

1. General, client/CAB information

1.1 Document Type	Draft Report
1.2 Document language	English
1.3 Second document language	English
1.4 Unit of certification type	Single Site
1.4.1 Company name	Mowi Scotland Ltd
1.4.2 UoC name	Alsh
1.5 Country where UoC is located	United Kingdom of Great Britain and Northern Ireland, The
1.6 ASC Standard	Salmon
1.7 Standard version	1.3
1.8 Certification process is subject to CAR version	2.2
1.9 Name of the Conformity assessment body (CAB)	Acoura Marine t/a Lloyd's Register
Client contact person - from the UoC	
1.15 First name	Sam
1.16 Surname	Clegg
1.17 Position in the UoC (Job title)	Certification Manager
1.18 Email address	sam.clegg@mowi.com
1.19 Phone number	N/A
1.20 Other means of contact e.g. Skype	N/A

2. Audit Information

Include the dates for publication of the announcement and draft reports **before each respective submission.**

2.1	Date - Audit announcement published on ASC website	28 October 2021	
2.2	Date - Draft report published on ASC website		
2.3	Date - Final report submitted to ASC		
2.4	Audit ID	A0005517	
2.5	ASC standard principles covered by the audit	Principle 1	Covered
2.5.1		Principle 2	Covered
2.5.2		Principle 3	Covered
2.5.3		Principle 4	Covered
2.5.4		Principle 5	Covered
2.5.5		Principle 6	Covered
2.5.6		Principle 7	Covered
2.5.7		Principle 8	Covered

2.6	<p>Activities covered under the scope of the certification and under the scope of the audit.</p> <p><i>Activities in the table apply to final product only.</i></p>	Activity	Under scope of certification	Under Scope of this audit	Notes
		2.6.1 Stocking	Covered	Covered	
		2.6.2 Nursing	Covered	Covered	
		2.6.3 Growing Out	Covered	Covered	
		2.6.4 Transferring	Covered	Covered	
		2.6.5 Harvest	Covered	Covered	
		2.6.6 Vaccination	Not Covered	Not Covered	
		2.6.7 Fallowing	Covered	Covered	
		2.6.8 Transportation	Covered	Covered	
		2.6.9 Storage (if present at farm)	Not Covered	Not Covered	
		2.6.10 Processing (if present at farm)	Not Covered	Not Covered	
		2.6.11 Packing (if present at farm)	Not Covered	Not Covered	
		2.6.12 Other (Please describe)	Not Covered	Not Covered	

2.7	Certification cycle	1
2.8	Audit type	Initial audit
2.9	Audit number in certification cycle	1
2.10	Will harvesting be witnessed during audit?	Yes
2.10.1	If harvest is NOT witnessed, please justify:	
2.11	Audit conducted (On-site/Remote):	On-site

Please indicate the hours assigned to the different audit activities in the table below, separated by the **hours** spend on the activities by the environmental- and social auditor(s):

2.12		2.12.2	2.12.3
	Time assigned to audit activities	Social Auditor(s)	Environmental auditor(s)
	Off-site activities	20	44
	On-site activities	36	36
	Total man days	7	10

[illegible]

3. Site information

List all sites here, that are included in the certificate.

GIS, polygon data and map on site level
validated by auditor?

3.1	3.2	3.3	3.4	3.5	3.13	3.14	3.15	3.16	3.17	3.18	3.19	3.20	3.21	3.22	3.22.1	3.22.2	3.23	3.23.1	3.24	3.25	3.26	3.26.1	3.27	3.28	3.29	3.30	3.31	3.32
Site ID - provided by ASC with publication confirmation of audit announcement	Site name	Ownership	Primary culture species	Secondary species (choose multiple species as relevant)	Latitude (N, S) (00.000000)*	Longitude (E, W) (00.000000)*	Production system	Number of production units	Production type	Production method	Date of inclusion into the UoC (for scope extension/group/multi-site)	Start date of audit	End date of audit	First date of juvenile stocking for the current production cycle	Estimated Number of months post audit to peak biomass/ first harvest	Status at the time of the current audit	List of other certificates (choose multiple options as relevant)	List of other certificates: If 3.23 is "Other", please list the certificates.	Is the site partially certified?	If partially certified, which part is not in the UoC and why?	The volumes indicated in the fields 3.27-3.30 apply to the following full calendar year:	Type of volumes indicated in 3.27-3.30	ASC-certified production volume (in Kg)	non ASC-certified production volume (in Kg)	Domesticated, sold, as ASC-certified Volume (in Kg)	Domesticated, sold, as non ASC-certified Volume (in Kg)	For Bivalve/Abalone: Volumes indicate in five weight equivalent or volume without shell	Notes/ Other information
50003891	Aish	Owned			57.266300	-5.675071	Cages	10.000000	44515.000000																			

4. Harvest witnessing



4.1	4.2	4.3	4.4	4.5	4.6	4.7	4.8
Site ID - provided by ASC with publication confirmation of audit announcement.	Site name	Date of witnessed harvest:	Production unit ID:	Volume harvested (in Kg):	Average weight of animals (in g)	Partial harvest / full harvest:	Note/ Other information
S0003891	Alsh						Witnessed as part of the Mowi processing unit at Mallaig as live fish uplift from site.



For more information please read our privacy policy, which can be found here:

[ASC Privacy policy](#)

Provide stakeholder comments including CAB response, please use the confidential Annex-2 in case of any confidential data not to be published.

This table collects all the information relevant to stakeholders consulted during the audit process. Each stakeholder should be entered into a separate row, even where from the same company/organization

[illegible]

6. Social Requirements

IMPORTANT NOTE This sheet, containing the social data, will be made publicly available. Some parts (2 and 3) of the social requirements are included in the confidential Annex-3, and will not be made publicly available. **Please complete both sheets.** This information is ideally prepared for desk review, prior to the audit. If this is not the case, the sheets are required to be filled out in the draft- and final audit report.

Date of review

1 Client's Information

Please note that a lot of fields in this sheet contain data restrictions, where **ONLY** a number can be entered.

6.1 Means of transportation between office and site(s) and between sites within UoC																			
6.1.1 Estimated travel time between office and site(s) and between sites within UoC																			
6.2 Number of complaints received from stakeholders over past 12 months																			
6.3 Number of resolved complaints																			
6.4 Average time to resolve complaints (days)																			
6.5 Last Social Impacts Assessment (SIA) conducted in (year)																			
6.6 Name of nearby communities, indigenous or not and the distance of the UoC to the nearest neighbouring community/-ies or neighbours (in km)	<table><tr><th>Name of nearby community</th><th>Indigenous</th><th>Distance of the UoC to the nearest neighbouring community/-ies or neighbours (in km)</th></tr><tr><td></td><td></td><td></td></tr><tr><td></td><td></td><td></td></tr><tr><td></td><td></td><td></td></tr><tr><td></td><td></td><td></td></tr><tr><td></td><td></td><td></td></tr></table>	Name of nearby community	Indigenous	Distance of the UoC to the nearest neighbouring community/-ies or neighbours (in km)															
Name of nearby community	Indigenous	Distance of the UoC to the nearest neighbouring community/-ies or neighbours (in km)																	

6.7 Social audits performed at UoC	Standard	Certified since (Date)	Certified until (Date)	Date of last audit (Date)	Evaluation result
	SAB000				
	BSCI	N/A	N/A		
	SMETA	N/A	N/A		
	ISO 45000				
	ASC				
	Others (specify)				
6.8 Subcontractors	Name of subcontractors	Place of work	Areas of work/processes		

4 List of documents submitted by UoC

Only copies of listed documents are submitted to the CAB.

Units of Certification (UoC)			
6.9 Map/layout of UoC			
6.10 List of sites/farms if multi-site or group			
6.11 List of applicable laws and regulations, year of release, authority			
6.12 Agreement with adjacent community/ies, if any			
6.13 Social Impacts Assessment report			
6.14 List of subcontractors, if any, including their services, addresses			
6.15 Agreement with labour contracts, if any			
6.16 List of workers, their age, type of work (full/part time), nationality/-ies, shift and accommodation (if applicable)			
Management system			
6.17 Relevant policies and procedures:	Exist	Policy	Procedure
Workers training			
Grievance mechanism			
Non-discrimination			
Child and young labour			
Forced, bonded labour			
Health and safety risk assessment			
Age-verification			
Fire prevention			
6.18 Certificate of compliance to other social standard			
6.19 Latest audit report of the other social standard			
6.20 Organisational chart of UoC			
6.21 Job descriptions for workers for different functions			
6.22 Product flow within UoC			
ASC Audit			
6.23 Filled out audit preparation checklist(s)			
6.24 Previous ASC audit report			
6.25 Evidence of implementation of corrective actions for NCs			
Other records			
6.26 Collective bargaining agreement, if exists			
6.27 Accidents log and their status			
6.28 Last inspection report related to workplace H&S			
6.29 Minutes of the last workers' meeting			
6.30 Minutes of health and safety meeting			
6.31 Basic need wage calculation			
6.32 List of chemicals used within UoC			
6.33 Last inspection report of the housing provided to workers			
6.34 Overtime calculation			
6.35 Training records for workers on social related issues			
6.36 Other (Please describe here)			

5 CAB diligence

	ASC social audits	Other social audits		
6.37 Number of social audits performed by the auditor in this country				
6.38 Applicable laws and regulations				
6.39 Required information and documents fully submitted	Information/ documents fully submitted	Missing information and documents	Next steps	Status
6.40 Topics/issues needing further research before on-site audit				
6.41 CAB's diligence to obtain additional information about the UoC	Topics	Means of research	Rationale	Outcome
6.42 Changes since last audit				

8. UoC volumes & Audit Closing



Please indicate the correct volumes of the applicable quarter and year.

	Volume reporting for complete UoC				
	Quarter of the year:	Quarter 1	Quarter 2	Quarter 3	Quarter 4
8.1	The volumes indicated in this table apply to the following year:	2019	2019	2019	2019
8.1.1	Type of volumes indicated in 8.2 - 8.5	Actual volume	Actual volume	Actual volume	Actual volume
8.2	ASC-certified production volume (in Kg)	0	0	0	0
8.3	Non ASC-certified production volume (in Kg)	87000	308000	1057000	665000
8.4	Dispatched or sold as ASC-certified Volume (in Kg)	0	0	0	0
8.5	Dispatched or sold as non ASC-certified Volume (in Kg)	87000	308000	1057000	665000

Decision	
8.6	Certification decision
8.7	Certificate valid from
8.8	Certificate valid till
8.9	Eligibility date

Confidential Annexes	Annex filled in?	Annex submitted to ASC?
8.10 Annex-1 Interviewee information	No	No
8.11 Annex-2 Stakeholder comments	No	No
8.12 Annex-3 Social information	No	No
8.13 Annex-4 Volume data	Yes	Yes

9. Open & Extended NCs

Please indicate in the table below ONLY the non-conformities detected in the previous audit, which had the status: open or extended in the previous final audit report. This table is to evaluate the closure of the open/extended non-conformities from the previous audit. Add rows to the tables as needed.

[illegible]

10. B-EIA & p-SIA checklist

Checklist and guideline for auditors on a complete B-EIA & p-SIA process and report.
Please find all requirements for B-EIA and p-SIA in the ASC standards.

Biodiversity-inclusive Environmental Impact Assessment		
	B-EIA checklist	Validated by auditor? Notes
10.1	1. Quality of the B-EIA process (e.g., was it participatory and transparent?), B-EIA carried out by a suitably qualified and experienced team in accordance with requirements listed out in the ASC standards.	B-EIA included in the farms last planning application
10.2	(a) The B-EIA was publicly (locally) communicated with sufficient time for interested parties to participate and/or get informed.	
10.3	(c) Stakeholders are listed and impact descriptions are documented and in preparation of the final B-EIA report, meetings with the listed stakeholders (or by stakeholders chosen representatives) have taken place.	
10.4	(d) These meetings have been recorded and the minutes are attached to the final report; names and contact details of participating stakeholders included.	
10.5	(e) Evidence is provided that draft and final B-EIA reports have been submitted to local government representatives and, if requested by stakeholders, a legally registered civil organization chosen by these stakeholders.	
10.6	(f) Evidence is provided that the final B-EIA reports have been submitted and reviewed by a specialist with appropriate expertise on biodiversity issues.	
10.7	(g) B-EIA completed according to guidance on B-EIA and pSIA relationship (transparency and consultation).	
10.8	2. Risk analysis: actual (past and present) impacts of the current farm, or potential impacts of the intended farm or expansion of existing farm and at least two alternatives (one of these is the "no farm or no expansion" scenario). Concepts to cover include:	
10.9	(a) The type of farming, possible alternatives and a summary of activities likely to affect biodiversity.	
10.10	(b) An analysis of opportunities and constraints for biodiversity (include "no net biodiversity loss" or "biodiversity restoration" alternatives).	
10.11	(c) Expected biophysical changes (in soil, water, air, flora and fauna) resulting from proposed or existing activities or induced by any socioeconomic changes.	
10.12	(d) Spatial and temporal scale of influence, identifying effects on connectivity between ecosystems, and potential cumulative effects.	
10.13	(e) Available information on baseline conditions and any anticipated trends in biodiversity in the absence of the proposal.	
10.14	(f) Likely biodiversity impacts associated with the proposal or current operations in terms of composition, structure and function of surrounding ecosystems	
10.15	(g) Biodiversity services and values identified in consultation with stakeholders and anticipated magnitude, direction and timeline of changes in these (highlight any irreversible impacts).	
10.16	(h) Possible measures to avoid, minimize or compensate for significant biodiversity damage or loss, making reference to any legal requirements. Information required to support decision making and summary of important gaps.	
10.17	(i) Proposed IA methodology and timescale.	
10.18	3. Impact statement is available and contains all of the requirements listed above along with a clear indication of authors and affiliations.	
10.19	4. Review process, reviewers (decision makers), and decisions clearly documented.	
10.20	5. Clear understanding as to how options for mitigation and offsetting were determined and how avoidance actions were prioritized over compensation.	
10.21	6. Names, affiliations and experience of the reviewing specialist are documented and clear understanding of how affected groups were involved and how balanced consideration was given to conservation vs. development goals in the peer review.	
10.22	7. Clear articulation of a biodiversity management system including targets and monitoring strategies for mitigation.	

Participatory Social Impact Assessment		
	p-SIA checklist	Validated by auditor? Notes
10.23	1. Quality of the p-SIA process (e.g., is it participatory and transparent).	
10.24	(a) The intent to conduct a p-SIA is locally public communicated with sufficient time for interested parties to participate and/or get informed.	
10.25	(b) In listing stakeholders, in making impact descriptions, and in preparation of a final p-SIA report-document meetings with the listed stakeholders (or by stakeholders chosen representatives) have taken place.	
10.26	(c) These meetings have been minuted and these records are attached to the final report; names and contact details of participating stakeholders are included.	
10.27	(d) Evidence is provided that draft and final p-SIA reports have been submitted to a local government representative and, if stakeholders so desire, to a legally registered civil organization.	
10.28	(e) B-EIA done and completed according to guidance in the ASC standards (appropriate accreditation and consultation).	
10.29	2. The risks and actual (past and present) impacts of the current or intended farm and at least two alternatives (one of these is the "no farm or no expansion" scenario). Concepts to cover include:	
10.30	(a) Economic aspects (influence on employment opportunities, influence on other livelihoods in community).	
10.31	(b) Natural resource access and use (land and water tenure, influence on quality and availability of natural resources including water).	
10.32	(c) Human assets (food security, health and safety, education, indigenous knowledge).	
10.33	(d) Physical infrastructure (access to roads, electricity, telephone, housing, waste disposal systems).	
10.34	(e) Social and cultural aspects (indigenous/traditional/customary rights and beliefs, social exclusion/inclusion, gender equity, changes in age composition of the community, local informal institutions and organisations).	
10.35	(f) Governance aspects (influence of aquaculture on norms, taboos, regulations, laws, conflict management and whether these changes add up to more or less transparency, accountability and participation in decision making).	
10.36	3. Research and report probable impacts that are likely to be most important. In doing this, it is important to arrange meetings with stakeholders to let them prioritize and to let them express how they assess/view/feel; identify both positive and negative risks and impacts.	
10.37	4. Do deeper investigations into priority impacts with a focus on the question: "What changes will lead to if they indeed come about?" These include:	
10.38	(a) Physical effects to man-made and natural structures and processes.	
10.39	(b) Likely adaptations and the social and economic effects of making such adaptations.	
10.40	(c) How these effects and indirect effects would compare to having no intervention.	
10.41	(d) How effects may or might be cumulative.	
10.42	5. Make recommendations to maximize the positive and minimize the negative, with consideration to compensation options for those lands and people impacted. Also include recommendations on how to avoid these issues with the intended farm or farm development.	
10.43	6. Propose a mitigation plan assuming the farm development will take place or continue (in an adapted form if that seems appropriate); include a "closure and reclamation plan" explaining how repair or restoration will take place after farm closure or bankruptcy.	
10.44	7. Develop and approve with all stakeholders a monitoring plan and indicators on both positive and negative risks and impacts (make use of FOD and/or PRA methodologies in this step).	
10.45	8. A summary with recommendations and conclusions is made available to all involved in the process and, through local public notices, made accessible to all members of the local community.	

Adjust the column width as needed to show the whole text or provide more space to write
Corresponds to ASC Salmon standard version 1.3

																			Proposed by LRO and accepted by C&B	Proposed by LRO and accepted by C&B	Proposed by LRO and accepted by C&B				
Indicator Number	Indicator Text	Audit Evidence	Overall Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	C&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes						
2.1.1	Indicator: Presence of documents demonstrating compliance with local and national regulations and requirements on land and water use Requirement: Yes Applicability: All	The Sites legal compliance is demonstrated by the most recent version of the C&A license C&A /J/202237VCT as issued by SEPA issued 28th June 2022 with relation to the permitted reuse area, site specification (20 x 120m) and number and increased permitted maximum storage of fish to be held on site of 2,500 with a maximum stocking density in any cage of 13.6kg/m ³ . In addition the Marine Scotland license 06027245 dated 18th April 2022 expiry 12th April 2024 license for marine farm works details grid references for cages, boat moorings and feed barge (22 cages and one feed barge). Highland council planning permission provided for upgrade from 14 x 17m circular cages to 16 x 120m circular cages dated 18th March 2003 ref: 07000050PLAS. Crown Estate lease relating to the site 107 - SR -10 running for 24 years 2 months from 1st February 2011 (on to 1st November 2033) and specifies the payments required to be made for salmon produced at the site	Compliant																						
2.1.2	Indicator: Presence of documents demonstrating compliance with tax laws Requirement: Yes Applicability: All	Marine Harvest ASA is currently listed on the Oslo and New York stock exchanges, Marine Harvest (Scotland) Registered SC 138883. Statements of tax paid verified and stated compliant with the Companies act 2006. VAT registration number is GB 383 1496 17 and statutory accounts for Mowat Scotland provided dated December 2023 and signed off by Paul Copland of Ernst and Young dated September 2023	Compliant																						
2.1.3	Indicator: Presence of documents demonstrating compliance with all relevant national and local labour laws and regulations Requirement: Yes Applicability: All	This is covered in the Principle 6 audit process by the social auditor, Ingharra Peeling, Mowat Scotland's Head of HR, has responsibility for the legislation that applies. Mowat Scotland is audited by Trócaire in People's independent company Endeavour HR are contracted to for advice on determining Living Wage. Company engagement is updated monthly and shows HR department staff including Health and Safety Manager, Carrow May, Company Handbook confirmed as maintained and up-to-date, Seawater Induction Handbook with most recent version available on line.	Compliant																						
2.1.4	Indicator: Presence of documents demonstrating compliance with regulations and permits concerning water quality impacts Requirement: Yes Applicability: All	SEPA temporary regulatory position statement allows for the delay encountered in carrying out environmental monitoring of the site due to the COVID restrictions imposed by government (it is noted this was originally expected to resume April 2020), similarly the site inspections carried out by SEPA have also been temporarily suspended until the restrictions are lifted. Environmental requirements covered as outlined above, most recent C&A compliance summary report from SEPA for 2020 showing an overall grading High Performance with overall compliance band as Excellent.	Compliant																						
2.1.5	Indicator: Residue potential or (3) sulphide levels in sediment outside of the Remedial Zone at Effect AZ2 (3). Following the sampling methodology outlined in Appendix 1 of the Salmon standard v.1.3 Requirement: Residue potential < 50 g/t or sulphide < 0.500 mg/kg Applicability: All farms except Closed production systems that can demonstrate that they collect and responsibly dispose of > 75% of solid nutrients from the production system are exempt from standards under Criterion 2.1. See Appendix V for requirements on transparency for 2.1.1, 2.1.2 and 2.1.5.	Environmental audit report created 15th May 2020. In accordance with SEPA's new monitoring policy (final report from SEPA awaited but to be issued upon the results of sampling). Commentary included in the sampling is not directly comparable with the previous sampling as the new SEPA criteria are now applied. Data gathered at 40% of peak biomass (after the peak level was planned - 22300T of 2,500 salmon - January 2020) in accordance with the new sampling requirements with required abundance of enrichment polychaetes at age stage 10000mg and with 17 values. 10/12 compared to reference site samples of 6/6 also in compliance with the requirements.	Compliant	VR 412 applies																					
2.1.6	Indicator: Faecal index score indicating good (7) to high ecological quality in sediment outside the AZ2, following the sampling methodology outlined in Appendix 1 of the Salmon standard v.1.3 Requirement: AZ2 Marine Biotic Index (AMBI) score > 3.5, or Benthic Opportunistic Polychaetes (BOP) score > 15, or Infaunal Trophic Index (ITI) score > 25 Applicability: All farms except Closed production systems that can demonstrate that they collect and responsibly dispose of > 75% of solid nutrients from the production system are exempt from standards under Criterion 2.1. See Appendix V for requirements on transparency for 2.1.1, 2.1.2 and 2.1.5.	See information at 2.2.1, VR 412 applies relating to acceptance of SEPA requirements.	Compliant	VR 412 applies																					
2.1.7	Indicator: Number of nonfaecal faeces in the sediment within the AZ2, following the sampling methodology outlined in Appendix 1 of the Salmon standard v.1.3 Requirement: > 2 highly abundant (3) taxa that are not pollution indicator species Applicability: All farms except Closed production systems that can demonstrate that they collect and responsibly dispose of > 75% of solid nutrients from the production system are exempt from standards under Criterion 2.1. See Appendix V for requirements on transparency for 2.1.1, 2.1.2 and 2.1.5.	See information at 2.2.1, VR 412 applies relating to acceptance of SEPA requirements.	Compliant	VR 412 applies																					
2.1.8	Indicator: Definition of a site specific AZ2 based on a robust and credible (20) monitoring system (2) Requirement: Yes Applicability: All farms except Closed production systems that can demonstrate that they collect and responsibly dispose of > 75% of solid nutrients from the production system are exempt from standards under Criterion 2.1. See Appendix V for requirements on transparency for 2.1.1, 2.1.2 and 2.1.5.	See information at 2.2.1, VR 412 applies relating to acceptance of SEPA requirements.	Compliant	VR 412 applies																					
2.2.1	Indicator: Weekly average percent saturation (36) of dissolved oxygen (DO) (14) on farms, calculated following methodology in Appendix 1 of the Salmon standard v.1.3 Requirement: > 90% (16) Applicability: All farms. An exception to this standard shall be made for farms that can demonstrate compliance with a reference site in the same water body.	Sampled records commencing previous cycle shows lowest weekly average values of 78% or above for 16th October 2021. Information recorded daily on computer and held in office.	Compliant	> 90%																					
2.2.2	Indicator: Maximum percentage of weekly samples from 2.2.1 that fail under 2 mg/L DO Requirement: 75 Applicability: All	N/A as detailed above	N/A	No samples fail under 2 mg/L DO																					
2.2.3	Indicator: For conditions that have natural or regional control water quality targets (20), demonstration through third-party audits that the farm is in an area meeting (20) classified as having "good" or "very good" water quality (21) Requirement: Yes (22) Applicability: All farms except Closed production systems that can demonstrate that they collect and responsibly dispose of > 75% of solid nutrients as well as > 50% of dissolved nutrients through third-party audits, setting and/or other technologies are exempt from standards 2.2.1 and 2.2.4.	Scottish Executive Document 'Local Area Guidelines for Authorisation of Marine Fish Farms in Scottish Waters' sets out classification system for each sea loch in respect of nutrient enhancement and benthic impact. Loch classifications reflect the environmental sensitivity of the loch to further fish farm development. Loch classifications are updated by Marine Scotland every 3 months. In assigning this classification system, the C&A would not expect to use the classification of the sea loch area, as a result of the farm's activity, in the case of this site the category 3 classification relates to Loch Aish (including Loch Long) with a maximum permitted capacity of 5000 MT.	Compliant																						

13/2

[illegible]

$15/2$

[illegible]

4.2.1	<p>Indicator: Evidence that the type of biosecurity used is not conflicting or approved according to legislation in the European Union, or the United States, or Australia.</p> <p>Requirement: Yes</p> <p>Applicability: All farms. Closed production systems that do not use fish, and do not use antibiotics shall be considered exempt from standards under Criterion 4.7.</p>	N/A as no antibiotic confirmed on site.	N/A	N/A as no antibiotic confirmed on site.															
5.2.1	<p>Indicator: Evidence of a fish health management plan for the identification and monitoring of fish diseases, parasites and environmental conditions relevant for good fish health, including implementing corrective action when required.</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	Health management plan for Aksh was checked during the visit onsite. Including all the relevant points required as monitoring of diseases, parasites and environmental conditions. This includes the area management plan for the site. Document is regularly updated and used as a 'health log'. Parasite checks are carried out regularly on the warmer temperatures. Document reference SDC Ref CDS SDB V20 Reviewed on 02/12/2020 by the vet Mervell Dale.	Compliant																
5.2.2	<p>Indicator: Site visits by a designated veterinarian(S) at least once a year, and by a fish health manager(S) at least once a month.</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	Although not all the health visits to the farm are recorded in the visitors record, farm manager could show the presence of the health manager with the visit reports, at least once per month since the beginning of the cycle. In some cases there were more than 1 visit per month. These reports show the cause of the visit, a routine visit or if it is a requested visit by the farm or to evaluate the mortality trends after a treatment.	Compliant																
5.2.3	<p>Indicator: Percentage of dead fish removed and disposed of in a responsible manner.</p> <p>Requirement: 100% (0)</p> <p>Applicability: All</p>	Dead fish are pumped from the bottom of the pen to a basket in the surface. Fishes are disposed in the land base in containers until they are collected by an authorised company. Death fish from Dutch, Andorstad and Aksh are manage together. Last collection done on 11.11.2021 by COVAD SERVICES Ltd NP CDS123025. Waste Carrier Licence NP CDS0207895. Disposal point@Waste licence NP-EMERGEN BODAS Cumberland GASTON, PPCA/10828470/18/0202/ABP60.	Compliant																
5.2.4	<p>Indicator: Percentage of mortalities that are recorded, classified and involve a post-mortem analysis.</p> <p>Requirement: 100% (0)</p> <p>Applicability: All</p>	Mortalities are removed in a daily basis from the bottom of the pens pumping the water into a basket. Witnessed death removal for pen 20. Diagnosis is done by the staff. Record clearly different causes of death as: Transport losses, physical damage, predators birds, deformities, sea lice, ADG, fungus, wounds, asexual, undiagnose disease. This site is recorded in aquafarm management software. The uplift of mortalities on site showed that the classification of decomposed fish and occasional other causes was being entered as a single cause in the accuracy of the analysis was not confirmed.	Compliant	CRS: The uplift of mortalities on site showed that the classification of decomposed fish and occasional other causes was being entered as a single cause in the accuracy of the analysis was not confirmed.															
5.2.5	<p>Indicator: Maximum and disease related mortality(0%) on farm during the most recent production cycle.</p> <p>Requirement: < 10%</p> <p>Applicability: All</p>	The % of mortalities, since the beginning of the cycle until the audit day 17.11.2021, related to viral disease is recorded as 0%. Most of the mortality was due to physical damage. The uplift of mortalities on site showed that the classification of decomposed fish and occasional other causes was not being identified which meant that the figure provided for unexplained / viral loss could not be relied on. Graded minor due to the frequent presence on site of the area Vet who carries out detailed post-mortem on fish uplifted.	Minor	Listed as 0% - The uplift of mortalities on site showed that the classification of decomposed fish and occasional other causes was not being identified which meant that the figure provided for unexplained / viral loss could not be relied on. Graded minor due to the frequent presence on site of the area Vet who carries out detailed post-mortem on fish uplifted.	19-Nov-21	19-Feb-22	20-Jan-22	Closed		Exceeded mortality during audit visit meaning staff are unable to diagnose every fish individually. Occasionally very small numbers of fish may be grouped during post-mortem analysis	Training to be refreshed through the site health team and verified for correct application	Training to be refreshed through the site health team and verified for correct application	The proposed corrective action is appropriate to allow closure of this non-conformance, effectiveness of this will be reviewed at next audit on-site.						
5.2.6	<p>Indicator: Maximum unexplained mortality rate from each of the previous two production cycles, for farms with total mortality > 1%</p> <p>Requirement: < 40% of total mortalities</p> <p>Applicability: All farms with > 1% total mortality in the most obtain complete production cycle</p>	The unexplained component is listed as 1.80%, submitted in the transparency report. - Due to the above lack of accurate definition of mortality the information provided could not be verified.	Minor	Listed as 1.80% Due to the above lack of accurate definition of mortality the information provided could not be verified.	19-Nov-21	19-Feb-22				Exceeded mortality during audit visit meaning staff are unable to diagnose every fish individually. Occasionally very small numbers of fish may be grouped during post-mortem analysis	Training to be refreshed through the site health team and verified for correct application	Training to be refreshed through the site health team and verified for correct application	The proposed corrective action is appropriate to allow closure of this non-conformance, effectiveness of this will be reviewed at next audit on-site.						
5.2.7	<p>Indicator: A farm specific mortality reduction program that includes defined annual targets for reductions in mortalities and reductions in unexplained mortalities.</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	Each site has a centrally generated site specific set of targets including TCR and also mortality reduction for each new cycle. It is observed that unexplained mortalities are not detailed here.	Compliant																
5.2.8	<p>Indicator: On-farm documentation that includes, at a minimum, detailed information on all chemical(S) and non-chemicals used during the most recent production cycle, the amounts used, resulting gains per ton of fish produced, the dates used, when group of fish were treated and against which diseases, proof of proper dosing, and of all disease and pathogens detected on the site.</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	All treatments in the current production cycle are recorded in the Aquafarm management software, they also have a copy in paper of all the prescriptions. All the treatments include amount used, dosage, dates, pens treated. Crosschecked for Aksh treatment (All pens) with Hydrogen Peroxide prescribed by Dario Mascolo on 20.09.2021, Instruction NP CDS020542.	Compliant																
5.2.9	<p>Indicator: Allowance for use of therapeutic treatments that include antibiotics or chemicals that are banned(S) in any of the primary animal producing or exporting countries (0%).</p> <p>Requirement: None</p> <p>Applicability: All</p>	None separate maintain a list of all medicines, including any restriction or conditions of medicine use required by any of the countries to which Mow Salmon are exported. Mow Scotland compliance - Medicine Positive list CRS Ref 015184-011 last reviewed 2nd October 2020 which lists all medicines available for use on fish in Scotland and the appropriate withdrawal period along with restrictions or conditions required by the sales report market.	Compliant																
5.2.10	<p>Indicator: Percentage of medications events that are prescribed by a veterinarian.</p> <p>Requirement: 100%</p> <p>Applicability: All</p>	It was checked that treatments registered in the Aquafarm management software, including cleaner fish treatments had been prescribed by a veterinarian, see 5.2.3 and 5.2.13.	Compliant																
5.2.11	<p>Indicator: Compliance with all withholding periods after treatments.</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	All withholding periods are recorded in the Aquafarm Manager software, this way pens that are in the withholding period show a status as "quarantine until 06.06.2020". The expected next harvest would be in February. On the audit day there are no pens in withholding period.	Compliant																
5.2.12	<p>Indicator: The farm shall publicly report (via Appendix of the Salmon standard v.1.3) the: 1. Weighted Number of Medicinal Treatments (see Appendix 01) for each production cycle 2. The percentage lost for each agent over the production cycle 3. The fourth percentage relative level.</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	The farm has made publicly by the transparency report the WMMT. The WMMT is also in compliance with the country entry level, nevertheless the weighted number of medicinal treatments do not include AGD treatments registered at AGD. While this is confirmed in a generic consultation with ASC on this confirms that these treatments can be included as long as there is no co-incident issue with sea lice at the time of treatment. Examination of the site's sea-lice numbers at time of treatment confirmed this to be purely an AGD Treatment and so these treatments are justifiably discounted from the number.	Compliant																
5.2.13	<p>Indicator: The Weighted Number of Medicinal Treatments (see 5.2.12) or below the country Entry level (see Appendix 01) of the former standard v.1.3.</p> <p>Requirement: No</p> <p>Applicability: All</p>	The WMMT for Aksh is 2.11 from the beginning of the cycle until the audit day (17.11.21).	Compliant	2.11															
5.2.14	<p>Indicator: The farm shall reduce the Weighted Number of Medicinal Treatments, after achieving Indicators 5.2.6, with 20% per 2 years until the WMMT is at or below the Global level (see Appendix 01 of the Salmon standard v.1.3).</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	N/A this is an initial audit.	N/A	N/A this is an initial audit.															

[illegible]

[illegible]

[illegible]

[illegible]

[illegible]

9.10	<p>Indicator: Evidence of company-level policies and procedures in line with the labour standards under 6.1 to 6.11.</p> <p>Requirement: Yes</p> <p>Applicability: All Smolt Producers</p>	All fish transferred into Alah site are confirmed as originating from Lach Garry which is certified to the ASC Freshwater Trout standard (ASC - 01453) as per requirements for Freshwater cage-origin stock.	Compliant																
9.20	<p>Indicator: Evidence of regular consultation and engagement with community representatives and organisations.</p> <p>Requirement: Yes</p> <p>Applicability: All Smolt Producers</p>	All fish transferred into Alah site are confirmed as originating from Lach Garry which is certified to the ASC Freshwater Trout standard (ASC - 01453) as per requirements for Freshwater cage-origin stock.	Compliant																
9.31	<p>Indicator: Evidence of a policy for the presentation, treatment and resolution of complaints by community stakeholders and organisations.</p> <p>Requirement: Yes</p> <p>Applicability: All Smolt Producers</p>	All fish transferred into Alah site are confirmed as originating from Lach Garry which is certified to the ASC Freshwater Trout standard (ASC - 01453) as per requirements for Freshwater cage-origin stock.	Compliant																
9.22	<p>Indicator: Where relevant, evidence that indigenous groups were consulted as required by relevant local and/or national laws and regulations.</p> <p>Requirement: Yes</p> <p>Applicability: All Smolt Producers</p>	All fish transferred into Alah site are confirmed as originating from Lach Garry which is certified to the ASC Freshwater Trout standard (ASC - 01453) as per requirements for Freshwater cage-origin stock.	Compliant																
9.33	<p>Indicator: Where relevant, evidence that the farm has undertaken proactive consultation with indigenous communities.</p> <p>Requirement: Yes</p> <p>Applicability: All Smolt Producers</p>	All fish transferred into Alah site are confirmed as originating from Lach Garry which is certified to the ASC Freshwater Trout standard (ASC - 01453) as per requirements for Freshwater cage-origin stock.	Compliant																
9.23	<p>Indicator: Allowance for stocking smolts produced in cage culture.</p> <p>Requirement: Permitted only if supplying farms are 1) located in a region where indigenous interests are present or the same species being cultivated and 2) the farm is certified to the ASC Freshwater Trout Standard</p> <p>Applicability: open (not pen) production of smolt</p>	All fish transferred into Alah site are confirmed as originating from Lach Garry which is certified to the ASC Freshwater Trout standard (ASC - 01453) as per requirements for Freshwater cage-origin stock.	Compliant																
9.36	<p>Indicator: Water quality monitoring matrix completed and submitted to ASC (see Appendix VB of the Salmon standard v.1.3.9).</p> <p>Requirement: Yes[1]</p> <p>Applicability: open (not pen) production of smolt</p>	All fish transferred into Alah site are confirmed as originating from Lach Garry which is certified to the ASC Freshwater Trout standard (ASC - 01453) as per requirements for Freshwater cage-origin stock.	Compliant																
9.27	<p>Indicator: Minimum oxygen saturations in the outflow monitoring - in Appendix VB of the Salmon standard v.1.3.</p> <p>Requirement: 400(171, 179)</p> <p>Applicability: All Smolt Producers Using Semi-Closed or Closed Production Systems</p>	All fish transferred into Alah site are confirmed as originating from Lach Garry which is certified to the ASC Freshwater Trout standard (ASC - 01453) as per requirements for Freshwater cage-origin stock.	Compliant																
9.38	<p>Indicator: Micro-invertebrate surveys downstream from the farm's effluent discharge demonstrate bathtubs health that is similar or better than corresponding from the discharge monitoring in Appendix VB of the Salmon standard v.1.3.</p> <p>Requirement: Yes</p> <p>Applicability: All Smolt Producers Using Semi-Closed or Closed Production Systems</p>	All fish transferred into Alah site are confirmed as originating from Lach Garry which is certified to the ASC Freshwater Trout standard (ASC - 01453) as per requirements for Freshwater cage-origin stock.	Compliant																
9.29	<p>Indicator: Evidence of implementation of bioactive (biological) Best Management Practices (BMPs) (Appendix VB of the Salmon standard v.1.3).</p> <p>Requirement: Yes</p> <p>Applicability: All Smolt Producers Using Semi-Closed or Closed Production Systems</p>	All fish transferred into Alah site are confirmed as originating from Lach Garry which is certified to the ASC Freshwater Trout standard (ASC - 01453) as per requirements for Freshwater cage-origin stock.	Compliant																

Where the requirement is "None", please use 0 (zero) if requirement is met

Corresponds to ASC Salmon standard version 1.3

[illegible]

[illegible]

[illegible]

[illegible]

Summary of Standard Non Conformities (NC)		NC Type	NC Totals
Standard:	Salmon	Minor	1
Version:	1.3	Minor	3
		Total	4

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CAB)	Indicator Number	Indicator Text	Audit Evidence	Overall Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
1	2.2.6	Indicator: Appropriate controls are in place that maintains good culture and hygienic conditions on the farm which extends to all chemicals, including veterinary drugs, thereby ensuring that adverse impacts on environmental quality are minimised Requirement: Yes Applicability: All	There were several chemical storage issues highlighted during the site tour on the feed barge	Minor	There were several chemical storage issues highlighted during the site tour on the feed barge	19-Nov-21	19-Feb-22	02-Jan-22	Closed					Drums of oil ensite awaiting uplift	Chemical control to be reviewed and oil to be removed from site	Chemical control to be reviewed and oil to be removed from site	The proposed corrective action is appropriate to allow closure of this non-conformance, effectiveness of this will be reviewed at next audit on-site.			
2	5.1.5	Indicator: Maximum viral disease-related mortality(99) on farm during the most recent production cycle Requirement: ≤ 10% Applicability: All	The % of mortalities, since the beginning of the cycle until the audit day 17.11.2021, related to viral-disease is recorded as 0%. Most of the mortality was due to physical damage. The uplift of mortalities on site showed that the classification of decomposed fish and occasional other causes was not being identified which meant that the figure provided for unexplained / viral loss could not be relied on. Graded minor due to the frequent presence on site of the area Vet who carries out detailed post-mortems on fish uplifted.	Minor	Listed as OR - The uplift of mortalities on site showed that the classification of decomposed fish and occasional other causes was not being identified which meant that the figure provided for unexplained / viral loss could not be relied on. Graded minor due to the frequent presence on site of the area Vet who carries out detailed post-mortems on fish uplifted.	19-Nov-21	19-Feb-22	02-Jan-22	Closed					Elevated mortality during audit visit meaning staff are unable to dispose every fish individually. Occasionally very small numbers of fish may be grouped during post-mortem analysis	Training to be refreshed through the site health team and verified for correct application	Training to be refreshed through the site health team and verified for correct application	The proposed corrective action is appropriate to allow closure of this non-conformance, effectiveness of this will be reviewed at next audit on-site.			
3	5.1.6	Indicator: Maximum unexplained mortality rate from each of the previous two production cycles, for farms with total mortality > 6% Requirement: ≤ 40% of total mortalities Applicability: All farms with > 6% total mortality in the most recent complete production cycle	the undiagnosed component is listed as 1.85%, submitted in the transparency report: - Due to the above lack of accurate definition of mortality the information provided could not be ratified.	Minor	Listed as 1.85% Due to the above lack of accurate definition of mortality the information provided could not be ratified.	19-Nov-21	19-Feb-22							Elevated mortality during audit visit meaning staff are unable to dispose every fish individually. Occasionally very small numbers of fish may be grouped during post-mortem analysis	Training to be refreshed through the site health team and verified for correct application	Training to be refreshed through the site health team and verified for correct application	The proposed corrective action is appropriate to allow closure of this non-conformance, effectiveness of this will be reviewed at next audit on-site.			
6.5.1		Indicator: Percentage of workers trained in health and safety practices, procedures(133) and policies on a yearly basis Requirement: 100% Applicability: All	HOWEVER THEY HAVE DOCUMENTED procedures and policies to protect employees. But these are not all implemented. These are communicated within the human resource policies, Health & Safety Policy (POL 1218 version 8 last reviewed January 2020), and the Mowal Code of Conduct section 4.0. Mowal has established procedures and policies to protect employees. But these are not all implemented. These are communicated within the human resource policies, Health & Safety Policy (POL 1218 version 8 last reviewed January 2020), and the Mowal Code of Conduct section 4.0. Ongoing training will be carried out on Mowal Academy, an online training software management system, but so far time has not been allocated for farmers to do this training. The Mowal Code of Conduct Section 4.2 sets out Mowal's safety management principles. But these are not all implemented:	Major	4. FROM LLOYD'S REGISTER system for managing Health & Safety is not fit for purpose: The management system for accidents/incidents is not effective. Not able to effectively track accidents/incidents. The management system for Health & Safety training is not functioning correctly. Farmers are not allocated time to take the courses on Mowal Academy. One farmer stated they were not aware of Mowal Academy, one farmer not able to sign in to Mowal Academy. The management system for risk assessments is not effective: Risk assessments on the sites are not reviewed annually. The management system for health & safety audits is not effective: "NC's from audits not tracked to ensure they are closed within the timeline stated. 3 NC's from 2019 Audit raised again on 2020 Audit at 1 NC	19-Nov-21	19-Feb-22	Open						Incident requested during audit could not be located at the time. Incident had occurred in 2020 rather than 2021 so was not visible in the search window. Training should be conducted within working hours but occasionally staff members are focused entirely on fish husbandry during busy periods. Risk Assessment review is managed through online Shrapnel system. Sufficient time is required to allow transition over to a digital system. Auditor system needs to be managed and maintained. Site condition has degraded during an extremely busy period, more focus and support required in this area.	Specific training time to be allocated based on total duration of training package. Adequate time to be given to site managers to allow them to access and make use of the online Risk Assessment system. Consultant requested to conduct full review of outstanding NCs and ensure closure "To do; Key to be stored securely. Why checks to be initiated (link to be sent) - Rubber connection to be replaced. Disposal of old hard hats. Life ring light replacement ordered"	Specific training time to be allocated based on total duration of training package. Adequate time to be given to site managers to allow them to access and make use of the online Risk Assessment system. Consultant requested to conduct full review of outstanding NCs and ensure closure "To do; Key to be stored securely. Why checks to be initiated (link to be sent) - Rubber connection to be replaced. Disposal of old hard hats. Life ring light replacement ordered"				