establish root cause and implements a corrective action plan and review of the working procedures where required. All information is recorded in the DATS system.										
	and violations are recorded and corrective actions are taken when necessary	accidents to establish root cause and implements a corrective action plan and review of the working procedures where required. All information is recorded in the DATS outline										
6.5.4		P. Commission of the Commissio	Compliant									
	Requirement: Yes											
	Applicability: All											
	Applicability: All Indicator: Evidence of employer responsibility and/or proof of insurance (accident or injury) for 100% of worker costs in a job- related accident or injury when not covered under national law	Mowi have confirmed that insurance is provided.										
	insurance (accident or injury) for 100% of worker costs in a job- related accident or injury when not covered under national law											
6.5.5			Compliant									
	Requirement: Yes											
	Applicability: All											
	Indicator: Evidence that all diving operations are conducted by	Checks of certifications are made by Mowi every 60 days. Divers have the required accreditations. All external divers are given full details of the activities that are required.										
6.5.6		Mowi keeps records of diving operation.	Compliant									
6.5.6	Requirement: Yes		Compliant									
	Applicability: All											
	Indicator: The percentage of workers whose basic wage(134)	Wages are recorded in an electronic accounting system and verified. All pay is in line or above minimum wage requirements. All workers confirmed that wages are paid correctly.										
		minimum wage requirements. All workers confirmed that wages are paid correctly.										
6.6.1	Requirement: 0 (None)		Compliant									
	Applicability: All											
	Indicator: Evidence that the employer is working toward the	Mowi use Hays group to assist with setting pay levels and carry out here own reviews to ensure that levels are correct. There are details of living wages for BC available which states										
	payment of basic needs wage(136)	ensure that levels are correct. There are details of living wages for BC available which states the living wage is \$12.65 MOWI starting wage is \$20.00										
6.6.2	Requirement: Yes	INC. INVING. MAGE IS \$12.03 MICHAI Stall LINE Wage IS \$20,00	Compliant									
	Applicability: All Indicator: Evidence of transparency in wage-setting and	Employees are paid hi-weekly by electronic bank transfer. Wanas and hanafits are										
	rendering(137)	Employees are paid bi-weekly by electronic bank transfer. Wages and benefits are documented before the point of employment and written into the contract of employment.										
6.6.3	Basiliament, Vac	Employees confirmed within the interview process that information was available, and electronic transfer payments are made directly to their bank accounts.	Compliant									
	Mequirement res	control of the payments are made unecuty to their pank accounts.										
	Applicability: All					1						

Αι	dit fi	ndings Salmon				Corresponds to Salmon	Standard v.1	1.3					
		Indicator: Percentage of workers who have contracts(139)	There was no evidence of Labor only contracts or false apprenticeships, this was confirmed						ĺ				
	7.1	Requirement: 100%	in worker interviews. All employees are provided with a contract of employment, and a copy of the contract was available in the personnel files.	Compliant									
			of the contract was available in the personner mes.	Compilant									
		Applicability: All Indicator: Evidence of a policy to ensure social compliance of its	MOWI keeps a list of approved suppliers and contractors and records of communications.										
			Where subcontractors are used, they check that the companies have socially responsible practices and policies.										
	.7.2	Requirement: Yes		Compliant									
		Applicability: All											
		Indicator: Evidence of worker access to effective, fair and confidential grievance procedures	HR Policy details complaint procedure which explains the reporting procedure, including bullying and harassment and confidentiality policy. Policies can be accessed through the										
	.8.1	Requirement: Yes	intranet. This was confirmed through employee interviews. All communication such as complaints, grievances and discipline are recorded in the employee personnel file.	Compliant									
		Requirement: Tes	complaints, grievances and discipline are recorded in the employee personner life.										
		Applicability: All Indicator: Percentage of grievances handled that are	Mowi have established a documented grievance policy and procedure.										
		Indicator: Percentage of grievances handled that are addressed (140) within a 90-day timeframe	Workers interviewed stated no grievances had been raised. The company policy is to respond to each stage of the process within 14 days.										
	.8.2	Requirement: 100%	,	Compliant									
		Applicability: All	There was no evidence of excessive or abusive disciplinary actions that would impact the										
		Indicator: Incidences of excessive or abusive disciplinary actions	There was no evidence of excessive or abusive disciplinary actions that would impact the workers physical or mentally in the policies reviewed.										
	9.1	Requirement: None		Compliant									
		Applicability: All	The MOWI has written disciplinary policy that "explicitly" states to improve the worker.										
		whose aim is to improve the worker (141)	Mowi also has a performance management policy										
	9.2	Requirement: Yes	None of the workers had been involved in a disciplinary procedure confirmed during interviews.	Compliant									
		Applicability: All											
			Working hours are provided by site managers to the payroll and working hours' department. The workers confirmed that working hours are correct before this. Records on the										
		Indicator: Incidences, violations or abuse of working hours(143) and overtime laws	attendance system show that workers are not exceeding the working hours that are allowed.										
6	10.1		The MOWI holds a document for the Employment Standards Act for BC for working regulations. The working shift pattern is carried out over two weeks. The shift pattern	Compliant									
		Requirement: None	consists of 8 days on and six days off. The averaged hours over the two weeks is 40 hours per week. The shift pattern is agreed before the commencement of employment. The contract										
		Applicability: All	of employment clearly stated the contracted working hours.										
		Indicator: Overtime is limited, voluntary(144), paid at a premium	Workers confirmed that the facility did not abuse the working hour's regulations and laws. The time and attendance system confirmed that overtime is infrequent.										
	10.2	rate and restricted to exceptional circumstances	The employees confirmed that overtime is rare and is voluntary. The employees are paid a premium rate for overtime hours; they are paid 150% for the first 2 hours and 200% for any	Compliant									
٠	10.2	Requirement: Yes	hours worked after that.	Compilant									
		Applicability: All											
		Indicator: Evidence that the company regularly performs training of staff in fish husbandry, general farm and fish escape management and health and safety procedures	MOWI encourages employees to participate in training courses and supports the workers in doing this.										
	11.1	management and health and safety procedures	HR policy section 9 Employee training and development and education assistance programs. All training records are maintained on the DATS system.	Compliant									
		Requirement: Yes	Workers confirmed that they are encouraged to learn and be involved with training courses.										
		Applicability: All											
		indicator: Demonstration of company-level(14b) policies in line with the standards under 6.1 to 6.11 above	All requested documentation was provided and reviewed. The Code of Conduct Policy and the HR Policy are in line with all social and labour requirements.										
ϵ	12.1	Requirement: Yes	The Senior Management Team approves corporate policy at Sargeaunt Pass The scope of all corporate policies covers all company operations.	Compliant									
		Applicabilitie: All											
		Indicator: Evidence of regular and meaningful(147) consultation	Communication log details all meetings and interactions. Joint Committee with all										
		and engagement with community representatives and organizations	stakeholders represented meet every other month. The company recently sent out a communication to all the local communities with details on new technology, Therapeutic										
	.1.1	Requirement: Yes	Treatments, opportunities for future growth and information regarding certification. Notes are taken during the meeting and follow up emails are sent out to stakeholders No	Compliant									
		Applicabilities All	representatives made themselves available for the audit										
		Indicator: Presence and evidence of an effective(148) policy and mechanism for the presentation, treatment and resolution of	Mowi has a policy Doc#5/FW905 External Complaint resolution. A communication log has been created to record all engagment that is sent out or received										
		mechanism for the presentation, treatment and resolution of complaints by community stakeholders and organizations	from community stakeholders whether this is and inquiry, information request or complaint.										
	.1.2	Requirement: Yes	The Log details who raised the query and the nature of the query, date and repsonse. All contact is managed by the communications team and overseen by the communication	Compliant									
			manager. The complaints procedure is detailed and sets out the requirements for handling each complaint. No complaints relevant to this site on review of the complaint log.										
		Applicability: All Indicator: Evidence that the farm has posted visible notice(149)	No representatives made themselves available for the audit. Notices are posted on the site if Therapeutic Treatments are being carried out. The signage										
			that is used was seen during the farm inspection. The signage used is clear and can be seen										
	1.3	part of consultation with communities under 7.1.1, communicated about potential health risks from treatments	by anyone passing the farm. This has been communicated as noted in the communication log.	Compliant									
		Requirement: Yes	Notices are posted on the side of the MOWI house so that anyone entering the site can see it.	Compliant									
		Applicability: All	No representatives made themselves available for the audit.										
			Mowi is operating in some indigenous territories and has several agreements (IBA) in place with FN groups.										
		Indicator: Evidence that indigenous groups were consulted as required by relevant local and/or national laws and regulations	Mowi holds 55 licensed ocean salmon farms in B.C. with about 30 operating at any one time,										
	.2.1	Requirement: Yes	while others lay fallow. Mowi Canada has a track record of collaboration with First Nation partners – with some	Compliant									
		Applicability: All farms that operate in indigenous territories or	agreements dating back 20 years. The company operates within the traditional territories of 24 First Nations and has formal agreements with 15 of these Nations and eight First Nation-										
		Applicability: All farms that operate in indigenous territories or in proximity to indigenous or aboriginal people	owned businesses. Approximately 20 per cent of the MOWI Canada workforce is of First										
		Indicator: Evidence that the farm has undertaken proactive	Nations heritage. There is an agreement called 'The Broughton Way Forward' signed off in December 2018,										
			between Cermaq, MOWI and the first nations in the area. This has resulted in MOWI decommissioned 5 production sites in the Broughton area under the agreement. By 2022 10										
	.2.2	Requirement: Yes (150)	sites between MOWI and Cermaq will be de-commissioned.	Compliant									
		Applicability: All farms that operate in indigenous territories or in proximity to indigenous or aboriginal people											
		Indicator: Evidence of a protocol agreement, or an active	The agreements demonstrate that MOWI is aware of Local, national laws and regulations for each FN. There are agreements in place as detailed in 7.2.1 and continuous engagements as										
		process(151) to establish a protocol agreement, with indigenous communities	each FN. There are agreements in place as detailed in 7.2.1 and continuous engagements as detailed 7.2.1. No representatives made themselves available for the audit.										
	.2.3	Requirement: Yes		Compliant									
		Applicability: All farms that operate in indigenous territories or											
		in proximity to indigenous or aboriginal people	Mouri have a Tenure in place and an agreement with the CNV within the area of the										
		community resources(152) without community approval	Mowi have a Tenure in place and an agreement with the FN's within the area and as documented in 7.2.1. The agreement includes and ensures that Mowi does not restrict access										
	.3.1	Requirement: None	to vital community resources No representatives made themselves available for the audit.	Compliant									
		Applicability: All											
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Audit	findings Salmon				Corresponds to Salmon	Standard v.1	1.3					
	Indicator: Evidence of assessments of company's impact on	The CEAA report for the site includes consultation with FN, local community and government						Ī				
732	access to resources	No representatives made themselves available for the audit.	Compliant									
7.3.2	Requirement: Yes		Compilant									
	Applicability: All	The hatcheries involved for this site is Big tree Creek, Ocean Falls and Dalrymple . Ocean falls										
		is a flow through farm discharging to the sea. The other two are re-circulation hatcheries. The hatcheries are all owned by Mowi.										
	Indicator: Compliance with local and national regulations on water use and discharge, specifically providing permits related to	The Aquaculture Licence numbers are AQFW 112572 2015 valid until June 18th 2024. Facility reference number 79. for Big Tree Creek.										
8.1	water quality	For Ocean falls facility number 1689, the Licence number is AQFW 112568 2015 Valid until Jun 18th 2024.	Compliant									
0.1	Requirement: Yes	For Dalrymple hatchery facility reference number 47 the Licence number is AQFW 112571 and valid until June 18th 2024.	Compilant									
	Applicability: All Smolt Producers	Big tree Creek has been converted to re-circulation and are not compliant to the old flow										
		through discharge licences. The site has just completed modernisation. All other hatcheries are starting updating their equipment to modernize treatments and effluents.										
	Indicator: Compliance with labour laws and regulations	The licences are monitored by DFO for compliance. See principle 6 as the hatcheries are owned by Mowi.										
8.2	Requirement: Yes		Compliant									
	Applicability: All Smolt Producers											
	Indicator: Evidence of an assessment of the farm's potential impacts on biodiversity and nearby ecosystems that contains the	Biodiversity impact assessment for the hatcheries was drawn up in November 2014. There are a series of recommendations at the end of the report mainly to do with the effluent discharge and its effect. Dalrymple Hatchery: There is an addendum dated December 2018										
8.3	same components as the assessment for grow-out facilities under 2.4.1	discharge and its effect. Dalrymple Hatchery: There is an addendum dated December 2018 and carried out by Mainstream biological consulting. The report covers upgrades to the hatchery and re-assesses the impacts covered in 2014.	Compliant									
0.5	Requirement: Yes	hatchery and re-assesses the impacts covered in 2014. The hatcheries are owned by MOWI and they have confirmed implementing the assessment	Compilant									
	Applicability: All Smolt Producers	on potential impacts.										
		The fish onsite are all related to the 2019 production at the hatcheries. All Skretting feed has been used.										
		The Skretting feed is declared as 1.5 to 1.7% depending on the feed type. For Big tree Creek for 2019 there was 750.772 tons of feed										
		For Big tree Creek for 2019 there was 701.75 tons production. For Big tree Creek for 2019 there was 2090.45kg of phosphorus in the effluent.										
	Indicator: Maximum total amount of phosphorus released into the environment per metric ton (mt) of fish produced over a 12-	For Big tree Creek for 2019 there was 2.98kg of phosphorus per ton of production. For Ocean fall for 2019 there was 275.166 tons of feed.										
8.4	month period (see Appendix VIII of the Salmon standard v.1.3)	For Ocean fall for 2019 there was 303.091 tons of production. For Ocean fall for 2019 there was 303.091 tons of production.	C									
8.4	Requirement: 4 kg/mt of fish produced over a 12-month period	For Ocean falls is NA as the site discharges to the sea. For Dalrymple for 2019 there was 519,847 tons feed.	Compliant									
	Applicability: All Smolt Producers	For Dalrymple for 2019 there was 519.847 tons reed. For Dalrymple for 2019 there was 595.826 tons of production. For Dalrymple for 2019 there was 523.28ke of phosohorus in the effluent.										
		For Dairymple for 2019 there was 523.28kg of phosphorus in the effluent. For Dairymple for 2019 there was 0.88kg of phosphorus per ton of production.										
		There is a new Variance in place number 231 that allows Phosphorus to be calculated in the										
		effluent water rather than the sludge. There is also a VR for Ocean falls number 92 as they are discharging to the marine environment.										
	Indicator: If a non-native species is being produced, the species shall have been widely commercially produced in the area prior to the publication (154) of the ASC Salmon Standard	Non-native Atlantic salmon are farmed. The DFO website shows that introductions occurred in 1985 from Scotland. Evidence provided in the form of the information on the DFO website										
		showing egg importations. www.dfo-mpo.gc.ca										
	Requirement: Yes (155)											
8.5	Applicability: All Smolt Producers, Exceptions shall be made for production systems that use 100 percent sterile fish or systems		Compliant									
	production systems that use 100 percent sterile fish or systems that demonstrate separation from the wild by effective physical barriers that are in place and well-maintained to ensure no											
	escapes of reared specimens or biological material that might survive and subsequently reproduce.											
	Indicator: Maximum number of escapees(156) in the most receiproduction cycle	t The system on two of the hatcheries are a full re-circulation with grids and screens in place. All monitoring records are submitted to DFO who keep them indefinitely and are available on										
	Requirement: 300(157) fish	their website. The hatchery has to comply with reporting conditions with the PAR licence the same as the marine sites. There is a requirement of 3 screens to be in place on each of the										
		hatcheries. All the hatcheries are BAP certified annually where escapes are also taken into account. The hatcheries are all owned by Mowi.										
	Applicability: All Smolt producers. A rare exception to this standard may be made for an escape event that is clearly documented as being outside of the farm's control. Only one	,										
8.6	such exceptional episode is allowed in a 10-year period for the purposes of this standard. The 10-year period starts at the		Compliant									
	beginning of the production cycle for which the farm is applying											
	for certification. The farmer must demonstrate that there was no reasonable way to predict the events that caused the episode.											
	Extreme weather (e.g., 100-year storms) or accidents caused by farms located near high-traffic waterways are not intended to be											
	Indicator: Accuracy(158) of the counting technology or counting	Vaki automatic counters are used with a reported accuracy of +/- 2%. The smolts are counted three times at vaccination, Loading for transfer and then by the well boat into the pens.										
8.7		three times at vaccination, Loading for transfer and then by the well boat into the pens. There is a new Smolt inventory control SOP for hatchery sites Document FW269.	Compliant									
	Requirement: 298%											
	Applicability: All Smolt Producers Indicator: Evidence of a functioning policy for proper and responsible treatment of non-biological waste from production (p.g. disposal and requiling)	The hatcheries are part of Mowi Canada. The feed bags, pallets and plastic are all sent back to										
8.8	responsible treatment of non-biological waste from production (e.g., disposal and recycling)											
8.8	Requirement: Yes	signed by the Managing director of MOWI stating that there is a commitment to environmental certification programs such as ASC.	Compliant									
	Applicability: All Smolt Producers											
	Indicator: Presence of an energy-use assessment verifying the energy consumption at the smolt production facility (see	Records are in place showing electricity and fuel consumption for 2019. Big tree Creek 32,655,953,162 kj										
	Appendix V subsection 1 of the Salmon standard v.1.3 for	Big Tree Creek 701 tons production Big Tree Creek 46,535,024 kj/mt production										
8.9	guidance and required components of the records and assessment)	Ocean falls 3,151,448,570 kj	Comp. For									
8.9	Requirement: Yes, measured in kilojoule/mt fish/production	Ocean Falls 303.09 tons production Ocean Falls 10,397,698 kj/mt production	Compliant									
	cycle	Dalrymple 26,947,161,531 kj										
	Applicability: All Smolt Producers	Dalrymple 595.83 tons production Dalrymple 45,226,562 kj/mt production										
	Indicator: Records of greenhouse gas (GHG(159)) emissions(160 at the smolt production facility and evidence of an annual GHG	Same emission factors as used by the Marine farms from DEFRA. Warming potential is also from DEFRA. Results from 2019.										
8.10	at the smolt production facility and evidence of an annual GHG assessment (See Appendix V of the Salmon standard v.1.3)	Big Tree Creek 5,943,704 kg/CO2eq Ocean Falls 1,416,087 kg/CO2en	Compliant									
	Requirement: Yes	Dalrymple 5,004,790 kg/CO2eq										
	Applicability: All Smolt Producers											

Audit	rindings saimon					Corresponds to Salmon Standard V.				
	Indicator: Evidence of a fish health management plan, approved	The fish health management plan is the same as the FHMP used on the seawater sites for							T	
	Indicator: Evidence of a fish health management plan, approved by the designated veterinarian, for the identification and monitoring of fish diseases and parasites	MOWI.								
8.11	monitoring of fish diseases and parasites	The internal MOWI veterinarian covers all the MOWI operations.	Compliant							
	Requirement: Yes									
	Applicability: All Smolt Producers									
	Indicator: Percentage of fish that are vaccinated for selected	Vaccinating for viruses is not compulsory in Canada, but the three companies in the BC area have agreed to vaccinate as part of the regional management plan. The fish health plan has a								
	and for which an effective vaccine exists(161)	have agreed to wachinate as part to the regional management plant. The first health plant has a detailed list of all diseases in the area. All smolts at this hatchery were vaccinated against HN, Furunculosis, BKD and Vibrio. All fish are vaccinated with Alphaject S-3, Renogen, Emergen								
8.12	Requirement: 100%	Furunculosis, BKD and Vibrio. All fish are vaccinated with Alphaject 5-3, Renogen, Emergen dip and Apex IHN. Vaccines trials can also be carried out.	Compliant							
	Requirement 200%	The product CV lists the fish vaccinations. All vaccines are approved by DFO.								
	Applicability: All Smolt Producers Indicator: Percentage of smolt groups(162) tested for select	Prior to transfer, smolts are tested for diseases such as VHS, BKD, IPN, ISA and bacterial							+	
	diseases of regional concern prior to entering the grow-out phase	diseases. The hatchery is owned by Mowi so no declaration is needed.								
8.13	on farm(163)	The hatchery is owned by Mowi so no declaration is needed.	Compliant							
	Requirement: 100%									
	Applicability: All Smolt Producers									
	Indicator: Detailed information, provided by the designated	No antibiotics have been used in 2020 in any hatchery. Incoming water is disinfected with Ozone. All other chemical or therapeutant use is recorded on Aquafarmer for example								
		MS222 used for anesthetizing fish. Formalin used to treat Fungus.								
	ton of fish produced), the dates used, which group of fish were treated and against which diseases, proof of proper dosing and al									
8.14	disease and pathogens detected on the site		Compliant							
	Requirement: Yes									
	Applicability: All Smolt Producers									
		Mowi ASA has an extensive list of countries and their allowable and unallowable								
	include antibiotics or chemicals that are banned(164) in any of the	contaminants, drugs and microbiology and statutory limits for fish for all these growing areas. This database is updated when a country changes its limits by anybody in the Mowi								
8.15	primary salmon producing or importing countries(165)	organisation that has the current information. Every possible worldwide therapeutant is listed. Mowi Canada also have a medicine positive list showing drugs allowable however in	Compliant							
	Requirement: Yes	listed. Mowi Canada also have a medicine positive list showing drugs allowable however in the case of Tribrissen even though its allowed MOWI no longer uses it for the US market.								
	Applicability: All Smolt Producers	the case of Tribrissen even though its allowed MOWI no longer uses it for the US market. Even though there is a positive list, it does not mean that the treatments are used. The list is maintained by the food offset offset within the first bettle received.								
	Indicator: Number of treatments of antibiotics over the most	maintained by the food safety officer within the fish health group. There have been no treatments.							+	
	recent production cycle									
8.16	Requirement: ≤3		Compliant						[
	Applicability: All Smolt Producers									
	Applicability: All Smolt Producers Indicator: Allowance for use of antibiotics listed as critically important for human medicine by the WHO (166)	There have been no treatments.								
8.17	important for human medicine by the WHO (166)									
8.17	Requirement: None (167)		Compliant							
	Applicability: All Smolt Producers									
	Applicability: All Smolt Producers Indicator: Evidence of compliance(168) with the OIE Aquatic Animal Health Code(169)	A copy of the OIE code is available to all staff through the "SharePoint". The appendix 1 in the Fish Health plan includes a link for OIE and refers to the Code.								
8.18		The hatchery is owned by Mowi so they are aware of ASC requirements.	Compliant							
0.10	Requirement: Yes		Compilant							
	Applicability: All Smolt Producers									
	Indicator: Evidence of company-level policies and procedures in line with the labour standards under 6.1 to 6.11	The same policies apply as detailed in Principle 6 as it is the same company.								
8.19	Requirement: Yes		Compliant							
	Applicability: All Smolt Producers	Meetings dated July 24th 2019 with the Sayward council (Big Tree and Dalrymple). The								
	Indicator: Evidence of regular consultation and engagement with	Meetings dated July 24th 2019 with the Sayward council (Big Irree and Dairlymple). The meeting included the Mayor and full council dated November 5th 2019. There was also an ASC invite letter to the City of Campbell river Council on the same date. There was a meeting on January 15th 2020.								
	community representatives and organizations	ASC invite letter to the City of Campbell river Council on the same date. There was a meeting on January 15th 2020.								
8.20	Bandament, Vac	There was a letter sent out to CCRD The Central Coast regional district dated 24th July 2019	Compliant							
	Applicability: All Smolt Producers	and received no replies. Letter was an update on activities and included an invite to meet. Documents show the questions are recorded and follows ups are done if required when no								
		immediate answers are available. Community consultation document dated Jan 14th 2020. Due to Covid there has been little follow up in 2020 due to travel and meeting restrictions.								
	Indicator: Evidence of a policy for the presentation, treatment	Mowi own the facility See P7								
	and resolution of complaints by community stakeholders and organizations								1	
8.21			Compliant						1	
	Requirement: Yes								[
	Applicability: All Smolt Producers	Manus and the facility Con P7. The company by								
	were consulted as required by relevant local and/or national laws	Mowi own the facility See P7. The company has an indigenous relations department and where MOWI activities occour then all bands are consulted. MOWI have provided the bands							Ţ.	
8.22	and regulations	contacts as part of the stakeholder contact information for these audits.	Compliant						1	
	Requirement: Yes								[
	Applicability: All Smolt Producers							 		
	Indicator: Where relevant, evidence that the farm has	Mowi own the facility See P7. The company has an indigenous relations department and where MOWI activities occour then all bands are consulted. MOWI have provided the bands contacts as part of the stakeholder contact information for these audits.								
8.23	undertaken proactive consultation with indigenous communities	contacts as part of the stakeholder contact information for these audits.	Compliant						1	
8.23			Compilant						1	
	Applicability: All Smolt Producers inaccator: Aniowance for stocking smorts produced in cage-									
	culture	Land based hatcheries.		Land based hatcheries.						
	Requirement: Permitted only if supplying farms are 1) operated									
8.25	in a region where indigenous salmonids are present of the same species being cultivated and 2) the farm is certified to the ASC		N/A							
	species being cultivated and 2) the farm is certified to the ASC Freshwater trout Standard								1	
	Indicator: Water quality monitoring matrix completed and submitted to ASC (see Appendix VIII of the Salmon standard v.1.3)	Land based hatcheries.								
8.26			Compliant						1	
	Requirement: Yes(171)		,,,,,,							
	Applicability: open (net-pen) production of smolt	Results have shown that all effluent measurments from all the hatcheries are above 60%.								
	Applicability: open (net-pen) production of smolt Indicator: Minimum oxygen saturation in the outflow (methodology in Appendix VIII of the Salmon standard v.1.3)	results have shown that all effluent measurments from all the hatcheries are above 60%. Detailed results have been sent to ASC.							Ţ.	
8.27	Requirement: 60%(172, 173)		Compliant						1	
				ı			1			
	Applicability: All Smolt Producers Using Semi-Closed or Closed Production Systems									

	Indicator. Macro in anti-heata consent description from the	For Big Tree report dated December 2018 is based on samples taken by Mainstream biological and was analysed and written up by Biologica based in Victoria and is due a new							
	farm's effluent discharge demonstrate benthic health that is	report in 2020 as its been doing so well in previous reports.							
	similar or better than surveys upstream from the discharge	For Dalrymple report dated July 2019 is based on samples taken by Mainstream biological							
		and was analysed and written up by Biologica based in Victoria. The hatchery was rebuilding Com	mpliant						
		and re-structured in 2019 and the facility now has primary treatment in place and is looking							
		at secondary treatment. Mainstream Biological follow the Appendix as specified to them by Mowi.							
	Production Systems	For the Dalrymple hatchery, the Macro invertebrate report states that water quality is fair							
_		downstream compared to good or very good upstream of the hatchery.							
		Bio-sludge management plan in place as part of the ASC requirements. Last revised February 2020. Big tree creek has 6 collection tanks that can hold 2500 litre each. Removal frequency is							
	standard v.1.3)	1-2 times per year. Dalrymple has 2 linked tanks with a capacity of 28.000 litres each and							
		emptied as production requires. Flow diagrams for the hatcheries were provided. Mowi	mnliant						
		confirm that sludge is removed. Hatcheries remove sludge to Renewable resources.							
	Applicability: All Smolt Producers Using Semi-Closed or Closed	natcheries remove sludge to keriewable resources.							
	Applicability. All sittote froudeets using setting used of closed				1	1		l	

Metric table Where the requirement is "None", please use 0 (zero) if requirement is met

Corresponds to ASC Salmon standard version 1.3

	2.1.1a Benthic Redox potential in sediment outside of the Allowable Zone of Effect (AZE) (in mV), following the sampling methodology outlined in Appendix I-1		Benthic Shannon-Wiener	Benthic Quality	Benthic Infaunal Trophic Index (ITI)	methodology (highly abundant taxa that are not pollution indicator species)	4.7.4 Benthic Evidence that copier levels are < 3.4 mg Cu/Ng dry sediment weight OR in instances where the Cu in the ediment exceeds 3.4 mg Cu/Ng dry sediment demonstration that the Cu concentration falls within the range of background concentrations as measured at three reference sites in the water body	\$2.2 Chemical the appointment Allowance for use of the appoint treatments that include antibiotics or chemicals that are banned in any of the primary salmon producing or importing countries	5.2.3 Chemicals/therapeutants Percentage of medication events that are prescribed by a veterinarian	5.2.11 Chemicals/therapeutants Allowance for prophylactic use of antimicrobial treatments
Requirement/ Site ID		≤3.3			≥ 25	≥70%	Yes		100%	None
0										

5.2.12	5.2.13	8.12	8.15	8.16	8.17	2.3.1	4.2.1	4.2.2a	4.2.2b	4.4.2c	3.4.1
chemicals/therapeutants	Chemicals/therapeutants	Chemicals/therapeutants	Chemicals/therapeutants	Chemicals/therapeutants	Chemicals/therapeutants	Feed	Feed	Feed	Feed	Feed	Mortality/survival/escapes
Allowance for use of antibiotics	Number of treatments of antibiotics	Percentage of fish that are	Allowance for use of therapeutic treatments	Number of treatments of antibiotics over	Allowance for use of antibiotics	Percentage of fines [18] in the feed	Fishmeal Forage Fish Dependency Ratio (FFDRm)	Fish Oil Forage Fish Dependency Ratio	Maximum amount of EPA and DHA	Percentage of soya or soya-derived	Maximum number of escapees i
listed as critically important for		vaccinated for selected diseases	that include antibiotics or chemicals that are			at point of entry to the farm	for grow-out (calculated using formulas in	(FFDRo) for grow-out (calculated using	from direct marine sources (calculated	ingredients in the feed that are certified	the most recent production cycl
human medicine by the world		that are known to present a	banned in any of the primary salmon			(calculated following methodology	Appendix IV- 1)	formulas in Appendix IV- 1)	according to Appendix IV-2) (in g/kg		
health organization		significant risk in the region and	producing or importing countries			in Appendix I-2) (by weight of the			feed)		
		for which an effective vaccine				feed)					
		exists.									
None		100%	Yes	≤3		< 1% by weight of the feed	< 1.2		(EPA + DHA) < 30 g/kg feed	100%	300
	<u> </u>										

5.1.3	5.1.4	5.1.5	5.1.6	8.6	2.5.1	3.4.2	5.4.1	8.7	8.13	3.1.7	2.2.1	2.2.2
Mortality/survival/escapes	Mortality/survival/escapes	Mortality/survival/escapes	Mortality/survival/escapes	Mortality/survival/escapes	other	other	other	other	other	Parasites	Water quality	Water quality
Percentage of dead fish removed	Percentage of mortalities that are	Maximum viral disease-related	Maximum unexplained mortality	Maximum number of escapees in the	Number of days in the production	Accuracy of the counting technology or	Evidence that all salmon	Accuracy of the counting	Percentage of smolt groups tested for	In areas of wild salmonids, maximum on-	Weekly average percent saturation of	Maximum percentage of weekly
and disposed of in a responsible	recorded, classified and receive a	mortality on farm during the most	rate from each of the previous two		cycle when acoustic deterrent	counting method used for calculating	on the site are a single	technology or	select diseases of regional concern	farm lice levels during sensitive periods for	dissolved oxygen (DO) on farm, calculated	samples from 2.2.1 that fall under
manner	post-mortem analysis		production cycles, for farms		devices (ADDs) or acoustic		year class (%)				following	mg/L DO
			with total mortality > 6% (of total		harassment devices (AHDs) were			calculating the number of fish	on farm		methodology in Appendix I-4	
			mortalities)		used			(%)		lice per farmed fish)		
100%	100%		≤ 40% of total mortalities		0		100%	≥98%	100%		≥ 70%	5%

8.4	8.26	2.5.2	2.5.5	3.2.3	3.3	6.6.1	6.2.2	6.5.1	6.7.1	6.8.2	6.2.1	6.3.1	6.4.2	6.9.1	6.10.1	7.3.1
Water quality	Water quality	Wildlife interactions	Wildlife interactions	Wildlife interactions	Nildlife interaction	Social	Social	Social	Social	Social	Social	Social	Social	Social	Social	Social
Maximum total amount of phosphorus (in kg/mt of fish produced	Minimum oxygen saturation	Number of mortalities of	Maximum number of lethal incidents on	Use of non-native species for sea	Use of transgenic	The percentage of workers	Percentage of young	Percentage of workers trained in	Percentage of	Percentage of grievances	Number of incidences of	Number of	Number of	Incidences of	Incidences, violations or	Changes undertaken
over a 12-month	in the outflow (Methodology	endangered or red-listed		lice control or on-farm	salmon by the	whose basic wage (before		health and		handled that are		incidences of		excessive or	abuse of working	restricting access to vital
period)	in Appendix VII - 2)	marine mammals or birds on		management purposes	farm	overtime and bonuses) is		safety practices, procedures and		addressed within a 90-		forced, bonded		abusive	hours and overtime laws	
released into the environment per metric ton (mt) of fish						below the		policies on a		day timeframe		or compulsory		disciplinary		
produced over a 12-month period (see Appendix VIII-1)						minimum wage		yearly basis				labour		actions		
4 kg/mt of fish produced over a 12-month period		0	< 9 lethal incidents, with no more than two of the incidents being marine mammals	None	None	0		100%		100%		None		None	None	

Summary of Standard Non Conformities (NC)

Standard: Salmon
Version: 1.3

NC Type	NC Totals
Major	0
Minor	0
Total	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code Indicator (CAB) Number	Indicator Text	Audit Evidence	Overall Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used Submitted/u sed	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
1																		

Correspond	to ASC Seriola & Cobia standard version 1.1														7			
													Proposed by UoC and	Proposed by UoC and accepted by and accepted by				
1			10.00	Description, justification and	D	Davidle C. Ma	Assurable Colo			Charles Company		004	accepted by CAB	CAB CAB			Non-deadh Car	
Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close- out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/used	Root cause analysis	NC correction NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
	Indicator: Documents demonstrating compliance with all relevant local and national laws and regulations																	
1.1.1	Requirement: Yes		Compliant															
	Applicability: All																	
	Indicator: Documents demonstrating compliance with																	
1.1.2	all tax laws		Compliant															
	Requirement: Yes Applicability: All																	
	Indicator: Documents demonstrating compliance with																	
442	all labour laws and regulations		Samuel Comp.															
1.1.3	Requirement: Yes Applicability: All		Compliant															
	Indicator: Documents demonstrating compliance with regulations and permits concerning water quality																	
	regulations and permits concerning water quality impacts																	
1.1.4	Requirement: Yes		Compliant															
	Applicability: All																	
	Indicator: TOC, sulphide, or redox levels in sediment						· <u> </u>				_							
	immediately outside of Allowable Zone Effect (AZE)(1) attributable to farm operations as evidenced by control																	
2.1.1	Requirement: No significant change in TOC, sulphide, or redox levels in sediment at the edge of the AZE in comparison to the control site		Compliant															
	Applicability: All farms except as noted in footnote 1. For farms that have yet to define an AZE, within 3 years from the publication of the Seriola and Cobia standards																	
	Indicator: Abundance of harmful (invasive or noxious) macrofauna immediately outside of AZE attributable to																	
	farm operations as evidenced by control Requirement: No significant change in harmful																	
2.1.2	Requirement: No significant change in harmful macrofauna at the edge of the AZE in comparison to the control site		Compliant															
	Applicability: All farms except as noted in footnote 1. For farms that have yet to define an AZE, within 3 years																	
	For farms that have yet to define an AZE, within 3 years from the publication of the Seriola and Cobia standards																	
	Indicator: Turbidity levels in the water column inside and outside AZE																	
	Requirement: No significant change (2) in turbidity																	
2.2.1	Requirement: No significant change (2) in turbidity levels in the water column at the edge of the AZE in comparison to the control site		Compliant															
	Applicability: All																	
	Indicator: Ammonia levels in the water column inside and outside AZE																	
2.2.2	Requirement: No significant change in ammonia levels in the water column at the edge of the AZE in comparison to the control site		Compliant															
	Applicability: All														ļ			
	Indicator: Evidence of an assessment of the farm's potential impacts on biodiversity and nearby ecosystems that contains at a minimum: a) identification of																	
	proximity to critical, sensitive or protected habitats and species, b) description of the potential impacts the farm might have on biodiversity, with a focus on affected																	
224	might have on biodiversity, with a focus on affected habitats or species, and c) a description of strategies and current and future programs underway to eliminate or		Compliant															
2.3.1	current and future programs underway to eliminate or minimize any identified impacts the farm might have		Compliant															
	Requirement: Yes																	
	Applicability: All																	
	Indicator: Allowance for the farm to be sited in a legally																	
2.3.2	designated protected area (3)		Compliant															
	Requirement: None (see note above) (4)																	
	Applicability: All Indicator: Acoustic deterrent devices allowed																	
2.4.1	Requirement: None		Compliant															
1.4.1	Applicability: All		Somprount															
	Indicator: Number of mortalities (5) of endangered or red-listed (6) animals in the farm lease area and																	
	red-listed (6) animals in the farm lease area and adjacent areas due to farm operations or personnel or associates																	
2.4.2	Requirement: 0		Compliant															
	Applicability: All																	
					1	1							+	 	 	1	-	

	Indicator: Evidence that the following steps were taken prior to lethal action (7) against a (non-endangered or non red- sized) predstor: 1. All other avenues were pursued prior to using lethal action. 2. Approval was given from a senior manager above the farm manager	Compliant						
	Requirement: Yes, unless human safety is immediately threatened Applicability: All							
2.4.4	indicator. Evidence that information about any lethal indident on the farm has been: 1. Reported to the appropriate government oversight agency. 2. Made easily publicly accessible Requirement: Yes Applicability: All	Compliant						
	Indicator: Maximum number of lethal incidents (8) on farm over the prior two years Requirement: For hirds: A lethal incidents							
	For sharks: 2 lethal incidents. For marine mammals: 1 lethal incident Applicability: All Indicator: In the event of any lethal incident, evidence	Compliant						
	that an assessment of the probability of lethal incident(s) has been undertaken and demonstration of concrete steps taken by the farm to reduce the risk of future incidence. Requirement: Yes	Compliant						
3.1.1	Applicability: All Indicator. Culture of a non-native species Requirement: None, unless commercial (0) farming of the species strudy occurs in the region at time of the first publication of the SCAI stateback, or a closed tank based production (10) system with minimal (13) risk of recapes and/or yet pet and pathogen transfer to wild populations is used.	Compliant						
3.2.1	Applicability: All Indicator: Culture of transgenic fish by the farm Requirement: None Applicability: All	Compliant						
3.3.1	Indicator: For all full, the operation must have an established plan related to escape management, and adhere to figorous mainterance procedures and frequent net impections Requirement: Yes Applicability: All	Compliant						
	Indicator: Operations will undertake and maintain detailed records on fish escapes and counting. This will include records of bearches in nets, stimulate on escapes and stocked vs. recovered fish counts. Note: farms will also include technology and methodology for undertaking fish counts. Requirement: Yes	Compliant						
3.3.3	Applicability: All indicator. For reflectively bred stock (12) or for non-selectively bred stock on from local sources (13) or for wild fringerings not from local sources more than 2 escape events of 30% (cumulative total fish not recovered) over 2 years (14). Requirement: No	Compliant						
3.3.4	Applicability: All indicator: All excape events of farmed Seriola or Cobia are reported to the pertinent regulatory agency Requirement: Yes Applicability: All	Compliant						
	Indicator: Evidence that purchased or collected wild fingerlings are harvested from a source fishery with a public fishery sussement, for example FirstSource or is in a credible fishery improvement process (FP) towards an SCAL compliant fisheries sostainability certification scheme. Requirement: Yes	Compliant						
3.4.2	Applicability: All Indicator: Traceability of wild or hatchery purchased or collected fingerlings to their source Requirement: Yes	Compliant						
	Applicability: All							

4.1.1	Indicator: Evidence of traceability, demonstrated by the feed producer, of fishmeal and fish oil ingredients (15) Requirement: Yes Applicability: All	Compliant						
4.2.1	Indicators - I's fibreal Forage Fail Dependency Ratio (FFDRm) and Fail Old Forage Fail Dependency Ratio (FFDRm) and Fail Old Forage Fail Dependency Ratio (FFDRm) for Fail Old Fai	Compliant						
4.2.2	Indicator: Use of wet feed and moist pellets Requirement: Must be sourced from the same ecosystem as the farm Applicability: All	Compliant						
	Indicator. Timeframe for at least 90% filtered or find outsel nife eta to come from fisheries (1) Coeffied under an ISSA member's accordated certification whose parmay goal to formore ecological standardility. Requirement. Within 5 years following the date of the publication of the SCAO standards.	Compliant						
4.3.2	indicator. Prior to achieving 4.3.1, the full meal or fish oil outset in feed must have a FishSource score of 6.0 used in feed must have a FishSource score of 6.0 migher, plus (and) an 8 in the blomass category or show evidence of being engaged in a credible and time bound fisheries improvement project (FIP) Requirement. At least 80% of the 6th meal and fish oil used in feed (excluding fishmeal and oil from by- products) must meet this criteria.	Compliant						
433	Indicator: Feed containing fishmeal and/or fish oil originating from by-products (18) or trimmings from fish species which are categorized as vulnerable, endangered or critically endangered as condition to the IUCN Red List of Threatened Species (19) Requirement: None Applicability: All	Compliant						
	Indicator: Feed ingredients which come from other fish from the same genus Requirement: None Applicability: All	Compliant						
4.4.1	Indicator: Presence and evidence of traceability and a responsible sourcing policy for the feed manufacturer for feed ingredients which comply with internationally recognized monatoriums and local laws (20) Requirement: Yes Applicability: All	Compliant						
4.4.2	indicator: Documentation of the use of transgenic (2:1) plant raw material, or raw materials derived from genetically modified plants, in the feed Requirement: Yes Applicability: All	Compliant						
4.4.3	indication: Percent of non-marine ingredients from source certified by SEAL Member's certification scheme that addresse environmental and social susufariability. Requirement: 80% for soy and palm oil within 5 years from the date of the publication of the Seriola and Cobia standard v1.1 Applicability. All	Compliant						
	indicator: Commitment to participate in an Area-Based Management (ABM) scheme Requirement: The farm participates in an ABM, where it cets, for managing disease and resistance to treatments Applicability: All	Compliant						
5.1.2	Indicator: A demonstrated commitment (22) to collaborate with NGOs, scademics and governments on reaso of mutually speed research to measure possible impacts of petits or parasites on wild stocks Requirement. Yes Applicability: All	Compliant						

5.1.3	Indicator: On-farm testing for ectoparasites, with test results made easily publicly available (23) Requirement: Yes, with results made easily publicly available within seven days of testing Applicability: All	Compliant							
5.2.1	indicator: Use of therapeutic treatments that are banned by law under the local prindiction or listed as critically important for human medicine by the World Health Organization (24) Requirement: Not permitted Applicability. All	Compliant							
5.2.2	Applicability: All	Compliant							
5.2.3	Indicator: Farms have a comprehensive fish health management plan approved by the farm's designated veterinarian that includes either a) vaccination against disease: that present a risk is the region and for which an effective and commercially shallly excure exists, or b) veterinarian-approved afternative fish health management strategies. Requirement: Yes AppRicability: All	Compliant							
5.2.4	Indicator: Allowable farm level anti-parasiticide treatment not including freshwater, formádehyde (25) or hydrogen peroxide Requirement: None (26) Applicability: All	Compliant							
5.3.1	Indicator: Weekly average percent dissolved oxygen (OO) saturation of arm, calculated in the following methodology Requirement: >70% saturation (27) Requi	Compliant							
5.3.2	Indicator. Maximum percentage of weekly samples from 5.3.1 that fall under 70%. Requirement: A farms. Exception can be granted to farms that do not conform to >70% saturation where it can be demonstrated that farm size Do readings are consistent with face of a reference 5.	Compliant							
6.1.1	Indicator: Number of incidences of child labour Requirement: None Applicability: All Indicator: Percentage of young workers that are	Compliant							
6.1.2	Requirement: 100%. Applicability: All indicator. Number of Incidents where employers	Compliant							
6.2.1	withhold any part of employee salary, property, or benefits upon termination of employment Requirement: None Applicability: All Indicator: Number of incidents where employees are	Compliant							
6.2.2	required to surrender original identity documents upon commencing employment (except as required for processing of legal documentation) Requirement: None Applicability: All	Compliant							
6.3.1	Indicator. Evidence of comprehensive and pro-active authorized production produces procedure, and practices including, but not limited to, discrimination in the wortplace and equal access to all point investion to gender, age, race, religion, creed, caste, or sexual crientation. Requirement: Yes Applicability: All	Compliant							
6.3.2	Indicator: Number of confirmed incidences of discrimination Requirement: None Applicability: All Indicator: Equality of pay, benefits and promotion	Compliant							
6.3.3	opportunities for all employees independent of gender, age, race, religion, creed, caste or sexual orientation	Compliant							

	Indicator: Number of incidents where employer dismisses an employee on the basis of marital status or pregnancy or deny employee legal rights to pregnancy or maternity leave								
6.3.4	Requirement: None Applicability: All	Compliant							
6.4.1	Indicator - Percentage of employees trained in health and safety practices, procedures and policies relevant to the job Requirement: 100% for operations above five employees (28)	Compliant							
	employees (28) Applicability: All Indicator: Safety equipment (Personal Protective Equipment, PPE) provided and maintained and in use								
6.4.2	Requirement: Yes Applicability: All	Compliant							
6.4.3	Indicator: All health and safety related accidents and violations are recorded and corrective actions taken when necessary Requirement: Yes Applicability: All	Compliant							
6.4.4	Requirement: None	Compliant							
6.5.1	Applicability: All Indicator: Percentage of workers whose basic wage (29) (before overtime and bonuses) is below the minimum wage (30) Requirement: 0%	Compliant							
6.5.2	Applicability: All Indicator: The percentage of workers whose basic wage (before overtime and bonuses) is below the basic needs wage (31) 5 years after adoption of the standard	Compliant							
0.5.2	Requirement: 0% Applicability: All Indicator: Evidence of transparency in wage-setting and rendering								
6.5.3	Applicability: All Indicator: Percentage of employees with access to trade	Compliant							
6.6.1	unions, worker organizations, and/or the ability to self- organize as well as the ability to bargain collectively or access the representative(s) chosen by workers without	Compliant							
6.6.2	Applicability: All	Compliant							
6.7.1	Applicability: All	Compliant							
6.7.2	Indicator: Evidence of clear, fair and transparent disciplinary procedures documented and communicated to employees Requirement: Yes Applicability: All	Compliant							
6.7.3	Indicator: Evidence that incidences of harassment are recorded and addressed with corrective actions Requirement: 100% Applicability: All	Compliant							
6.8.1	Indicator: Incidences, violations or abuse of working hours or overtime laws Requirement: None Applicability: All	Compliant							
6.8.2	Indicator: Overtime is limited, voluntary, paid at a premium rate and restricted to exceptional circumstance. Requirement: Yes Applicability: All farms unless exempted	Compliant							
	The state of the s			1 1		<u> </u>		l	

	Indicator: Percentage of workers who have contracts or other written employment agreements							
6.9.1	Requirement: 100%	Compliant						
	Applicability: All							
	Indicator: Evidence of a policy to ensure social							
	compliance of its suppliers and contractors when operating on the farm site.							
	Requirement: Yes	Compliant						
	Applicability: All							
6.10.1	Indicator: Evidence of worker access to effective, fair and confidential grievance procedures							
	Requirement: Yes	Compliant						
	Applicability: All							
	Indicator: Percentage of grievances handled that are addressed (33) within a 90-day timeframe							
	Requirement: 100%	Compliant						
	Applicability: All							
6.11.1	Indicator: Farm employees have access to clean, sanitary, safe and suitable living conditions							
	Requirement: Yes	Compliant						
	Applicability: All							
6.11.2	Indicator: Existence of separate sanitary and toilet facilities for men and women; with the exception of							
	work sites with fewer than 10 employees or where married couples are working and accommodated							
	together	Compliant						
	Requirement: Yes Applicability: All farms and accommodation and							
	worksites except as permitted exclusions (6.11.2a)							
7.1.1	Indicator: Evidence of regular and meaningful consultation (34) and engagement with community representatives and organizations							
	representatives and organizations	Compliant						
	Applicability: All							
	Indicator: Presence and evidence of an effective (35)							
7.1.2	policy and mechanism for the presentation, treatment and resolution of complaints by community stakeholders							
	and organizations Requirement: Yes	Compliant						
	Applicability: All							
	Indicator: Local groups consulted during project design							
7.2.1	and operation							
	Requirement: At least 2x per year or as required by relevant local and/or national laws and regulations	Compliant						
	Applicability: All							
7.3.1	Indicator: Changes undertaken restricting access to vital community resources without community approval							
	Requirement: 0	Compliant						
	Applicability: All							
	Indicator: Assessments of company's impact on access							
7.3.2	to resources	Samuella ai						
	Requirement: At least once per year Applicability: All	Compliant						
	Applications y 2-201							

Metric table Where the requirement is "None", please use 0 (zero) if requirement is met

Corresponds to ASC Seriola & Cobia standard version 1.1

Indicator No	5.2.4	4.2.1a		4.2.1c	4.2.1d	4.2.1e	4.2.1f		4.2.1h	4.2.1i		4.2.1k		4.2.1m	4.2.1n
Impact Category	Chemicals/therapeutants	Feed	Feed	Feed	Feed	Feed	Feed	Feed	Feed	Feed	Feed	Feed	Feed	Feed	Feed
	Allowable farm level anti-parasiticide treatment, not										(b) FFDRm Cobia	(b) FFDRm Cobia	(b) FFDRo Cobia	(b) FFDRo Cobia	(b) FFDRo Cobia
	including freshwater, formaldehyde or hydrogen	Dependency Ratio (Dependency Ratio (Dependency Ratio (Dependency Ratio (Dependency Ratio	Ratio (FFDRo) for Seriola	Dependency Ratio	Dependency Ratio	(calculated using formulae					
	peroxide	FFDRm) for Seriola	FFDRm) for Seriola	FFDRm) for Seriola	FFDRm) for Seriola	(FFDRo) for Seriola	(calculated using formulae in		(FFDRo) for Seriola	in Appendix 1)	in Appendix 1) (3 Years)	in Appendix 1) (6 Years)	in Appendix 1)	in Appendix 1) (3 Years)	in Appendix 1) (6 Years)
Indicator Tout		(calculated using formulae	(calculated using formulae	(calculated using formulae	(calculated using formulae	(calculated using formulae	Appendix 1). Hamachi (S.	(calculated using formulae	(calculated using formulae						
indicator Text		in Appendix 1). Kampachi	in Appendix 1). Hamachi	in Appendix 1). Hamachi	in Appendix 1). Hamachi	in Appendix 1). Kampachi	quinqueradiata).NOW	in Appendix 1). Hamachi	in Appendix 1). Hamachi						
		(S. rivoliana, S. dumerili).	(S. quinqueradiata).	(S. quinqueradiata). (3	(S. quinqueradiata). (6	(S. rivoliana, S. dumerili).		(S. quinqueradiata). (3	(S. quinqueradiata). (6						
				Years)	Years)			Years)	Years)						
Requirement/															
Site ID															
0															
_															

4.3.2	4.3.3	4.3.4	4.4.3	2.3.2	3.2.1	5.3.1	5.3.2	2.4.1	2.4.2	2.4.5a	2.4.5b	2.4.5c	3.1.1	6.1.2
Feed	Feed	Feed	Feed	other	Parasites	Water quality	Water quality	Wildlife interactions	Wildlife interactions	Wildlife interactions	Wildlife interactions	Wildlife interactions	Wildlife interactions	Social
	Feed containing fishmeal and/or fish oil originating				Culture of transgenic fish	Weekly average percent	Maximum percentage of	Acoustic deterrent devices						Percentage of young
the fishmeal or fish oil	from by-products or trimmings from fish species which	come from other fish from	sources certified by an ISEAL Member's	be sited in a legally		dissolved oxygen (DO)	weekly samples from	allowed	of endangered or red-	lethal incidents for Birds	lethal incidents for Sharks	lethal incidents for	species	workers that are
used in feed must have a	are categorized as vulnerable, endangered or critically	the same genus		designated protected area		saturation on farm,	5.3.1 that fall under 70%.				on farm	Mammals		protected
fishSource score of 6.0 or	endangered, according to the IUCN Red List of		environmental and social sustainability. For			calculated in the following				over the prior two years.	over the prior two years.	on farm		
higher, plus (and) an 8 in	Threatened Species		soy and palm oil within 5 years			methodology.			area and adjacent areas			over the prior two years.		
the biomass category.			from the date of the publication of the ASC											
									operations or personnel					
		1		1		1	1	1			1			

6.6.1	6.4.1		6.9.1	6.10.2	6.1.1	6.2.1	6.2.2	6.3.2	6.3.4	6.6.2	6.7.1		6.5.1	6.5.2
Social	Social	Social	Social	Social	Social	Social	Social	Social	Social	Social	Social	Social	Social	Social
Percentage of employees with access to trade unions, worker organizations, and/or the ability to self-organize as well as the ability to bargain collectively or access the representative(s) chosen by workers without management	trained in health and safety practices, procedures and policies relevant to the job (for operations above 5	allegations of harassment are recorded and	workers who have contracts or other written		Number of incidences of child labour	employers withhold any part of employee salary, property, or		incidences of	Number of incidents where employer dismisses an employee on the basis of marital status or pregnancy or deny employee legal rights to pregnancy or maternity leave.	of unions or worker organizations being discriminated against.	or abusive disciplinary Actions.		wage (before overtime and bonuses) is	basic wage
interference.			ogreements.			спроупенс	legal documentation).							

7.3.1	7.3.2	7.2.1
Social	Social	Social
Changes undertaken restricting access to vital community resources without community approval	Assessments of company's impact on access to resources	Local groups consulted during project design and operation

Major Minor Total Standard: Salmon 1.3 Version:

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automa

NC Cod (CAB)	e Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/u sed	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes	
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														Proposed by UoC		7			
				Description									Proposed by UoC and accepted by CAB	CAB	Proposed by UoC and accepted by CAB				
Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted		VR used	Q&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
	Indicator: Documents demonstrating			evaluation decision						VR									
	compliance with all relevant local and national laws and regulations																		
1.1.1	Requirement: Yes		Compliant																
	Applicability: All																		
	Applicability: All Indicator: Documents demonstrating compliance with all tax laws																		
1.1.2	Requirement: Yes		Compliant																
	Applicability: All Indicator: Documents demonstrating																		
	compliance with all labour laws and regulations																		
1.1.3	Requirement: Yes		Compliant																
	Applicability: All Indicator: Documents demonstrating																		
	Indicator: Documents demonstrating compliance with regulations and permits concerning water quality impacts																		
1.1.4	Requirement: Yes		Compliant																
	Applicability: All Indicator: Redox potential or total 'free'																		
	sulphide levels in sediment immediately outside of the Allowable Zone of Effect (AZE)																		
	(1) attributed to farm operations																		
2.1.1	Requirement: Redox potential > 0 millivolts (mV)		Compliant																
	OR Sulphide ≤ 1,500 micromoles/I OR No significant difference (2) in redox potential or																		
	total 'free' sulphide levels in sediment at the edge of the AZE in comparison to control sites																		
	Applicability: All farms Indicator: Benthic faunal index score (choosing																		
	a																		
	suitable benthic index to the composition of the																		
	benthos being sampled) Requirement: AZTI Marine Biotic Index (AMBI)																		
2.1.2	(3) score ≤ 3.3, or Shannon-Wiener Index score > 3, or		Compliant																
	Benthic Quality Index (BQI) score ≥ 15, or Infaunal Trophic Index (ITI) score ≥ 25 or																		
	BENTIX (4) score ≥ 3.5 or No significant change in benthic faunal index																		
	scores at the edge of the AZE in comparison to control site																		
	Indicator: For farms that use copper nets or																		
	copper-treated nets, evidence of testing for copper levels in the sediment immediately outside of the AZE																		
	Requirement: Yes																		
2.1.3	Applicability: All farms that use copper or		Compliant																
	copper treated nets																		
	Indicator: Evidence that copper levels (5) are <																		
	34 mg Cu/kg dry sediment weight OR																		
	In instances where the Cu in the sediment exceeds 34 mg Cu/kg dry sediment weight, demonstration that the Cu concentration is not																		
	significantly different compared to background concentrations as measured at three reference																		
2.1.4	sites in the water body		Compliant																
	Requirement: Yes																		
	Applicability: All farms that use copper or copper treated nets																		
	Indicator: Weekly average percent saturation								1			1					1		
	(6) of dissolved oxygen (DO) on farm (Appendix 1-2 of the Seabass, Seabream & Meagre																		
2.2.1	Standard v.1.1)		Compliant																
	Requirement: ≥70% (7)																		
	Applicability: All farms Indicator: Maximum percentage of weekly samples (8) from 2.2.1 that fall under 2 mg/litre								1			1				1	+		
	DO (Appendix of the Seabass, Seabream &																		
2.2.2	Meagre standard v1.1)		Compliant																
	Requirement: 5%																		
	Applicability: All Indicator: Quarterly monitoring of TAN, NO3, and TP levels on the farm and at a reference								†								1		
	site (9) (Appendix of the Seabass, Seabream & Meagre standard v1.1)																		
2.2.3	Requirement: Yes		Compliant																
	Applicability: All farms								<u></u>										
		·		· · · · · · · · · · · · · · · · · · ·	·	·				_			·		· · · · · · · · · · · · · · · · · · ·	·	·		_

	Indicator: Evidence that the type of biocides														
2.2.4	Indicator: Evidence that the type of biocides used in net antifouling are approved according to legislation in the European Union, the United States, Australia, or Japan					'		1							ı
2.2.4	Requirement: Yes		Compliant			'		1							ı
	Applicability: All farms Indicator: The farm shall assess the farm's			4 + + + + + + + + + + + + + + + + + + +			+					 		 	
	(potential) impacts on biodiversity and nearby ecosystems that contains at a minimum the components outlined in the Appendix of the Seabass, Seabream & Meagre standard v1.1)					'		1							ı
2.3.1			Compliant			'		1							1
	Requirement: Yes					'		1							ı
	Applicability: All Indicator: Allowance for the farm to be sited in a protected area (10) or High Conservation				1										1
2.3.2	Value Areas (11) (HCVAs) Requirement: None (12)		Compliant			'		1							ı
	Applicability: All														
	Indicator: Allowance for the farm to be sited closer than 500 meters to a seagrass meadow(s) (13) measure from the edge of the					'		1							ı
2.3.3	AZE		Compliant			'		1							ı
	Requirement: None Applicability: All									[<u></u>				ı
	Applicability: All Indicator: Use of submerged acoustic deterrent devices				T	T '		_		_		Γ		<u> </u>	
2.4.1	Requirement: Not allowed		Compliant			'		1							ı
	Applicability: All Indicator: Number of mortalities (14) of endangered or red-listed (14) animals in the				+	+									1
	farm lease area and adjacent areas due to farm operations, personnel or associates over the					'		1							ı
2.4.2	previous 2 years Requirement: 0		Compliant			'		1							ı
						<u> </u>									
	Applicability: All Indicator: Allowance for intentional lethal action against predators/wildlife on the farm site					'		I							ı
2.4.3	Requirement: None, unless human safety is immediately threatened		Compliant			'		I							ı
	Applicability: All														L
	Indicator: All lethal incidents are recorded and categorized (15) and reported to ASC				T	T '		_		_	_	Γ			
2.4.4	Requirement: Yes		Compliant					[ı
	Applicability: All Indicator: In the event of any lethal incident, evidence that an assessment of the probability				+	+		\longrightarrow							
	of lethal incident(s) has been undertaken and demonstration of concrete steps taken by the farm to reduce the risk of future incidences					'		I							ı
2.4.5	farm to reduce the risk of future incidences Requirement: Yes		Compliant			'		I							ı
	Applicability: All Indicator: Culture of a non-native species (16)					<u> </u>	1					<u> </u>			
						'		1							ı
3.1.1	Requirement: None, unless the farmed species is ecologically established (17) in the region at time of publication of the of the Seabass, Seabream & Meagre standard v1.1		Compliant			'		I							ı
	Applicability: All Indicator: Culture of transgenic (18) fish					<u> </u>	1					<u> </u>			
3.2.1	Requirement: Not permitted		Compliant			'		1							ı
	Applicability: All Indicator: Evidence of a well-designed,				+		+			\vdash		 		\vdash	1
	maintained and managed culture system, infrastructure and farm management (19) to minimize escapes during grow- out and harvest	4				'		1							ı
3.3.1	Requirement: Yes		Compliant			'		[ı
	Applicability: All Indicator: The farm shall count all fish at every stocking, grading and harvest event with a				+		+			\vdash		 		\vdash	1
3.3.2	stocking, grading and harvest event with a counting accuracy of ≥98%		Compliant			'		1							ı
	Requirement: Yes					'		1							ı
	Applicability: All Indicator: Total amount of known escapes (21) allowed per production cycle				+	 									1
3.3.3	Requirement: 4% (22) of stocked count based		Compliant			'		1							ı
	on ≥98% counting accuracy Applicability: All Indicator: Total amount of unexplained loss														L
	(23) per production cycle					'		1							ı
3.3.4	Requirement: 2% of stocked count based on 298% counting accuracy		Compliant			'		1							ı
	Applicability: All Indicator: Number of known escapes and unexplained losses are documented and made						1			 					i
	unexplained losses are documented and made public as well as reported to ASC on an annual basis					'		1							I
3.3.5	Requirement: Yes		Compliant			'		1							ı
	Applicability: All											<u></u>			
1															

	Indicator: Source of fingerlings		T				
3.4.1	Requirement: Hatchery only	Compliant					
	Applicability: All Indicator: Traceability of all hatchery purchased fingerlings to their source						
	Indicator: Traceability of all hatchery purchased fingerlings to their source						
3.4.2	Requirement: Yes	Compliant					
	Applicability: All						
	Indicator: The fingerling supplier has a documented fish health and bio-security protocol or a comparable 3rd party certificate						
3.4.3		Compliant					
	Requirement: Yes						
	Applicability: All						
	Applicationty: All filediators the receiving facility (24) has a documented bio-security protocol, including quarantining, with respect to purchased fingerlings						
	quarantining, with respect to purchased						
3.4.4		Compliant					
	Requirement: Yes						
	Applicability: All Indicator: All trans-national imported						
	Indicator: All trans-national imported fingerlings must be accompanied by documentation required by importing countries						
	documentation required by importing countries (e.g. health certificate)						
3.4.5		Compliant					
	Requirement: Yes						
	Applicability: All						
	Indicator: Evidence of traceability, demonstrated by the feed producer, of all fishmeal and fish oil ingredients (25)						
4.1.1	fishmeal and fish oil ingredients (25)	Compliant					
	Requirement: Yes						
	Applicability: All Indicator: Fishmeal Forage Fish Dependency						
	Indicator: Fishmeal Forage Fish Dependency Ratio (FFDRm) for grow-out (raigulated using						
	Ratio (FFDRm) for grow-out (calculated using formulas in the Appendix of the Seabass,						
	Seabream & Meagre standard v1.1						
4.2.1	Requirement: (a)Dicentrarchus labrax and						
4.2.1	Sparus aurata: ≤ 1.85 (b) Argyrosomus regius: ≤ 2.75, ≤ 2.5 (3 years (26)), ≤ 2.35 (6 years (27))	Compliant					
	≤ 2.75, ≤ 2.5 (3 years (26)), ≤ 2.35 (6 years (27))						
	(c) Pagrus major (27): ≤ 4.5, ≤ 3.5 (3 years (27)), ≤ 2.5 (6 years (27))						
	Applicability: All						
	Applicability: All Indicator: Fish Oil Forage Fish Dependency Fatio (FFDRs) for grow-out (calculated using formulas in the Appendix of the Seabass,						
	formulas in the Appendix of the Seabass,						
4.2.2	Seabream & Meagre standard v1.1)	Compliant					
	Requirement: All species ≤ 3, ≤ 2.95 (3 years (28)), ≤ 2.9 (6 years (28))						
	Applicability: All Indicator: Timeframe for at least 90% fishmeal						
	or fish all used in feed to come from fisheries						
	(29) certified under an ISEAL member's accredited certification whose primary goal is						
	to promote ecological sustainability						
	Requirement: < 5 years after the date of						
	Requirement: < 5 years after the date of publication of the Sea Bass/Sea Bream standards						
4.3.1	NOTE: In November 2016 ASC published an Interim Solution for ASC Marine Feed	Compliant					
	NOTE: In November 2016 ASC published an Intel®m Solution for ASC Marine Feed Ingredients, which will replace inclinators 4.3.1 and 4.3.2 of this Standard. This solution applies to all ASC'S Standards, which have indictors for all ASC'S Standards, which have indictors for the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of the control of						
	to all ASC's Standards, which have indicators for						
	marine raw material origin, including this ASC seabas, Seabream, and Meagre Standard. This interim solution will apply until the ASC Feed Standard will be available or until further						
	interim solution will apply until the ASC Feed						
	Standard will be available or until further official and public notice by ASC						
	Applicability: All						
	Applicability: All Indicator: Prior to achieving 4.3.1 the fishmeal or fish oil used in feed must have a FishSource						
	stock health score of 6.0 or higher or show						
	evidence of being engaged in a credible and time bound fisheries improvement project (FIP)						
4.3.2	Requirement: All stock health scores ≥ 6	Compliant					
	Requirement: All stock health scores ≥ 6 (see note above)						
	Applicability: All						
	Applicability: All Indicator: Feed containing fishmeal and/or fish						
	oil originating from by-products (30) or trimmings from fish species which are						
	categorized as vulnerable, endangered or critically endangered, according to the IUCN						
4.3.3	critically endangered, according to the IUCN Red List of Threatened Species (31)	Compliant					
	Requirement: None						
	Applicability: All Indicator: Feed ingredients which come from						
	Indicator: Feed ingredients which come from other fish from the same genus						
4.3.4	Requirement: None	Compliant					
	Indicator: Presence and evidence of						
	Applicability: All Indicator: Presence and evidence of traceability and a responsible sourcing policy traceability and a responsible sourcing policy for the feed manufacturer for feed ingredients which comply with internationally recognized						
	which comply with internationally recognized						
4.4.1	moratoriums and local laws (32)	Compliant					
	Requirement: Yes						
	Applicability: All						

4.4.2	Indicator: Documentation of the use of transgenic (33) plant raw materials, or raw materials derived from genetically modified plants, in the feed Requirement: Yes Applicability: All	Compliant						
4.4.3	Applicability. All indicators Present of non-marine ingredients from source certified by an SEAL Member's certification scheme that addresses environmental and social sustainability. Requirement SDN for soy and paim oil within 5 years following the date of the publication of the of the Seabasis, Seabream & Meagre standard vi.l. 1. Asolicability. All	Compliant						
4.5.1	Applicability: All Indicator: Evidence that non-biological waste (including net pens) from grow-out site is either disposed of properly or recycled Requirement: Yes	Compliant						
4.5.2	Applicability: All Indicator: Evidence of appropriate storage and/or disposal of biological waste Requirement: Yes Applicability: All	Compliant						
4.5.3	Indicator: Evidence of appropriate storage and/or disposal of chemical and hydrocarbon wastes Requirement: Yes	Compliant						
4.5.4	Applicability: All Indicator: Spill prevention and response plan for chemicals/hydrocarbons originating from farming operations Requirement: Yes	Compliant						
4.5.5	Applicability: All Indicator: For any farm that cleans nets on- land, evidence that net-cleaning sites have effluent treatment (33) Requirement: Yes	Compliant						
4.6.1	Applicability. All indicators Presence of an energy use assessment verifying the energy consumption on the farm and representing the production cycle, as outlined in Appendix 3 of the Seabass, Seabream & Meage Standard v.1.1 Requirement. Within two years of the initial audit (measured in kilojoule/t fish/production cycle)	Compliant						
4.6.2	Applicability. All indicators. Records of preenhouse gas (GHG (34)) emissions (35) on farm and evidence of an annual GHG assessment, as outland in the Appendix of the Seabass, Seabream & Meagre standard v.l.1. Requirement: Yes, within two years of the initial audit	Compliant						
4.6.3	Applicability. All Indicators. Documentation of GHG emissions of the feed (36) used during the previous production cycle reported to AS _c , so utilined in the Appendix of the Seabass, Seabream & Meagre standard vt.1. Requirement: Yes, within three years of the initial audit	Compliant						
4.6.4	Applicability. All indicator. Evidence of a documented strategy to reduce Gif4G per unit of production (measured in kilojoule/t fish produced) Requirement: Yes, within three years of the initial audit	Compliant						
5.1.1	Applicability: All Indicator: Evidence of a veterinary approved Fish Health Management Plan (FHMP) (37) Requirement: Yes Applicability: All	Compliant						
5.1.2	Applicability: All Indicator: Farm maintains a fish health management record keeping system Requirement: Yes Applicability: All Indicator: Use of therapeutic treatments that	Compliant						
5.2.1	are listed as critically important for human medicine by the World Health Organization (38, 39) Requirement: Not permitted.	Compliant						
5.2.2	Applicability: All Indicator: Prophylactic use of chemical antimicrobial treatments. Requirement: Not permitted Applicability: All	Compliant						

5.2.3	Indicator: The farm shall document (40)all chemicals (41) and therapeutants used during the most recent production cycle Requirement: Yes	Compliant						
5.2.4	Applicability: All indicator: Number of anti-parasiticide treatments (42) allowed over the most recent production cycle, including the hatchery Requirement: 1 Applicability: All	Compliant						
5.2.5	Indicator: Number of treatments (43) of antibiotics over the most recent production cycle, including the hatchery Requirement: ≤ 3	Compliant						
5.3.1	Applicability: All Indicator: All recovered mortalities are removed and disposed of in a responsible manner Requirement: Yes Applicability: All	Compliant						
	Applicability: All indicator: Classification of mortalities Requirement: All recovered mortalities are recorded and classified by cause of death Applicability: All	Compliant						
5.3.3	Indicator: When unexplained mortalities exceed 20.5%; per day, samples are submitted for analysis by a veterinarian or designated fish health expert Requirement: Yes	Compliant						
5.3.4	Assistability All Indicators to farm specific mortalities reduction program that includes defined annual surpeits for reductions in mortalities and reductions in unexplained mortalities. Requirement: Yes	Compliant						
6.1.1	Applicability. All indicators: Evidence that workers have access to trade unions (if they exist) and union representative(s) chosen by themselves without manageral interference. Requirement: Yes Applicability: All	Compliant						
6.1.2	Indicator: Evidence that workers are free to form organizations, including unions, to advocate for and protect their rights Requirement: Yes Applicability: All Indicator: Evidence that workers are free and	Compliant						
6.1.3	able to bargain collectively for their rights Requirement: Yes Applicability: All	Compliant						
62.1	indicators: Number of incidences of child (44) indicators: Number of incidences of child (44) indicators: Number of incidences of child (44) indicators: Number of incidences of inciden	Compliant						
6.2.2	that are protected (47) Requirement: 100%	Compliant						
6.3.1	Applicability: All Indicator: Number of incidences of forced (48), bonded (49) or compulsory labour Requirement: None Applicability: All Indicators: Editions of comprehensive (50) and	Compliant						
6.4.1	Applicability. All indicator: Evidence of comprehensive (50) and proactive anti-discrimination policies, procedures and practices Requirement: Yes Applicability: All indicator: Number of incidences of	Compliant						
6.4.2	Indicator: Number of incidences of discrimination Requirement: None Applicability: All indicator: Percentage of workers trained in health and safety practices, procedures (51)	Compliant						
6.5.1	molector: Peterlage or workers trained in health and safety practices, procedures (51) and policies on a yearly basis Requirement: 100% Applicability: All Indicator: Evidence that workers use Personal	Compliant						
6.5.2	Indicator: Evidence that workers use Personal Protective Equipment (PPE) effectively Requirement: Yes Apolicability: All	Compliant						

	Indicator: Presence of a health and safety risk assessment and evidence of preventive actions							
6.5.3	assessment and evidence of preventive actions taken	Compliant						
	Requirement: Yes							
	Applicability: All Indicator: Evidence that all health- and safety- related accidents and violations are recorded and corrective actions are taken when							
6.5.4	and corrective actions are taken when necessary	Compliant						
	Requirement: Yes	,						
	Applicability: All Indicator: Evidence of employer responsibility and/or proof of insurance (accident or injury)							
	and/or proof of insurance (accident or injury) for 100% of worker costs in a job-related accident or injury when not covered under							
6.5.5	national law	Compliant						
	Requirement: Yes Applicability: All							
	Applicability: All indicators: Evidence that all diving operations are conducted in a manner that protects the health and safety of divers							
6.5.6	(52)	Compliant						
	Requirement: Yes Apolicability: All							
	Applicability: All Indicator: The percentage of workers whose basic wage (53) (before overtime and bonuses)							
6.6.1	is below the minimum wage (54)	Compliant						
	Requirement: 0 (None) Applicability: All							
	Applicability: All Indicator: Evidence that the employer is working toward the payment of basic needs wage (55)							
6.6.2	wage (55) Requirement: Yes	Compliant						
	Applicability: All							
	Indicator: Evidence of transparency in wage- setting and rendering (56)							
6.6.3	Requirement: Yes	Compliant						
	Applicability: All Indicator: Percentage of workers who have							
6.7.1	contracts (57) Requirement: 100%	Compliant						
	Applicability: All Indicator: Evidence of a policy to ensure social							
	Indicator: Evidence of a policy to ensure social compliance of its suppliers and contractors							
6.7.2	Requirement: Yes	Compliant						
	Applicability: All Indicator: Evidence of worker access to effective, fair and confidential grievance							
6.8.1	effective, fair and confidential grievance procedures	Compliant						
	Requirement: Yes							
	Applicability: All Indicator: Percentage of grievances handled that are addressed (SS) within a 90-day							
6.8.2	timeframe	Compliant						
	Requirement: 100%							
	Applicability: All Indicator: Incidences of excessive or abusive disciplinary actions							
6.9.1	Requirement: None	Compliant						
	Applicability: All Indicator: Evidence of a functioning							
	Indicator: Evidence of a functioning disciplinary action policy whose aim is to improve the worker (59)							
6.9.2	Requirement: Yes	Compliant						
	Applicability: All indicator: Incidences, violations or abuse of working hours (60) and overtime laws							
6.10.1		Compliant						
	Requirement: None Applicability: All							
	Applicability: All Indicator: Overtime is limited, voluntary (61), paid at a premium rates and restricted to exceptional circumstances							
6.10.2		Compliant						
	Requirement: Yes Applicability: All		 					
	Applicability: All Indicator: Farm employees accommodated on the farm have access to clean, sanitary, safe and suitable living conditions							
6.11.1	and suitable living conditions Requirement: Yes	Compliant						
	Applicability: All Middleariz Existence of separate sanitary and tolest facilities for men and women; with the exception of work sites where marined couples are working and accommodated together are working and accommodated together							
6.11.2		Compliant						
0.11.2	Requirement: Yes Applicability: All farms and accommodation	Compositi						
	Applicability: All farms and accommodation and worksites except as permitted exclusions (6.11.2a)							
						·	'	

	Indicator: Evidence of regular and meaningful							
	(62) consultation and engagement with community representatives and organizations							
7.1.1	community representatives and organizations	Compliant						
7.1.1	Requirement: Yes	Compilant						
	Applicability: All							
	Applicability: All Indicator: Presence and evidence of an							
	effective (63) policy and mechanism for the							
	presentation, treatment and resolution of complaints by community stakeholders and							
7.1.2	organizations	Compliant						
	Requirement: Yes							
	Applicability: All							
	Applicability: All Indicator: For new farms (64), evidence of engagement and consultation with surrounding communities about potential social impacts							
	engagement and consultation with surrounding communities about potential social impacts							
7.1.3	from the farm.	Compliant						
	Requirement: Yes							
	Applicability: All new farms (see note)							
	Indicator: Presence of documents issued by							
	pertinent authorities proving compliance with local and national authorities on land and water							
8.1	Applicability: All new farms (see note) Indicator: Presence of documents issued by pertinent authorities proving compliance with local and national authorities on land and water use, effluent regulations and use of treatments.	Compliant						
	Requirement: Yes							
	Applicability: All Indicator: New introductions of exotic species from the date of publication of the Sea Bass/Sea Bream Standard, unless the hatchery/fingerling facility is a closed production system (65)							
	from the date of publication of the Sea Bass/Sea Bream Standard, unless the							
	hatchery/fingerling facility is a closed							
8.2	production system (65)	Compliant						
	Requirement: None							
	Applicability: All Indicator: Allowance for siting in National							
	Indicator: Allowance for siting in National Protected Areas (66)							
8.3		Compliant						
	Requirement: None (67, 68)	Compilate						
	Applicability: All Indicator: Evidence that the egg and fingerling producer must have an equivalent or better health status than that of the grow-out facility,							
	Indicator: Evidence that the egg and fingerling producer must have an equivalent or better							
	health status than that of the grow-out facility,							
8.4	and must follow all national and local (jurisdictional) guidance on disease management	Compliant						
0.4	management	Compilate						
	Requirement: Yes							
	Applicability: All							
	Applicability: All Indicator: Evidence of disclosure to the grow- out farm of all chemical and antihiotic							
	out farm of all chemical and antibiotic treatments on eggs and fry, including the reason for their use and the quantity used							
8.5	reason for their use and the quantity used	Compliant						
	Requirement: Yes							
	Applicability: All							
	Indicator: Allowance for the use of therapeutic							
	Applicability: All Indicator: Allowance for the use of therapeutic treatments, including antibiotics or other treatments, that are banned under European Usion (EIII) have listed as exticable important							
8.6	for human medicine by the World Health Organization (69, 70)	Compliant						
	Requirement: Not permitted							
	Applicability: All Indicator: Presence of a fish health							
	management plan implemented in agreement with the facility's designated veterinarian or							
8.7	fish health specialist	Compliant						
	Requirement: Yes							
	Applicability: All Indicator: Evidence of company-level policies and procedures that demonstrate the							
	and procedures that demonstrate the							
8.8	company's commitment to each of the 8 key ILO labour issues described in Principle 6	Compliant						
0.0	Requirement: Yes							
	Applicability: All Indicator: Evidence of regular communication, engagement and consultation with surrounding communities							
	engagement and consultation with surrounding							
8.9		Compliant						
	Requirement: Yes							
	Applicability: All							

Metric table

Where the requirement is "None", please use 0 (zero) if requirement is met

Corresponds to ASC Seabass, Seabream & Meagre standard version 1.1

Indicator No	2.1.1a	2.1.1b	2.1.2a	2.1.2b	2.1.2c	2.1.2d	2.1.2e	2.1.4	5.2.1	5.2.2	5.2.4	5.2.5
Impact Category	Benthic	Benthic	Benthic		Benthic		Benthic	Benthic	Chemicals/therapeutants	Chemicals/therapeutants	Chemicals/therapeutants	Chemicals/therapeutants
	Redox potential in sediment in millivolts (mV)	Total 'free' sulphide levels in sediment in	AZTI Marine Biotic Index (AMBI)	Shannon-Wiener	Benthic Quality Index	Infaunal Trophic	BENTIX	Evidence that copper levels are < 34 mg	Use of therapeutic treatments that are	Prophylactic use of antimicrobial	Number of anti-parasiticide	Number of treatments of antibiotics over
	immediately outside of the Allowable Zone of Effect	micromoles / I immediately outside of			(BQI)			Cu/kg dry sediment weight	listed as critically important for human	treatments	treatments allowed over the most	the most recent production cycle,
	(AZE) attributed to farm operations	the Allowable Zone of Effect (AZE)							medicine by the World Health		recent production cycle, including the	including the hatchery
Indicator Text		attributed to farm operations									hatchery	
mulcator rext												
Requirement/ Site ID												
0												

8.60	4.2.1a	4.2.1b	4.2.1c	4.2.1d	4.2.1e	4.2.1f	4.2.1g	4.2.1h	4.2.2a	4.2.2b
Chemicals/therapeutants	Feed	Feed	Feed	Feed	Feed	Feed	Feed	Feed	Feed	Feed
Allowance for the use of therapeutic	Fishmeal Forage Fish Dependency Ratio	Fishmeal Forage Fish Dependency Ratio	Fishmeal Forage Fish Dependency Ratio	Fishmeal Forage Fish Dependency Ratio	Fishmeal Forage Fish Dependency	Fishmeal Forage Fish Dependency Ratio	Fishmeal Forage Fish Dependency Ratio	Fish Oil Forage Fish Dependency	Fish Oil Forage Fish Dependency	Fish Oil Forage Fish Dependency Ratio
treatments, including antibiotics or other	(FFDRm) for grow-out (calculated using	(FFDRm) for grow-out (calculated using	(FFDRm) for grow-out (calculated using	(FFDRm) for grow-out (calculated using	Ratio (FFDRm) for grow-out	(FFDRm) for grow-out (calculated using	(FFDRm) for grow-out (calculated using	Ratio (FFDRo) for grow-out	Ratio (FFDRo) for grow-out	(FFDRo) for grow-out (calculated
treatments, that are banned under European	formulas in Appendix 2) for Dicentrarchus	formulas in Appendix 2) for Argyrosomus	formulas in Appendix 2) for Argyrosomus	formulas in Appendix 2) for Argyrosomus	(calculated using formulas in	formulas in Appendix 2) for Pagrus major	formulas in Appendix 2) for Pagrus major	(calculated using formulas in	(calculated using formulas in	using formulas in Appendix 2) for all
Union (EU) law or listed as critically	labrax and Sparus	regius	regius (3 Years)	regius (6 Years)	Appendix 2) for Pagrus major	(3 Years)	(6 Years)	Appendix 2) for all species	Appendix 2) for all species (3	species (6 years)
important for human medicine by the World	aurata								years)	
Health Organization										

4.3.2	4.3.3	4.3.4	3.3.3	3.3.4	8.30	2.2.1	2.2.2	2.3.2	2.3.3
Feed	Feed	Feed	Mortality/survival/escapes	Mortality/survival/escapes	Other	Water Quality	Water Quality	Wildlife interactions	Wildlife interactions
Prior to achieving 4.3.1, the fishmeal or	Feed containing fishmeal and/or fish oil	Feed ingredients which come from	Total amount of known escapes allowed	Total amount of unexplained loss per	Allowance for siting in National	Weekly average percent saturation of	Maximum percentage of weekly	Allowance for the farm to be sited in a	Allowance for the farm to be sited closer
fish oil used in feed must have a	originating from by-products or trimmings	other fish from the same genus	per production cycle	production cycle		dissolved oxygen (DO) on farm	samples from 2.2.2	protected area or High Conservation	than 500 meters to a seagrass meadow(s)
FishSource score stock health score of 6.0	from fish species which are categorized as						that fall under 2 mg/litre DO	Value Areas	measure from the edge of the AZE. Give
or higher or show evidence of being	vulnerable, endangered or critically								the distance here
engaged in a credible and time bound	endangered, according to the IUCN Red List								
fisheries improver project	of Threatened Species								

2.4.2	3.2.1	8.20	6.2.1	6.3.1	6.4.2	6.6.1	6.9.1	6.10.1	6.2.2	6.5.1	6.7.1	6.8.2
Wildlife interactions	Wildlife interactions	Wildlife interactions	Social	Social	Social	Social	Social	Social	Social	Social	Social	Social
Number of mortalities of endangered or	Culture of transgenic fish	New introductions of exotic species from	Number of incidences of	Number of incidences of	Number of incidences of	The percentage of	Incidences of excessive	Incidences, violations or	Percentage of young	Percentage of workers	Percentage of workers	Percentage of grievances
ed-listed animals in the farm lease area		the date of publication of the ASC		forced, bonded or	discrimination	workers whose basic	or abusive disciplinary	abuse of working		trained in health and		handled that are
and adjacent areas due to farm		Seabass, Seabream, and Meagre		compulsory labour		wage	actions	hours and overtime laws		safety practices,		
operations, personnel or associates over		Standard, unless the hatchery/fingerling				(before overtime and				procedures and policies		
the previous 2 years		facility is a closed production system				bonuses) is below the				on a yearly basis		
						minimum wage						
										I		

Major Minor Total Standard: Salmon 1.3 Version:

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automa

NC Cod (CAB)	e Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/u sed	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes	
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													Proposed by UoC and	December 11 of and a second as a second	Proposed by UoC and			
Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/used	Root cause analysis	Proposed by UoC and accepted by CAB NC correction	NC Corrective action	Auditor evaluation	Extension justification New deadline for NC close-out	Notes
Number	Indicator: Presence of documents issued by pertinent authorities indicating compliance with local		evaluation	evaluation decision						— VK		submitted/used					NC člose-but	
1.1.1	and national authorities on land and water use		Compliant															
	Requirement: Yes Applicability: All Indicator: Presence of documents indicating																	
	compliance with tax laws		Complement															
1.1.2	Requirement: Yes		Compliant															
	Applicability: All Indicator: Presence of documents indicating compliance with all labour laws and regulations																	
1.1.3	Requirement: Yes		Compliant															
	Applicability: All Indicator: Presence of documents indicating																	
1.1.4	Indicator: Presence of documents indicating compliance with regulations or permits concerning water quality impacts, effluent and water abstraction		Compliant															
	Requirement: Yes																	
	Applicability: All Indicator: Allowance for siting in National Protected Areas (5)																	
	Areas (5) Requirement: None (6,7)																	
	Applicability: All, An exception is made for protected areas that are classified by the																	
	International Union for Conservation of Nature (IUCN) as Category V or VI. These are areas																	
2.1.1	preserved primarily for their landscapes, or areas that include sustainable resource management. Details can be found here:		Compliant															
	Details can be found here: https://www.iucn.org/theme/protected- areas/about/protected-areas-categories . An exception is also made for farms located in																	
	protected areas that are designated as such after the farm already was established in that location. In these situations, the farm must demonstrate that its																	
	these situations, the farm must demonstrate that its operation is compatible with the objectives of the protected area, and that it is in compliance with any																	
	relevant conditions placed on the farm by authorities Indicator: Conversion of wetlands (8) after 1999																	
	Requirement: None (9)																	
2.1.2	Applicability: All, Exception: Conversion of wetlands for access to water (e.g., canals for inlets		Compliant															
	wetlands for access to water (e.g., canals for inlets and outlets): Converted surface area must be offset by restoration of 100% of the equivalent area of functional wetlands with the same habitat																	
	Indicator: An assessment of the presence on the																	
	farm of species listed on the International Union for Conservation of Nature (IUCN) "Red List of Threatened Species" as such example, near threatened																	
2.1.3	endangered or critically endangered; an evaluation of the farm's impact on any such species present; and clearly defined mitigation measures to reduce		Compliant															
2.1.3	and clearly defined mitigation measures to reduce any negative impacts and allow existence of such species		Compliant															
	Requirement: Yes																	
	Applicability: All Indicator: For new farms installed on land after																	
	February 2013 (or for significant expansions), minimum buffer zone between the farm and an adjacent water body in which there is no farm																	
	infrastructure that might impede wildlife's access to the water, except for inflow and outflow systems																	
2.2.1	Requirement: ≥ 15 metres from the water's edge		Compliant															
	(11) Applicability: All land-based farms constructed after																	
	publication of the ASC Freshwater Trout Standard. An exception is made if the farm can demonstrate																	
	through a public third-party scientific analysis that the farm's structures do not impede animal habitats and corridors and do not present erosion risks.																	
	Indicator: New introductions of exotic trout after February 2013, unless in a closed production system																	
2.3.1	(16) Requirement: None		Compliant															
	Applicability: All except closed production systems Indicator: Allowance for the culture of transgenic trout, including the offspring of genetically engineered trout																	
2.4.1	Requirement: None		Compliant															
	Applicability: All Indicator: Evidence of a well-designed, maintained																	
2.5.1	Indicator: Evidence of a well-designed, maintained and managed culture system, infrastructure and farm management (25) to minimise escapes during stocking, grow-out, grading and harvest.		Compliant															
2.5.1	Requirement: Yes (26)		Compliant															
	Applicability: All except closed production systems Indicator: The farm shall count all stocked and																	
2.5.2	harvested fish using a counting technology or counting method with an accuracy of 298% (27)		Compliant															
	Requirement: Yes Applicability: All																	
	paddicadility: All	l.		•	1		1	1	1	1		1	1	I.			1	

2.5.3	Indicator: All fish in net pens/cages shall also be counted during each grading. Requirement: Yes	Compliant						
2.5.4	Applicability: All except closed production systems indicator: humber of known exapts and energiating closes (20) are publicly documented and reported to the relevant authorities as well as to ASC on an annual basis Requirement: Yes Applicability: All	Compliant						
2.6.1	Indicator: Intentional use of lethal predator control Requirement: None (30) Applicability: All, except: The Standard permits an exception to the prohibition on lethal action in stututions where the farm can provide evidence of	Compliant						
3.1.1	analysis. Indicator: Maximum amount of water that a farm can divert from a natural flowing water body (such as a river or stream) Requirement: 50% of the natural water body's flow immediately above the farm (31) Applicability: All farms utilizing surface water (such	Compliant						
3.1.2	Study that estimates minimum with flow. Indicators: Demonstration that > 90% abstracted water is returned to the natural water body. Requirement: Yes Apolicability: All farms utilizing surface water (such	Compliant						
3.1.3	as water from a river! Indicator: Allus of underground pumped water has been permitted by regulatory authorities Requirement: Yes Applicability: All farms utilizing groundwater (such	Compliant						=
3.1.4	as water from a well indicator: Well depths are tested at least annually, and results made publicly available (32) Requirement: Yes Applicability: All farms utilizing groundwater (such as	Compliant						
3.2.1	water from a well) Miclator. Miscomm total amount of phosphorus released into the aguatic environment per tonne (t) of fish produced over the previous 12-month princia (see methodology in Appendix II of the Freshwater Trout tandard vs.12) Requirement: 4 kg/t of fish produced	Compliant						=
3.2.2	Applicability. All land-based systems indicator: Minimum oxygen saturation in the outflow, measured monthly (see methodology in Appendix II of the Freshwater Trout standard v.1.2) Requirement: 60% (33) Applicability: All land-based systems	Compliant						
3.2.3	Applicability. All fund based voteres indicators: Mano verticaries surveys downstream from the farm's effluent discharge demonstrate benthic health this similar for or better than surveys upstream from the discharge (see methodology in Appendix I of the Freshwater Trout standard v.1.2) Requirement: Yes	Compliant						
3.2.4	Requirement: Yes	Compliant						=
3.2.5	Requirement: Yes	Compliant						
3.3.1	applicability. All lands based systems Indicator: For regist sciented on water bodies with a surface area less than 1,000 Mm2, evidence that farm production levels effect the results of an assimilative capacity study (see Appendix II of the Freshwater Trout standard v1.7 Requirement: Yes Applicability. Cage-based systems operating on	Compliant						
3.3.2	water bodies with a varies are set 5000 km ² . Indicator: For regist located on water bodies with a surface are of 1,000 km ² or greater, evidence that surface area of 1,000 km ² or greater, evidence that ages are hosted as this that are classified on "Type I" sites, as defined in Appendix to of the Freshwater Trout standard vs.". Requirement: Yes Applicability: Cage-based systems operating on water bodies with a surface area is 2000 km ² .	Compliant						

3.3.3	Indicator: Water quality monitoring matrix completed (see Appendix II of the Freshwater Trout standard v.1.2)		Compliant						
	Requirement: Yes Applicability: All cage-based systems Indicator: Maximum baseline total phosphorus								
3.3.4	concentration of the water body (see Appendix II of the Freshwater Trout standard v.1.2) Requirement: \$ 20 µg/t (34) Annicability: All case-based systems		Compliant						
3.3.5	Applicability. All cage-based systems indicator. Minimum per cent oxygen saturation of water 50 centimetres above bottom sediment (at all oxygen monitoring locations described in Appendix II of the Freshwater Trout standard v.1.2) Requirement: 2 50%	1	Compliant						
3.3.6	Applicability: All case-based systems indicator: Trophic status classification of water body remains unchanged from baseline (see Appendix II of the Freshwater Trout standard v.1.2) Requirement: Yes	f	Compliant						
3.3.7	honicability. At case hard voters in total inductor. Mamma Moved increase in total phosphorus concentration in lake from baseline Requirement: 25% for water bodies with a surface area of less than 1,000 km2 15% for water bodies with a surface area of 1,000 km2 15% for water bodies with a surface area of 1,000 km2 or greater. Applicability At Cage based systems as specified according to size of water body in which the lem		Compliant						
3.3.8			Compliant						
3.3.9	Apolicability. All case-based systems indicators Allowance for use of permanent aeration systems or other technological means to increase oxygen levels in the water body Requirement: None Applicability: All case-based systems		Compliant						
4.1.1	Applicability. All crase-based systems indicator: Victione of an implementation of a site- indicator: Victione of an implementation of a site- specific farm health plan that is reviewed at least annually and addresses, as a minimum, biosecurity, veterinary health and crois management. Requirement: Yes Applicability. All		Compliant						
4.1.2	Applicability. All indicator: All fish, at all stages in the life cycle, are sourced from a supply that is of equal or better health status than its own stock. Requirement: Yes Applicability: All		Compliant						
4.1.3	Applicability. All indicators. All finds to tast are moved off site, at all stages in the life cycle, are moved to a location of equal or lesser health status. Requirement: Yes Anolicability: All indicators: Site access, disinfection and hygiene		Compliant						
4.1.4	Indicator: Site access, disinfection and hygiene protocols are implemented and annually reviewed Requirement: Yes Andicability: All Indicator: Bio-secure disposal of all mortalities and		Compliant						
4.1.5	fish trimmings Requirement: Yes		Compliant						
	Assificability. All indicator. immediate investigation of all montality vention size and, in instances where montality remains unexplained or unitability. Further investigation with fish health professionals off site Requirement: Yes Assificability: All		Compliant						
	Indicator: Minimum frequency of inspection of the farm by a designated veterinarian (37) who is specialized in a quotic animal health. The inspection must review the farm health plan Requirement: > 1 inspection per year, at a time when the site is in production		Compliant						
4.1.8	Aoolicability: All Indicator: Evidence that maximum stock density was determined jointly by the designated veterinarian Requirement: Yes Applicability: All		Compliant						
4.2.1	indicator. Presence of a treatment plan, treatment record book and farm health history that includes a desirable record book and farm health history that includes a desirable recording of all treatments and all health events on the farm, as well as written veterinary prescriptions and receipts Requirement: Yes Applicability: All		Compliant						
				<u> </u>	 		 <u> </u>	 	

4.2.2	indicator: Use of therapeutic treatments, including antibiotics or other treatments, that are banned under European Union (EU) law Requirement: Not permitted Applicability: All	Compliant					
	Indicator: Prophylactic use of antimicrobial treatments (excluding prebotics and probabits that have been approved by a regulatory process that included a risk assessment) (38) Requirement: Not permitted	Compliant					
4.2.4	Applicability: All Indicator: Public disclosure of all antimicrobial treatments used on the farm Requirement: Yes Applicability: All	Compliant					
4.2.6	Indicator: Allowance for use of antibiotics listed as critically important for human medicine by the WHO Requirement: None Anolicability: All Indicator: Proactive vaccination against diseases that	Compliant					
4.2.7	present a risk in the region and for which an effective, legally authorized and commercially viable vaccine exists, as determined by the farm's designated veterinarian Requirement: Yes	Compliant					
5.1.1	Anolicability All indicators invidence of traceability, demonstrated by the feed producer, of feed ingredients that make up more than 1% of the feed (42) Requirement: Applicability: All indicators: Presence of a list of all ingredients that	Compliant					
5.1.2	Indicator: Presence of a list of all ingredients that make up more hand 1% of the feed Requirement: Yes Applicability: All Indicator: Fishmeal and fish oil used in feed that	Compliant					
5.2.1	comes from fisheries (43) certified under a scheme that is ISEAL-secretified and has guidelines that specifically promote responsible environmental management of small pelagic fisheries Requirement: Not required (44)	Compliant					
5.2.2.	Applicability. None Michael Transport of 200% achievement of 5.2.1, the Fishsource (£5) score required for the fisheries from which makine zaw material in feed is derived (excluding trimming and by products) Requirement: All individual scores 2.6, and "Current Health" score 2.6	Compliant					
5.2.3	Applicability: All indicators: Prior to 100% achievement of 5.2.1, demonstration of chain of custody and traceability clemonstration of chain of custody and traceability accredited or 150 65 compliant certification scheme that incorporate the United Nations Food and Appliculture Organization's "Code of Conduct for Responsible Fathers".	Compliant					
	Audit shifty. All Medic and the Audit shifty of the Audit shifty o	Compliant					
5.3.1	Apolicability: All execut as noted in indicator: Fishmeal Forage Fish Dependency Ratio (FFDRm) for grow-out (calculated using formulas in Appendix III of the Freshwater Trout standard v.1.2) Requirement: s1.5 Applicability: All	Compliant					
5.3.2 A	Option A Indicator Compliance with the following requirement: Fish Oil Forage Fish Dependency Ratio (FERIO) for grow out (calculated using formulas in Appendix of the Forthwater Trust standard v.1.2) Requirement: s2.95 Applicability. All, but note that farms may choose to demonstrate compliance with either Option A or Option 8 under indicator 5.3.2. Option 8	Compliant					
	Indicator: Compliance with the following requirement: Maximum level of EPA/DHA content from marine sources as a precentage of lathy acids in the feed (academing EPA/DHA from trimmings and by- products) Requirement: 69%	Compliant					
	Applicability: All, but note that farms may choose to demonstrate compliance with either Option A or Option B under Indicator 5.3.2.						

5.4.1	Requirement: Yes	Compliant						
5.4.2	Requirement: 100% after February 2018	Compliant						
5.4.3	Requirement: Yes Applicability: All	Compliant						
5.4.4	purchasers of its harvested fish of any feed ingredients that have contained more than 0.9%	Compliant						
5.5.1	Indicator: Presence of records and evidence of all energy consumption on the farm (including electric power and tuel) and evidence of an energy use assessment of on-farm energy consumption, measured in kilojoule/t fish/year Requirement: Yes, measured in kilojoule/t fish/year	Compliant						
5.6.1	Requirement, 100%	Compliant						
5.6.2	Applicability. All indicators: Percentage of chemicals stored in impermeable containers or buildings. Requirement: 100% Applicability: All ladicators: Percentage of used Subricants recycled or utmed over to a waste management company	Compliant						
5.6.3	Requirement: 100% Anoticability: All Indicator: Percentage of chemical containers turned over to a waste management company	Compliant						
5.6.5	Requirement: 100% Applicability: All indicator: Percentage of non-hazardous, non-recyclable wastes turned over to a waste management company or landfill (53) Requirement: 100%	Compliant						
5.6.6	Applicability: All indicator: Demonstration that a farmer is aware of recycling facilities that are accessible to the farm and demonstration of a commitment to use those facilities Requirement: Yes	Compliant						
6.1.1	Applicability: All Indicator: Number of incidences of child (54) labour (55) Requirement: None	Compliant						
6.2.1	Applicability: All Indicator: Evidence of proactive antidiscrimination	Compliant						
6.3.1	practice (61) Requirement: Yes Applicability: All indicator: Number of incidences of discrimination Requirement: None	Compliant						
6.4.1	Applicability: All Indicator: Percentage of workers trained in health and safety practices, procedures and policies Requirement: 100% Applicability: All	Compliant						
6.4.2	Indicator: Evidence that health- and safety-related accidents are recorded and corrective actions are taken	Compliant						
	Addication. Proof of company accident insurance unweighted properties of company accident insurance unweighted accident to injury when not covered under national law. Requirement: Yes	Compliant						
6.4.4	Applicability: All Indicator: Workers use and have access to applicator: Workers use and have access to applications and application of the Applic	Compliant						

6.4.5	Indicator: Evidence of a health and safety assessment of site facilities and processes	Compliant						
	Requirement: Yes Applicability: All Indicator: The percentage of employees who are paid a basic needs wage (62)							
6.5.1	paid a basic needs wage (62) Requirement: 100% Applicability: All	Compliant						
	Indicator: Evidence of transparency in wage setting							
6.5.2	Requirement: Yes Applicability: All	Compliant						
	Indicator: Incidences of employees denied freedom to associate, the ability to bargain collectively or denied access to representatives, or representative organizations, chosen by workers							
6.6.1	Requirement: 0 (zero) Applicability: All	Compliant						
6.7.1	Indicator: Incidences of abusive disciplinary actions Requirement: None	Compliant						
	Applicability: All Indicator: Evidence of non-abusive disciplinary							
6.7.2	policies and procedures whose aim is to improve the workers' performance (65) Requirement: Yes	Compliant						
	Applicability: All Indicator: Violations or abuse of working hours (67)							
6.8.1	and overtime (68) laws and agreements	Compliant						
	Applicability: All Indicator: For new farms, evidence of engagement							
6.9.1	and proactive consultation with surrounding communities, including native and/or indigenous communities about potential social impacts (70) from the farm as required by relevant local and/or national laws and regulations	Compliant						
	Requirement: Yes							
	Applicability: All new farms (see note) Indicator: Evidence of regular proactive communication, engagement and consultation with surrounding communities, including native and/or indigenous communities							
6.9.2	Requirement: Yes	Compliant						
	Applicability: All Indicator: Evidence of an operational grievance and conflict resolution mechanism to address community							
6.9.3	concerns Requirement: Yes Applicability: All	Compliant						
	Indicator: Presence of documents issued by pertinent authorities proving compliance with local and national authorities on land and water use,							
7.1	effluent regulations and use of treatments Requirement: Yes	Compliant						
	Applicability: All Indicator: New introductions of exotic species from the date of publication of the ASC Freshwater Trout Standard (7 February 2013), unless the							
7.2	hatchery/fingerling facility is a closed production system (71) Requirement: None	Compliant						
	Applicability: All Indicator: Allowance for siting in National Protected Areas (72)							
	Requirement: None (73,74) Applicability: ALL, except protected areas that are classified by IUCN, or the International Union for							
7.3	Conservation of Nature, as Category V or VI. These are areas preserved primarily for their landscapes, or areas that include sustainable resource management. Details can be found here:	Compliant						
	http://www.iucn.org/about/work/programmes/pa/ pa_products/wcpa_categories/. Additionally excepted; farms located in protected areas that are designated as such after the farm already exists in							
	demonstrate that its operation is compatible with the objectives of the newly protected area, and that it is in compliance with any relevant conditions placed on the form as execut of the designation.							
	Applicability, All scores protected areas that are closed facility to ICIA, or the International Useon for Commerciation Hausen, and English to ICIA, or the International Useon for Commerciation of Nature. A Commerciation of Nature. A Commerciation of Nature and English to ICIA and							
7.4	vounctable, near threatened, endangered or critically endangered; an evaluation of the farm's impact on any such species present; and clearly defined mitigation measures to reduce any negative impacts and allow systems or facilities and solve systems or size.	Compliant						
	and allow existence of such species Requirement: Yes Applicability: All							
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Indicator: Evidence that the egg and fingering producer must have in equivalent or better health status than that of the growt blashy, and must follow all national and local jurisdictional guidance on disease imagement Requirement: Yes Applicability. All	Compliant						
Applicability. A3 Indicator: Evidence of disclosure to the grow-out farm of al-chemical and antibiotic treatments on eggs and fry, nicklings the reason for their use and the containty used 7.6 Requirement: Yes Applicability: A3	Compliant						
indicator: Allowance for the use of therapeutic treatments, including antibiotics or other treatments, that are abunded under troupeau Union (EU) law Requirement: Not permitted	Compliant						
Applicability. All Mediates Presents of a fish beath management plain implemented in agreement with the facility's designated veterinarian. 7.8 Regulement: Yes Applicability: All	Compliant						
Applicability: All biddeners of company-level policies and procedure: Services of company-level policies and procedures that demonstrate the company's commitment to each of the 8 key I/O labour issues described in Principle 6 7.9 Requirement: Yes Applicability: All	Compliant						
Applicability. All Vedicator Evidence of regular communication, engagement and consultation with surrounding communities. 7.30 Requirement: Ves Applicability: All Indicator: The fam shall communicate each fish escape event to the local fishery trust(s).	Compliant						
8.1 Requirement: Yes	Compliant						
Applicability. Cage culture selmon smolt feelilities wedstort. The ferm bill obligators with followers trusts in wild selmont femolinary grogamme(s) related to the waterbody it operates in. 8.2 Requirement: Yes Applicability. Cage-culture selmon smolt facilities	Compliant						
Applicability. Cage volume salmon most buildines wedeser in the ram (2) all conduct out or make public, in collaboration with the local filteries true(s), a scientific buseline stue(s) (filt) on determine the genetic composition of the contemporary wild and farmed (7) salmoned appointion(s) within the waterstooly it operates in. Requirements: Yes	Compliant						
Applicability. Cage-culture salmons most facilities indicated include known historical farmed and wide statement genetic profiles and determine if changes in the genetic composition of the contemporary will salmonid population(s) have occurred. Where changes in the genetic profile is a country of the contemporary will salmonid population(s) have occurred. Where changes in the genetic profile is a second of the contemporary will salmon ground profile the contemporary will salm salmon ground the contemporary of the contemp	Compliant						
Assistability. Care volume valence must institute tendeate. The bisothers being with the options of every it years to determine if changes in the genetic composition of the wild shannoid population have occurred, and where detected, if changes are due to interpression of ASC certified familes annotic cultured within the same waterbody. This review shall be publicly available as well. **Equipment: **Equip	Compliant						
Availability: Indicator of following periods are applied; they must: be timed to occur outside the local wild salmon(id) outingsion period. 8.6 Requirement: Availability:	Compliant						

Metric table Where the requirement is "None", please use 0 (zero) if requirement is met

Corresponds to ASC Freshwater Trout standard version 1.2

Indicator No	2.2.1	2.3.1	2.4.1	2.6.1	3.1.1	3.2.1	3.2.2	3.3.4
Impact Category	Other	Wildlife Interaction	Wildlife Interaction	Wildlife Interaction	Water quality	Water quality	Water quality	Water quality
Indicator Text			transgenic trout, including the offspring of genetically	Intentional use od lethal predator control	Maximum amount of water that a farm can abstract from a natural flowing water body (such as a river or stream)	Maximum total amount of phosphorus released into the environment per metric ton (mt) of fish produced over a 12-month period (see methodology in Appendix II-A)		Maximum baseline total phosphorus concentration of the water body (see Appendix II-H)
Requirement/ Site ID								
0								

3.3.5		3.3.7b	3.3.8	4.1.7	5.2.2	5.3.1		5.3.2b
Water quality	Water quality	Water quality	Water quality	Other	Feed	Feed	Feed	Feed
Minimum percent oxygen saturation of water	Maximum allowed increase in total	Maximum allowed increase in total	Maximum total amount of phosphorus	Minimum frequency of inspection of the	Prior to 100% achievement of 5.2.1, the	Fishmeal Forage Fish Dependency Ratio	Option A: Compliance with the following	option B: Compliance with the following requirement:
50 centimetres above bottom sediment (at all					Fishsource score required for the fisheries		requirement:	Maximum level of EPA/DHA content from marine sources
		from baseline - for water bodies					Fish Oil Forage Fish Dependency Ratio (FFDRo)	
locations described in Appendix II-G)	with a surface area of less	with a surface area of 1,000 km2		inspection must review the farm health plan.	derived (excluding trimming and by-products)	Appendix III, subsection 1)	for grow-out	percentage of fatty acids in the feed (excluding EPA/DHA
	than 1,000 km2.	or greater						from
							subsection 1) c	trimmings and by-products)
		·	·					

5.4.2	5.6.1	5.6.2			5.6.5	7.2	6.1.1	6.2.1		6.4.1
Feed	Chemicals/therapeutants	Chemicals/therapeutants	Chemicals/therapeutants	Chemicals/therapeutants	Chemicals/therapeutants	Wildlife Interaction	Social	Social	Social	Social
Percentage of soy ingredients that are certified by the Roundiable on Responsible Soy, or equivalent.	Percentage of combustibles contained in waterproof bunds	stored in impermeable	Percentage of used lubricants recycled or turned over to a waste management company	turned over to a waste	Percentage of non-hazardous, non- recyclable wastes turned over to a waste management company or landfill.	New introductions of excits species from the date of the publication of the ASC Freshwater Trout Standard, unless the hatchery/fingerling facility is a closed production system	Number of incidences of child labour	Number of incidences of forced, bonded or compulsory labour	Number of incidences of discrimination	Percentage of workers trained in health and safety practices, procedures and policies

6.5.1	6.6.1	6.7.1	6.8.1
Social	Social	Social	Social
The percentage of employees who are paid a basic needs wage.	Incidences of employees denied freedom to associate, the ability to bargain collectively or denied access to representatives, or representative organizations, chosen by workers		Violations or abuse of working hours and overtime laws and agreements

Standard: Salmon Version: 1.3

NC Type	NC Totals
Major	0
Minor	0
Total	•

Code Indicat	or Indicator Text er	Audit Evidence	Indicator evaluation and ev	escription, justification nd conclusion for the evaluation decision	Date of NC detection	Deadline for NC Actual date of close-out close-out	f NC Status	VR submitted	Status of submitted VR	VR used so	Q&A ubmitted/u sed	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	otes
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Corresponds	to ASC Bivalve standard version 1.1										
								Proposed by Uo and accepted by CAB	Proposed by UoC and accepted by CAB	Proposed by UoC and accepted by	
Indicator	Indicator Text	Audit Evidence	Indicator	Description, justification and	Date of NC detection Deadline for NC close-out	Actual date of close-out NC Status VR submitted	Status of submitted	Q&A Root cause	NC correction	NC Corrective	Extension justification New deadline Notes
Number		Audit Evidence	evaluation	decision	Date of NC detection Deadline for NC close-out	Actual date of close-out NC Status VK Submitted	VR VR used	submitted/used analysis	NC correction	action Auditor evaluation	Extension justification for NC close-out Notes
	Indicator: Evidence of compliance with all applicable legal requirements and regulations where the farming operation is located (e.g., permits, licenses, evidence										
1.1.1	of lease, concessions and rights to land and/or water		Compliant								
	Requirement: Yes		Compilant								
	Applicability: All										
	Indicator: Acceptable levels of total 'free' sulfide in surficial sediment (0-2 centimetres from the surface)										
	measured beneath the farm in comparison to control sites (5)										
	Requirement: ≤ 1500 µM, monitoring every five years										
2.1.1	is required ≥ 1500 μM and ≤ 3000 μM, monitoring every year is		Compliant								
	required										
	Applicability: Off-bottom and suspended methods over depositional substrate										
	over depositional substrate Indicator: Unacceptable levels of total "free" sulfide in surficial sediment measured beneath the farm in										
	comparison to control sites										
2.1.2	Requirement: ≥3000 μM		Compliant								
	Applicability: Off-bottom and suspended methods over depositional substrate										
	over depositional substrate Indicator: In cases where natural background sulfide levels exceed 3000 µM, the annual S concentrations should not significantly (6) exceed levels measured at reference sites located outside the farm (7)										
	should not significantly (6) exceed levels measured at reference sites located outside the farm (7)										
2.1.3	Requirement: Yes		Compliant								
	Applicability: Off-bottom and suspended methods										
	over depositional substrate Indicator: Sulfide analysis may be replaced by direct										
	analysis of benthic community structure (i.e. infaunal surveys) in areas where this biotic approach is										
2.1.4	preferred by the applicant or is already mandated by a regulatory body (8)		Compliant								
	Requirement: Yes										
	Applicability: Off-bottom and suspended methods										
	over depositional substrate Indicator: Allowance for bivalve aquaculture over areas that provide a particularly significant or essential										
	areas that provide a particularly significant or essential biological or ecological function within the broader ecosystem (9)										
2.1.5	Requirement: None		Compliant								
	Applicability: Off-bottom and suspended methods Indicator: The ratio of clearance time (10) (CT) over retention time (11) (RT)										
	Requirement: >1										
	Applicability: All*										
	*If the area of all of the farms within a water body as										
	defined in Appendix I of the Bivalve standard v.1.1,										
2.2.1	inclusive of the certification unit, is less than 10% of the total area of the water body, then requirements 2.2.1 and 2.2.2 need not apply.		Compliant								
	Indicator: Where clearance time is less than retention time, the ratio of clearance time over primary										
2.2.2	production time (12)		Compliant								
	Requirement: >3		Соприянс								
	Applicability: All farms not compliant with 2.2.1. Indicator: Equivalency with requirements 2.2.1 or										
	Applicability: All farms not compliant with 2.2.1. Indicator: Equivalency with requirements 2.2.1 or 2.2.2 may be demonstrated, if a farm or group of farms is able to prove, through more comprehensive										
	carrying capacity modelling that, in aggregate, they do not exceed the ecological carrying capacity of the applicable water body in which they are located		C								
2.2.3	applicable water body in which they are located		Compliant								
	Requirement: Yes										
	Applicability: -										
	Indicator: Allowance for harm to threatened/endangered species (13) or the habitat on which they depend										
2.3.1			Compliant								
	Requirement: None										
	Applicability: All										+ + + + + + + + + + + + + + + + + + + +
	Indicator: Evidence of environmental training, compliance to regional codes of practices or implementation of environmental management plans										
2.4.1											
	Requirement: Required		Compliant								
	Applicability: All		,								
										<u> </u>	

3.1.1	Indicator: Allowance for the illegal introduction of a non-native species, pest or pathogen attributable to the farm within 10 years prior to assessment Requirement: None	Compliant						
3.1.2	Applicability: All indicator: Documentation of compliance with established protocol or evidence of following appropriate best management practices for preventing and managing disease and pest introductions with seed and/or farm equipment.	Compliant						
3.2.1	Requirement: Required Applicability: All Indicator: Excluding larval collection, evidence that purchased or collected wild seed is not harvested from an open-access, unregulated source Requirement: Required	Compliant						
3.3.1	Analicability: All Indicator: Evidence of responsible (14) introduction of non-native cultivated species Requirement: Required Analicability: All	Compliant						
3.4.1	Indicator: for hatchery produced seed, documentation of efforts made to address genetic concerns specific to species and geographic region where the seed will be out-planted Requirement: Required Applicability: All farms producing seed							
		Compliant						
3.5.1	Indicator: Allowance for farming of transgenic (15) animals Requirement: None Applicability: All	Compliant						
4.1.1	Adolicability: All indicator: Allowance for the application of mutagenic carcinogenic or tertatogenic pesticides on the farm or farmed animals Requirement: None Applicability: All	Compliant						
4.1.2	Applicability: All indicator: Allowance for the application of chemicals that persist as towns in the marine environment or on the farm or farmed animals. Requirement: None Applicability: All	Compliant						
4.1.3	Applicability All Indicators: Only non-lethal management (e.g., exchalon, deterrents and removal) of critical species (16) that are pests or predators Requirement: Yes Apolicability: All Indicators: Allowance for the use of lead line or lead	Compliant						
4.1.4	sinkers on predator netting Requirement: None Applicability: All Indicator: Allowance for the use of explosives	Compliant						
	Requirement: None Applicability: All Indicator: Evidence of waste reduction (e.g., reuse and recycling) programs	Compliant						
5.1.1	Requirement: Yes Apolicability: All Indicator: Evidence of appropriate storage and/or disposal of biological waste	Compliant						
5.1.2	Requirement: Yes Applicability: All Indicator: Evidence of appropriate storage and/or disposal of chemical and hydrocarbon wastes	Compliant						
5.1.4	Requirement: Yes Apolicability: All Indicator: Spill prevention and response plan for chemicals/hydrocarbons originating from farming operations Requirement: Required	Compliant						
	Applicability: All Indicator: Evidence of energy use monitoring relative to production and ongoing effort to improve efficiency Requirement: Yes	Compliant						
5.2.2	Applicability: All Indicator: Manitenance records for farm equipment (e.g., boats and generators) are up to date and available Requirement: Yes Applicability: All	Compliant						
					,	"	,	, '

6.1.1	Indicator: Visible floats must be of a uniform colour, except where otherwise specified by law (if applicable to growing area) Requirement: Required	Compliant						
6.1.2	Applicability. All indicator: Uniform positioning and orientation of visible farm structures, except where specified by law (if applicable to growing area) Requirement: Required	Compliant						
6.1.3	Applicability: All Indicator: Allowance for floats made out of open-cell Styrofoam Requirement: None	Compliant						
6.1.4	Acolicabilino Ali Indicator: Noice, light and odour originating from the farm are minimized in areas where it may impact others (if applicable to growing area) Requirement: Required Acolicabilitor Ali	Compliant						
6.1.5	Applicability. All indicators: Evidence of compliance with all applicable navigational rules and regulations Requirement: Required Applicability: Sea-based Farms	Compliant						
6.1.6	Apolicability: See 3-bared Firms Indicator: Documented clean-up of receiving shoreline in response to gear loss based on local conditions Requirement: Required Applicability: All	 Compliant						
6.1.7	Applicability: All indicator Substantial gear (e.g., floats, cages, baps, predator nets and racks) is identifiable to farm (if applicable to growing area) Requirement: Yes Applicability: All	Compliant						
6.1.8	Applicability. All indicators: Provision of equipment for gear recovery (e.g., scoop nets and grapple hooks) Requirement: Required Applicability. All indicators are benefit to a provision or as industrial to the provision of	Compliant						
6.1.9	Applicability: All Indicator: A michanium (e.g., insurance or an industry agreement to collect dereict goar) is in place for the decommissioning of abandoned farms Requirement: Yes Applicability: All	Compliant						
6.1.10	Applicability: All Indicator: Conflict resolution protocol, including publicly available registry of complaints and evidence of due diligence to resolve them Requirement: Required Applicability: All	Compliant						
	Indicator: Evidence of outreach (e.g., meeting records, nevaleters, consultation with communities and indigenous groups, or membership in association with documented outreach program) Requirement: Required	Compliant						
6.1.12	Applicability: All Indicator: Evidence of acknowledgment of indigenous groups' rights (if applicable to growing area) Requirement: Required	Compliant						
	Applicability: All Indicators: Incidences of child (17) labour (18) Requirement: 0 Applicability: All Indicators: Incidences of forced (21), bonded (22), or Incompletions before (22), or Incompletions (18).	Compliant						
7.2.1	compulsory labour Requirement: 0 Applicability: All Indicator: Incidences of discrimination (23)	Compliant						
7.3.1	Requirement: 0 Applicability: All Indicator: All health and safety related accidents and violations are recorded and corrective action is taken when necessary.	Compliant						
7.4.1	violations are recorded and corrective action to taken when necessary Requirement: Yes Applicability: All	Compliant						
7.4.2	Indicator: Occupational health and safety training is available for all employees Requirement: Yes	Compliant						
7.4.3	Applicability: All indicator: Employer responsibility and proof of insurance (accident or injury) for employee medical costs in a job-related accident or injury, unless otherwise covered	Compliant						
	Requirement: Yes Applicability: All							

	Indicator: Payment of fair and decent wages							
7.5.1	Requirement: Yes	Compliant						
	Applicability: All							
	Indicator: Employees have access to freedom of association and collective bargaining							
7.6.1	Requirement: Yes	Compliant						
	Applicability: All							
	Indicator: Incidences of abusive disciplinary practices occurring on the farm							
7.7.1	Requirement: 0	Compliant						
	Applicability: All							
	Indicator: Incidences, violations or abuse of working hours and overtime laws or expectations (see Appendix of the Bivalve standard v.1.1							
7.8.1	Requirement: None	Compliant						
	Applicability: All							

Metric table

Where the requirement is "None", please use 0 (zero) if requirement is met

Corresponds to ASC Bivalve standard version 1.1

Indicator No	2.1.1	2.1.2	2.2.1	2.2.2	3.1.1	1.5.1	7.1.1	7.2.1	7.3.1	7.7.1	7.8.1
Impact Category		Benthic	Other	Other	Wildlife Interactions	Wildlife Interactions	Social	Social		Social	Social
	Acceptable levels of total 'free' sulfides in surficial sediment (0-2 centimetres from the surface) measured beneath the farm in comparison to control sites. Every Year	Unacceptable levels of total free' sulfide in surficial sediment measured beneath the farm in comparison to control sites	The ratio of clearance time (CT) over retention time (RT)	the ratio of clearance time over primary production	Allowance for the illegal introduction of non-native species, pest or pathogen attributable to the farm within 10 years prior to assessment	Allowance for farming of transgenic animals		Incidences of forced, bonded, or compulsory labour	Incidences of discrimination	abusive disciplinary	Incidences, violations or abuse of working hours and overtime laws or expectations
Requirement/ Site ID											
0											

y or standard Non Conformities (NC.) NC Type NC Totals
Salmon Major 0
Version: 1.3 Minor 0

C Code Indicato (CAB) Numbe	or Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/u sed	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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Corresponds to ASC Abalone standard version 1.1																			
													Proposed by UoC and accepted by CAB		Proposed by UoC and accepted by CAB				
Indicator Number	Indicator Text	Audit Evidence	Indicator	Description, justification and conclusion for the evaluation decision	Date of NC detection	Doubling for NC close out	Actual date of close out	NC Status	VR submitted Status o	submitted	VR used	Q&A submitted/used	CAD	Proposed by UoC and accepted by CAB NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out Note	
Number	Indicator: Evidence of	Audit Evidence	evaluation	evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VK Submitted	VR	vr used	submitted/used	analysis	NC correction	action	Auditor evaluation	extension justification	New deadline for NC close-out Not	25
	compliance with all applicable legal requirements and																		
	compliance with all applicable legal requirements and regulations where the farming operation is located (e.g., permits,																		
1.1.1	licences, evidence of lease, concessions and rights to land and/or water use)		Compliant																
	and/or water use) Requirement: Yes																		
	Applicability: All Indicator: Where not otherwise mandated by local law or covered																		
	mandated by local law or covered by recognized environmental impact assessments permitting the farming activity, evidence																		
	proving no significant adverse effects on		Compliant																
2.1.1	threatened/endangered species (1) or the habitat on which they		Compilant																
	depend. Requirement: Yes																		
	Applicability: All Indicator: Acceptable levels of total 'free' sulfide in surficial sediment (0-2 centimetres from the surface) measured beneath																		
	sediment (0-2 centimetres from the surface) measured beneath sea-based farms																		
	Requirement: < 1500 uM																		
2.2.1	monitoring every five years (2) is required		Compliant																
	≥ 1500 µM and ≤ 3000 µM,																		
	monitoring every year is required Applicability: Sea-based Farms																		
	on Depositional Substrate Indicator: Unacceptable levels of																		
	total 'free' sulfide in surficial sediment measured beneath sea- based farms																		
2.2.2	Requirement: ≥ 3000 µM		Compliant																
	Applicability: Sea-based Farms																		
	on Depositional Substrate Indicator: Sulfide analysis may be																		
	replaced by direct analysis of benthic community structure (i.e., infaunal surveys) in areas where this biotic approach is preferred																		
	this biotic approach is preferred by the applicant or is already mandated by a regulatory body																		
2.2.3	mandated by a regulatory body (3)		Compliant																
	Requirement: Yes																		
	Applicability: Sea-based Farms on Depositional Substrate Indicator: Allowance for sea-																		
	Indicator: Allowance for sea- based farming of abalone over																		
	based farming of abalone over areas that provide a particularly significant or essential biological or ecological function within the																		
2.2.4	or ecological function within the broader ecosystem (4)		Compliant																
	Requirement: None																		
	Applicability: Sea-based Farms on Depositional Substrate Indicator: Observable																		
	detrimental accumulation of sediment underneath the farm																		
2.3.1	compared to a control site based on bottom video		Compliant																
	Requirement: None																		
	Applicability: Sea-based Farms on Hard or Rocky Substrate Indicator: Annual median																		
	Indicator: Annual median concentration (5) of total ammonia nitrogen in effluent or																		
	ammonia nitrogen in effluent or receiving water beyond mixing zone (6)																		
2.4.1	Requirement: <0.6 mg/L																		
	Applicability: Land-based Farms		Compliant																
	Indicator: Annual median																		-
	Indicator: Annual median concentration (7) of total suspended solids in effluent compared to influent measured in the outflow or in the receiving water beyond zone of initial dilution (8)																		
2.4.2	the outflow or in the receiving water beyond zone of initial		Compliant																
	Requirement: <5 mg/L Applicability: Land-based Farms																		
	Applicability: Land-based Farms Indicator: Evidence that all chemicals used on the farm that are discharged to effluent are recorded and quantified																		
2.4.3	are discharged to effluent are recorded and quantified		Compliant																
	Requirement: Yes																		
	Applicability: Land-based Farms						1								1				

2.5.1	Indicator: Evidence of proper disposal of chemical and hydrocarbon waste								
	Requirement: Yes Applicability: All	Compliant							
2.6.1	Indicator: Evidence of proper disposal of biological waste Requirement: Yes	Compliant							
	Applicability: All Indicator: Documented protocol and evidence of best management practices to minimize escapes (e.g., removal of escapees from channels, drains and settlement ponds)								
3.1.1	minimize escapes (e.g., removal of escapees from channels, drains and settlement ponds) Requirement: Yes	Compliant							
	Anolicability: All Indicator: Sea-based farm seed originates from native wild broodstock (not selectively bred animals) in situations where the wild population is threatened or endangered								
	Requirement: Yes	Compliant							
	Applicability: Sea-based Farms Indicator: For farm-to-farm translocations: batch certification using diagnostic tests capable of								
3.3.1	Anolicability: Sea-based Farms Indicator: For farm-to-farm translocations: batch certification using diagnostic tests capable of detecting all diseases and parasites/pests of concern (9) followed by minimum eight-week solatation (not mixed with other animals on the farm) (10)	Compliant							
	Requirement: Required Applicability: All Indicator: For wild- to-farm translocations: an eight- week								
3.3.2	translocations: an eight- week minimum biosecure quarantine (treated effluent) with cohabitation and disease surveillance (11) Requirement: Required	Compliant							
	Applicability: All Indicator: Evidence of responsible (12) introduction of non-native abalone species								
3.4.1	Requirement: Required Applicability: All	Compliant							
3.5.1	Indicator: Allowance for farming of transgenic (13) abalone	Compliant							
	Requirement: None Applicability: All								
	Indicator: Evidence of compliance with a documented protocol for health surveillance and disease response (minimum requirements are found in Appendix I of the Abalone standard v.1.1).								
	Requirement: Yes	Compliant							
	Anolicability: All Indicator: Evidence that all equipment and clothing from other abalone farms or seafood processors are disinfected before being bought onto a farm								
	Requirement: Yes	Compliant							
	Applicability: All Indicator: Evidence that access to farmed abalone by birds and other animal vectors is minimized (e.g., indoor grow out units, fencing, netting and deterrents)	Compliant							
	Requirement: Yes Applicability: All Indicator: Allowance for the prophylactic use of antibiotics								
4.1.4	Requirement: None	Compliant							
4.1.5	Applicability: All Indicator: Where farms use fresh seaweed, it must be locally sourced Requirement: Yes	Compliant							
	Applicability: All Indicator: Documented evidence that wild seaweed used for abalone feed is obtained from a regulated or recognized well- managed resource								
5.1.1	managed resource Requirement: Yes Applicability: All	Compliant							
			,		.1				

5.2.1	Indicator: Forage Fish Equivalency Ratio (FFER) for abslone fed manufactured feed Requirement: <1 (14) Applicability: All	Compliant							
5.2.2	Applicability: All Indicator: 950% of fish meal and fash of component in feed originates from fisheries deemed sustainable by an ISEAL compliant certification scheme for sustainable for gag fisheries Requirement: Yes, within five years of commercial availability in the farming region	Compliant							
5.3.1	Applicability: All Indicator: Evidence of proper disposal of waste and presence of recycling programs Requirement: Yes Applicability: All	Compliant							
5.4.1	Applicability: All Indicator: Records of energy use on farm per ton of production Requirement: Yes	Compliant							
	Applicability: All Indicator: Evidence of energy audit or in-house assessment if independent auditors are not nationally available Requirement: Yes	Compliant							
5.5.1	Recuirement: Yes Indicator: Records of reticulated freshwater used on farm Requirement: Yes Applicability: All	Compliant							
6.1.1	Apolicability: All Indicator: Rights of access to public resources are maintained Requirement: Yes	Compliant							
6.1.2	Applicability: All Indicator: Evidence of compliance with all applicable navigational rules and regulations Requirement: Yes	Compliant							
	Applicability: Sea-based Farms Indicator: Documented complaints response protocol that includes at a minimum, a registry of complaints and appropriate responses Requirement: Yes Applicability: All	Compliant							
7.1.1	Applicability: All Indicator: Incidences of child (15) Iabour (16) Requirement: 0	Compliant							
7.2.1	Applicability: All Indicator: Incidences of forced (17), bonded (18), or compulsory labour Requirement: 0	Compliant							
7.3.1	Applicability: All Indicator: Incidences of discrimination (19) Requirement: 0 Applicability: All	Compliant							
	Applicability: All Indicator: All health and safety related accidents and violations are recorded, and corrective action is taken when necessary Requirement: Yes	Compliant							
7.4.2	Applicability: All Indicator: Occupational health and safety training is available for all employees Requirement: Yes	Compliant							
	Applicability: All Indicator: Employer responsibility and proof of insurance (accident or injury) for employee medical costs in a job- related accident or injury, unless otherwise covered Requirement: Yes	Compliant							
7.5.1	Applicability: All Indicator: Payment of fair and decent wages Requirement: Yes	Compliant							
7.0.1	Applicability: All Indicator: Employees have access to freedom of association and collective bargaining Requirement: Yes	Compliant							
7.7.1	Applicability: All Indicator: Incidences of abusive disciplinary practices occurring on the farm Requirement: 0 Applicability: All	Compliant							

Indicator incidences of violations or diversing Nours and overtice base or expectations

Compliant

Requirement. None

Metric table Where the requirement is "None", please use 0 (zero) if requirement is met

Corresponds to ASC Abalone standard version 1.1

Indicator No	2.2.1	2.4.1	2.4.2	5.2.1	7.1.1	7.2.1	7.3.1	7.7.1	7.8.1
Impact Category	Benthic	Water Quality	Water Quality	Feed	Social	Social	Social	Social	Social
	Acceptable levels of total 'free' sulphides in	Annual median concentration of total	Annual median concentration of total	Forage Fish Equivalency		Incidences of forced,		Incidences of abusive	
	surficial sediment (0-2 centimetres from the	ammonia nitrogen in effluent or	suspended solids in effluent compared to	Ratio (FFER) for abalone		bonded, or		disciplinary practices	of working hours
Indicator Text	surface) measured every year beneath sea-based	receiving water beyond mixing zone in	influent measured in the outflow or in the	fed manufactured feed		compulsory labour		occurring on the farm	
	farm. (in μM)	mg/L	receiving water beyond zone of initial						expectations
			dilution mg/L						
Requirement/ Site									
ID									
0									

Summary of Standard Non Conformities (NC)

Major Minor Total Standard: Salmon 1.3 Version:

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automa

NC Cod (CAB)	e Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/u sed	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes	
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Proposed by UoC and accepted by CAB roposed by UoC and accepted by CAB Proposed by UoC and accepted by CAB Compliant Compliant Compliant Compliant Indicator: Chlorophyll a concentratio limit in receiving waters (8) Requirement: ≤ 4.0 µg/L Compliant

	Indicator: Receiving water quality monitoring matrix completed and validated (Appendix II of the Tilapia standard v.l. 2) Requirement: Yes (6 months data, presuidit, required)						
2.5.1	audit, required) Applicability: All Farms, Farm-Wide	Compliant					
26.1	Indicator: Hectares of allowable wetland (9) conversion since 1999 (10) Requirement: 0 ha	Compliant					
3.1.1	Applicability: All Farms: Farm:Wide Indicator: The total amount of phosphorus added to the culture system per metric ton of fish produced per year. Use equations from the Appendix of the Tilapia standard v.1.2 Regul	Compliant					
	Applicability: All Farms, Unit of Certification Only Clients may omit/delete pricing details from ourchase documents. Indicator: The total amount of phosphorus released from the culture system per metric ton of fith produced						
312	Clients may omel/delete pricing details. Medicates: The class moves of ophosphorus released from the culture system per metric ton of fish produced per year. Phosphorus losating will be the property of the	Compliant					
312	Clients may omer/pleting per cong details. Times much was been processed in the continues of the postuporus relations of the medium production are related from the culture system per metric ton of fish produced per par in Proposition classification and per part in Proposition classification and per part in Chapter classification and produced per per per consequent in Chapter of the Proposition of the Proposi	Compliant					
	Certification Only Clients may omit/delete pricing details room auchas documents of the common and common of the common and common of the common of the common of the common of the collete system. Use equations from the Appendix of the Tilipia standard v.1.2 Requirement: Measured in ig nitrogen/mit fish/year						
313	nitrogen/mt tich/year Applicability. All Farms, Unit of Certification Only Cleints may omit/delete pricing details from purchase documents. Midicator: Calculation and verification of the total amount of nitrogen released from the farming activity. Use equations from Appendix III of the Tilapus standard *1.3.	Compliant					
	Requirement: Measured in ig nitragen/int fish/year Applicability: All Farms, Unit of Certification Only Clients may omit/delete pricing details from purchase documents.	Compliant					
321	Indicator: Percent change in specific conductance of resolvator from a drilled well at the time of eliming and be time of audit. This is required when freshwater from a drilled whit is are used in combination with braichin fundamental ways and the secondary of the state of the conductance less than having a specific conductance less than 1,300 pulcon. Requirement: 5 10 % Applicability. Only farms where braiching water is used for thisp couldnry. Farms	Compliant					
411	Applicability: Only farms where bracking water is used for tilegae culture, Farm Wide Medical Presence of net mesh or grisifyzeren, jarrens on niets and outlets of culture vessels (e.g., tabas, ponds and raceway), and mesh on all netted confinement units (e.g., cages and netter confinement units) (e.g., cages and neptoundments), appropriately sized to retain the stocked fish. Requirement: Yes	Compliant					
412	Anolicability: All Farms: Farm-Wide Indicator: Presence of net mesh, or grills/screens and permanent barrier inspection register recording dates, findings and actions taken, including miligation or fish containment structure repairs Requirement: Yes	Compliant					
413	Applicability: All Farms, Farm-Wide Indicator: Presence of trapping devices placed in effluent/dranage canals or in between cages to sample for escapes, and a record of findings and actions taken Requirement: Yes	Compliant					

Indicator: It regard relation systems, the management determines the factor of the cape and the abstract of the cape is placed. 4.1.4 Compliant	
Requirement: 2 3.0 m Applicability: Cago systems only, Farm-	
Modular: The minimum percentage of make or series from the control of the control	
Association Annual Form From Wide Medicate. Presence and evidence of use of of the trapport container that have no exappe path for faith Compliant.	
4.21 Compart Registement: You	
Applicability All Form, Form Wide Indicator, Navance for the culture of transport larger 4.3.1 Complaint Complaint	
Assignation for the Complete C	
Control Control	
A Registerator to 10 (four blood) Advantage of the register to 10 (four blood) Advantage of the register to 10 (four blood) Solid to 10 (four blood) Solid to 10 (four blood)	
STATE OF THE PROPERTY OF THE P	
Asplicability All Form, Sans Wide Industrier, Field Fish Equivalence Ratio (FIRE), See Appendix of the Thipps Standard 4.2.10 for led Coloration Standard 4.2.10 for led Coloration Standard 4.2.10 for led Coloration Standard 5.2.10 for led Colo	
Applicability of forms, Unit of Madatar Advances for the vie of Rahmoul and the first interest of Rahmoul and the firs	
use loads on the UION Red Livro or the posts (in manistrate by the discretion or post to international by the off configured so the international Trade of Endingered so the international Trade of Endingered so the state of the State of the International Internati	
5.12 on the strandord read or broad general Compilant Section of Wile Farms of Wile Fa	
Applicability. All farms, Unit of Certification Civil	
\$1.3 Medicates. Timeframe for producers to xource fixed containing (showaid or fash si of organizing from fash less river discoursed substability by an EAU, immediatr's Xiv:contailed contributions shower (Compilant Xiv:contailed contributions shower (Compilant Xiv:contailed contributions shower (Compilant Xiv:contailed contributions shower (Compilant Xiv:contailed (Compilant Xiv:contailed (Compilant Xiv:contai	
on or applicating internal recent or sectionics societies as societies and application of the companies of t	
Requirement: Not required (24).	
Applicability of items, text of Certification Only Medicator: Prior to sich revenuent of \$1.3.2.	
Medicate Total purificacione del 5.1.3. Million and the state of the	
Appearance of men insight scanners of LTZ for englanation of finitionure strong	
Registrations: 2.6 Unit to including to the state. states of all or an IAA in the state. states callinging	
Applicability: All Farms, Unit of S.1.4 Certification Only Compliant	
Indicator: Producers to provide evidence of a contract of the description of the contract of the description of the contract of the description of	
Sedigate Particular Segurity particip policies if perferented accuracy for lond products from feed manufacturem that have a sustainable sourcing policy for feed ingredient, and for accessing of feed ingredient. Security of feed ingredients. 2.3.1 Consists Consists	
S.2.1 Complant Requirement: You	
Applicability. All forms, Unit of Central Control Con	
531	
Nedicator: Identification of the energy nearward and calculation and werifications of of studies desirency and as if the Laufer Ecology of the Laufer Control of the Control of the Control of the Laufer Control of the	
of total energy used at the unitare facility Registerment: Measured in Microplant in	
fish/year Applicability: All Farms, Farm Wide	
Indicator Percent recovery of this discholar large and the property of the discholar large and the property of the the property of the discholar large and the discholar large	
Regularment: 5 05	
Agencability. All prime, Unit of Gentification Only 6.1.2 Compilant	
Compilant	

62.1	Indicator: Allowance for the use of chemicals and therapeutants for disease and pest control that are banned in the importing or producing country Requirement: None Acolicability: All Farms: Farm: Wide	Compliant					
6.2.2	Indicator: Allowance for the prophylactic use of antibiotics, prior to any evidence of a disease problem	Compliant					
6.2.3	Requirement: ≥ 48 hours	Compliant					
62.4	Acolicability: All Farms, Farm-Wide Indicator: Health records proving all therapeutants were used or are being used as prescribed by a veterinary or accredited fish health professional Requirement Yes Applicability: All Farms, Farm-Wide Indicator: Calculation and verification of	Compliant					
6.2.5	active ingredient of individual antibiotic/mt of fish produced/year	Compliant					
6.2.6	Applicability: All Farms, Farm Wide Indicators Allowance for the use of antiblotics critical for human medicine, as creeporteed by the World Health Organization (15) Requirement: None Applicability: All Farms	Compliant					
6.2.7	Analizability, all Firms Indicator Number of treatments (16) of antibiotics over the most recent production cycle Requirement: 3 Analizability, all Firms Indicator: Presence of records demonstrating that fish mortalities are removed constanting that fish mortalities are removed constanting that fish mortalities are removed constanting that fish mortalities are	Compliant					
63.1	basis Requirement: Yes	Compliant					
632	Requirement: Yes	Compliant					
6.4.1	of disease resistance by ensuring responsible therapeutant use Requirement: Yes	Compliant					
7.1.1		Compliant					
7.2.1	Applicability All Farms, Farm-Wide Indicator: Number of incidences of forced (19), bonded (20) or compulsory labour Requirement: 0 (zero) Indicator: Number of incidences of discrimination (21)	Compliant					
7.3.1	discrimination (21) Requirement: 0 (zero) Applicability: All Farms, Farm-Wide Indicator: Evidence of proactive anti-	Compliant					
7.3.2	discrimination practice	Compliant					
7.4.1	Requirement: 100 %	Compliant					
7.4.2	Requirement: 100 %	Compliant					
7.43	Requirement: 100 %	Compliant					
7.5.1	Applicability: All Farms, Farm-Wilde Indicator: The percentage of employees who are paid fair and decent wages Requirement: 100 % Applicability: All Farms, Farm-Wilde	Compliant					
				,			

Market School of April A		Indicator: Incidences of abuse of working									
Manual and common and		hours and/or overtime laws									
Marked Ma	7.5.2	Requirement: () (seco)		Compliant							
AND STATE OF THE PROPERTY OF T											
AND STATE OF THE PROPERTY OF T		Applicability: All Farms, Farm-Wide									
Sequence from the control of the con		Indicator: Incidences of employees depled freedom to associate ability to									
Sequence from the control of the con		bargain collectively (22) or denied access									
Segment from Control C	761	to representative(s) chosen by workers		Compliant							
And Andrew Control Con		Regultement: (1 (1970)									
Montane for the control of the contr											
Montane for the control of the contr		Applicability: All Farms, Farm-Wide									
Married (1904) Marr											
New Processor Common Co		disciplinary actions									
Machine Control of Landon Region 10 101 102 103 103 104 104 105 105 105 105 105 105	7.7.1	Requirement: 0 (zero)		Compliant							
Machine Control of Landon Region 10 101 102 103 103 104 104 105 105 105 105 105 105		Analisabilities All Course Cours Milida									
Name of the control o		Indicator: Evidence of non-abusive									
March		disciplinary policies and procedures									
Market State Comments of the C		Barrier No.		Compliant							
Management in a second control of the second											
Management in a second control of the second	7.7.2	Applicability: All Farms, Farm-Wide									
Management in a second control of the second		a corrective action plan (undated			1						
Management in a second control of the second		annually) that addresses unintended			1						
In address from the control of the c		problems associated with labour			1						
In address from the control of the c		relations and internal monitoring of labour activities		Compliant	1						
Applies frame price with the control of the control											
Makes Control of September 1997 Appliance To The Control of Septe		Requirement: Yes									
Makes Control of September 1997 Appliance To The Control of Septe											
and the original control and c	7.8.1	Indicator: Evidence of implementation of									
Registrate (1)	an emergency action plan and annual (or										
Registered: To Applicate Control Contr		more frequent) internal monitoring									
Application of the control of the co		activities		Compliant							
Experience in the control of the con		Requirement: Yes									
Experience in the control of the con		Analisabilities All Course Cours Milida									
Experience in the control of the con	7.5.2	Indicator: Evidence of implementation of									
Experience in the control of the con		a verifiable conflict resolution policy for									
registration and product the months plant and plant plant and plant plant and plant plant plant and plant plan		conflicts and complaints tracked									
segment to the mode after segment to the control after segment to the cont		and complaints from employees are									
Application of the control of the co		responded to within three months after		Compliant							
Application of a patient process of the patient of		being received									
Registrating of iron. From Works PAGE Applicability of iron. From Works Wildling or residure, bodies controlled		Requirement: Yes									
Registrating of iron. From Works PAGE Applicability of iron. From Works Wildling or residure, bodies controlled					1						
Registrating of iron. From Works PAGE Applicability of iron. From Works Wildling or residure, bodies controlled	7.8.3	Applicability: All Farms, Farm-Wide Indicator: Evidence that living conditions									
Registrating of iron. From Works PAGE Applicability of iron. From Works Wildling or residure, bodies controlled		are clean, sanitary and safe for habitation			1						
### A page and the page of the				Compliant	1						
withhing or restoring food community access to public fooding grounds Registerment. Yes TASS Augliculating: all forms from Wide Madaratic fooding and forms from Wide Madaratic fooding of imprimentation of Madaratic fooding of Madaratic fooding of Madaratic fooding of Madaratic fooding of M		Requirement: Yes			1						
withhing or restoring food community access to public fooding grounds Registerment. Yes TASS Augliculating: all forms from Wide Madaratic fooding and forms from Wide Madaratic fooding of imprimentation of Madaratic fooding of Madaratic fooding of Madaratic fooding of Madaratic fooding of M	7.9.1	Applicability: All Farms, Farm-Wide			<u> </u>			 		 	
access to palic funds used. Registerment: Yes Application of the rest of arm funds with the register of arm funds with the		Indicator: Evidence that farms are not	-								
Requirement: Yes Applicability of the row, Fern Votes Medicate: Notice of implementation of a second or plant of the control of a second or plant or possible or plant or possible or plant or					1						
Regularement: Yes Applicability of larms from sinch Applicability of larms from sinc		resources or public fishing grounds		Compliant	1						
Applicability: All premy from Wide Bodactar: Contract of Implementation or all sold actives and composition streams for sold actives and composition streams for transparently, and poor float conflicts and composition from composition for required to which there months after Burg recoved Regularment. Yes				Compliant							
conflicts and comparints tracked to recognize the conflict of					1						
conflicts and comparints tracked to recognize the conflict of	7.10.1	Applicability: All Farms, Farm-Wide									
conflicts and comparints tracked to recognize the conflict of		Indicator: Evidence of implementation of	·					 ·	· ·		1
It ransparently, and poor that conflicts and complaints from consensates are required to switch their months after Butty record Regularment. Yes		conflicts and complaints tracked			1						
Briggerennet: Yes		transparently, and proof that conflicts			1						
Briggerennet: Yes		and complaints from communities are			1						
Registrance: Yor		being received		Compliant	1						
					1						
7:02 Applicability: All Ferms, Farm Wide		Requirement: Yes			1						
	7102	Applicability: All Farms Farm-Wide			1						
		The state of the s									

Metric table Where the requirement is "None", please use 0 (zero) if requirement is met

Corresponds to ASC Tilapia standard version 1.2

Indicator No	6.2.1	6.2.2	6.2.3	6.2.6	6.2.7	3.1.1	5.1.1	5.1.2
Impact Category	Chemicals/therapeutants	Chemicals/therapeutants	Chemicals/therapeutants	Chemicals/therapeutants	Chemicals/therapeutants	Feed	Feed	feed
Indicator Text	Allowance for the use of chemicals and therapeutants for disease and pest control that are banned in the importing or producing country			Allowance for the use of antibiotics critical for human medicine, as categorized by the World Health Organization	Number of treatments of antibiotics over the most recent production cycle	The total amount of phosphorus added to the culture system per metric ton of fish produced per year. Use equations from Appendix III. (in Kg)	IV for feed calculations.	Allowance for the use of fishmeal and fish oil in tilapia feed containing products from fisheries that are listed on the IUCN's Red List or the species list maintained by the Convention on the International Trade of Endangered Species of Wild Fauna and Flora
Requirement/ Site ID								
	0							

2 3	2 3	*	50 51 52	×	2 3	*	1 0 -1	*	25 24 23	**	0.6 0.5 0.4	2 3

	5.1.4a	5.1.4b	6.1.1	2.6.1	4.1.4	4.1.5	2.3.1	2.4.1	2.4.3
	Feed		Mortality/survival/escapes	other	other	other	Water quality	Water quality	Water quality
	Prior to achievement of 5.1.3, the average FishSource			Hectares of allowable wetland conversion since 1999				Secchi disk visibility limit above which	Total phosphorus concentration
	core characterizing the fishery(ies) from which the	score characterizing the fishery(ies) from which the	production stages after they	(in Ha)	between the bottom of the cage and the bottom of	males or sterile fish in a culture	oxygen of receiving	production is not certifiable (in Meters)	limit in receiving waters (in µg/L)
	ishmeal or fish oil is derived. See Appendix V for	fishmeal or fish oil is derived. See Appendix V for	have attained a size of 100 grams		the receiving water where the cage is placed (in m)	unit	waters relative to dissolved oxygen at		
	explanation of FishSource scoring. (With no individual	explanation of FishSource scoring. (in stock assessment					saturation for the water's specific		
	core)	category)					salinity and temperature		
ı									
Į									

2.4.4	3.1.2a	3.2.1b	4.4.2	7.1.1	7.2.1	7.3.1	7.5.2	7.6.1	7.7.1	7.4.1
Water quality	Water quality	Water quality	Wildlife	Social	Social	Social	Social	Social	Social	Social
			interactions							
Chlorophyll a concentration limit	The total amount of phosphorus released from the culture	Percent change in specific conductance of freshwater from a drilled well at the time of drilling and	Mortality of	Number of	Number of	Number of incidences	Incidences of abuse of	Incidences of employees denied freedom to	Incidences of abusive	Percentage of workers
in receiving waters (in µg/L)	system per metric ton of fish produced per year. Phosphorus loading will be either	the time of audit. This is required when freshwater wells are used in combination with brackish	IUCN red listed	incidences of	incidences of forced	, of discrimination	working hours and/or	associate, ability to	disciplinary actions	trained in health and
	calculated using equations from Appendix III or measured in effluent if there is post-	surface water for the culture of tilapia. Freshwater aquifers are defined as having a specific	species	child labour	bonded or		overtime laws	bargain collectively or denied access to		safety practices/
	culture treatment. (in Kg)	conductance less than 1,300 μS/cm.			compulsory			representative(s) chosen by		procedures/ policies
					labour			workers		

X 6 X 22 X 12 X 2 X 7 X 23 X 13 X 3 X 8 X 24 X 14

7.4.2	7.4.3	7.5.1
	Social	Social
Percentage of health- and safe related accidents and violation recorded and mitigated throug corrective actions	insurance (accident/ injury for employee	The percentage of employees who are paid fair and decent wages

Summary of Standard Non Conformities (NC)

y or standard Non Conformities (NC.) NC Type NC Totals
Salmon Major 0
Version: 1.3 Minor 0

C Code Indicato (CAB) Numbe	or Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/u sed	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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Indicates	Description, justification and conclusion for Status of submitted							084	Proposed by UoC and accepted by CAB			New deadling for NC		
Number	Indicator Text Indicator: Compliance with local and national laws or	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection Deadline for NC close-out	Actual date of close-out	NC Status VR submitted	VR VR	VR used	Q&A submitted/used	Root cause analysis	NC correction NC Corrective action	Auditor evaluation	Extension justification New deadline for NC dose-out Notes
	regulation Requirement: Proofs of permits or other relevant documentation available for applicable regulations		Compliant											
1.1.2	Anoticability: All Indicability: Transparency on legal compliance Requirement: Government-issued operational permits and ilcenses are publicly available one month after request		Compliant											
	Acolicability: All Indicator: Farm owners shall commission a participatory B-EIA and disseminate results and outcomes openly in locally appropriate language. The B-EIA process and document must follow the outline in Appendix of the													
2.1.1	Shrimp standard v.1.1 Requirement: Report available and compiles to B-EIA Appendix I of the Shrimp standard v.1.1 process Anolicability: Ali Indicator: Allowance for siting in Protected Areas		Compliant											
	Melicator: Allowatice for strings in involuced an east. PARQ (1) Requirement: None, except within PAs with IUON category V if the farming system is regarded as variational land use (2), or category V in the farm was built legally prior to the delegation of the PA and in built cause; bit compliance with the insulagement objectives and plan of the PA, and shrings farming is no more than 25% for the built PA area?		Compliant											
	Applicability: All Indicator: Allowance for sitting in mangrove ecosystems (4) and other natural wetlands (5), or areas of ecological importance as determined by the B-EIA or national/state/local authority plans/list													
	Requirement. Now for form built (with or without control all the Ny 1991, recept for results a thorough and interfacilities causin provided they have been controlled by a Ministries and an equation area is rehabilistated (ii) as composation. For forms built or permitted other or Ny 1991, framers are required to composated offers impact to enhabilitation as determined by the 51th, or the managination for determined by the 51th, or the managination determined by the 51th, or the managination whichever is greatly (i)		Compliant											
	Acolicability: All Indicator: Allowance for siting farms (8) in critical habitats of endangered species (9) as defined by the IUCN Red List, national listing processes (10) or other													
2.3.1	official lists (11) Requirement: None Applicability: All Indicator: Maintain habitats critical for endangered species within farm boundaries and implement protection measures of such areas		Compliant											
2.3.2	Regulrement: Implement protection measures of habitats identified by the 8-EIA process (12) Applicability. All Indicator: Coastal barriers: Minimum permanent barrier (or natural) between farm and marine environment; 14		Compliant											
	Requirement: As defined in legislation at the time of construction, or as determined by the B-EIA, or following the indications in the Guidance below, whichever is greater		Compliant											
2.4.2	Acolicability. All Acolicability Spiratio before. Molemum width of permanent entire and natural segetation between farms and natural aspaticify breakth environments. Requirement: As defined in national segilation at the time of construction, or as determined is necessary by the BEA or downing the indications given in the Guidance below, whichever is greater		Compliant											
2.4.3	Anolicability: All Indicator: Cordions: Minimum width of permanent native and natural vegetation through farms to provide human or native wildlife movement across agricultural landscapes Requirement: As defined in national legislation at the time of construction are determined more and the time of construction are determined more are the time of construction.		Compliant											
	Requirement: As defined in national legislation at the time of construction, or as determined necessary for wildlife by the ELR, or access tosus electrified during B-ELR/p-SLA. Needs for wildlife movement identified to the ELR/p-SLA. Needs for will be also support to the ELR/p-SLA. Needs fo													
2.5.1	Requirement: None Applicability: Farms located in or connected to natural freshwater bodies Indicator: Allowance for the use of fresh		Compliant											
2.5.2	groundwater in ponds Requirement: None Applicability: All Indicator: Water-specific conductance or chloride concentration in frashwater wells used by the farm or located on adjacent properties (15)		Compliant											
	Requirement: For all freshwater wells (identified prior to full assessment), specific conductance may not exceed 1,500 ps/mand/or chloride concentration may not exceed 300 mg/L (16)		Compliant											
	Applicability. All well water. This criteria applies only to well water and does not apply to surface water. Farms located mark freshwater wells or abstraction ferbuwater from the archival indicator. Soil specific conductance or chloride concentration in adjacent land ecosystems and agricultural fields (17, 18)													
2.5.4	Requirement: No net increase when compared to the first year of monitoring Asolicability: All farms		Compliant											

	Indicator: Specific conductance or chloride concentration of sediment prior to disposal outside						
	the farm						
2.5.5	Requirement: The specific conductance or chloride concentration values must not exceed those of the soil in the disposal area (19)	Compliant					
	Applicability of forms included a participation and commission or included a participation point income and autocome control to participation point included an control to cold (200 per a) and a control to cold (200 per a) and a control to cold (200 per a) and a control to cold (200 per a) and a participation (300 per a) and cold (200 per a) a participation (300 per a) and cold (200 per a) a participation (300 per a) and (200 per a) a participation (300 per a) and (300 per a) a participation (300 per a) and (300 per a) a participation (300 per a) a						
	undertake a participatory Social Impact Assessment (p-SIA) (20) and disseminate results and outcome openly in locally appropriate Japanace Local						
	government and at least one civil society organization chosen by the community shall have a copy of this						
	document. The p-SIA process and document includes a participatory (shared) impact and risk analysis with surrounding communities and stakeholders (21). The						
3.1.1	participatory element (community input and response) is visibly included in the report. Outcomes as agreed between farm and surrounding community.	Compliant					
	on how to manage risks and impacts are included in the report						
	Requirement: The p-SIA report adheres to the steps outlined in Appendix of the Shrimp standard v.1.1; is						
	Requirement: The p-SIA report adheres to the steps outlined in Appendix of the Shrimp standard v1.1; is available in the local government, the community and through the chosen community civil organization; and the report list dates of meetings and names of participants						
	Indicator: Farm cowners shall develop and apply a verifiable conflict resolution poley for local communities. The policy shall state how conflicts identified in the p-SIA and new complaints will be tracked transparently, how third party mediation can be part of the process and explain how to respond to all received complaints. Complaint boxes, complaint registers and complaints acknowledgement receipts (in local language(s)) are used						
	new complaints will be tracked transparently, how third party mediation can be part of the process and						
3.2.1	explain how to respond to all received complaints. Complaint boxes, complaint registers and complaint acknowledgement receipts (in local language(s)) are	Compliant					
	used Requirement: Completed						
	Anolicability, III indicators, research of conflict (22) or dispute are recorded and shared among farm, local government and surrounding community representatives. At least 50% of the conflicts shall be resolved (23) within one year from the date of being filed, and a strail of 75% in the period between two successive audits.						
3.2.2	year from the date of being filed, and a total of 75% In the period between two successive audits	Compliant					
	Requirement: Completed						
	Acolicability: All Indicator: Farms shall document evidence of advertising positions to people living within daily traveling distance from the farm before hiring people who cannot travel to and from home on a daily basis						
	traveling distance from the farm before hiring people who cannot travel to and from home on a daily basis (24)						
3.3.1	Produced Book of Standard Colored	Compliant					
	Requirement: Proof of dated job opening advertisements in surrounding villages, by means of either/or signposts, billboards or ads in local magazines or newspapers	Compilant					
	magazines or newspapers Applicability: Medium and large scale farms: those who hire more than one permanent worker, non local						
	worker Indicator: Justifications for employment of each						
	worker are available, and based on profile and merits (skills, experience or CV in the case of hired migrant worker)						
	Requirement: Written and dated records of						
3.3.2	stating whether they are from an outside community or from the local area. Records must also state	Compliant					
	Requirement: Written and dated records of applications and interviews with applicants, including stating whether they are from an outside community or from the local area. Records must also state reasons for successful or insuccessful applications. Name and contact details of applicants will make verification possible						
	Applicability: Medium and large scale farms: those who hire more than one permanent worker, non local						
	worker Indicator: Written contract agreements						
3.4.1	Requirement: The contracts are written in an appropriate language (25), and co-signed copies are kept by both parties	Compliant					
	Applicability: Producers practicine contract farmine Indicator: Contract provisions						
3.4.2	Requirement: The contracts comply with the Appendix III of the Shrimp standard v.1.1 on content of basic provisions to ensure that conditions of the agreement are mutually understood	Compliant					
	Applicability: Producers practicing contract farming. Indicator: Transparency and openness of						
	negotiations						
3.4.3	the contract farmers to discuss and negotiate agreements are held at least twice a year and documented. Meetings are	Compliant					
	Requirement: Meetings between the purchaser and the contract farmers to discuss and negotiate agreements are held at least twice a year and documented. Meetings are attended by at least three representatives of the farm group or cooperative. All members contributing to the supply contract must sign their agreement to the negotiated terms.						
	Indicator: Minimum age of hired workers						
4.1.1.	Requirement: 18 years of age	Compliant					
	Applicability: All Indicator: Right to full final payment and benefits						
4.2.1	Requirement: Employers will not withhold any part of employee salary, property or benefits upon the termination of employment	Compliant					
	Applicability: All Indicator: Employees have the right to keep identity documents and work permits						
4.2.2	Requirement: Hired workers are not required to surrender original identity documents with their employer upon commencing employment	Compliant					
	employer upon commencing employment Applicability: All						
	Acolicability: All Indicator: Hired workers have the freedom of movement outside working hours						
4.2.3	Requirement: Hired workers shall be free to leave the workplace and manage their resting time	Compliant					
	Applicability: All						
	ADDITIONALLY, AND			· · · · · · · · · · · · · · · · · · ·	 		

4.3.1	indicator: Anti-discrimination policy in place, including, but not limited to, how to deal with discrimination in the workplace and equal access to all pibs in relation to gender, age, origin (locals vs. including a local policy). The properties of the imagents is, race or religion, and outlining clear and transparent company procedures are to raise/file and respond to discrimination complaints. Cities and transparent company procedures are undirect to raise/file and respond to discrimination complaints carefile and respond to discrimination complaints.	Compliant						
	Requirement: Policy document is available on farm and its content is known by workers. Evidence that the procedures are in place and being used. No complaints from workers as to adherence to it Assolicability. It was a subject to the complaints of the complaints from workers as to adherence to it. It would be complaints from workers are the complaints from workers are the complaints from workers and the complaints of the c							
4.3.2	Requirement: None	Compliant						
4.3.3	Assolicability: All implications (spall) or salaries and opportunities. All implications (spall) or salaries and opportunities. All implications (spall), search or salary, shreefthin, you can of religion, resolve and any breadth, and are salary shreefthin of the salary shreefthing of the salary shreefthing of the salary shreefthing or salary shreefthing of salaries and opportunities.	Compliant						
4.3.4	indicator: Respect of maternity rights and benefits Requirement: Employers shall not test for pregnancy and shall not sanction and/or dismiss on the basis of marital status and shall guarantee legal rights to pregnancy/maternity leave Applicability: All	Compliant						
4.4.1	Applicability. All inclination. Preventing of workers trained in health and safely practice, procedure and policies relevant to the plb. Safely equipment provided and maintained and in use. Requirement: 100% of workers trained. Corollaries to disting issued by the relevant competent rational recognised training center are required for conceptable training center are required for conceptable training center are required for conceptation with more than fee employees (Di) and evidence that safely equipment is in use by workers.	Compliant						
4.4.2	Assistable: Farm with more than 5 smolowes: indicator: Monitoring accidents and incidents and corrective actions: Regularement: All job related accidents and incidents must be recorded and corrective actions must be documented and implemented Assistability: All indicator: Medical expenses coverage	Compliant						
4.4.3	Requirement: Employer must provide a proof of coverage of all expenses related to any accident/injury occurring under the responsibility of the employer when not covered under national law	Compliant						
4.5.1	Analocable to their designation applicable to their specific plants description. Many specific plants description. Requirements All plants description. Requirements All plants description works to the specific plants of the speci	Compliant						
4.5.2	Anotrachire. All Monitation: Primarianest workins are paid fair wages: Salaria, if not already at a "fair wage" level, are gradually increased in include sufficient funds for a worker's basic needs plus a discretionary increme that allows for savings and/or pension payment. Regularement: Evidence available confirming fair wages or gradual pay intex through time-series of pay spigs in farm administration and in the hands of workers.	Compliant						
4.5.3	Assistability. All information through infringement of workers' rights or wages. Requirement: In ollowarce for withholding any part or rights around the regular results of the regular results of the regular regula	Compliant						
4.5.4	Aced addito: All Indicator: There is a mechanism for setting wages and benefits (including, if applicable, the combination of pay and harvest sharing arrangements). Requirement: Destroy making criteria and processes for wage and benefit adjustments are known by all workers.	Compliant						
4.5.5	Acolicability. All indicators. Revolving labour-contract schemes designed to deny long-time workers full access to fair and equitable remuneration and other benefits Requirement: Prohibited Acolicability: All	Compliant						
	indicators Preventage of workers with access to taked unions, worker agrelations, and/or have the ability to cell foregrates and the ability to bargain collectively (230 or how access to representative(s) choses by workers without management interference, the design of the collection of the cell of the Requirement 100% of workers have access, if they to choose, to worker againstations capitate of representing them Independently from the employer. Americality, 40	Compliant						
4.6.2	Anotachite, all inclinators or worker organizations are not discriminated against by employers. Requirement: Employers shall not interfere with or penalize workers for exercising their right of representation. Anotachites, all the properties of	Compliant						
4.7.1	Acolicability: All indicator: Falmess of disciplinary measures Requirement: No instances of abuses (29) Acolicability: All	Compliant						

4.7.3	Indicator: Clear, fair and transparent disciplinary policies and procedures							
4.7.	Requirement: Evidence of documentation and communication to all workers Applicability: All Indicator: Prohibition of harassment		Compliant					
4.7.	been addressed and resolved		Compliant					
	Applicability: All Indicator: Maximum number of regular working hours: Eight hours/day or 48 hours/week (maxim average over 17 week period) including "stand by hours; with at least one full day (including two rig off in every seven-day period	m ats)						
4.8.1	Requirement: Reflected in records available on the farm and 100% compliance expressed in worker interviews (30)		Compliant					
	Applicability: All Indicator: Right to leave the farm after completio daily work duties							
4.8.1	Requirement: Evidence of freedom of movement all employees	or	Compliant					
	Applicability: All Indicator: Minimum time off from work, with the right but not the obligation to leave farm premise accommodations are on the farm, except where b the employer and employer agree that off-days cannot be accommodated on the farm	if this is the second of the s						
4.8.3	Requirement: Four full 24-hour periods per mont	h	Compliant					
	Apolicability: All Indicator: Transport provided to workers (in case where farm locations are remote) to allow worker enjoy relaxation at home, with family or in places recreation of their choosing	s to						
4.8.4	Requirement: The farm owner shall provide transport to and from the first location from whic regular public transport is available.		Compliant					
4.8.1	Applicability: All Indicator: Overtime compensation is provided Requirement: Paid at a premium rate (31) of at it 25% above the wage for normal hours	251	Compliant					
4.8.4	Apolicability: All Indicator: Overtime is voluntary, and not longer to 12 hours/week	an .	Compliant					
4.0.1	Requirement: Occasionally (not on a regular basi Applicability: All Indicator: Rights to maternity leave, including dia breaks or a reduction of hours of work to address child care needs	Y	Compilant					
4.8.			Compliant					
	guarantee to return to the job. Payment during thi period shall minimally be at the level of social insurance offered by the country							
4.9.1	Applicability: All indicator: Allowance for labour-only contracting relationships (33) or far labour-only contracting relationships (33) or false appronticeship scheme (33) including revolving / consecutive labour contracts to deny benefit accrual		Compliant					
-	Requirement: None Applicability: All Indicator: All workers have the appropriate and applicable permits for working in the country		Companie					
4.9.1			Compliant					
	Applicability: All							
	Indicator: Workers are fully aware of their employment conditions and confirmed their agreement (verbal or written). Written employme policies and procedures are required when there a more than five hired workers. Revisional Software of contract agreement for							
4.9.3	Requirement: Evidence of contract agreement for workers. Written contracts: a complete contract it filled in the office, mutually signed and copies are available to the worker. Verbal agreements: empil and worker cite consistent employment condition independent interviews	yer In	Compliant					
	Applicability: All							
	Indicator: Probation period stipulated in contract							
4.9.4	(34)		Compliant					
	Apolicability: All indicator: In subcontracting ⁽⁸⁵⁾ or home-working arrangements, the farm owner shall assure that labour laws, social security laws and ratified ILO provisions have been duly respected and complied with							
4.9.3			Compliant					
	are in accordance with laws and regulations. Anoticability: Produces a doctine subcontractine indicator: The employee ressures that all workers have access to appropriate channels of communication with managers on matters relating labour rights and working conditions.							
4.10.		2	Compliant					
	agendas and written minutes of the meetings are available Applicability: All farms with >5 workers Indicator: Percentage of issues raised by workers which are recorded, responded to and monitored							
4.10.	employer 2	Ve.	Compliant					
	Requirement: 100% Apolicability: All farms with >5 workers							

4.10.3	Indicator: Clear plan, with process actions and timeframe, is developed to address complaints, and comply with Requirement: List of complaints, corresponding action plan and timeframe for resolution is available	Compliant						
4.10.4	Applicability: All farms with >5 workers indicator: Percentage of complaint; that are resolve within three months after being received Requirement: 90%, according to the timeframe of 4.10.3	Compliant						
4.11.1	Assolicability: All farms with 3's workers Indicator: Using conditions for workers accommodated on the farm are decent and safe accommodated on the farm are decent and safe Regularement: All facilities are clean, santary, ramproof, safe and suitable for habitation. Starred quarters meet to include provisions that allow for visibility privary, such as walk, curtain or molecular standambors occurrent. Patable was an adolong facilities or catering facilities are available to all accommodated workers on the farm persons:	Compliant						
	Applicability: All Indicator: Adequate facilities for women (36)							
4.11.2	Regultement: Separate and suitable sanitary and tooler facilities are available for men and women, will be possible exception of murined couples being accommodated begither Amelicabilitie. Afforms with 55 workers fundation: Develop and maintains an operational health plan addressing: 11 participants and consumer 11 participants that can come from the surrounding environment of the farm (e.g., prosidior and vector mentionment of the farm (e.g., prosidior and vector prosiders and prosiders prosiders and prosiders	Compliant						
5.1.1	I) integrates that can be come from the submoding of controlling the come of the come of the come of the controlling of the come of the c	Compliant						
5.1.2	Acolicability: All indicator: Rittation of inlet water for minimizing the entry of pathogens Requirement: Nets, grills, screens or barriers of the appropriate mesh size (37) are present on all farm or pond inlets.	Compliant						
5.13	Associative, All Indicator: Annual average farm survival rate (18) (SR): [1] Useful and non-permanently aerated pond systems 2) Fed but non-permanently aerated pond (19) systems 3) Fed and permanently aerated pond systems Regularement: 88-25% 58-45% 58-45%	Compliant						
5.1.4	Indicator: Present of stocked post larvae (Rst bits are Specific Pathogen Free SPF) (64) for all important pathogens (42) Requirement: 100% if commercially available (43), i.e., if for any given spoces, at least 20% of the Pits stocked in the country are from SPF or 5% stocks, then the supply is deemed commercially available. If not commercially available, it is storeed for all important pathogens can be used	Compliant						
5.2.1	Anoticability All Indicator, Allowance for intentional fethal predator control of any protected, threatened or endangered species as defined by the international Union for Conservation of Nature (UCN) Red List (44) national listing processes (45), or other official lists (46) Requirement: None Anoticability: All	Compliant						
5.2.2	Indicator: Allowance for use of lead shot and select chemicals for predator control Regularement: None Applicability: All	Compliant						
5.2.3	Requirement: Yes	Compliant						
5.3.1	indicator; Allowance for use of antibiotics and medicated feed on ASC labelled products (farm can be certified but specific product receiving medicated feed will not be authorised to carry ASC label) Requirement: None Applicability: All	Compliant						
5.3.2	Assistantly, All Assistantly, All Michael Relations for the use of antibiotics, categorized as critically important by the World Haith Organization (AP) (WHO), even if authorized by the pertinent national authorities Requirement. None Applicability. All Applicability. All Ministants information on chemical storage and	Compliant						
5.3.3	urage Requirement: Records of stocks and usage are available for all products Indicator: Proper use of chemical products by farm	Compliant						
5.3.4	workers	Compliant						

	Indicator: Allowance for treating water with posticides banned or restricted by the Rotterdam Convention on Prior Informed Consent (PIC), the Stockholm Convention on Persistent Organic Pollutants (POPs) or classed as "extremely hazardows" or "highly hazardows" (classes is and lib) by the World Health Organization (WHO)							
5.3.5	Requirement: None		Compliant					
5.3.6	Applicability: All Indicator: Allowance for discharge of any hazardous chemicals without previous neutralization (38) Requirement: None Applicability: All		Compliant					
5.3.7	Acadeabity. All indicates a strains excluding the use of fermented product to seed further batches Requirement: Only problotic products approved by the appropriate competent authorities can be used		Compliant					
	Applicability: All Indicator: Use of non-indigenous shrimp species (39) Requirement: Allowed, provided it is in commercial production locally (40) AND there is no evidence (41) of establishment or impact on adjacent ecosystems.							
6.1.1	Requirement: Allowed, provided it is in commercial production locally (40) AND there is no evidence (41) of establishment or impact an adjacent ecosystems by that species AND there is documentation (hatcher permits, import incense, etc.) that demonstrates complaince with introduction procedures as identified by region, astonial and international importation guidelines (e.g., OTE and ICES (42))	Y	Compliant					
	Moderation Associations of the Committee							
6.1.2	height and construction to prevent breaching in exceptional flood event (43) C. Regular, timely inspections are performed and recorded in a permanent register D. Timely repairs to the system are recorded E. Installation and management of transing devices to		Compliant					
	Requirement: Yes							
6.1.3	Applicability: All Indicator: Escapes and actions taken to prevent reoccurrence: Requirement: Records are available for inspection Applicability: All		Compliant					
6.2.1	Acolirability All Indicator: FL and broodstock have appropriate disease free status and sources meet regional, national and international importation guidelines (e.g., OIE and ICES) Requirement: Documentation provided		Compliant					
	Requirement: Documentation provided demonstrating compliance within two years of standard's publication date for wild monodon broodstock sourced locally, applicable immediately in all other cases Applicability: All							
6.2.2	Applicability: All Indicator: Percent of total post larvae from closed loop hatchery (i.e., farm-raised broodstock) Requirement: P. vannamei, P. indicus, P. stylinostris. 10016P. monodon must be increased over time, and reach 100% within six years after the publication of the standard		Compliant					
	Applicability: All Indicator: Origin of wild-caught broodstock Requirement: Sourced from locally fished broodstock only (44)							
6.2.3	only (44) Applicability: Farms using seed generated from wild- caught P. monodon findicators: Allowance for wild-caught PL other than natural tidal flow into ponds		Compliant					-
6.2.4	Requirement: None Applicability: All Indicator: Allowance for the culture of transgenic thrimp (including the offspring of genetically engineered shrimp)		Compliant					-
6.3.1	Requirement: None		Compliant					
7.1.1	A nodicability. All oblication: Reidence of basic traceability of feed ingredients, including source, species, country of origin and harvest method demonstrated by the feed producer (45). Requirement: List of all ingredients making up more than 2% of the feed available provided on company letterhead.		Compliant					
	letterhead AppRcability: All Indicator: Demonstration of chain of custody and raceability for fisheries products in feed through an ISEAL member or ISO 65 compliant certification scheme that also incorporates the FAD (46) Code of Conduct for Responsible Fisheries							-
7.1.2	Requirement: Yes		Compliant					
7.2.1a	Acolicability All Indicator: Timeframe for 100% (mass balance) fishmesi and fish oil used in feed to come from fisheries (47) certified by a full SEAL member (48) that has guidelines specifically promoting ecological sustainability of forage fisheries		Compliant					
	Requirement: Within five years following the date of standards publication Applicability: All, after March 2019 Indicator: BisSource score (49, 50), for the fishery(ics) from which a minimum of 80% of the							_
	Indicator: PikiDoure score (49, 50), for the fishery(ee) from which a minimum of 80% of the fishmed and fish oll by volume is Gerived (See Appendx IV, subsection 3 of the Shrimp standard v. 1.1 for explaints on Fishboures scoring) a. for fishcourse Criteria 4 (spawning biomass assessment) b. for Rishoourse Criteria 1, 2, 3 and 5							
7.2.1b	Requirement: a.8 b.6 or compliance with alternative interim proposal 7.1.1c		Compliant					
	Applicability: All							

Indicators, LLAVIng a Philibours observance in the hand of benegating an improvement Singuist programment of public fails et al. Improvement project (Fifty with proceeds public reporting (rafer 7,2.1c Requirement: See Appendix VIII of the Shring standard vs.1.1 for details on compliance	to Compile	pliant						
Acolicability: All Indicator: Percentage of non-marine ingredients from sources certified by an ISEAL member's certification scheme that addresses environment, and social sustainability		pliant						
7.2.2 Requirement. 80% for any and pair oil within five procession of the ACC Shringe Standard publication specification of the ACC Shringe Standard publication. Association of the ACC Shringe Standard publication. All other March 2019 Indicators. Allowance for first domaining imprind that are generating the other for forms regarding the use of OM ingredients in shringe made sonly available to retailers and ending column made sonly available to retailers and end column.	ents Ition ed is							
a Dictionary of the advantage of relative states of the facilities of the control of the advantage of the control of the contr	ents p ply	pliant						
made available on demand to retailer and consu- c. Use of the most adequate, first and user-friend communication tools to inform retailers and consumers on all certified products Requirement: Yes (51)	Property Comments							
Anodinabite - All feed ingredients does not conta in dilutation: List of feed ingredients does not conta any GMO. 7.3.2 Regularement: Yes Applicability: Farmers using GM free feed indicator: Non-GMO feed traceability by the feed	Compli	pliant						
producer and on the farm 7.3.3 Requirement: Yes Applicability: Farmers using GM-free feed	Compili	pliant						
Indicator: Samples taken randomly by the audito tested negative by PCR 7.3.4 Requirement: Applicability: Farmers using GM free feed indicator: Feed Fish Equivalence Ratio (FERR) (52	Compli	pliant						
vannamel and P. monodon Requirement: L. vannamel st.3:5:1 and P. monodon st.9:1	Compli	pliant						
Acolicability: All indicator: Economic Feed Conversation Ratio (ef- 7.4.2 a Requirement: Concords are available Applicability: All indicator: Protein Retention Efficiency	Compile	pliant						
7.4.2.b Requirement: Records are available	Compili	pliant						
Applicability. All indicators. Name per too of shrin produced over a 12-month period (\$3) 7.5.1 2.5.1 kg Ng per ton of shrings for 1. supplications: Less than 3.2 kg Ng per ton of shrings for 1. supplications: Less than 3.2 kg Ng per ton of shrings for 2. per ton of shrings for 3.		pliant						
indicator: Prosphorous effluent bad per ton of shrimp produced ever a 12-month pronot from the produced ever a 12-month pronot Requirement. Less than 3.9 kg P per ton of shrim 7.5.2 for L. vannanci. Less than 5.4 kg P per ton of shri per produced to the per ton of shrimp Applicability. All		pliant						
Indicator: Responsible handling and disposal of sludge and sediments removed from ponds and canals 7.5.3 Requirement: No discharge or disposal of sludge sediments to public waterways and wetlands	Country	pliant						
indicator. Treatment of effluent water from permanently serated points. 7.5.4 Requirement: Evidence that all discharged water goes through a treatment system (E4), and concentration of settleable solids in effluent wate 3.3 m/L/L (55)	Compile or <	pliant						
Indicator: Percentage change in diurnal dissolves ongge: (D2) sistaive to D0 at saturation in receiv water body (S6) for the water's specific salinity a temperature Requirement: < 65%		pliant						
Applicability: All inflation: Energy consumption (57) by sources (to available for all activities Requirement: Records available for all activities Applicability: All	Complix	pliant						
Applicability. All indicators, Annual Camulative Rivergy Demand (imagapularity) and shrimp produced) (199) over a month period. 7.6.2 Regularement: Records available for verification or calculations. Applicability. All	Compli	pliant						
Applicability. All indicator: Sale storage and handling of chemical and hazardous materials (60) 7.7.1 Requirement: Evidence of procedures in place. Applicability: All	Compli	pliant						
Applicability, All Indication Reported handling and disposal of waters based on risk assessment and possibilities 7.7.2 Regularement: Evidence of procedures in place.		pliant						

Metric table Where the requirement is "None", please use 0 (zero) if requirement is met

Corresponds to ASC Shrimp standard version 1.1

Indicator No	5.3.1	5.3.2	5.3.5	5.3.6	7.2.1a	7.2.1b
Impact Category	Chemicals/therapeutants	Chemicals/therapeutants	Chemicals/therapeutants	Chemicals/therapeutants	Feed	Feed
	Allowance for use of antibiotic and medicated feed on	Allowance for the use of antibiotics categorized as critically	Allowance for treating water with pesticides banned or restricted by the	Allowance for discharge of any	Timeframe for 100% (mass balance) fishmeal and fish oil	FishSource score, for the fishery(ies) from which a minimum of 80% of the fishmeal
	ASC-labelled products (farm can be certified but	important by the World Health Organization (WHO), even if	Rotterdam Convention on Prior Informed Consent (PIC), the Stockholm	hazardous chemicals without previous	s used in feed to come from fisheries certified by a full ISEAL	and fish oil by
Indicator Text	specific product receiving medicated feed will not be	authorized by the pertinent national authorities.	Convention on Persistent Organic Pollutants (POPs) or classed as	neutralization	member that has guidelines specifically promoting ecological	volume is derived (See Appendix IV, subsection 3 for explanation of FishSource
indicator Text	authorized to carry ASC label).		"extremely hazardous" or "highly hazardous" (classes Ia and Ib) by the		sustainability of forage fisheries (In Years)	scoring)
			World Health Organization (WHO).			a. for Fishsource Criteria 4 (spawning biomass assessment) (or compliance with
						alternative interim proposal 7.1.1c)
Requirement/ Site ID						
0						

7.2.1c	7.2.2	7.4.1a	7.4.1b	5.1.3a	5.1.3b	5.1.3c	6.2.2a
Feed	Feed	Feed	Feed	Mortality/survival/escapes	Mortality/survival/escapes	Mortality/survival/escapes	other
FishSource score , for the fishery(ies) from which a minimum of 80% of the	Percentage of non-marine ingredients from sources	Feed Fish Equivalence Ratio	Feed Fish Equivalence Ratio	Annual average farm survival rate (SR):	Annual average farm survival rate (SR): 2)	Annual average farm survival rate (SR): 3)	Percent of total post larvae from closed loop hatchery for L.
fishmeal and fish oil by volume is derived (See Appendix IV, subsection 3 for	certified by an ISEAL member's certification scheme that	(FFER) L. vannamei	(FFER) P. monodon	1) Unfed and non-permanently aerated pond	Fed but non-permanently aerated pond	Fed and permanently aerated pond	vannamei, P. indicus, P. stylirostris
explanation of FishSource scoring) b. for Fishsource Criteria 1, 2, 3 and 5 (or	addresses environmental and social sustainability			systems	systems	systems.	(i.e., farm-raised broodstock)
compliance with alternative interim proposal 7.1.1c)							

6.2.2b	2.5.3a	2.5.3b	7.5.1a	7.5.1b	7.5.2a	7.5.2b	7.5.4	7.5.5
other	Water quality	Water quality	Water quality	Water quality	Water quality	Water quality	Water quality	Water quality
Percent of total post larvae from closed loop hatchery for P.	Water-specific conductance in	Chloride concentration in freshwater	Nitrogen effluent load per ton of	Nitrogen effluent load per ton of	Phosphorous effluent load per ton of	Phosphorous effluent load	Treatment of effluent water from permanently aerated ponds.	Percentage change in diurnal dissolved
monodon (must be increased over time, and reach 100% within six years after the	freshwater wells used by the farm or	wells used by the farm or located on	shrimp produced for L. vannamei	shrimp produced for P. monodon	shrimp produced for L. vannamei.	per ton of shrimp	Evidence that all discharged water goes through a	oxygen (DO)
publication of the standard.)	located on adjacent	adjacent properties. (in mg/l)	over a 12-month period.	over a 12-month period.	over a 12-month period	produced for P. Monodon	treatment system, and concentration of settleable solids in	relative to DO at saturation in receiving
(i.e., farm-raised broodstock)	properties. (in μS/cm)					over a 12-month period	effluent water (in ml/l)	water body for the
								water's specific salinity and temperature.
1								

4.3.2	4.4.1	4.6.1	4.10.2	4.1.1	4.8.1	4.8.3	4.8.5	4.9.4	4.10.4
Social	Social		Social	Social	Social	Social	Social		Social
Number of incidences of	Percentage of workers trained in health and	Percentage of workers with access to trade unions,	Percentage of issues raised by	Minimum age of hired	Percentage of compliance:	Minimum time (in days) off from work, with the right but not	Overtime compensation is provided	Probation period	Percentage of complaints that
discrimination	safety	worker organizations, and/or have the ability to self-organize and the ability to	workers which are	workers	Maximum number of	the obligation to leave farm premises if accommodations are	(minimum percentage above the	stipulated in contract (In	are resolved within
	practices, procedures and policies relevant	bargain collectively or to have access to representative(s) chosen by workers	recorded, responded to and		regular working hours per	on the farm, except where both the employer and employee	wage for normal hours)	days)	three months after being
	to the job. Safety equipment provided and	without management	monitored by employer.		week (48 hours/week)	agree			received.
	maintained and in use.					that off-days cannot be accommodated on the farm			

Summary of Standard Non Conformities (NC)

y or standard Non Conformities (NC.) NC Type NC Totals
Salmon Major 0
Version: 1.3 Minor 0

C Code Indicato (CAB) Numbe	or Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/u sed	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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												Proposed by UoC and accepted by CAB		Proposed by UoC and				
Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR used	Q&A submitted/used	Root cause	Proposed by UoC and accepted by CAB NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close- out	Notes
Number	Indicator: Presence of all pertinent permits and registrations required by local and national authorities		evaluation	decision						VK .	submitted/used	anarysis					out	
1.1.1	national authorities Requirement: Yes		Compliant															
	Applicability: All Indicator: Presence of documents proving																	
1.1.2	compliance with pertinent tax laws Requirement: Yes		Compliant															
	Applicability: All Indicator: Presence of documents proving compliance with pertinent water discharge (including water effluents) regulations																	
1.1.3	Requirement: Yes		Compliant															
	Applicability: Ponds Indicator: Presence of documents proving compliance with local and national legal																	
1.1.4	compliance with local and national legal regulations on land and water use Requirement: Yes		Compliant															
	Applicability: All Indicator: Farm (6) located in approved aquaculture development areas																	
2.1.1			Compliant															
	Applicability: All																	
	Indicator: For ponds (7), evidence (8) farm has not been sited or expanded in natural wetland (as defined by Ramsar)																	
2.2.1	Requirement: After May 1999		Compliant															
	Applicability: For Ponds Indicator: Evidence that a contribution of at least USD \$0.50 per tonne of fish produced has been paid to the environmental and social restoration fund (9) annually																	
2.2.2	has been paid to the environmental and social restoration fund (9) annually		Compliant															
	Requirement: Yes																	
	Applicability: All Indicator: The farm has not discharged earth into common water bodies (10,11)																	
2.2.3	Requirement: Yes		Compliant															
	Applicability: All Indicator: The farm has not had a negative impact on endangered species (12,13)																	
2.2.4	Requirement: Yes		Compliant															
	Applicability: All Indicator: Farm does not impede navigation, aquatic animals or water movement																	
2.3.1			Compliant															
	Applicability: Pens and Cages Indicator: For cages, minimum width of the																	
2.3.2	water body (16) without cages (see Diagram 1, Annex C of the Pangasius standard v.1.2)		Compliant															
	Requirement: ≥ 50% Applicability: Cages																	
	Applicability: Cages Indicator: For pens, maximum width a farm can occupy calculated when the water body Inveloping the state of the																	
2.3.3	level/width is at its minimum (see Diagram 2, Annex C of the Pangasius standard v.1.2) Requirement: \$ 20% percent of the width		Compliant															
	of the water body																	
	Applicability: Pens Indicator: For pens, maximum number of contiguous pens allowed (see Diagram 3, Annex C of the Pangasius standard v.1.2)																	
2.3.4			Compliant															
2.3.4	Requirement: Two, only if a stretch of river bank that is at least the length of the two pens is left free from farms on both sides of the pens		Companie															
	Applicability: Pens allocation (17) limits as set by local																	
2.4.1	Applicability: Pens allocation (17) limits as set by local authorities or a reputable independent institution (18)		Compliant															
2.4.1	Requirement: Yes Applicability: Ponds		Compadit															
	Indicator: For ponds. Maximum ratio of total water abstracted (19) (not consumed)																	
2.4.2	Indicator: For ponds. Maximum ratio of total water abstracted (19) (not consumed) per ton of fish produced (calculate abstracted water using formula in Annex D of the Pangasius standard v.1.2)		Complicat															
2.4.2	Requirement: 5,000 m3/metric ton of fish produced		Compliant															
3.1.1	Applicability: Ponds Indicator: For cages and pens, maximum amount of total phosphorus (TP) (20) added as feed per metric ton of fish produced		Compliant															
3.1.1	Requirement: 20 kg/t		Compliant															
	Applicability: Pens and Cages Indicator: For cages and pens, maximum amount of total nitrogen (TN) (21) added as feed (22) per metric ton of fish produced.																	
3.1.2	feed (22) per metric ton of fish produced. Requirement: 70 kg/t		Compliant															
	Applicability: Pens and Cages																	

	Indicator: For ponds, amount of TP discharged per metric ton of fish produced (See TP measurement methodology and calculation in Annex D of the Pangasius standard v.1.2) Requirement: 7.2 kg/t	Compliant						
31.4	Applicability: Ponds Indicator: For ponds, amount of TN discharged per metric ton of fish produced (See TN measurement methodology and activation in Annex D of the Pangasius standard v1.2) Requirement: 27.5 kg/t	Compliant						
3.2.1	Applicability: Provision discovering organization of the Control o	Campliant						
	Applicability. All indicator: Maximum average percentage change of TP between inlet and outlet free TP measurement methodology and TP discharge formula in Annex 0 of the Pangasius standard v.1.2) Requirement: 100% Applicability: Ponds	Compliant						
	Applicability: Ponds Indicator: Maximum average percentage change of TN between inlet (26) and outlet (27) Ges-TN measurement methodology and TN discharge formula in Annex D of the Pangasius standard v.1.2) Requirement: 70% Applicability: Ponds	Compliant						
	Applicability: Ponds Indicator: Minimum dissolved oxygen (DO) concentration in water discharged (See DO measurement methodology in Annex D of the Pangasius standard v.1.2) Requirement: 3 mg/l	Compliant						
3.4.1	Applicability: Ponds Indicator: Evidence that studge is not discharged directly into receiving waters or natural ecosystems (29) Requirement: Yes	Compliant						
3.4.2	Applicability: All Indicator: Evidence of a sludge repository of appropriate size (See Sludge Repository formula in Annex D of the Pangasius standard v.1.2) Requirement: Yes Applicability: Extracraphysions the sludge.	Compliant						
3.3.1	Applicability: Farms managing the sludge using a repository indicator: Evidence of farm solid wastes being discharged into the natural environment Requirement: None	Compliant						
3.3.2	Applicability: All Indicator: Evidence of human and animal solid wastes being discharged into the natural environment Requirement: None Applicability: All	Compliant						
3.5.3	Applicability: All Indicator: Evidence of chemical and medicine wastes being discharged into the natural environment Requirement: None Applicability: All	Compliant						
3.5.4	Applicability: All indicator: Evidence of proper disposal (31) of dead/moribund fish Requirements: Yes Applicability: All Indicator: Evidence of an energy use.	Compliant						
3.6.1	Applicability: All lodicator: Evidence of an energy use assessment of on-farm energy consumption, measured in kilojoule/t fish/year. Requirement: Yes Applicability: All	Compliant						
4.1.1	Applicability: All Indicator: Farm located in a river basin where the farmed species is indigenous or has a self-fercruting (23) stock established before 1st January 2005 Requirement: Yes Applicability: Farms in a river basin where the species is either indigenous or has a self-fercruting and the species is either the species in the species is either the species in the	Compliant						
4.1.2	Applicability: Farms in a river basin where the speces is either indigenous or has a self- recruding stoke shabshed indicator: if a self-recruding stok is stabilished, without of no regative impacts on the environment (14) Requirement: Yes Applicability: Farms in a river basin where the speces is not indigenous and a self-	Compliant						
4.1.3	Applicability: Farms in a river basin where the species is not indigenous and a self-recruting stock is established indicator. If the species is not indigenous and does not have a self-recruiting stock established, evidence that the species cannot establish the river basin (35) Requirement: Yes	Compliant						
	Applicability: Farms in a river basin where the species is not indigenous and does not have a self-recruiting stock established							

	Indicator: Demonstration (36) that the seed (37) has been generated from the pangasius						
	Indicator: Demonstration (36) that the seed (37) has been generated from the pangasius population naturally reproducing in the river basin (38)						
	Requirement: Yes	Compliant					
	Applicability: Farms in a river basin where the species is either indigenous or has a self- recruiting stock established indicator: Allowance for use of wild-caught						
	Indicator: Allowance for use of wild-caught seed for grow out						
	Requirement: None	Compliant					
	Applicability: All Indicator: No use of genetically engineered (transgenic (39)) or hybrid seed						
4.4.1	Requirement: Yes	Compliant					
	Applicability: All						
	Agelicability: 88 indicator: Evidence that inlets and outles to college systems and is Confirments are equipped with real method or gills outputed with real method or gills oppropriately lated to retain the solicit in appropriately lated to retain the solicit in college preventing field of any size (in the holding unit being assessed) to except						
4.5.1	appropriately sized to retain the stocks in culture preventing fish of any size (in the	Compliant					
	Applicability: All Indicators: Culdence of regular, timely indicators: Culdence of regular, timely indicators (at least core as dayl) militarities and regular any performed on net mech or gill as and executed in spermanent regular gills all of described in spermanent regular gills all of described (in spermanent regular gills all of described (in spermanent regular gills all of described))						
	inspections (at least once a day); mitigation and repairs are performed on net mesh or						
4.5.2	grils and recorded in a permanent register (available for inspection)	Compliant					
	Requirement: Yes						
	Applicability: All Indicator: Bund (40) height sufficient (41) to prevent water sallgee, along with escapees, in the rainy season when flooding occurs						
4.5.3		Compliant					
	Requirement: Yes Applicability: Pands						
	Applicability Pound. Indicator: Presents of trapping devices (42) pixed in efficient / directions of trapping devices (42) pixed in efficient/directions and or on water outlets to openution escapeses, a record of findings and actions taken (available for impection)						
4.5.4	water outlets to capture escapees, a record of findings and actions taken (available for inspection)	Compliant					
	Requirement: Yes						
	Applicability: All Indicator: Evidence that the bund has remained intact (43) throughout the culture						
4.6.1	cycle	Compliant					
	Requirement: Yes						
	Applicability: All Indicator: Evidence assuring there has been no intentional release (44)						
4.6.2	Requirement:: Yes	Compliant					
	Applicability: All indicator: Use of uncooked or unprocessed fish and/or fish products (45) (including trash fish) as feed						
5.1.1		Compliant					
	Requirement: No						
	Applicability: All Indicator: Use of pangasius fish processing by-products (46) as feed or feed ingredients						
5.1.2	Paguirement: No.	Compliant					
	Applicability is Applicability and in Read are indicators. Fish products used in feed are and in the "threatened adaptions" (EV) on the international binon for Conservation of Nature (BLOK) Red List of Threatened Species (48)						
	not in the "threatened categories" (47) on the International Union for Conservation of						
5.1.3	(48)	Compliant					
	Requirement: Yes Applicability: All Indicator: Fish products used in feed are not from species listed in the Convention on International Trade in Endangered Species (CITS) Appendices I, at and II (69)						
	Indicator: Fish products used in feed are not from species listed in the Convention on						
5.1.4	International Trade in Endangered Species (CITES) Appendices I, II and III (49)	Compliant					
	Requirement: Yes						
	Applicability: All Indicator: ISEAL-centified fishmeal and fish oil products must be used in feed						
	Requirement: Within 3 years of becoming available in a region	Compliant					
	Applicability: Not required (50)						
	Applicability: Not required (50) Indicator: ISEAL certified fishmeal and fish oil products must be used in feed						
5.1.6	Requirement: Within 5 years from the publication date of the PAD standards	Compliant					
	Applicability: Not required (54)						

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Welcome Numerous Institution (See Comparison Control (
Applicability. 26 Compliant Applicability. 26 Compliant Magnificability. 26 Compliant Applicability. 26 Compliant Application. 27 (1) Compliant Applicati
Applicability. All Applicability. All Indicator. Manninum servage real percentage montally, from stocking to haverit, during the ground perced dies Real Percent Montality formula in Annex Del E.1.1 Originate standard all 2.27 Experiments. 2015. Applicability. All Indicator. Use only veterinary medicines, chemicals and biological product size proved chemicals and biological product size proved authorities and only basined for food fish use is the potential importing country Applicability. Yes Applicability. Yes Applicability. Yes Applicability of the provided size of the size of the provided size of the provided size of the potential importing country Applicability. Yes Applicability. Yes Applicability. Yes Applicability. Yes Applicability. Yes Applicability. Yes Applicability. In the provided size of
Applicability. All Applicability. All Indicator. Manninum servage real percentage montally, from stocking to haverit, during the ground perced dies Real Percent Montality formula in Annex Del E.1.1 Originate standard all 2.27 Experiments. 2015. Applicability. All Indicator. Use only veterinary medicines, chemicals and biological product size proved chemicals and biological product size proved authorities and only basined for food fish use is the potential importing country Applicability. Yes Applicability. Yes Applicability. Yes Applicability of the provided size of the size of the provided size of the provided size of the potential importing country Applicability. Yes Applicability. Yes Applicability. Yes Applicability. Yes Applicability. Yes Applicability. Yes Applicability. In the provided size of
percentage mortality from tableing to file and the standard of a Light Compliant Requirement 20 is Applicability: All Medicator: Use only veterinally medicines, cliental in all biological products growed as arrived as a short client of the standard of
Requirement: 20 % Applicability: All Midicator: Use only veterinary medicines, chemicals and biological products approved for requisiture by relevant national Applications and not based of food fails use 6.2.1 % the potential importing country Compliant Applications of the Samuel of food fails use Applications of the Samuel
Asplicability: All Indicator: Use only vertinally medicines, chemical and biological products approved for repuscularly by relevant national authorities and not based of road fish use 6.2.1 of the potential importing country Requirement: Ves Applicability: All
Requirement: Yes **Total Control Cont
Requirement: Yes **Total Control Cont
Applicability, All Indicator: Use only veterinary medicines and chemicals for therspecture use prescribed by an aquatic animal health specialist (S1) based on a verified condition, follow the
by an anguatic animal health specialist (61) based on a verified contacting follow the
6.2.2 bablet specifications concerning the use of the subject of the specific speci
Requirement: Yes
Applicability All Middator Follow the aquastic unimal health specials recommendations on: 5- How to apply the verticinary medicine and 6- Service on the special of the sp
chemicals processed
6.23 Owner and demands precision of the second of the seco
Requirement: Yrs
Applicability, as Indicator, Allowance to self fish or fish products before the completion of the
products before the completion of the withdrawal period specified on veterinary medicine or chemical behalor 37:50 Pt no Compliant 62.4 withdrawal is specified on label Compliant
Standard: None Applicability: All
Applicability: All Indicator: Allowance for the use of subsection of t
Requirement: None
Applicability of a special content of prophylatic sor of veterinary modicine (neckning securing of special sor of veterinary modicine (neckning securing special sor of veterinary modicine (neckning securing special sor of veterinary modicine) (neckning securing special sor of veterinary modicine) (neckning securing special sor of veterinary modicine) (neckning securing special sortion) (neckning securing special sortion) (neckning securing special sortion) (neckning securing
62.6 Standard: None Compliant
Applicability: All subdicator: All-downore for use of veteriousy medicine (excluding vaccines) as some as growth promoters (sel) as some as growth promoters (sel)
security promoters (videously userve as growth promoters (videously us
Applicability: All Medicator Calculation and verification of the total amount of each antibiotic jectore ing redering used per connex of finish produced per year and of the temperacy of treatments
N.Z.B Requirement Measured in Slograms of about the state of the produced year well-biolic/home of fish produced/year
Applicability Al

health plan reviewed yearly, updated and approved by a specified aquetic animal health specialist (6) See Americ of the Pangalox standard vi. 2 for feedth Plan Chesikol) Requirement: Yes	Complant				
Applicability. All Midstore. Availability of records of the name, reasons for use, date, amounts and with await times of all veter many medicines, and chemicals used in histohery and grow- out of a facilities Requirement: Yes	Complant				
Applicability. All Middleton: Availability of records of the source, size and quality of the seed stocked. Records of seed quality broad include: 1. Description of gross signs and any absolution of gross signs	Compliant				
stages 3. Results of pathogen testing as legislated Requirement: I'vis Applicability: All Indicator: Dally records showing regular monitoring of fish for signs of stress (64) or disease are kept. 6.4.3					
Requirement Ves Applicability: All Indicator: All mortality events with daily mortality above the average daily mortality in the firm are reported to the aquatic 6.4.4 animal health specialist	Compliant Compliant				
Requirement: I'vis Applicability: All Indicator: Minimum average growth rate 6.5.1 Requirement: 3.65 g/day Applicability: All Indicator: Minimum fish density at any time	Compliant				
6.5.2 Requirement: 38 kg/m2 for ponds and pen Applicability: Ponds and Pens time 6.5.3 Requirement: 80 kg/m3 for cages	Compliant Compliant				
Applicability, Cages Indicator: Use of lethal predintor (67) control 6.6.1 Requirement: No Applicability: All Indicator: Wortfully of IUCN red lived species.	Compliant				
6.6.2 Requirement: Otzero) Applicability: All Indicator: Compliance with labour laws in the country where paragrasus is produced 7.1.1 Requirement: Yes	Compliant Compliant				
Applicability: 58 Indicator: Minimum age of workers 7.2.1 Requirement: Yes Applicability: All Indicator: For workers under 18 years olds	Compliant				
3 - Work does not inconsider a chanding 2 - Work, when added to the hours of should present the control of a should be considered to hours (all) 7.2.2 - Work in excitation to pight work (R)) A - Work in excitation to non-hazardous work (7.2) Requirement: Yes	Compliant				
Applicability, Farms with employees younger than 18 years with employees younger than 18 years of feet to terminate their employment and receive full payment unit the last of you of their employment, based an employee (14), notice given to their employee (14).	Compliant				
Applicability: All heads a non-heads and heads and heads and heads and heads and head heads and he	Compliant				
Applicability All Medicates (Workers are aware of the health) and safety hearter (FG) at the work place and how to deal with them 7.4.2 Requirement: Yes Applicability All, Farm-Wide Indicator: The employer records all acidents, event if more, (FG) and take	Compliant				
7.4.3 Requirement: Yes Applicability: All indicators: Employer ensures that all purmanent workers have health insurance (77) 7.4.4	Compliant				
7.4.4 Requirement: Yes Applicability: All					

	Indicator: Workers (79) have the right to form or join organizations to defend their rights (including their right to collective							
7.5.1	from or join organizations to defend their rights (including their right to collective bargaining), without interference from the employer and without suffering negative consequences as a result of exercising this right (80)	Compliant						
	Requirement: Yes							
	Applicability: All Indicator: Workers do not suffer any discrimination (81) from the employer or other workers							
7.6.1	Requirement: Yes	Compliant						
	Applicability: All Indicator: Employers treat all workers with dignity and respect	Compliant						
	Requirement: Yes Applicability: All Indicator: Maximum number of regular							
	working hours Requirement: 8h/day or 48h/week (although these do not have to be consecutive hours)	Compliant						
	Applicability: All							
7.8.2	Indicator: Workers have the right to leave the farm after completing the standard work- day	Compliant						
	Requirement: Yes Applicability: All, Farm-Wide Indicator: Minimum time off							
	Requirement: Two nights/week off if residing on the farm and a total of four days/month off for all workers	Compliant						
	days/month off for all workers Applicability: All, Farm-Wide Indicator: Overtime hours 1- Are voluntary 2- do not exceed a maximum of 12 hours							
	1- Are voluntary 2- do not exceed a maximum of 12 hours per week 3- occur on an exceptional (not regular)							
7.8.4	basis 4- are paid at a premium rate (82), (i.e. an additional 20% is paid to the normal salary)	Compliant						
	Requirement: Yes							
	Applicability: All, Farm-Wide minimum wages as defined by law, or ensures that wages cover basic needs, (83) plus some discretionary income, (84) whichever is higher							
	Requirement: Yes	Compliant						
	Applicability: All, Farm-Wide Indicator: Workers have the right to know the mechanism for setting the wages and benefits							
7.9.2	Requirement: Yes	Compliant						
7.9.3	Applicability: All Indicator: Wages shall be paid in cash or in a manner most convenient to workers Requirement: Yes	Compliant						
	Applicability: All Indicator: Workers have copies of, and can understand, their labour contract (85)							
	Requirement: Yes	Compliant						
	Applicability: All Indicator: Maximum length of probation period stated in the contract for workers, other than farm managers and workers with an university degree							-
7.10.2	an university degree Requirement: 1 month	Compliant						
	Applicability: All Indicator: Maximum length of probation period stated in the contract for farm managers and workers with an university							-
7.10.3	managers and workers with an university degree Requirement: 2 months	Compliant						
	Applicability: All							
7.11.1	mactator: The employer ensures all workers have appropriate channels to communicate anonymously with employers on matters relating to labour rights and working conditions	Compliant						
	Requirement: Yes							
7.11.2	Applicability: All Indicator: Percentage of issues raised by workers which are registered, tracked and responded to by the employer	Compliant						
	Requirement: 100% Applicability: All	Compliant						
7.11.3	Applicability: All Indicator: Percentage of complaints that are resolved (86) within one month after being received (87)	Compliant						
	Requirement: 90% Applicability: All Indicator: A plan for addressing the set to							
7.11.4	Applicability: All indicator: A plan for addressing the yet to be resolved conflicts is developed and complied with	Compliant						
	Requirement: Yes Applicability: All							

	Indicator: Timeframe for the contracting								
	Indicator: Timeframe for the contracting								
	(88) of suppliers and service providers that ensure suitable health and safety conditions								
	for their workers (89)								
7.11.5		Compliant							
	Requirement: Within 1 year from achieving certification								
	certification								
	Applicability: All								
	Indicator: Records of the hours worked by								
	every worker employed in the farm are								
	available								
7.12.1		Compliant							
	Requirement: Yes								
	Applicability: All, Farm-Wide								
	Indicator: A participatory Social Impact								
	Assessment (p-SIA) (90) is conducted (See								
	Assessment (p-SIA) (90) is conducted (See Annex F of the Pangasius Standard for more								
	information) and identified impact are								
7.13.1	mitigated	Compliant							
	Requirement: Yes			J					
	The second second		1		1	1		1	
	Applicability: All							1	
	Indicator: Local communities, (91) local		1			 l		1	
	government and at least one civil society			J					
	organization chosen by community have a copy of the p-SIA in locally appropriate								
7.13.2	language	Compliant							
7.23.2	an gauge	Compilant							
	Requirement: Yes								
	Applicability: All								
	Indicator: A verifiable conflict resolution policy (92,93), for local communities is								
	developed and applied								
7.14.1		Compliant							
	Requirement: Yes								
	Applicability: All								
	Applicability: All Indicator: Complaint boxes, complaint								
	registers, and complaint acknowledgement								
	receipts in local language(s) are used								
7.14.2		Compliant							
	Requirement: Yes								
	Applicability: All								
	Applicability: All Indicator: Percentage of conflicts resolved				l	l	 	 	
	within the date of being filed		1		1	1		1	
				J	1	1		1	
	Requirement:				1	1			
7.14.3	Within 6 months 50%	Compliant	1		l	1		1	
	Within 1 year 75% Within 2 years 100%		1		l	1		1	
	Within E years 200%			J					
	Applicability: All			J					
	Indicator: Evidence of advertising positions								
	within local communities before migrant		1		l	1		1	
7.15.1	workers are hired	C		J	l	1		1	
7.15.1	Requirement: Yes	Compliant	1		l	1		1	
	nequirement. Tes			J					
	Applicability: All		<u> </u>			 		1	
	Indicator: An explanation on the reasons		1						
	for employing each worker is available and			J	l	1		1	
	the explanation justifies not employing workers from local communities		1		l	1		1	
7.15.2	workers from local communities	Compliant			1	1			
7.13.2	Requirement: Yes, if workers outside the	Companie		J					
	Requirement: Yes, if workers outside the local community are employed		1		l	1		1	
				J					
	Applicability: All							1	

Metric table Where the requirement is "None", please use 0 (zero) if requirement is met

Corresponds to ASC Pangasius standard version 1.2

Indicator No	3.1.1	3.1.2	5.1.7	5.2.1	5.2.2	6.1.1	2.3.2	2.3.3	2.3.4	6.5.1	6.5.2	6.5.3
Impact Category	Feed		Feed	Feed	Feed	Mortality/survival/escapes	Other	Other	Other	Other	Other	Other
	for cages and pens, Maximum amount of					Maximum average real percentage	Minimum width of the	For pens, Maximum width a farm	Maximum number of	Minimum average	Maximum fish density at	Maximum fish density at
	total phosphorus (TP) added as feed	amount of total nitrogen (TN) [20]	oil products used in feed have	economic Feed Conversion	Equivalence Ratio (FFER)	mortality, from stocking to	water body without	can occupy calculated when the	contiguous pens allowed.	growth rate in	any time in kg/m2	any time in kg/m3
	per metric ton of fish produced. (in Kg/T)	added as feed	been sourced from fisheries with	Ratio (eFCR) for the complete		harvest, during the grow-out period.	cages. (%)	water		g/day/fish		
Indicator Text		per metric ton of fish produced. (in	an average FishSource (FS) score	production cycle				body level/width is at its minimum.				
		Kg/T)						(%)				
Requirement/ Site												
ID												
0												

2.4.2	3.1.3	3.1.4	3.2.1	3.3.1	3.3.2	3.3.3	4.3.1	6.6.2	7.8.1	7.8.1	7.8.3a
Water quality	Water quality	Water quality	Water quality	Water quality	Water quality	Water quality	Wildlife interactions	Wildlife interactions	Social	Social	Social
For ponds. Maximum ratio of total water abstracted	for ponds, Amount of TP discharged	for ponds, Amount of TN	Percentage change in diurnal dissolved oxygen (DO) of	Maximum average percentage change of TP	Maximum average percentage change of TN between	Minimum dissolved oxygen (DO)			Maximum number of		Minimum nights/week
(not	per metric ton of fish produced (See	discharged per metric ton of	receiving waters [23] relative to DO at saturation for the		inlet and outlet (See TN measurement methodology	concentration in water discharged (in	wild-caught seed for	listed species.	regular working hours	regular working hours	off for workers residing
consumed) per ton of fish produced(in m3/metric ton		fish produced (in Kg/T).	water's specific salinity and temperature. An exception is	measurement methodology and TP discharge	and TN discharge formula in Annex D).	mg/l).(See DO measurement	grow out		per Day	per Week	on the farm
of fish produced). (calculate abstracted water using	measurement methodology and		made for ponds that discharge water with TN and TP			methodology in Annex D)					
formula in Annex D)	calculation in Annex D) (in Kg/T)		lower than the TN and TP of the intake water								
			respectively.								
	•										

7.8.3b	7.10.2	7.10.3	7.11.2	7.11.3	7.11.5	7.14.3a	7.14.3b	7.14.3c
Social	Social	Social	Social	Social	Social	Social	Social	Social
Minimum time in	Maximum length of	Maximum length of	percentage of issues	Percentage of	Timeframe in years for	Percentage of conflicts	Percentage of conflicts	Percentage of conflicts
	probation period stated	probation period stated	raised by workers which	complaints that are	the contracting of		resolved within 1 year of	
	in the contract for		are registered,		suppliers and service	months of the date of	the date of being filed	of the date of being filed
	workers, other than farm		tracked and responded			being filed		
	managers and workers		to by the employer		suitable health and			
	with an university degree	university degree			safety conditions for			
	(in Months)				their workers			
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Summary of Standard Non Conformities (NC)

Major Minor Total Standard: Salmon 1.3 Version:

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automa

NC Cod (CAB)	e Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/u sed	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes	
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Metric table Where the requirement is "None", please use 0 (zero) if requirement is met

Corresponds to ASC Flatfish standard version 1.0

Inc	dicator No	2.1.1a	2.1.1b	2.1.2a	2.1.2b	2.1.2c	2.1.2d	2.1.2e	2.1.4	2.2.1	2.2.2	2.2.6	2.2.7	2.4.2	2.5.1
Impa		Benthic	Benthic		Benthic	Benthic	Benthic	Benthic	Benthic	Water quality	Water quality	Water quality	Water quality	Wildlife interactions	Water quality
Ind				Biotic Index		Benthic Quality Index (BQI)	Infaunal Trophic Index (ITI	BENTIX score	Evidence that copper levels are < 34 mg Cu/kg dry sediment weight OR In instances where the copper (Cu) in the sediment exceeds 34 mg Cu/kg dry sediment weight, demonstration that the Cu concentration is not significantly different compared to background concentrations as measured at three reference sites in the water body	saturation percentage of dissolved oxygen	weekly samples		of total ammonia nitrogen in effluent or receiving water beyond mixing zone		Biological oxygen demand (BOD)
	0														

2.5.2	2.5.3	2.6.4a	2.6.4b	3.3.3	3.3.4	4.2.1a	4.2.1b	4.2.1c	4.2.1d	4.2.1e	4.2.1f	4.2.1g	4.2.1h	4.2.1i	4.2.2a	4.2.2b
Water quality	Water quality	Water quality	Water quality	Mortality/survival/escapes	Mortality/survival/escapes	Feed	Feed	Feed		Feed	Feed	Feed	Feed	Feed	Feed	Feed
Total suspended solids	Total Ammonia Nitrogen	freshwater wells used by the	Chloride concentration in freshwater wells used by the farm or located on adjacent properties (in milligrams per litre)			Forage Fish Dependency Ratio	Halibut Fishmeal Forage Fish Dependency Ratio (FFDRm) for grow- out (3 YEARS)	Forage Fish Dependency Ratio (FFDRm) for grow-	Forage Fish Dependency Ratio (FFDRm) for grow-	Forage Fish Dependency Ratio (FFDRm) for grow-	Forage Fish Dependency Ratio	Forage Fish Dependency Ratio (FFDRm) for grow-	Forage Fish Dependency Ratio	Forage Fish Dependency Ratio (FFDRm) for grow-	Forage Fish Dependency Ratio	

4.2.2c	4.2.2d	4.2.2e	4.2.2f	4.2.2g	4.2.2h	4.2.2i	4.4.3	5.2.5	6.2.2	6.5.1	6.7.1	6.8.2	6.2.1	6.3.1	6.4.2	6.6.1	6.9.1	6.10.1
Feed	Feed		Feed	Feed	Feed	Feed	Feed	Chemicals/therapeutants	Social	Social	Social	Social	Social	Social	Social	Social	Social	Social
	Forage Fish Dependency Ratio (FFDRo) for grow-	Dependency Ratio	Forage Fish Dependency Ratio (FFDRo) for grow-	Forage Fish Dependency Ratio (FFDRo) for grow-	Forage Fish Dependency Ratio	Forage Fish Dependency Ratio (FFDRo) for grow-	Percentage of non- marine ingredients from sources certified by an ISEAL Member's certification scheme that addresses environmental and social sustainability	recent production cycle	young workers	Percentage of workers trained in health and safety practices, procedures and policies on a yearly basis	of workers who have contracts	grievances	incidences of child labour	Number of incidences of forced, bonded or compulsory labour	Number of incidences of discrimination		excessive or abusive disciplinary actions	Incidences, violations or abuse of working hours and overtime laws

Summary of Standard Non Conformities (NC)

Major Minor Total Standard: Salmon 1.3 Version:

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automa

NC Cod (CAB)	e Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/u sed	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes	
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Where the requirement is "None", please use 0 (zero) if requirement is met

Corresponds to ASC Tropical Marine Finfish standard version 1.0

Indicator No	2.1.1a	2.1.1b	2.1.2a	2.1.2b	2.1.2c	2.1.2d	2.1.4	2.2.1	2.2.2	2.4.2	2.5.1	2.5.2	2.5.3	2.6.4a
Impact Category	Benthic	Benthic	Benthic	Benthic	Benthic	Benthic	Benthic	Water quality	Water quality	Wildlife interactions	Water quality	Water quality	Water quality	Water quality
Indicator Text	Redox potential in sediment immediately outside of the Allowable Zone of Effect (AZE) (in mV)	Total free' sulphide levels in sediment immediately outside of the Alibwable Zone of Effect (AZE) (in micromoles/l)	Biotic Index (AMBI)	Shannon- Wiener Index				s saturation percentage of dissolved oxygen (DO) on farm	weekly samples	Number of mortalities of endangered or red-listed animals in the farm lease area and adjacent areas due to farm operations, personnel or associates over the previous 2 years	oxygen demand (BOD)	Total suspended solids	Ammonia	Water-specific conductance in freshwater wells used by the farm or located on adjacent properties (in mhos per centimetre)
Requirement/ Site I	D													
	0													

2.6.4b	3.3.3	4.2.1a	4.2.1b	4.2.1c		4.2.1e	4.2.1f	4.2.1g	4.2.1h	4.2.1i	4.2.1j	4.2.1k	4.2.1	4.2.1m	4.2.2a	4.2.2b	4.2.2c
Water quality	Mortality/survival/escapes	Feed	Feed	Feed	Feed	Feed	Feed	Feed	Feed	Feed	Feed	Feed	Feed	Feed	Feed	Feed	Feed
Chloride concentration in freshwater	Total amount of known	Barramundi	Barramundi	Barramundi	Grouper and	Grouper and	Grouper and	Pompano Fishmeal	Pompano Fishmeal	Pompano Fishmeal	Yellow Croaker	Yellow Croaker	Yellow Croaker	Barramundi Fish Oi	Barramundi Fish Oil	Barramundi Fish Oil	Grouper and
wells used by the farm or located on	escapes allowed per			Fishmeal Forage													Snapper Fish Oil
adjacent properties (in mg/l)	production cycle (in %)			Fish Dependency													
	(based on ≥98%	Ratio (FFDRm) for	Ratio (FFDRm) for	Ratio (FFDRm) for													
	counting accuracy)	grow-out (NOW)					(FFDRm) for grow-	out (NOW)	out (3 YEARS)	out (6 YEARS)	grow-out (NOW)		grow-out (6	out (NOW)	out (3 YEARS)		(FFDRo) for grow-
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4.2.2d	4.2.2e	4.2.2f	4.2.2g	4.2.2h	4.2.2i	4.2.2j	4.2.2k	4.3.2	4.3.3	4.3.4	4.4.3	5.2.4	5.2.5	6.2.2	6.5.1
Feed	Feed	Feed	Feed	Feed	Feed	Feed	Feed	other	Feed	Feed	Feed	Parasites	Chemicals/therapeutants	Social	Social
Grouper and									Feed containing fishmeal and/or fish oil			Allowable farm level anti-	Number of treatments of		
								fish oil used in feed must have a	originating from by-products or						
Forage Fish								FishSource stock health score of 6.0 or				not including freshwater or	recent production cycle		health and safety
		(FFDRo) for grow-	higher or show evidence of being	categorised as vulnerable, endangered		certification scheme that addresses	hydrogen peroxide		protected	practices,					
	(FFDRo) for grow-	out (NOW)	out (3 YEARS)	out (6 YEARS)	out (NOW)	out (3 YEARS)	out (6 YEARS)	engaged in a credible and time bound			environmental and social				procedures and
out (3 YEARS)	out (6 YEARS)							fisheries improvement project (FIP)	the IUCN Red List of Threatened		sustainability (80% within 5 years of				policies on a
									Species		release of TMFF standard)				yearly basis
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6.7.1	6.8.2	6.2.1	6.3.1	6.4.2	6.6.1	6.9.1	6.10.1
Social	Social	Social	Social	Social	Social	Social	Social
Percentage of	Percentage of		Number of incidences of		The percentage of	Incidences of	Incidences, violations
workers who have contracts	grievances handled that are addressed within a	of child labour	forced, bonded or compulsory labour	incidences of discrimination		excessive or abusive disciplinary actions	or abuse of working hours and overtime laws
	90-day timeframe				bonuses) is below the minimum wage		
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Summary of Standard Non Conformities (NC)

Standard: Salmon 1.3 Major Version:

NC Code (CAB)	Indicator Indicator Text Number	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/u sed	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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