

Audit Announcement (Form 3)

Please note that all data entered in this audit announcement sheet will be automatically populated to the specific fields in the sheets of the audit report itself.
SiteID(s) is/are provided by ASC in the confirmation email of the publication of this Form 3.

1. General, client/CAB information

1.1 Document Type	Final Report
1.2 Document language	English
1.3 Second document language	
1.4 Unit of certification type	Single Site
1.4.1 Company name	Cermaq Norway
1.4.2 UoC Name	Margøya
1.5 Country where UoC is located	Norway
1.6 ASC Standard	Salmon
1.7 Standard version	1.3
1.8 Certification process is subject to CAR version	2.2
1.9 Name of the Conformity assessment body (CAB)	Bureau Veritas Certification Denmark A/S
Client contact person - from the UoC	
1.15 First name	Ingunn S.
1.16 Surname	Johnsen
1.17 Position in the UoC (Job title)	Sustainability Coordinator
1.18 Email address	ingunn.johnsen@cermaq.com
1.19 Phone number	4790186663
1.20 Other means of contact e.g. Skype	https://www.cermaq.com/wps/wcm/connect/cermaq-no/cermaq-norway/

2. Audit information

2.1 ASC standard principles covered by the audit

ASC standard principles	
2.1.1 Principle 1	Covered
2.1.2 Principle 2	Covered
2.1.3 Principle 3	Covered
2.1.4 Principle 4	Covered
2.1.5 Principle 5	Covered
2.1.6 Principle 6	Covered
2.1.7 Principle 7	Covered
2.1.8 Principle 8	Covered

2.2 Activities covered under the scope of the certification and under the scope of the audit.

Activities in the table apply to final product only.

Activity	Under scope of certification	Under Scope of this audit	Notes
2.2.1 Stocking	Covered	Covered	
2.2.2 Nursing	Covered	Covered	
2.2.3 Growing Out	Covered	Covered	
2.2.4 Transferring	Covered	Covered	
2.2.5 Harvest	Covered	Covered	
2.2.6 Vaccination	Covered	Covered	
2.2.7 Fallowing	Covered	Covered	
2.2.8 Transportation	Covered	Covered	
2.2.9 Storage (if present at farm)		Not Covered	
2.2.10 Processing (if present at farm)		Not Covered	
2.2.11 Packing (if present at farm)		Not Covered	
2.2.12 Other (Please describe)		Not Covered	

2.3 Certification cycle

2.4 Audit type

2.5 Audit number in certification cycle

2.6 Will harvesting be witnessed during audit?

2.6.1 If harvest is NOT witnessed, please justify:

2.7 Audit conducted (On-site/Remote):

1
Surveillance audit
3
Yes
On-site

Please indicate the hours assigned to the different audit activities in the table below, separated by the hours spend on the activities by the environmental- and social auditor(s):

2.8	2.9	2.10
Time assigned to audit activities	Social Auditor(s)	Environmental auditor(s)
Off-site activities		4
On-site activities	6	8
Total man days	0.75	1.5

Audit team and other involved persons				
2.11	2.12	2.13	2.14	2.15
Surname	First name	Role	Expertise needed for the audit (required for technical experts only)	Person on-site or remote?
HELLE	Trygve	Audit team leader		On-site
HELLE	Trygve	Social Auditor		On-site
Gustavsson	Sofie	Others (specify activities)	Team Member	On-site
Zadeh	Shahram	Technical reviewer		

3. Site information

3.2	3.3	3.4	3.6	3.13	3.14	3.15	3.16	3.17	3.18
Site name	Ownership	Primary culture species	Cycle duration	Latitude (N, S) (00.000000)*	Longitude (E, W) (00.000000)*	Production system*	Number of production units	Start date of audit	End date of audit
Margya 10611	Owned	Atlantic salmon (Salmo salar)	Long-cycle species (>6 months)	70.249197	21.706709	Cages - circular plastic	10	23 March, 2022	23 March, 2022

4. Stakeholder engagement

[illegible]

1. General, client/CAB information

- 1.1 Document Type
- 1.2 Document language
- 1.3 Second document language
- 1.4 Unit of certification type
- 1.4.1 Company name
- 1.4.2 UoC name
- 1.5 Country where UoC is located
- 1.6 ASC Standard
- 1.7 Standard version
- 1.8 Certification process is subject to CAR version
- 1.9 Name of the Conformity assessment body (CAB)

Final Report
English
Single Site
Cermaq Norway
Marøya
Norway
Salmon
1.3
2.2

Client contact person - from the UoC

- 1.15 First name
- 1.16 Surname
- 1.17 Position in the UoC (Job title)
- 1.18 Email address
- 1.19 Phone number
- 1.20 *Other means of contact e.g. Skype*

Ingunn
Johnsen
Sustainability Coordinator
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4790186663
https://www.cermaq.com/wps/wcm/connect/cermaq-no/cermaq-norway/

2) Audit information

2. Audit Information

Include the dates for publication of the announcement and draft reports **before each respective submission.**

2.1	Date - Audit announcement published on ASC website	8 February, 2022	
2.2	Date - Draft report published on ASC website	NA	
2.3	Date - Final report submitted to ASC	13 July, 2022	
2.4	Audit ID	provided by ASC with publication confirmation	
2.5	ASC standard principles covered by the audit	Principle 1	Covered
2.5.1		Principle 2	Covered
2.5.2		Principle 3	Covered
2.5.3		Principle 4	Covered
2.5.4		Principle 5	Covered
2.5.5		Principle 6	Covered
2.5.6		Principle 7	Covered
2.5.7		Principle 8	Covered

2) Audit information

Announcement and audit report

2.6 Activities covered under the scope of the certification and under the scope of the audit.
Activities in the table apply to final product only.

	Activity	Under scope of certification	Under Scope of this audit	Notes
2.6.1	Stocking	Covered	Covered	
2.6.2	Nursing	Covered	Covered	
2.6.3	Growing Out	Covered	Covered	
2.6.4	Transferring	Covered	Covered	
2.6.5	Harvest	Covered	Covered	
2.6.6	Vaccination	Covered	Covered	
2.6.7	Fallowing	Covered	Covered	
2.6.8	Transportation	Covered	Covered	
2.6.9	Storage (if present at farm)		Not Covered	
2.6.10	Processing (if present at farm)		Not Covered	
2.6.11	Packing (if present at farm)		Not Covered	
2.6.12	Other (Please describe)		Not Covered	

2.7 Certification cycle

2.8 Audit type

2.9 Audit number in certification cycle

2.10 Will harvesting be witnessed during audit?

2.10.1 If harvest is NOT witnessed, please justify:

2.11 Audit conducted (On-site/Remote):

1
Surveillance audit
3
Yes
On-site

Please indicate the hours assigned to the different audit activities in the table below, separated by the hours spend on the activities by the environmental- and social auditor(s):

2.12.1	2.12.2	2.12.3
Time assigned to audit activities	Social Auditor(s)	Environmental auditor(s)
Off-site activities		4
On-site activities	6	8
Total man days	0.75	1.5

2) Audit information

Audit team and other involved persons				
2.13	2.14	2.15	2.16	2.17
Surname	First name	Role	Expertise needed for the audit (required for technical experts only)	Person on-site or remote?
HELLE	Trygve	Audit team leader		On-site
HELLE	Trygve	Social Auditor		On-site
Gustavsson	Sofie	Others (specify activities)	Team Member	On-site
Zadeh	Shahram	Technical reviewer		

3. Site information

List all sites here, that are included in the certificate.

GIS, polygon data and map on site level
validated by auditor?

Yes

3.1	3.2	3.3	3.4	3.5	3.13	3.14	3.15	3.16
Site ID - provided by ASC with publication confirmation of audit announcement	Site name	Ownership	Primary culture species	Secondary species (choose multiple species as relevant)	Latitude (N, S) (00.000000)*	Longitude (E,W) (00.000000)*	Production system	Number of production units
S0001992	Margøya 10611	Owned	Atlantic salmon (Salmo salar)		70.249197	21.706709	Cages - circular plastic	Cages - circular plastic

3.17	3.18	3.19	3.20	3.21	3.22	3.22.1	3.22.2	3.23	3.23.1
Production type	Production method	Date of inclusion into the UoC (for scope extension/group/multi-site)	Start date of audit	End date of audit	First date of juvenile stocking for the current production cycle	Estimated Number of months post audit to peak biomass/ first harvest	Status at the time of the current audit	List of other certificates (choose multiple options as relevant)	List of other certificates: If 3.23 is "Other", please list the certificates:
Monoculture	Intensive		23 March, 2022	23 March, 2022	28-Apr-21	5	≥ 75 % Biomass	GlobalGAP, Other	ISO 9001

[illegible]

4. Harvest witnessing

4.1	4.2	4.3	4.4	4.5	4.6	4.7	4.8
Site ID - provided by ASC with publication confirmation of audit announcement.	Site name	Date of witnessed harvest:	Production unit ID:	Volume harvested (in Kg):	Average weight of animals (in g)	Partial harvest / full harvest:	Note/ Other information
S0001992	Marøya 10611	12 August, 2021	10	93.5	4.18	Partial harvest	Site Slettnesfjord. Volume harvested in tons and average weight in kg. Ref. Harvest Witness Report. Ref. QA 091

This table collects all the information relevant to stakeholders consulted during the audit process. Each stakeholder should be entered into a separate row, even where from the same company/organization

[illegible]

6. Social Requirements

IMPORTANT NOTE This sheet, containing the social data, will be made publicly available. Some parts (2 and 3) of the social requirements are included in the confidential Annex-3, and will not be made publicly available. **Please complete both sheets.** This information is ideally prepared for desk review, prior to the audit. If this is not the case, the sheets are required to be filled out in the draft- and final audit report.

Date of review

1 Client's Information

Please note that a lot of fields in this sheet contain data restrictions, where ONLY a number can be entered.

6.1 Means of transportation between office and site(s) and between sites within UoC	
6.1.1 Estimated travel time between office and site(s) and between sites within UoC	
6.2 Number of complaints received from stakeholders over past 12 months	
6.3 Number of resolved complaints	
6.4 Average time to resolve complaints (days)	
6.5 Last Social Impacts Assessment (SIA) conducted in (year)	

6.6 Name of nearby communities, Indigenous or not and the distance of the UoC to the nearest neighbouring community/-ies or neighbours (in km)	Name of nearby community	Indigenous	Distance of the UoC to the nearest neighbouring community/-ies or neighbours (in km)

1) General, Client and CAB information



6.7 Social audits performed at UoC

Standard	Certified since (Date)	Certified until (Date)	Date of last audit (Date)	Evaluation result
SA8000				
BSCI	N/A	N/A		
SMETA	N/A	N/A		
ISO 45000				
ASC				
Others (specify)				

6.8 Subcontractors

Name of subcontractors	Place of work	Areas of work/processes

4 List of documents submitted by UoC

Only copies of listed documents are submitted to the CAB.

Unit of Certification (UoC)

- 6.9 Map/layout of UoC
- 6.10 List of sites/farms if multi-site or group
- 6.11 List of applicable laws and regulations, year of release, authority
- 6.12 Agreement with adjacent community/ies, if any
- 6.13 Social Impacts Assessment report
- 6.14 List of subcontractors, if any, including their services, addresses
- 6.15 Agreement with labour contracts, if any
- 6.16 List of workers, their age, type of work (full/part time), nationality/-ies, shift and accommodation (if applicable)

1) General, Client and CAB information

Announcement and audit report

Management system

6.17 Relevant policies and procedures:	Exist	Policy	Procedure
Workers training			
Grievance mechanism			
Non-discrimination			
Child and young labour			
Forced, bonded labour			
Health and safety risk assessment			
Age-verification			
Fire prevention			

6.18 Certificate of compliance to other social standard	
6.19 Latest audit report of the other social standard	
6.20 Organisational chart of UoC	
6.21 Job descriptions for workers for different functions	
6.22 Product flow within UoC	

ASC Audit

6.23 Filled out audit preparation checklist(s)	
6.24 Previous ASC audit report	
6.25 Evidence of implementation of corrective actions for NCs	

Other records

6.26 Collective bargaining agreement, if exists	
6.27 Accidents log and their status	
6.28 Last inspection report related to workplace H&S	
6.29 Minutes of the last workers' meeting	
6.30 Minutes of health and safety meeting	
6.31 Basic need wage calculation	
6.32 List of chemicals used within UoC	
6.33 Last inspection report of the housing provided to workers	
6.34 Overtime calculation	
6.35 Training records for workers on social related issues	
6.36 Other (Please describe here)	

5 CAB diligence

6.37 Number of social audits performed by the auditor in this country	ASC social audits	Other social audits

6.38 Applicable laws and regulations	
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6.39 Required information and documents fully submitted	Information/ documents fully submitted	Missing information and documents	Next steps	Status

6.40 Topics/issues needing further research before on-site audit	
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6.41 CAB's diligence to obtain additional information about the UoC	Topics	Means of research	Rationale	Outcome

6.42 Changes since last audit	
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7. ASC CAR 17.6.1-2 Substitution risk assessment

Please note that auditor training on farm traceability is also covered in the MSC farm traceability module.

Activities covered under the scope of the certification and under the scope of the audit

7.1

Activity	Under scope of certification
Stocking	Covered
Nursing	Covered
Growing Out	Covered
Transferring	Covered
Harvest	Covered
Vaccination	Covered
Fallowing	Covered
Transportation	Covered
Storage (if present at farm)	Not Covered
Processing (if present at farm)	Not Covered
Packing (if present at farm)	Not Covered
Other (Please describe)	Not Covered

7.2

1. Possibility of mixing or substitution of certified and non-certified product, including product of the same or similar appearance species, produced within the same operation.	
a) Partial Certification	no
Reason for partial certification:	
Full site certification	
b) Similar appearance species produced in the UoC	no
Similar appearance species:	
There is only Atlantic salmon farmed on site.	
Production units or batches excluded from the certification scope	
c) Average % of products produced as non-ASC in the UoC per year	
d) Traceability and segregation systems	
Physical identification	yes

Description	
The whole site is certified ASC Salmon with no processing facilities onsite. The only species on site is salmon, all of which are the same year class. Therefore there is no risk of mixing onsite and thus physical identification is not applicable.	
Segregation systems for non-ASC product	n/a
Description	
There is only ASC Farmed product onsite.	
Traceability records identification	yes
Description	
All traceability records are maintained on internal databases. Once the fish are delivered to the site, information regarding their status and cage location are registered and tracked via the internal database Fishtalk.	
Other traceability systems in place:	
Do the traceability systems mitigate the mixing and substitution risks?	yes
Rationale	
The fish are fully traceable from the smolt supplier to the harvest processing facility. All fish on site are covered by the scope of the certification.	

7.3

2. Possibility of mixing or substitution of certified and non-certified product, including product of the same or similar appearance or species, present during production, harvest, transport, storage, or processing activities.	
a) Non-ASC farms of the same or similar species limiting with the UoC	no
Description of neighbour farms	
The nearest farm is Hamnefjord, 2,8 km away.	
b) Non-ASC Neighbour farms owned or related to the same UoC	no
If yes, Name of farms in case are related to the client.	
The nearest farm, Hamnefjord, is also owned by Cermaq and is ASC Certified.	
c) Non-ASC products from other farms handled in the UoC	no
Stage(s) when the non-ASC products are handled in the UoC	
Only ASC products are handled in the UoC.	
d) Segregation systems	
Physical barriers	n/a
Description	
There are no similar species being farmed in the nearby area which would require physical barriers for segregation from the certified product.	
Physical identification	n/a

Description	
There are no similar species being farmed in the nearby area which would require physical barriers for segregation from the certified product.	
Segregation systems for non-ASC product	n/a
Description	
There are no non-ASC products on site.	
Traceability records identification	yes
Description	
All traceability records are maintained on internal databases. Once the fish are delivered to the site, information regarding their status and cage location are registered and tracked via the internal database Fishtalk.	
Others systems:	
Do the traceability systems mitigate the mixing and substitution risks?	yes
Rationale	
There are no risks of mixing or substituting ASC and non-ASC products at the UoC. All fish movements are recorded on FishTalk. There are no production or processing areas at the UoC which contain non-certified product.	

3. Possibility of subcontractors being used to handle, transport, store, or process certified products.

a) Company uses subcontracted services for harvesting, processing, packing or labelling	yes
Description	
Subcontracted transport vessels are used to take fish from site to the harvesting facility.	
b) Company uses subcontracted services providers for storage or transportation	yes
Description	
<p>Cermaq have an agreement with Norsk Fiske Transport (NFT), a company specialised in transporting fish in wellboats. The company is used for both smolt deliveries and harvest activities. Contract with NFT reviewed. Cermaq procedure for harvesting fish ("Prosedyre for levering av slaktefisk", document no.: 318, version 12, date: 13.04.2021") describes the activities associated with harvesting. One site at a time will be harvested, there is no mixing of fish between sites by the subcontracted vessel. This has been further confirmed by reviewing historic data tracking the movements of the vessel on the days of harvest from the farm (available on www.barentswatch.no), no additional farms were visited, the harvest vessels travelled directly from the farm to the harvest facility.</p>	
c) Traceability and segregation systems	
Subcontractors are CoC certified	yes

Description	
At the time of harvest, Cermaq Rypefjord was ASC CoC certified. The wellboat and associated activities are included in the certification.	
Contract and/or agreements in place including traceability conditions	yes
Description	
Contract with transport vessel, Norsk Fiske Transport, dated: 14-06-2011 reviewed. Contract valid until 30-09-2021. An additional supplement to the contract ("Tillegg til Kontrakt av 14-06-2011 for Kjøp av Brønnbåttjenester", date: 27-08-2019) extends the agreement until 30-09-2022.	
Procedure for harvesting fish ("Prosedyre for levering av slaktefisk", document no.: 318, version 12, date: 13.04.2021) describes the SOP for harvesting activities using subcontractor transport vessels. The procedure covers harvests from one cage, multiple cages, partial harvest from a cage and emptying of nets. It is also documented how to register and maintain information related to harvests.	
Traceability records identification	yes
Description	
All traceability records are maintained on internal databases. Once the fish are delivered to the site, information regarding their status and cage location are registered and tracked via the internal database Fishtalk.	
Others systems:	
Do the traceability systems mitigate the mixing and substitution risks?	yes
Rationale	
Fish are documented on site from input until harvest. Each individually stocked cage can be traced throughout the whole cycle via the FishTalk database. There are no non-ASC stocks onsite. The closest farm is Vassvika, it is certified for ASC Farm Salmon and is not at risk of mixing with the fish produced at Slettnesfjord. Traceability documentation is well maintained, and procedures have been verified using the publically available tracking data - only fish from this site has been transported to the harvest facility on the wellboats used - one site at a time.	

7.5

4. Any other opportunities where certified product could potentially be mixed, substituted, or mislabelled with non-certified product before the point where product enters the chain of custody.	
Risk	Level
a)	n/a
Description	
b)	n/a
Description	

c)	n/a
Description	
d) Traceability and segregation systems available for the risks above	n/a
Description	
Do the traceability systems mitigate the mixing and substitution risks?	n/a
Rationale	

ASC CAR 17.6.3-5 Product flow, traceability and segregation

Please describe the product flow within the UoC

Fish are delivered to the UoC via wellboat transfer from smolt producers. Once on site, fish will be allowed to grow before being further separated (graded) into additional cages onsite at the UoC. All fish movements are traced via the database FishTalk. Once at harvest size, a wellboat will collect the fish and transfer them directly to the harvesting facility.

Conduct a traceability test of harvested products. In Case of partial certification perform a traceability test for ASC and non-ASC products.

7.6

Product Identification Code	Marøya Cage 3, 18.02.083 Forsan 18B-Midt
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	Production stage	Details of Documentation Reviewed		Description of how codes or documents link product at each stage.
		Description	Date	
A) From Forsan, tank A1202, fish group 18.01.100 name Forsan 18A-Midt, Atlantic salmon, 219549 pcs, 135,5 gr ave, 29748,9 kg. Well boat Steigen 02.12.2018, Broodstock Aquagen avd. Leines	Smolt Input	Smolt CV	02-12-18	Forsan, A1202, 18.01.100, Wellboat Steigen
B) NFT Steigen trip 101, 30.11.2018 - 02.12.2018, unloading 03.12.2018, Cage 1, 2 and 3. 115096 psc in cage 3.	Smolt stocking	Freight letter	Production Cycle	cake 3
C) Packing date 25.08.2020, Dønnland til F-430, no waiting cage, from Marøya, fish group 18.03, cage 3. Atlantic salmon, from Forsan smolt facility and AquaGen broodstock. Vaccine Alpha Ject Micro 6	Product and quality control	CV	25-08-20	cake 3
D) Packing date 25.08.2020, Inter Barents til F-430, no waiting cage, from Marøya, fish group 18.03, cage 3. Atlantic salmon, from Forsan smolt facility and AquaGen broodstock. Vaccine Alpha Ject Micro 6	Product and quality control	CV	25-08-20	cake 3
E) Well boat Interbarents from Intership . From cage 3 at Marøya. 11042 pcs, 65607 kg, 5,94 kg ave.	Delivery of Fish to harvest	Freight letter no 102	25-08-20	cake 3
F) Well boat Dønnland from NTS . From cage 3 at Marøya. 24161 pcs, 138436 kg, 5,73 kg ave.	Delivery of Fish to harvest	Freight letter no 146	24-08-20	cake 3
G) Last harvest from site: Cermaq Norway AS avd. slakteri Rypefjord. Load 2991 Dønnland and 2992 Inter Barents from Marøya 10611, 7165. 7166,	Slaughter and packing	Harvesting report	25-08-20	cake 3
H)				
I)				
J)				
K)				
L)				
M)				

7.7
7.8

Traceability test(s) successfully conducted	yes
Traceability Information allows to link each stage of handling certified products	yes

ASC CAR 17.6.6.1-2 Traceability determination

7.9

<p>The traceability and segregation systems in the operation are sufficient to ensure all products identified and sold as certified by the operation originate from the unit of certification</p>	<p>yes</p>
<p>The traceability and segregation systems are not sufficient and a separate chain of custody certification is required for the operation before products can be sold as ASC-certified or can be eligible to carry the ASC logo.</p>	<p>CoC not needed</p>
<p>Rationale for the decision</p>	
<p>The traceability and segregation systems are sufficient, no CoC certificate required at site. The area of highest risk is the use of subcontractors to transport fish from site to harvest. The transport vessels used to take the fish from site to the harvest facility are covered by the scope of the Harvest facility's CoC Certificate (ASC-C-00687), and the potential risks have been mitigated.</p>	

ASC CAR 17.6.10.1 Point of First sale / handling

7.12

[illegible]

ASC CAR 17.6.10.2 The point from which chain of custody is required to begin

7.13

From harvest with well boats

7.13.1

8. UoC volumes & Audit Closing

Please indicate the correct volumes of the applicable quarter and year.

Volume reporting for complete UoC				
Quarter of the year:	Quarter 1	Quarter 2	Quarter 3	Quarter 4
8.1 The volumes indicated in this table apply to the following year:				
8.1.1 Type of volumes indicated in 8.2 - 8.5				
8.2 ASC-certified production volume (in Kg)				
8.3 Non ASC-certified production volume (in Kg)				
8.4 Dispatched or sold as ASC-certified Volume (in Kg)				
8.5 Dispatched or sold as non ASC-certified Volume (in Kg)				

Decision	
8.6 Certification decision	<p>A Certificate was issued 12-03-2020. Bureau Veritas has performed the certification decision based on the audit report and the review.</p> <p>No information was submitted by stakeholders. The surveillance audit showed that the site is in compliance with 3 minor non-conformities being raised. The unit of certification has the capability to consistently meet the objectives of the relevant ASC salmon standard - version 1.3. Auditor recommends certification based on the result of the surveillance audit - The certification is upheld.</p>
8.7 Certificate valid from	12-03-20
8.8 Certificate valid till	11-03-23
8.9 Eligibility date	

Confidential Annexes	Annex filled in?	Annex submitted to ASC?
8.10 Annex-1 Interviewee information	No	No
8.11 Annex-2 Stakeholder comments	No	No
8.12 Annex-3 Social information	No	No
8.13 Annex-4 Volume data	Yes	Yes

9. Open & Extended NCs

Please indicate in the table below **ONLY** the non-conformities detected in the previous audit, which had the status: open or extended in the previous final audit report

This table is to evaluate the closure of the open/extended non-conformities from the previous audit. Add rows to the tables as needed.

[illegible]

Adjust the column width as needed to show the whole text or provide more space to write
Corresponds to ASC Salmon standard version 1.3

Indicator Number	Indicator Test	Audit Evidence	Overall Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close out	Actual date of close out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/used	Proposed by UIC and accepted by CAB	Proposed by UIC and accepted by CAB	Proposed by UIC and accepted by CAB	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
													Root cause analysis	NC correction	NC Corrective action				
1.1.1	Indicator: Presence of documents demonstrating compliance with local and national regulations and requirements on land and water use Requirement: Yes Applicability: All	Electronic copies of laws, regulations and requirements with references to Lovdata with updates and electronic links are kept in a web-based quality system called Intellex. The farm provided following documents from relevant authorities: Approval of operations plan for 2022 from Fishery Directorate, for 27 sites in Finnmark including 10611 Maraya. Application ref. A663051926. Date 03.01.2022 ref. 2114440. Approval from Finnmark County 08.10.2019 about change of area and biomass extension. MTB 7560 tonn. Discharge permit from County Governor of Troms and Finnmark, date 19.09.2019, 7560 MTB. Site certificate 10611 Maraya APN: 358 R3 valid 5 years from 28.10.2021 to 28.10.2026. Controls from authorities last year Inspection at 10611 Maraya - closing of case. Inspection 30.11.2021 and feedback from Cermaq 15.11.2021. Maraya - crack in top net pole. Closed by exchange of new and stronger pole. Many cages had irregular attachment of anchors not compliant with user manuals. Closed by informing all site managers and deciding to go through all sites regarding these attachments. Control of anchors has become part of Nylsø controls. Regarding conflict with national preservation areas, Directorate of Fisheries (https://www.fiskeridir.no/) manage the Aquaculture Act of 17 June 2005 no. 79 relating to aquaculture. According to § 15 Relationship to land use plans and conservation measures; aquaculture licenses may not be granted in contravention of adopted conservation measures relating to nature conservation. The county governor (fylkesmannen in Norwegian), who provides aquaculture allowance, is also the authority for conservation areas. The governor don't approve fish farming in protected areas (Verneområder in Norwegian). The Norwegian Environment Agency maintain a map with national salmon farms (https://skuskart.fylkesmannen.no).	Compliant																
1.1.2	Indicator: Presence of documents demonstrating compliance with all tax laws Requirement: Yes Applicability: All	Electronic copies of laws, regulations and requirements with references to Lovdata with updates and electronic links are kept in a web-based quality system called Intellex. Seen Authorized auditor report/Statement for organisation number 880211282, dt. 27.09.2021 by Deloitte, states that the financial statements are prepared in accordance with the law and regulations. The tax report dated from Norwegian Tax Administration (Skatteetaten) dated on 25.01.2022 valid until 6 måneder 25-07-2022 stating on withholding and urgent tax.	Compliant																
1.1.3	Indicator: Presence of documents demonstrating compliance with all relevant national and local labour laws and regulations Requirement: Yes Applicability: All	Electronic copies of laws, regulations and requirements with references to Lovdata with updates and electronic links are kept in a web-based quality system called Intellex. Arbeidstilsynet, the Norwegian Labour Inspection Authority, is responsible for supervising the implementation of the Working Environment Act. There were no inspections from Arbeidstilsynet for 2021-2022.	Compliant																
1.1.4	Indicator: Presence of documents demonstrating compliance with regulations and permits concerning water quality impacts Requirement: Yes Applicability: All	Discharge permit is given to the farm against the Pollution Act by Fylkesmannen (the County Governor). The farm provided following documents: Discharge permit when change of owners date 16.01.2012 from County Governor in Finnmark for 3480 MTB. Discharge permit from County Governor of Troms and Finnmark, date 19.09.2019, 7560 MTB. To show compliance with above above mentioned law and regulations, marine and environmental impact assessment (B- and C-survey) are performed by an accredited company for test 303 (sampling on sea sediments) once during the production period. The environmental reports and surveys are reported to Altinn. The reports are available in https://vgggrast.fiskeridir.no/ . See results of benthic sampling below, done at > 75% biomass. Olex map and GPS coordinates with ASC sampling points. Site-specific sampling regime (Survey-C hybrid - ASC adapted). Modified Survey-C according to N6940 (Norwegian authorities and legislation requirement). Point adapted to bathymetric conditions. Performed by an accredited company for test 303 (sampling on sea sediments): Akvaplan-Niva AS "Rapport: 2020-0272-02 Cernaq Norway AS ASC-eg C undersøkelse ved Maraya (10611), mai 2020" date 13.07.2020, sample date 19.05.2020. Sample stations C1 and C5 within AZE, C2/C3/C4 outside AZE - C6 station. The sampling has been done at peak biomass. Final result was 73 % peak biomass according to feeding. The total fed volume was greater than planned. High biomass related to MTB is reported to authorities through Altinn by end of month. Environmental reports and surveys reported to Altinn approximately 1 month after felt sampling done and results available from contractor. Available in https://vgggrast.fiskeridir.no/ . No indications of non-compliance.	Compliant																
2.1.1	Indicator: Redox potential (pE) sulphide levels in sediment outside of the Allowable Zone of Effect (AZE) (B), following the sampling methodology outlined in Appendix I of the Salmon standard v.1.3 Requirement: Redox potential > 2 mV or Sulphide < 1,500 µMn/L	See info about C/ASC survey above. Redox potential is compliant - 499/ 420/ 417 mV at sampling stations outside AZE.	Compliant																
2.1.2	Indicator: Faoal Index score indicating good (7) to high ecological quality in sediment outside the AZE, following the sampling methodology outlined in Appendix I of the Salmon standard v.1.3 Requirement: AZTI Marine Biotic Index (AMBI)(B) score < 3.3, or Shannon-Wiener Index score > 3, or Benthic Quality Index (BQI) score > 15, or Infaunal Trophic Index (ITI) score > 25	See info about C/ASC survey above. Shannon Wiener index is compliant - 4.34/ 3.94/ 3.97 at sampling stations outside AZE.	Compliant																
2.1.3	Indicator: Number of macrobenthic taxa in the sediment within the AZE, following the sampling methodology outlined in Appendix I of the Salmon standard v.1.3 Requirement: > 2 highly abundant (B) taxa that are not pollution indicator species	See info about C/ASC survey above. Number of taxa within AZE that are highly abundant and not pollution indicator species are compliant - 2 and 3 and a 2.	Compliant																
2.1.4	Indicator: Definition of a site-specific AZE based on a robust and reliable (20) modelling system (13) Requirement: Yes	See info about C/ASC survey above. Site-specific sampling regime (C - ASC survey adapted/Modified C-survey according to N6- 9410 (Norwegian Standard Authorities and legislation requirement) specified in N6-9410. Survey developed and performed by Akvaplan Niva, an accredited company for test 303 (sampling on sea sediments). Choosing of sample stations and site specific AZE are part of report - Robustness and credibility of analysis and modelling has verified during audit and reviewing of Akvaplan Niva Reports including 8 surveys performed at site including site specific AZE monitoring.	Compliant																
2.2.1	Indicator: Weekly average percent saturation (16) of dissolved oxygen (DO) (27) on farm, calculated following methodology in Appendix I of the Salmon standard v.1.3 Requirement: > 70% (18)	Continuous logging with Trietta (ARVA log) of oxygen and temperature at 2 sampling stations at cages (6 and 1) (additional reference station at barge). The site has a manual oxygen monitor instrument for backup. The procedure for inspection for fish (Prosyndes for ettergrunn og retting av metriske) (R-34) has been updated 08-02-2021, which includes monitoring of oxygen. Oxygen levels in existing production cycle 21G: Between 85-95-100-110%. Temperature at adult > 3 degrees C. Salinity is 28.59-30.11. No missed data. Oxygen levels in previous production cycle 21G: All above 70%. Monitoring of oxygen and calibration routines verified on site. Good knowledge, instructions from equipment producer available.	Compliant																
2.2.2	Indicator: Minimum percentage of weekly samples from 2.2.1 that fall under 2 mg/L DO Requirement: 9% Applicability: All	No samples were under 2 mg/L DO.	Compliant																

2/11

3/11

4/11

5/11

Corresponds to Salmon Standard v.1.3

6/11

7/12

8/11

9/11

10/11

11/11

Metric table

Where the requirement is "None", please use 0 (zero) if requirement is met

Corresponds to ASC Salmon standard version 1.3

[illegible]

[illegible]

[illegible]

Summary of Standard Non Conformities (NC)
Standard: Salmon
Version: 1.3

NC Type	NC Totals
Major	0
Minor	3
Total	3

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CAB)	Indicator Number	Indicator Text	Audit Evidence	Overall Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
4.7.2	Requirement: Yes	Indicator: For any farm that cleans nets at on-land sites, evidence that net-cleaning sites have effluent treatment [92] Requirement: Yes Applicability: All farms. Closed production systems that do not use nets and do not use antifoulants shall be considered exempt from standards under Criterion 4.7.	Each net service company has certification from the authorities to clean nets at their facilities. All the nets are serviced and cleaned by Mørenot AS. They are certified to ISO 14001:2015. All solids are collected and effluent water is tested for compliance to strict effluent requirements according to Section 25-04 of the Pollution Regulation (Discharges of up to 2 kg of copper / year be considered exempt from standards under Criterion 4.7).	Minor	The discharge permit for nethandling unit was not available at audit. This is Minor NC because it does not meet the definition of a major NC and will not produce a non-conforming product and does not compromise the integrity of the standard.	25-Mar-22	25-Apr-22	25-Mar-22	Closed					The reason why this was not clarified before end of audit, was because I was not able to get hold of the net handling supplier.	Not a NC since they do not need a discharge permit.	No actions required, the compliance is covered by attached certificate.	Evidence for closing of NC is accepted by auditor. Certificate for net handling unit (PR008_-_Morenot_Aquaculture_Service verketeder 13) shows that net handling unit is compliant.			
5.1.1	Requirement: Yes	Indicator: Evidence of a fish health management plan for the identification and monitoring of fish diseases, parasites and environmental conditions relevant for good fish health, including implementing corrective action when required Requirement: Yes Applicability: All	Cermaq Fish Health Plan ("Fiskehelseplan for Loppa, Vassvika, Marøy, Slopegrun og Hundbergan". Updated and signed by Cermaq regional responsible vet Elisabeth Myklebust, signed 18.03.2021) covers all areas as required by ASC such as; biosecurity, fish health surveillance, water quality, sea lice control and a list of therapeutant treatments that may be used by the site. 3 internal fish health personnel in Finnmark CAM 6025056 HPR, Nansy Tangen HPBnr. 7643128 and Kine Jæraholmen HPBnr. 10039421 . Prescriptions written by internal fish health personnel.	Minor	Fish Health Management Plan for site is not fully updated. This is Minor NC because it does not meet the definition of a major NC and will not produce a non-conforming product and does not compromise the integrity of the standard.	25-Mar-22	06-May-22	02-May-22	Closed					The plan is under revision, but has been delayed due to a new medicament that needed a thorough review	The fish health plans is to be updated on a yearly basis due to routine updates of procedures and reviews of the documents, but it is not a critical deadline	Final review and publication of the updated fish health plans is planned for week 17 2022.	Auditor accepts root cause, correction and corrective action and evidence document "Fiskehelseplan Loppa 2022 (1)" for closing of NC.			
6.5.3	Requirement: Yes	Indicator: Presence of a health and safety risk assessment and evidence of preventive actions taken Requirement: Yes Applicability: All	NC: The Palfinger model 2009/ 315 crane on vessel was temporarily not approved for operation because of "slack", but not clearly marked out of use/ locked from use. But no information from interviews that the crane was used. This is Minor NC because it does not meet the definition of a major NC and will not produce a non-conforming product and does not compromise the integrity of the standard. Cermaq use a digital Intellex system for reporting workplaces assessment. Each finding or observation get an ID with date, description, risk (low, medium or high), deadline and status (open, closed). The safety representatives organize monthly meeting with staff on risks and preventive actions. The safety representatives across sites meet twice per year for information sharing on topics like near miss incidents. The Intellex dashboard outline findings in low and medium risk and few high risk. Very few findings. Default deadline for all findings is 14 days, but tasks appear as in progress until closed. Som low priority findings were still in progress. Verified obligatory annual control completed by KIS NORD AS, Åvd. Tromsø. Stamp with date on cranes and truck for next control.	Minor	The Palfinger model 2009/ 315 crane on vessel was temporarily not approved for operation because of "slack", but not clearly marked out of use/ locked from use. But no information from interviews that the crane was used. This is Minor NC because it does not meet the definition of a major NC and will not produce a non-conforming product and does not compromise the integrity of the standard.	25-Mar-22	25-Apr-22	22-Apr-22	Closed					No one thought to mark the crane out of use since they were aware of it	The crane has a temporarily "stop order" due to a slack. This has been repeated on the weekly meetings with both shifts since the stop order was given. All the employees is aware of the stop order.	A sign has been put up to show that it is not be used (attached)	Auditor accepts corrections, corrective actions and evidence uploaded picture "Merke Kran MS Langford" as evidence for closing of NC.			