

Instructions and disclaimers

General:

The announcement and report shall be submitted via mail to ASC in Excel if not specified differently: certification@asc-aqua.org

If you would like to register a problem or issue with the document or wish to leave feedback and suggestions for improvements, please contact: certification@asc-aqua.org

All fields must be visible in Excel format and no field shall be omitted or deleted. ASC reserves the right to refuse to publish incomplete and low quality reports.

This document has been developed in collaboration with the **ASC Programme Assurance team** and **Standards & Science team** for ASC single-site, multi-site and group certification farm audits. A copy of all documents (Audit reports templates, confidential annexes etc.) are uploaded on to the ASC website and are publicly available.

Only fully completed forms are accepted by the ASC. Incomplete forms may lead to a delay in the certification process.

In case the audit report template for Multi-site and Group-certification does not contain enough site rows for the amount of sites applicable for a specific applicant, please contact certification@asc-aqua.org

How to use this document

This document layout is formatted into tables for data entry and questions to record answers and evidence.

You can adjust the width of the columns to display all information.

Users shall **not** delete any rows or columns.

Data filled out in the audit announcement (form 3) sheet, will be automatically populated in the audit report itself.

Be aware that there are 3 different types of audit report templates: 1. Single-site, 2. Multi-site and 3. Group certification.

Next to these 3 templates, there is the Audit Report Template V2.0 Confidential Annex, applicable to the 3 different versions of the audit report template.

Document type - PDF conversion - Guidance

Always save your document as a **macro-enabled** spreadsheet (click on "File", "Save As" and make sure the document type is saved as "Excel Macro-Enabled Workbook").

If your audit team plans to work on a shared online document - ensure the platform app supports **macro-enabled** functionality.

Please do not delete any rows, columns, fields or sheets (except for irrelevant species sheets). As the template is running multiple macros and formulas in the background, it is NOT possible to take out any sheets and copy paste them back in.

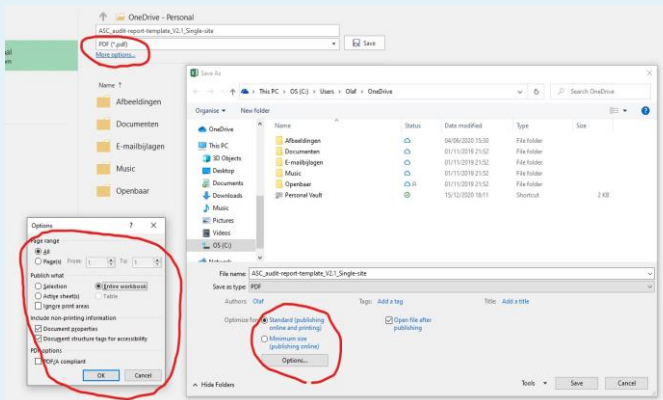
To save the full excel document as a pdf, please follow these steps:

1. Click on "File", "Save As"
2. Select PDF as document type and click on "more options"
3. Click on "options" in the box that shows up
4. Set "page range" to All and set "publish what" to Entire workbook and click on "ok"
5. Click on "Save" and the entire document will be saved as a PDF document in the correct format

Extra note:

The page layout is selected in a way that all tables are visible in the PDF.

Tables may appear small, however it is possible to read them by using the PDF zoom function.



Spelling Check

How to run the excel spell check in this document?

- This can be enabled with the following key combination: Ctrl + Shift + S.
- This opens the spell-check dialogue box, cycling through all the recognised spelling mistakes.
- After the last recognised mistake is address - the dialogue closes and the worksheet is automatically locked again.
- If there are no mistakes the dialogue box does not open.
- This then needs to be repeated for each worksheet with audit data.

(The spell check ignores capitalised abbreviations - but will still identify mix abbreviations as mistakes e.g. UoC)

What if changes are made?

If changes were made e.g. from the announcement to the audit report or when an updated report is submitted to ASC, ALL cells containing changes shall be highlighted in yellow for transparency reasons, and as far as possible a clear statement on the update shall be made in the respective field.

Confidential information - Data protection and publication of reports

All fields in the announcement and audit report are mandatory and shall be filled out and will be made publicly available. However, should the client or CAB desire to submit certain data confidentially (e.g. for GDPR or commercial sensitivity reasons), this data can be entered in the **confidential annexes**, which are provided in a **separate document** than this audit report template. Please BE AWARE that confidential Annex-3 is always mandatory to be filled out and shall be submitted to the ASC, together with the audit report. Confidential annexes will NOT be made publicly available.

Comments or guidance

For a lot of the fields in the announcement and audit report, a guidance field pops up as soon as the field is selected.

Some fields are marked with a red triangle in the corner, meaning that the field contains a guidance comment.

Data restrictions & conditional formatting

This audit report template contains a lot of fields with data validation and data restriction fields, allowing for only a certain type of data to be filled out in the specific field (For example, date fields, picklists, numbers etc.). Please ONLY fill out the requested type of data. If not filled out correctly, an error message will pop up, giving guidance to enter the correct type of data.

The audit report template also contains a lot of fields with conditional formatting, meaning that cells or field will be highlighted, based on the type of data filled out. Some cells are highlighted with red, these will change to blue when data is entered into the cell. This makes it easier to detect fields that are not filled out, but shall be filled out. Please note that all fields are required and shall be filled out.

Link to all the sheets

[Audit Announcement \(Form3\)](#)

[1\) General, client-CAB info](#)

[2\) Audit Information](#)

[3\) Site information](#)

[4\) Harvest witnessing](#)

[5\) Stakeholder engagement](#)

[6\) MS option 1, AR](#)

[7\) MS option 2, AR](#)

[8\) IMS Auditor](#)

[9\) Social Audit](#)

[10\) Traceability assessment](#)

[11\) UoC volumes&Audit Closing](#)

[12\) Open & Extended NCS](#)

[13\) BEIA-PSIA checklist](#)

[Audit findings "species"](#)

Audit Announcement (Form 3)/Pengumuman Audit (Formulir 3)

Please note that all data entered in this audit announcement sheet will be automatically populated to the specific fields in the sheets of the audit report itself. /Harap dicatat bahwa semua data yang dimasukkan dalam lembar pengumuman audit ini akan secara otomatis diisi ke bidang tertentu dalam lembar laporan audit itu sendiri.

Site(s) is/are provided by ASC in the confirmation email of the publication of this Form 3. /Site(s) adalah/disediakan oleh ASC dalam email konfirmasi publikasi Formulir 3 ini(s)

1. General, client/CAB information/Informasi umum, klien/CAB

1.1 Document Type//Tipe Dokumen	Audit announcement
1.2 Document language//Bahasa dokumen	Indonesia
1.3 Second document language	English
1.4 Unit of certification type//Bahasa dokumen kedua	Multi Site option 1 - no Internal management system
1.4.1 Company name//Nama perusahaan	PT Aquafarm Nusantara Lake Toba
1.4.2 UoC Name//Nama UoC	PT Aquafarm Nusantara Lake Toba (Lontung, Pangambanran, Simalombu, Sirungkungon)
1.5 Country where UoC is located//Negara tempat UoC berada	Indonesia
1.6 ASC Standard//Standar ASC	Tilapia
1.7 Standard version//Versi standar	1.2
1.8 Certification process is subject to CAR version//Proses sertifikasi tunduk pada versi CAR	2.2
1.9 Name of the Conformity assessment body (CAB)//Nama badan penilaian Kesesuaian (CAB)	Control Union Peru SAC
Client contact person - from the UoC//Kontak klien - dari UoC	
1.15 First name//Nama depan	Budi Syahputra (Mr)
1.16 Surname//Nama	
1.17 Position in the UoC (job title)//Posisi di UoC (jabatan)	Asst. Farm Manager Lake Toba (PT Aquafarm Nusantara)
1.18 Email address//Alamat email	Budi.Syahputra@regalsprings.com
1.19 Phone number//Nomor telepon	+6262541918
1.20 Other means of contact e.g. Skype//Sarana kontak lainnya misalnya Skype	Mobile/Cell +628126208365

2. Audit information/Informasi audit

2.1 ASC standard principles covered by the audit//Prinsip standar ASC yang dicakup oleh audit	<table border="1"> <tr> <th colspan="3">ASC standard principles//Prinsip standar ASC</th> </tr> <tr> <td>2.1.1 Principle 1//Prinsip 1</td> <td>Covered</td> <td></td> </tr> <tr> <td>2.1.2 Principle 2//Prinsip 2</td> <td>Covered</td> <td></td> </tr> <tr> <td>2.1.3 Principle 3//Prinsip 3</td> <td>Covered</td> <td></td> </tr> <tr> <td>2.1.4 Principle 4//Prinsip 4</td> <td>Covered</td> <td></td> </tr> <tr> <td>2.1.5 Principle 5//Prinsip 5</td> <td>Covered</td> <td></td> </tr> <tr> <td>2.1.6 Principle 6//Prinsip 6</td> <td>Covered</td> <td></td> </tr> <tr> <td>2.1.7 Principle 7//Prinsip 7</td> <td>Not Covered</td> <td></td> </tr> <tr> <td>2.1.8 Principle 8//Prinsip 8</td> <td>Not Covered</td> <td></td> </tr> </table>			ASC standard principles//Prinsip standar ASC			2.1.1 Principle 1//Prinsip 1	Covered		2.1.2 Principle 2//Prinsip 2	Covered		2.1.3 Principle 3//Prinsip 3	Covered		2.1.4 Principle 4//Prinsip 4	Covered		2.1.5 Principle 5//Prinsip 5	Covered		2.1.6 Principle 6//Prinsip 6	Covered		2.1.7 Principle 7//Prinsip 7	Not Covered		2.1.8 Principle 8//Prinsip 8	Not Covered																								
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Please indicate the hours assigned to the different audit activities in the table below, separated by the hours spend on the activities by the environmental- and social auditor(s). /Harap cantumkan jam yang ditetapkan untuk berbagai kegiatan audit dalam tabel di bawah ini, diisahkan oleh jam yang dibelanjakan untuk kegiatan oleh auditor lingkungan dan sosial:

2.8 Time assigned to audit activities//Waktu yang ditetapkan untuk mengaudit kegiatan	2.9		2.10	
	Social Auditor(s)//Auditor Sosial	Environmental auditor(s)//Auditor lingkungan		
Off-site activities//Aktivitas di luar situs	0	0		
On-site activities//Aktivitas di tempat	0	16		
Total man days//Total hari kerja	0	2		

Audit team and other involved persons//Tim audit dan orang lain yang terlibat				
2.11 Surname//Nama	2.12 First name//Nama depan	2.13 Role//Peran	2.14 Expertise needed for the audit (required for technical experts only)//Keahlian yang diperlukan untuk audit (diperlukan hanya untuk ahli teknis)	2.15 Person on-site or remote//Orang di tempat atau remote?
Agung Pratama	Nanda	Audit team leader	n/a	On-site

3. Site information/Informasi situs

3.2 Site name//Nama situs	3.3 Ownership//Nama situs	3.4 Primary culture species//Spesies budaya primer	3.5 Cycle duration //Spesies budaya primer	3.13 Latitude (N, S) (00.000000)*//Garis lintang (N, S) (00.000000)*	3.14 Longitude (E, W) (00.000000)*//Bujur (E, W) (00.000000)*	3.15 Production system*/Sistem produksi*	3.16 Number of production units//Jumlah unit produksi	3.17 Start date of audit //Jumlah unit produksi	3.18 End date of audit//Jumlah unit produksi
Sirungkungon	Owned	Nile tilapia (Oreochromis niloticus)	Long-cycle species (>6 months)	2.568222	98.946333	Cage	100	20 May 2021	21 May 2021
Simalombu	Owned	Nile tilapia (Oreochromis niloticus)	Long-cycle species (>6 months)	2.561888	98.308944	Cage	85	20 May 2021	21 May 2021
Pangambanran	Owned	Nile tilapia (Oreochromis niloticus)	Long-cycle species (>6 months)	2.624205	98.876916	Cage	95	20 May 2021	21 May 2021
Lontung	Owned	Nile tilapia (Oreochromis niloticus)	Long-cycle species (>6 months)	2.616194	98.887305	Cage	80	20 May 2021	21 May 2021

4. Stakeholder engagement/Keterlibatan pemangku kepentingan

4.1 Name of Company/ Organisation if applicable//Nama Perusahaan/Organisasi jika berlaku	4.2 Contact person - First name//Kontak orang - Nama depan	4.3 Contact person - Surname//Kontak orang - Nama depan	4.4 Country where stakeholder is based//Kontak orang - Nama depan	4.5 Email address of contact person//Kontak orang - Nama depan	4.6 Stakeholder type//Tipe pemangku kepentingan	4.7 If stakeholder type "other" was selected what type//Jika tipe pemangku kepentingan "lainnya" dipilih tipe apa?	4.8 Contact date stakeholder//Pemangku kepentingan tanggal kontak	4.9 Did the stakeholder submit comments?//Apakah pemangku kepentingan memberikan komentar?	4.10 Stakeholder comments relate to what ASC standard indicator number?//Komentar pemangku kepentingan berkaitan dengan nomor indikator standar ASC apa?
Meiqibour Government / Camat Simanindo, Kab. Samosir	Nansir	Siraif	Indonesia	in person	Communities	n/a	jueves, 20 de Mayo de 2021	Yes//Ya	positive comments, CSR activities
Government / Kadis Lingsungan, Kab.Toba	Mintar	Manurang	Indonesia	in person	Government	n/a	jueves, 20 de Mayo de 2021	Yes//Ya	regular water quality test, RI/RPL (environmental assessment report)
Government / Dinas Perikanan, Kab. Samosir	Desmon	Siahaan	Indonesia	in person	Government	n/a	jueves, 20 de Mayo de 2021	No//Tidak	not picked up
NGO / Aliansi Masyarakat Lontung	Dahmendra	Situmorang	Indonesia	in person	NGO - Environmental area	n/a	jueves, 20 de Mayo de 2021	No//Tidak	not picked up
NGO / Master Toba	Karnel	Sitanggang	Indonesia	in person	NGO - Environmental area	n/a	jueves, 20 de Mayo de 2021	Yes//Ya	positive comments, CSR activities

1. General, client/CAB information//Informasi umum, klien/CAB

1.1 Document Type//Tipe Dokumen	Final Report//Laporan Akhir
1.2 Document language//Bahasa dokumen	Indonesian
1.3 Second document language//Bahasa dokumen kedua	English
1.4 Unit of certification type//Satuan tipe sertifikasi	Multi Site option 1 - no Internal management system
1.4.1 Company name//Nama perusahaan	PT Aquafarm Nusantara Lake Toba
1.4.2 UoC name//Nama UoC	PT Aquafarm Nusantara Lake Toba (Lontung, Pangambatan, Silimalombu, Sirungkunon)
1.5 Country where UoC is located//Negara tempat UoC berada	Indonesia
1.6 ASC Standard//Standar ASC	Tilapia
1.7 Standard version//Versi standar	1.2
1.8 Certification process is subject to CAR version//Proses sertifikasi tunduk pada versi CAR	2.2
1.9 Name of the Conformity assessment body (CAB)//Nama badan penilaian Kesesuaian (CAB)	Control Union Peru SAC
Client contact person - from the UoC//Kontak klien - dari UoC	
1.15 First name//Nama depan	Budi Syahputra (Mr)
1.16 Surname//Nama Position in the UoC (Job title)//Posisi di UoC (Jabatan)	-
1.17	Assst. Farm Manager Lake Toba (PT Aquafarm Nusantara)
1.18 Email address//Alamat email	Budi Syahputra <budi.syahputra@regalsprings.com>
1.19 Phone number//Nomor telepon	+6262541918
Other means of contact e.g. Skype//Sarana kontak	
1.20 lainnya misalnya Skype	Mobile/Cell +628126208365

2. Audit Information

Include the dates for publication of the announcement and draft reports before each respective submission.

2.1	Date - Audit announcement published on ASC website//Date - Pengumuman audit dipublikasikan di situs web ASC	martes, 6 de Abril de 2021			
2.2	Date - Draft report published on ASC website//Tanggal - Draf laporan diterbitkan di situs web ASC	Not Applicable / Tak dapat diterapkan			
2.3	Date - Final report submitted to ASC//Tanggal - Laporan akhir yang dikirimkan ke ASC	miércoles, 15 de Setiembre de 2021			
2.4	Audit ID//Audit ID	A0004786			
2.5	ASC standard principles covered by the audit//Prinsip standar ASC yang dicakup oleh audit	Principle 1//Prinsip 1	Covered		
2.5.1		Principle 2//Prinsip 2	Covered		
2.5.2		Principle 3//Prinsip 3	Covered		
2.5.3		Principle 4//Prinsip 4	Covered		
2.5.4		Principle 5//Prinsip 5	Covered		
2.5.5		Principle 6//Prinsip 6	Covered		
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2.6	Activities covered under the scope of the certification and under the scope of the audit. Activities in the table apply to final product only.//Kegiatan yang tercakup dalam lingkup sertifikasi dan di bawah lingkup audit. Aktivitas dalam tabel hanya berlaku untuk produk akhir.	Activity//Aktivitas	Under scope of certification//Di bawah lingkup sertifikasi	Under Scope of this audit//Di bawah lingkup audit ini	Notes//Catatan
2.6.1		Stocking//Stoking	Covered	Covered	
2.6.2		Nursing//Keperawatan	Covered	Covered	
2.6.3		Growing Out//Tumbuh Keluar	Covered	Covered	
2.6.4		Transferring//Mentransfer	Covered	Covered	
2.6.5		Harvest//Panen	Covered	Not Covered	
2.6.6		Vaccination//Vaksinasi	Not Covered	Not Covered	
2.6.7		Feeding//Jatuh	Not Covered	Not Covered	
2.6.8		Transportation//Transportasi	Covered	Covered	
2.6.9		Storage (if present at farm)//Penyimpanan (jika ada di peternakan)	Not Covered	Not Covered	
2.6.10		Processing (if present at farm)//Pemrosesan (jika ada di peternakan)	Not Covered	Not Covered	
2.6.11		Packing (if present at farm)//Packing (jika ada di peternakan)	Not Covered	Not Covered	
2.6.12		Other (Please describe)//Lainnya (Tolong jelaskan)			
2.7	Certification cycle//Siklus sertifikasi	3			
2.8	Audit type//Tipe audit	Surveillance audit			
2.9	Audit number in certification cycle//Nomor audit dalam siklus sertifikasi	3			
2.10	Will harvesting be witnessed during audit?//Apakah panen akan disaksikan selama audit?	No//Tidak			
2.10.1	If harvest is NOT witnessed, please justify.//Jika panen TIDAK disaksikan, silakan membenarkan:	n/a			
2.11	Audit conducted (On-site/Remote)//Audit dilakukan (Di tempat/Jarak Jauh):	On-site			

Please indicate the hours assigned to the different audit activities in the table below, separated by the hours spend on the activities by the environmental- and social auditor(s):

2.12.1	2.12.2	2.12.3
Time assigned to audit activities//Waktu yang ditetapkan untuk mengaudit kegiatan	Social Auditor(s)//Auditor Sosial	Environmental auditor(s)//Auditor lingkungan
Off-site activities//Aktivitas di luar situs		
On-site activities//Aktivitas di tempat		16
Total man days//Total hari kerja		2

Audit team and other involved persons//Tim audit dan orang lain yang terlibat				
2.13	2.14	2.15	2.16	2.17
Surname//Nama	First name//Nama depan	Role//Peran	Expertise needed for the audit (required for technical experts only)//Keahlian yang diperlukan untuk audit (diperlukan hanya untuk ahli teknis)	Person on-site or remote?//Orang di tempat atau remote?
Agung Pratama	Nanda		n/a	On-site

3. Site information//Informasi situs

List all sites here, that are included in the certificate//Daftar semua situs di sini, yang disertakan dalam sertifikat.

GIS, polygon data and map on site level validated by auditor?/GIS, data poligon, dan peta di tingkat situs yang divalidasi oleh auditor?

3.1	3.2	3.3	3.4	3.5	3.13	3.14	3.15	3.16	3.17	3.18	3.19	3.20	3.21	3.22	3.22.1	3.22.2	3.23	3.23.1	3.24	3.25	3.26	3.26.1	3.27	3.28	3.29	3.30	3.31	3.32
GIS provided by ASC with publication confirmation of audit announcement//GIS disediakan oleh ASC dengan konfirmasi publikasi pengumuman audit	Site name//Nama situs	Ownership//Kepemilikan	Primary culture species/species budidaya primer	Secondary species (choose multiple species as relevant)/Species sekunder (pilih beberapa spesies sebagai relevan)	Latitude (N, S) (00.000000) //Garis lintang (N, S) (00.000000)	Longitude (E, W) (00.000000) //Bujur (E, W) (00.000000)*	Production system/Sistem produksi	Number of production units/jumlah unit produksi	Production type/Type produksi	Production method/Alatod e produksi	Start of inclusion into the UoC for scope extension/group/multi-site//Tanggal dimasukkan ke dalam UoC (untuk lingkup ekstensi/grup/multi-situs)	Start date of audit/Tanggal mulai audit	End date of audit/Tanggal akhir audit	First date of juvenile stocking for the current production cycle//Tanggal pertama stocking remaja untuk siklus produksi saat ini	Estimated number of months post audit to peak biomass/ first harvest//Estimas jumlah bulan pasca audit ke puncak biomassa/ panen pertama	Status at the time of the current audit/Status pada saat audit-saat ini	List of other certificates (choose multiple options as relevant)/Daftar sertifikat lain (pilih beberapa opsi yang relevan)	List of other certificates: if 3.23 is "Other", please list the certificates//Daftar sertifikat lain: jika 3.23 adalah "lainnya", silakan mencantumkan sertifikasi	Is the site partially certified?/Apakah situs ini bersertifikat sebagian?	If partially certified, which part is not in the UoC and why?/Jika sebagian bersertifikat, bagian mana yang tidak ada di UoC dan mengapa?	The volumes indicated in the fields 3.27-3.30 apply to the following table calendar year//Volume yang ditunjukkan dalam bidang 3.27-3.30 berlaku untuk tahun kalender berikut ini.	Type of volumes indicated in 3.27-3.30 //jenis volume yang ditunjukkan dalam 3.27-3.30	ASC-certified production volume (in Kg)/Volume produksi bersertifikat ASC (dalam Kg)	Non-ASC-certified production volume (in Kg)/Volume produksi bersertifikat Non-ASC (dalam Kg)	Volume (in Kg)/Dihirim atau dijual sebagai produk bersertifikat ASC (dalam Kg)	Volume (in Kg)/Dihirim atau dijual sebagai produk bersertifikat Non-ASC (dalam Kg)	Per Biowatch/Abalone: Volumes indicate in 3.27-3.30 are given in live weight equivalent of volume without shell//Untuk Biowatch/Abalone: Volume menunjukkan dalam bentuk hidup yang setara atau volume tanpa shell	Note/ Other information//Catatan/ Informasinya
	50001151	Sirungkungon	Owned	Nile tilapia (<i>Oreochromis niloticus</i>)	2.568222	98.946333	Cage	100.000000	Monoculture	Intensive		jueves, 20 de Mayo de 2021	viernes, 21 de Mayo de 2021			On-growing (<75% biomass)	CBIB, GAA/BAP		No		2020	Actual volume	27835443		27835443			
	50001145	Silimalombu	Owned	Nile tilapia (<i>Oreochromis niloticus</i>)	2.561888	98.908944	Cage	85.000000	Monoculture	Intensive		jueves, 20 de Mayo de 2021	viernes, 21 de Mayo de 2021			On-growing (<75% biomass)	CBIB, GAA/BAP		No		2020	Actual volume	27835443		27835443			
	50001104	Pangambatan	Owned	Nile tilapia (<i>Oreochromis niloticus</i>)	2.634305	98.876916	Cage	95.000000	Monoculture	Intensive		jueves, 20 de Mayo de 2021	viernes, 21 de Mayo de 2021			On-growing (<75% biomass)	CBIB, GAA/BAP		No		2020	Actual volume	27835443		27835443			
	50001142	Lontang	Owned	Nile tilapia (<i>Oreochromis niloticus</i>)	2.616194	98.887305	Cage	80.000000	Monoculture	Intensive		jueves, 20 de Mayo de 2021	viernes, 21 de Mayo de 2021			On-growing (<75% biomass)	CBIB, GAA/BAP		No		2020	Actual volume	27835443		27835443			

4. Harvest witnessing//Panen saksi

4.1	4.2	4.3	4.4	4.5	4.6	4.7	4.8
Site ID - provided by ASC with publication confirmation of audit announcement.//ID Situs - disediakan oleh ASC dengan konfirmasi publikasi pengumuman audit.	Site name//Nama situs	Date of witnessed harvest://Tanggal panen yang disaksikan:	Production unit ID://ID unit produksi:	Volume harvested (in Kg)://Volume dipanen (dalam Kg):	Average weight of animals (in g)//Berat rata-rata hewan (dalam g)	Partial harvest / full harvest://Panen parsial / panen penuh:	Note/ Other information//Catatan/ Informasi lainnya
S0001151	Sirungkunon						
S0001145	Silimalombu						
S0001104	Pangambatan						
S0001142	Lontung						

5. Stakeholder engagement//Keterlibatan pemangku kepentingan

ASC takes data protection very seriously. ASC will only use any personal information you give us in connection with the audit report. For more information please read our privacy policy, which can be found here:

[ASC Privacy policy](#)

Provide stakeholder comments including CAB response, please use the confidential Annex-2 in case of any confidential data not to be published.

This table collects all the information relevant to stakeholders consulted during the audit process. Each stakeholder should be entered into a separate row, even where from the same company/organization

5.1 Name of Company/ Organisation if applicable//Nama Perusahaan/Organisasi jika berlaku	5.2 Contact person - First name//Kontak orang - Nama depan	5.3 Contact person - Surname//Kontak orang - Nama Keluarga	5.4 Country where stakeholder is based//Negara tempat pemangku kepentingan berbasis	5.5 Email address of contact person/ stakeholder//Alamat email kontak orang / pemangku kepentingan	5.6 Stakeholder type//Tipe pemangku kepentingan	5.7 If stakeholder type "other" was selected what type?//jika tipe pemangku kepentingan "lainnya" dipilih tipe apa?	5.8 Contact date stakeholder//Pemangku kepentingan tanggal kontak	5.9 Did the stakeholder submit comments?//Apakah pemangku kepentingan mengirimkan komentar?	5.10 Stakeholder comments relate to what ASC standard indicator pemangku kepentingan berkaitan dengan nomor indikator standar ASC apa?	5.11 Brief summary of points raised by stakeholder or complete submission//Ringkasan singkat poin yang diangkat oleh pemangku kepentingan atau pengajuan lengkap	5.12 Date stakeholder submission was received//Tanggal pengajuan pemangku kepentingan diterima	5.13 Date CAB responded to stakeholder//Date CAB menanggapi pemangku kepentingan	5.14 Actual changes made due to stakeholder comment?//Perubahan aktual yang dilakukan karena komentar pemangku kepentingan?
Neighbour	Nanser	Sirait	Indonesia	in person	Communities	n/a	jueves, 20 de Mayo de 2021	Yes//Ya	positive comments, CSR activities	CSR activities of PT Aquafarm	20-May-21		
Government / Camat Simanindo, Kab. Samosir	Hans	Samosir	Indonesia	in person	Government	n/a	jueves, 20 de Mayo de 2021	Yes//Ya	positive comments, CSR activities, origin of workers	origin of workers (local people)	20-May-21		
Government / Kadis Lingkungan, Kab.Toba	Mintar	Manurung	Indonesia	in person	Government	n/a	jueves, 20 de Mayo de 2021	Yes//Ya	regular water quality test, RL/RPL (enviroemtnal assessment report)	regular water quality test, RKL/RPL report (enviroment assessment report)	20-May-21		
Government / Dinas Perikanan, Kab. Samosir	Desmon	Siahaan	Indonesia	in person	Government	n/a	jueves, 20 de Mayo de 2021	No//Tidak	not picked up	n/a	20-May-21		
NGO / Aliansi Masyarakat Lontung	Dahmendra	Situmorang	Indonesia	in person	NGO - Environmental area	n/a	jueves, 20 de Mayo de 2021	No//Tidak	not picked up	n.a	20-May-21		
NGO / Mistar Toba	Karmel	Sitanggang	Indonesia	in person	NGO - Environmental area	n/a	jueves, 20 de Mayo de 2021	Yes//Ya	positive comments, CSR activities	CSR activities of PT Aquafarm	20-May-21		

7. Multisite UoC with IMS (option 2): CAR 17.1.3.2-17.1.3.3

This sheet is only necessary for Multi-site clients with IMS
 Clients to use below tables for self assessment - Auditor to verify

Requirements	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Proposed by UoC and accepted by CAB	Proposed by UoC and accepted by CAB	Proposed by UoC and accepted by CAB	Extension justification	New deadline for NC close-out	Notes
											Root cause analysis	NC correction	NC Corrective action			
1.1 The multi-site client shall have a least entity.		Compliant														
1.2 The multi-site client shall have a legally binding (in its direct ownership, or contract) with all sites within the UoC.		Compliant														
1.3 The multi-site client shall be responsible for the oversight and implementation of the organization's internal management system		Compliant														
1.4 All sites in the UoC shall: a) Operate within the same jurisdiction or within neighbouring jurisdictions that share relevant common regulations. b) Have the same or similar production systems, c) Handle the same species, and this species shall be under the scope of an applicable ASC Farm Standard.		Compliant														
1.5 Subcontracted farms may be included in the unit of certification if all the following apply: If the ASC farm identified being audited contains indicator(s) for contract farming, the below requirements (1.5.1 - 1.5.4) shall not apply.																
1.5.1 All of the operations of the farm are subject to the same procedures as the rest of the unit of certification.		Compliant														
1.5.2 The product produced by the subcontractor is owned by the certificate holder.		Compliant														
1.5.3 The central office has the same oversight and right to control over the operations of subcontractors as it has for the client's own operations.		Compliant														
1.5.4 All of the operations of the subcontracted farms shall be included in the multi-site certificate.		Compliant														
1.5.5 The contract shall be transparent, mutually accepted by both parties and include the above provisions (1.5.1-1.5.4).		Compliant														
1.5.6 Contract farming arrangements with subcontracted farms should follow the FAO "Guiding principles for responsible contract farming operations".		Compliant														
1.6 The multi-site client shall have a central office that is responsible for the management of and conformity to ASC requirements for the UoC.		Compliant														
The central office shall also:																
1.6.1 Have the authority to require compliance of all sites and operations included in the unit of certification.		Compliant														
1.6.2 Demonstrate its ability to collect and analyse data from all sites, operations and the central office included, in the unit of certification including: A. Data required to demonstrate conformity with ASC requirements, and B. Implementation of corrective and preventive actions.		Compliant														
1.6.3 Demonstrate its ability to implement organizational change if required.		Compliant														
1.6.4 Notify the CAB of any non-conformities against applicable local regulations that are relevant to the ASC scope of certification within three (3) days of detection.		Compliant														
Internal Audit																
1.7 The multisite client shall conduct an internal audit of the IMS against the applicable ASC CAR requirements on each site and against the applicable ASC standard at least annually. For initial audits, the internal audits shall be completed before the external audit by the CAB.		Compliant														
1.7.1 The outcome of the internal audit shall demonstrate conformity against the ASC multi-site requirements and the applicable ASC Farm Standard Requirements.		Compliant														
1.7.2 Some social requirements may be excluded from internal audits to maintain worker confidentiality, if requirements are excluded justifications shall be recorded.		Compliant														
1.7.2.1 Social indicator exclusion justifications shall have a valid and acceptable rationale.		Compliant														
1.8 The multi-site client shall conform to the following documented procedures:																
1.8.1 Document control procedure.		Compliant														
1.8.2 Record keeping and retention procedure.		Compliant														
1.8.3 Procedure for managing changes to ASC requirements.		Compliant														
1.8.4 Procedure for conducting annual management reviews.		Compliant														
1.8.5 Procedure for managing complaints submitted to management by stakeholders and staff members as per specified in the applicable ASC Farm Standard.		Compliant														
1.8.6 Procedure for the evaluation and implementation of corrective and preventive actions.		Compliant														
1.8.7 Procedure for conducting root cause analyses for nonconformities, and for addressing identified root causes.		Compliant														
1.8.8 Procedures to ensure compliance with legal requirements.		Compliant														
1.8.9 Procedures for conducting an annual internal audit, covering ASC requirements.		Compliant														
1.8.10 Procedures for planning for and evaluation of the results of internal audits.		Compliant														
1.8.11 Procedures for the scheduled reporting of performance of management systems and sites.		Compliant														
1.8.12 Procedures for identifying and segregating all products within each site, among sites within the unit of certification, and products that are not included in the unit of certification.		Compliant														
The procedures shall describe:																
1.8.11.1 How certified products are identified and segregated to prevent mixing with non-certified before the start of the MSC/ASC certified chain of custody.		Compliant														
1.8.11.2 The conditions under which products must be segregated, and measure to prevent mixing directly or indirectly.		Compliant														
1.8.11.3 The procedures and associated records shall allow products to be traced back from the start of the MSC/ASC certified chain of custody back to the production unit (i.e. cage/net/pen/pond/bank/reservoir).		Compliant														
1.8.11.4 The multi-site client shall implement effective documented procedures to trace reports (e.g. feeds) used for each site as specified in the applicable ASC farm standard.		Compliant														
Management Review																
1.9 The central office shall be subject to an annual management review.		Compliant														
Consistency Requirements																
1.10 Internal audits shall be competent in ASC requirements as described in Annex B. (Refer to Tab 8 of this Audit Report Template)		Compliant														

Risk evaluation

Below table is to be filled out by the Auditor

Threat	Risk Level	Justification Risk Level
1. Management system weakness		
2. Weakness of client's internal site checklist		
3. Internal audit weakness		
4. Staff training weakness		
5. Multiple management systems		
6. Non-risk management weakness		
7. Subcontractors including subcontracted farms and subcontracted services		
8. Use of residues		
9. Record of NC raised by the ASC CAB and response		
10. Complaints resolution weakness		
11. Traceability weakness		
12. Country risk assessment score		

E2. The CAB shall add the list of additional threats (Annex E, E.2.2.1.1) to this table and provide its risk category and an explanation to support it to this table.

Additional risks identified by the CAB (E2.2.1.1, E.2.2.1.2, E.1.1.1)	Threats for determining level of risk	Risk Level

Sample size (Site) _____

Sample size (Employee) _____

E3. Explanation of sample selection _____

8. Internal Auditors and Inspectors Requirements

Annex 8.1 – Compliance requirements for Group Internal Auditors and Inspectors
 Important note: please do not mention any names in the evidence. Be aware of confidential information, which can be indicated in the confidential annex.

Req. No	Requirement Topic	Requirement	Audit Evidence	Indicator evaluation	Description, Justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	VR used	Notes	Root cause analysis	NC correction	NC Corrective action	Extension justification	New deadline for NC close-out
G1	Education	G1 The individual shall have at least a post-high school diploma		Compliant													
G2	Knowledge/ understanding of organisational situations	G2a The individual shall have knowledge of general business processes and shall understand the workings of organisations in relation to size, structure, function and relationships. G2b The individual shall understand the social, economic and cultural relationships in worker communities.		Compliant													
G3	Knowledge/ understanding of management systems and reference documents	G3 The individual shall have a general knowledge of management systems standards (such as ISO 9001), applicable procedures or other management systems documents used as audit criteria.		Compliant													
G4	Audit/inspection training	G4a The individual shall have successfully completed an Internal Auditor training course based on ISO 19013 principles that has a minimum duration of sixteen (16) hours. The certificate must specify the course content and duration. Successful completion must be indicated on the certificate. The course provider shall be accredited by the International Register of Certified Auditors (IRCA) or equivalent. OR The individual shall have successfully completed an Internal Auditor and Inspector training course that has been approved by ASC. OR The individual shall have successfully completed a training of at least 16 hours by a qualified internal auditor. G4b The individual shall have been trained on the ASC applicable standard. G4c The individual shall have successfully completed a training course on ASC certification requirements for Group certification. G4d The individual shall have undertaken and successfully completed the ASC Farm Tracability online training module. G4e The individual shall be trained on the farm tracability module by a qualified (internal) auditor. G4f The individual shall complete the ASC training for new requirements as specified by the ASC and training on changes to legislation, codes, relevant labour conventions as appropriate. G4g The individual shall complete the ASC training for new requirements and changes to legislation, codes, relevant labour conventions as appropriate, by a qualified internal auditor.		Compliant													
G5	Work experience	G5 The individual shall have experience relevant to the business being audited or site being inspected.		Compliant													
G6	Audit/inspection Experience	G6a The individual shall have undertaken at least two audits or inspections in the last 2 years against any other equivalence certification scheme as an acting auditor or inspector, shadowed and signed off by a competent internal auditor or inspector. G6b The individual shall have an internal audit/inspection witnessed by a qualified ASC internal auditor/inspector no less than once in each two (2) year period.		Compliant													
G7	Writing skills	G7 The individual will produce clear and accurate reports on audit/inspection findings.		Compliant													
G8	Oral presentation skills	G8 The individual shall have good oral communication skills, which makes him/her understood by the intended audience.		Compliant													
G9	Organisational skills	G9 The individual shall have good organisational and time management skills.		Compliant													
G10	Facilitation skills	G10 The individual shall have skills to ensure effective communication between themselves and other people.		Compliant													
G11	Interviewing skills	G11 The individual shall be experienced in different types of interviewing techniques.		Compliant													
G12	Language skills	G12 Unless accompanied by an independent interpreter, the individual shall be a fluent speaker and reader of the language(s) used by managers, administrators and workers of the organisation being audited or inspected.		Compliant													
G13	Detection skills	G13 The individual shall have knowledge and skills to detect and document evidence of non-conformities.		Compliant													
G14	Listening	G14 The individual shall understand and interpret verbal and non-verbal communication.		Compliant													
G15	Numeracy	G15 The individual shall understand and interpret number systems and their significance.		Compliant													
G16	Reading	G16 The individual shall understand and interpret written material related to the topic of audit/inspection.		Compliant													
G17	Versatile	G17 The individual will be able to adjust readily to different situations and to effectively resolve conflict and arrive at consensus agreement as far as possible.		Compliant													
G18	Ethical	G18a The individual will be fair, truthful, unbiased, sincere, discreet, trustworthy and honest. G18b The individual will possess a high level of integrity, particularly in relation to bribery and corrupt practices.		Compliant													

1) General, Client and CAB information
6. Social Requirements/Persyaratan Sosial

IMPORTANT NOTE This sheet, containing the social data, will be made publicly available. Some parts (2 and 3) of the social requirements are included in the confidential Annex 3, and will not be made publicly available. Please complete both sheets. This information is usually prepared for desk review, prior to the audit. If this is not the case, the sheets are required to be filled out in the draft and final audit report.

Date of review/Tanggal peninjauan: Bagian sosial tidak termasuk / Social section not included

3 Client's Information/Informasi klien

Please note that a lot of parts in this sheet contain data restrictions, where Only a number can be entered

9.1 Means of transportation between office and sites) and between sites within UoC/Sarana transportasi antara kantor dan situs dan antar situs dalam UoC	
9.1.1 Estimated travel time between office and sites) and between sites within UoC/Estimasi waktu perjalanan antara kantor dan situs dan antar situs dalam UoC	
9.2 Number of complaints received from stakeholders over past 12 months/Jumlah keluhan yang diterima dari pemangke kepentingan selama 12 bulan terakhir	
9.3 Number of resolved complaints/jumlah keluhan yang diatasi	
9.4 Average time to resolve complaints (days)/Waktu rata-rata	
9.5 Last Social Impact Assessment (SIAs) conducted in (year)/Penilaian Dampak Sosial Terakhir (SDS) yang dilakukan pada (tahun)	

Name of nearby communities, indigenous or not and the distance of the UoC to the nearest neighbouring community/ Nama komunitas terdekat, pribumi atau tidak dan jarak UoC ke komunitas tetangga terdekat (in km) atau tetangga (di km)	Indigenous/Masyarakat adat	Distance of the UoC to the nearest neighbouring community/ Jarak UoC ke komunitas/ tetangga terdekat (dalam km)

Social audits performed at UoC/Audit sosial dilakukan di UoC	Standard/Standar	Certified since (Date)/Sertifikasi sejak (Tanggal)	Certified until (Date)/Sertifikasi sampai (Tanggal)	Date of last audit (Date)/Tanggal audit terakhir (Tanggal)	Evaluation result/Hasil evaluasi
	SABOOC				
	BSCI	N/A	N/A		
	SUSTA	N/A	N/A		
	ISO 45000				
	ASC				
	Others (Specify)				

Subcontractor/Subkontraktor	Name of subcontractors>Nama subkontraktor	Place of work/Tempat kerja	Area of work/process/Area kerja/proses

4 List of documents submitted by UoC/Daftar dokumen yang diserahkan oleh UoC

Only copies of listed documents are submitted to the CAB.

UoC of Certification (UoC)	Exist/Ada	Policy/Kebijakan	Procedures/Prosedur
9.9 Map/View of UoC/Peta/Isa terak UoC			
9.10 List of sites/terms if multi-site or group/Daftar lokasi/daftar nama jika multi-situs atau grup			
9.11 List of applicable laws and regulations, year of release, authority/Daftar peraturan perundang-undangan yang berlaku, tahun pemberlakuan, kewenangan			
9.12 Agreement with adjacent community/ies, if any/perjanjian dengan komunitas yang berdekatan, jika ada			
9.13 Social Impact Assessment report/Laporan Penilaian Dampak Sosial			
9.14 List of subcontractors, if any, including their services, address/Daftar subkontraktor, jika ada, termasuk layanan, alamat			
9.15 Agreement with labour contracts, if any/perjanjian dengan buruh kerja, jika ada			
9.16 List of workers, their age, type of work (full/part time), nationality/ sex, shift and accommodation (if applicable)/Daftar pekerja, usia, jenis pekerjaan mereka (penuh/partial waktu), kewarganegaraan/lece, perumahan dan akomodasi (jika berlaku)			
Management system/Sistem manajemen			
9.17 Relevant policies and procedures/Kebijakan dan prosedur yang relevan:			
Workers training/Pelatihan pekerja			
Grievance mechanism/Mekanisme keluhan			
Non-discrimination/Non-diskriminasi			
Child and young labour/Pekerja anak dan remaja			
Forced, bonded labour/Pekerja paksa dan terikat			
Health and safety risk assessment/Penilaian risiko keselamatan dan keselamatan			
Age-verification/Verifikasi usia			
Fire prevention/Pencegahan kebakaran			
9.18 Certificate of compliance to other social standards/Sertifikat kepatuhan terhadap standar sosial lainnya			
9.19 Latest audit report of the other social standard/Laporan audit terbaru dari standar sosial lainnya			
9.20 Organisational chart of UoC/Bagan organisasi UoC			
9.21 Job descriptions for workers for different functions/Deskripsi pekerjaan untuk pekerja untuk fungsi yang berbeda			
9.22 Product flow within UoC/Aliran eroduk dalam UoC			
ASC Audit/ASC audit			
9.23 Filled out audit preparation checklist(s)/Mengisi daftar			
9.24 Previous ASC audit report/Laporan audit ASC sebelumnya			
9.25 Evidence of implementation of corrective action for NCR/Bukti implementasi tindakan korektif untuk NC			
Other records/Catatan lainnya			
9.26 Collective bargaining agreement, if exists/Perjanjian tawar-menawar kolektif, jika ada			
9.27 Accidents log and their status/Log kecelakaan dan statusnya			
9.28 Last inspection report related to workplace HSE/Laporan inspeksi terakhir terkait dengan H&S tempat kerja			
9.29 Minutes of the last workers' meeting/Rekalah rapat pekerja terakhir			
9.30 Minutes of health and safety meeting/Rekalah rapat kesehatan dan keselamatan			
9.31 Basic need wage calculation/Perhitungan upah kebutuhan dasar			
9.32 List of chemicals used within UoC/Daftar bahan kimia yang digunakan dalam UoC			
9.33 Last inspection report of the housing provided to workers/Laporan inspeksi terakhir dari perumahan yang diberikan kepada pekerja			
9.34 Overtime calculation/Perhitungan lembur			
9.35 Training records for workers on social related issues/Catatan pelatihan untuk pekerja tentang masalah terkait sosial			
9.36 Other (Please describe here)/Lainnya (Tolong jelaskan di sini)			

5 CAB diligence/Ketekunan CAB

ASC social audits/Audit sosial ASC	Other social audits/Audit sosial lainnya																
9.37 Number of social audits performed by the auditor in this country/Jumlah audit sosial yang dilakukan oleh auditor di negara ini																	
9.38 Applicable laws and regulations/Hukum dan peraturan yang berlaku																	
9.39 Required information and documents fully submitted/Informasi dan dokumen yang sepenuhnya dikiriman	<table border="1"> <thead> <tr> <th>Information/ Documents fully submitted/Informasi/ dokumen yang sepenuhnya dikiriman</th> <th>Missing information and documents/Informasi dan dokumen yang hilang</th> <th>Next steps/Langkah berikutnya</th> <th>Status/Status</th> </tr> </thead> <tbody> <tr><td></td><td></td><td></td><td></td></tr> <tr><td></td><td></td><td></td><td></td></tr> <tr><td></td><td></td><td></td><td></td></tr> </tbody> </table>	Information/ Documents fully submitted/Informasi/ dokumen yang sepenuhnya dikiriman	Missing information and documents/Informasi dan dokumen yang hilang	Next steps/Langkah berikutnya	Status/Status												
Information/ Documents fully submitted/Informasi/ dokumen yang sepenuhnya dikiriman	Missing information and documents/Informasi dan dokumen yang hilang	Next steps/Langkah berikutnya	Status/Status														
9.40 Topic/Issue raised further research before on-site audit/Topik/masalah yang perlu diteliti lebih lanjut sebelum audit di tempat																	
9.41 CAB's diligence to obtain additional information about the UoC/Ketekunan CAB untuk mendapatkan informasi tambahan tentang UoC	<table border="1"> <thead> <tr> <th>Topic/Topik</th> <th>Means of research/Sarana penelitian</th> <th>Rationale/Alasan</th> <th>Outcome/Hasil</th> </tr> </thead> <tbody> <tr><td></td><td></td><td></td><td></td></tr> <tr><td></td><td></td><td></td><td></td></tr> <tr><td></td><td></td><td></td><td></td></tr> </tbody> </table>	Topic/Topik	Means of research/Sarana penelitian	Rationale/Alasan	Outcome/Hasil												
Topic/Topik	Means of research/Sarana penelitian	Rationale/Alasan	Outcome/Hasil														
9.42 Changes since last audit/Perubahan sejak audit terakhir	Bagian sosial tidak termasuk / Social section not included																

8. UoC volumes & Audit Closing//Volume UoC & Penutupan Audit

Please indicate the correct volumes of the applicable quarter and year.

Volume reporting for complete UoC//Pelaporan volume untuk UoC lengkap				
Quarter of the year://Triwulan tahun ini:	Quarter 1//Kuartal 1	Quarter 2//Kuartal 2	Quarter 3//Kuartal 3	Quarter 4//Kuartal 4
11.1	The volumes indicated in this table apply to the following year://Volume yang ditunjukkan dalam tabel ini berlaku untuk tahun berikutnya:			
	2020	2020	2020	2020
11.1.1	Actual volume//Volume aktual	Actual volume//Volume aktual	Actual volume//Volume aktual	Actual volume//Volume aktual
11.2	7,254,783	6,536,334	6,699,040	7,345,286
11.3	0	0	0	0
11.4	7,254,783	6,536,334	6,699,040	7,345,286
11.5	0	0	0	0

Decision//Keputusan	
11.6	CUP-C859204-ASC-02-2021-TL Update decision : 15-09-2021 / Perbarui keputusan : 15-09-2021
11.7	26/04/2019
11.8	28/01/2022
11.9	Not Applicable / Tak dapat diterapkan

Confidential Annexes//Lampiran Rahasia	Annex filled in?//Annex diisi?	Annex submitted to ASC?//Annex diserahkan ke ASC?
11.10	No//Tidak	No//Tidak
11.11	No//Tidak	No//Tidak
11.12	No//Tidak	No//Tidak
11.13	No//Tidak	No//Tidak

12. Open & Extended NCs//Buka & Perluasan NC

Please indicate in the table below ONLY the non-conformities detected in the previous audit, which had the status: open or extended in the previous final audit report.//Harap cantumkan dalam tabel di bawah INI HANYA ketidaksesuaian yang terdeteksi dalam audit sebelumnya, yang memiliki status: terbuka atau diperpanjang dalam laporan audit akhir sebelumnya.

This table is to evaluate the closure of the open/extended non-conformities from the previous audit. Add rows to the tables as needed.//Tabel ini untuk mengevaluasi penutupan ketidaksesuaian terbuka/diperpanjang dari audit sebelumnya. Tambahkan baris ke tabel sesuai kebutuhan.

Open and Extended Non-conformities from previous audit//Tabel ini untuk mengevaluasi penutupan ketidaksesuaian terbuka/diperpanjang dari audit sebelumnya. Tambahkan baris ke tabel sesuai kebutuhan.								
12.1	12.2	12.3	12.4	12.5	12.6	12.7	12.8	12.9
Indicator Number//Nomor Indikator	Indicator evaluation in previous audit//Evaluasi indikator dalam audit sebelumnya	Last day of previous audit//Hari terakhir audit sebelumnya	NC detected for sites (List site ID's)//NC terdeteksi untuk situs (Daftar ID situs)	Deadline for NC close-out, determined in previous audit//Batas waktu penutupan NC, ditentukan dalam audit sebelumnya	NC Status in previous audit//Status NC dalam audit sebelumnya	NC Status in current audit//Status NC dalam audit saat ini	Actual deadline for NC close-out//Tenggat waktu aktual untuk close-out NC	Notes/additional evidence//Catatan/bukti tambahan
7.2.1	Major//Utama	8-Set-20	S0001151 S0001145 S0001104 S0001142	07/03/2021	Closed//Ditutup	Closed//Ditutup	07/03/2021	
7.4.1	Major//Utama	8-Set-20	S0001151 S0001145 S0001104 S0001142	07/03/2021	Closed//Ditutup	Closed//Ditutup	07/03/2021	
7.4.2	Minor//Kecil	8-Set-20	S0001151 S0001145 S0001104 S0001142	07/03/2021	Closed//Ditutup	Closed//Ditutup	07/03/2021	
7.8.2	Minor//Kecil	8-Set-20	S0001151 S0001145 S0001104 S0001142	07/03/2021	Closed//Ditutup	Closed//Ditutup	07/03/2021	

13. B-EIA & p-SIA checklist//Daftar periksa EIA & p-SIA

Checklist and guideline for auditors on a complete B-EIA & p-SIA process and report.//Daftar periksa dan pedoman untuk auditor tentang proses dan laporan B-EIA & p-SIA lengkap.

Please find all requirements for B-EIA and p-SIA in the ASC standards.//Silakan temukan semua persyaratan untuk B-EIA dan p-SIA dalam standar ASC.

Biodiversity-inclusive Environmental Impact Assessment//Penilaian Dampak Lingkungan Biodiversity-inclusive		
B-EIA checklist//Daftar periksa B-EIA	Validated by auditor?//Divalidasi oleh auditor?	Notes//Catatan
13.1 1. Quality of the B-EIA process (e.g., was it participatory and transparent?). B-EIA carried out by a valid expert in accordance with requirements lined out in the ASC standards.		
13.2 (b) The B-EIA was publicly (locally) communicated with sufficient time for interested parties to participate and/or get informed.		
13.3 (c) Stakeholders are listed and impact descriptions are documented and in preparation of the final B-EIA report, meetings with the listed stakeholders (or by stakeholders chosen representatives) have taken place.		
13.4 (d) These meetings have been recorded and the minutes are attached to the final report; names and contact details of participating stakeholders included.		
13.5 (e) Evidence is provided that draft and final B-EIA reports have been submitted to local government representatives and, if requested by stakeholders, a legally registered civil organization chosen by these stakeholders.		
13.6 (f) Evidence is provided that the final B-EIA reports have been submitted and reviewed by a specialist with appropriate expertise on biodiversity issues.		
13.7 (g) B-EIA completed according to guidance on B-EIA and p-SIA relationship (transparency and consultation).		
13.8 2. Risk analysis: actual (past and present) impacts of the current farms, or potential impacts of the intended farm or expansion of existing farm and at least two alternatives (one of these is the "no farm or no expansion" scenario). Concepts to cover include:		
13.9 (a) The type of farming, possible alternatives and a summary of activities likely to affect biodiversity.		
13.10 (b) An analysis of opportunities and constraints for biodiversity (include "no net biodiversity loss" or "biodiversity restoration" alternatives).		
13.11 (c) Expected biophysical changes (in soil, water, air, flora and fauna) resulting from proposed or existing activities or induced by any socioeconomic changes.		
13.12 (d) Spatial and temporal scale of influence, identifying effects on connectivity between ecosystems, and potential cumulative effects.		
13.13 (e) Available information on baseline conditions and any anticipated trends in biodiversity in the absence of the proposal.		
13.14 (f) Likely biodiversity impacts associated with the proposal or current operations in terms of composition, structure and function of surrounding ecosystems		
13.15 (g) Biodiversity services and values identified in consultation with stakeholders and anticipated magnitude, direction and timeline of changes in these (highlight any irreversible impacts).		
13.16 (h) Possible measures to avoid, minimize or compensate for significant biodiversity damage or loss, making reference to any legal requirements. Information required to support decision making and summary of important gaps.		
13.17 (i) Proposed IA methodology and timescale.		
13.18 3. Impact statement is available and contains all of the requirements listed above along with a clear indication of authors and affiliations.		
13.19 4. Review process, reviewers (decision makers), and decisions clearly documented.		
13.20 5. Clear understanding as to how options for mitigation and offsetting were determined and how avoidance actions were prioritized over compensation		
13.21 6. Names, affiliations and experience of the reviewing specialist are documented and clear understanding of how affected groups were involved and how balanced consideration was given to conservation vs. development goals in the peer review.		
13.22 7. Clear articulation of a biodiversity management system including targets and monitoring strategies for mitigation.		

Participatory Social Impact Assessment//Penilaian Dampak Sosial Partisipatif		
p-SIA checklist//daftar periksa p-SIA	Validated by auditor?//Divalidasi oleh auditor?	Notes//Catatan
13.23 1. Quality of the p-SIA process (e.g., is it participatory and transparent).		
13.24 (a) The intent to conduct a p-SIA is locally publicly communicated with sufficient time for interested parties to participate and/or get informed.		
13.25 (b) In listing stakeholders, in making impact descriptions, and in preparation of a final p-SIA report-document meetings with the listed stakeholders (or by stakeholders chosen representatives) have taken place.		
13.26 (c) These meetings have been minuted and these records are attached to the final report; names and contact details of participating stakeholders are included.		
13.27 (d) Evidence is provided that draft and final p-SIA reports have been submitted to a local government representative and, if stakeholders so desire, to a (by stakeholders chosen) legally registered civil organization.		
13.28 (e) B-EIA done and completed according to guidance in the ASC standards (appropriate accreditation and consultation).		
13.29 2. The risks and actual (past and present) impacts of the current or intended farm and at least two alternatives (one of these is the "no farm or no expansion" scenario). Concepts to cover include:		
13.30 (a) Economic aspects (influence on employment opportunities, influence on other livelihoods in community).		
13.31 (b) Natural resource access and use (land and water tenure, influence on quality and availability of natural resources including water).		
13.32 (c) Human assets (food security, health and safety, education, indigenous knowledge).		
13.33 (d) Physical infrastructure (access to roads, electricity, telephone, housing, waste disposal systems).		
13.34 (e) Social and cultural aspects (indigenous/traditional/customary rights and beliefs, social exclusion/inclusion, gender equity, changes in age composition of the community, local informal institutions and organizations).		
13.35 (f) Governance aspects (influence of aquaculture on norms, taboos, regulations, laws, conflict management and whether these changes add up to more or less transparency, accountability and participation in decision making).		
13.36 3. Research and report probable impacts that are likely to be most important. In doing this, it is important to arrange meetings with stakeholders to let them prioritize and to let them express how they assess/view/feel; identify both positive and negative risks and impacts.		
13.37 4. Do deeper investigations into priority impacts with a focus on the question: "What changes will lead to if they indeed come about?" These include:		
13.38 (a) Physical effects to man-made and natural structures and processes.		
13.39 (b) Likely adaptations and the social and economic effects of making such adaptations.		
13.40 (c) How these effects and indirect effects would compare to having no intervention.		
13.41 (d) How effects may or might be cumulative.		
13.42 5. Make recommendations to maximize the positive and minimize the negative, with consideration to compensation options for those lands and people impacted. Also include recommendations on how to avoid these issues with the intended farm or farm development.		
13.43 6. Propose a mitigation plan assuming the farm development will take place or continue (in an adapted form if that seems appropriate); include a "closure and reclamation plan" explaining how repair or restoration will take place after farm closure or bankruptcy		
13.44 7. Develop and approve with all stakeholders a monitoring plan and indicators on both positive and negative risks and impacts (make use of FDG and/or PRA methodologies in this step).		
13.45 8. A summary with recommendations and conclusions is made available to all involved in the process and, through local public notices, made accessible to all members of the local community.		

Summary of Certification & Accreditation Requirement (CAR) Non Conformities (NC)

Standard: Tilapia
 Standard version: 1.2
 CAR version: 2.2

NC Type	6) MS option 1, AR	7) MS option 2, AR	8) IMS Auditor	NC Totals
Major	0	0	0	0
Minor	0	0	0	0
Total	0	0	0	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

6) MS option 1, AR - NC Summary

NC Code	Requirements	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Root cause analysis	NC correction	NC Corrective action	Extension justification	New deadline for NC close-out	Notes
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7) MS option 2, AR - NC Summary

NC Code	Requirements	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Root cause analysis	NC correction	NC Corrective action	Extension justification	New deadline for NC close-out	Notes
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8) IMS Auditor - NC Summary

NC Code	Requirement	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	VR used	Notes
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Adjust the column width as needed to show the whole text or provide more space to write
 Corresponds to ASC Salmon standard version 1.3

Indicator Number	Indicator Text	Audit Evidence	Overall Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Minor NC detected for sites (list site ID's)	Major NC detected for sites (list site ID's)	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	QA submitted/used	Proposed by UIC and accepted by CAB	Proposed by UIC and accepted by CAB	Proposed by UIC and accepted by CAB	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
															Root cause analysis	NC correction	NC Corrective action				
1.1.1	Indicator: Presence of documents demonstrating compliance with local and national regulations and requirements on land and water use Requirement: Yes Applicability: All		Compliant																		
1.1.2	Indicator: Presence of documents demonstrating compliance with all tax laws Requirement: Yes Applicability: All		Compliant																		
1.1.3	Indicator: Presence of documents demonstrating compliance with all relevant national and local labour laws and regulations Requirement: Yes Applicability: All		Compliant																		
1.1.4	Indicator: Presence of documents demonstrating compliance with regulations and permits concerning water quality impacts Requirement: Yes Applicability: All		Compliant																		
2.1.1	Indicator: Redox potential or (S) sulphide levels in sediment outside of the Allowable Zone of Effect (AZE) (6), following the sampling methodology outlined in Appendix I of the Salmon standard v.1.3 Requirement: Redox potential > 0 mV or sulphide ≤ 1,500 µMol/L Applicability: All farms except: Closed production systems that can demonstrate that they collect and responsibly dispose of > 75% of solid nutrients from the production system are exempt from standards under Criterion 2.1. See Appendix VI for requirements on transparency for 2.1.1, 2.1.2 and 2.1.3.		Compliant																		
2.1.2	Indicator: Four index scores including good (7) to high ecological quality in sediment outside the AZE, following the sampling methodology outlined in Appendix I of the Salmon standard v.1.3 Requirement: AZT Marine Biotic Index (AMBI) score ≤ 3.3, or Shannon Wiener Index score ≥ 3, or Benthic Quality Index (BQI) score ≥ 15, or Infaunal Trophic Index (TI) score ≥ 25 Applicability: All farms except: Closed production systems that can demonstrate that they collect and responsibly dispose of > 75% of solid nutrients from the production system are exempt from standards under Criterion 2.1. See Appendix VI for requirements on transparency for 2.1.1, 2.1.2 and 2.1.3.		Compliant																		
2.1.3	Indicator: Number of macroinvertebrate taxa in the system within the AZE, following the sampling methodology outlined in Appendix I of the Salmon standard v.1.3 Requirement: ≥ 2 highly abundant (9) taxa that are not pollution indicator species Applicability: All farms except: Closed production systems that can demonstrate that they collect and responsibly dispose of > 75% of solid nutrients from the production system are exempt from standards under Criterion 2.1. See Appendix VI for requirements on transparency for 2.1.1, 2.1.2 and 2.1.3.		Compliant																		
2.1.4	Indicator: Definition of a site specific AZE based on a robust and credible (10) modelling system (11) Requirement: Yes Applicability: All farms except: Closed production systems that can demonstrate that they collect and responsibly dispose of > 75% of solid nutrients from the production system are exempt from standards under Criterion 2.1. See Appendix VI for requirements on transparency for 2.1.1, 2.1.2 and 2.1.3.		Compliant																		
2.2.1	Indicator: Weekly average percent saturation (14) of dissolved oxygen (DO) (17) on farm calculated following methodology in Appendix I of the Salmon standard v.1.3 Requirement: ≥ 70% (18) Applicability: All farms. An exception to this standard shall be made for farms that can demonstrate consistency with a reference site in the same water body.		Compliant																		
2.2.2	Indicator: Maximum percentage of weekly samples from 2.2.1 that fall under 2 mg/l DO Requirement: 5% Applicability: All		Compliant																		
2.2.3	Indicator: For jurisdictions that have national or regional coastal water quality targets (19), demonstration through third-party analysis that the farm is in an area recently (20) classified as having 'good' or 'very good' water quality (21) Requirement: Yes (22) Applicability: All farms except: Closed production systems that can demonstrate the collection and responsible disposal of > 75% of solid nutrients as well as > 50% of dissolved nutrients (through biofiltration, settling and/or other technologies) are exempt from standards 2.2.3 and 2.2.4.		Compliant																		
2.2.4	Indicator: For jurisdictions without national or regional coastal water quality targets, evidence of monitoring of nitrogen and phosphorous (23) levels on farm and at a reference site, following methodology in Appendix I of the Salmon standard v.1.3 Requirement: Consistency with reference site Applicability: All farms, except: Closed production systems that can demonstrate the collection and responsible disposal of > 75% of solid nutrients as well as > 50% of dissolved nutrients (through biofiltration, settling and/or other technologies) are exempt from standards 2.2.3 and 2.2.4.		Compliant																		
2.2.5	Indicator: Demonstration of calculation of biochemical oxygen demand (BOD)(24) of the farm on a production cycle basis Requirement: Yes Applicability: All		Compliant																		

2.2.8	<p>Indicator: Appropriate controls are in place that maintains good culture and hygiene conditions on the farm which extends to all chemicals, including veterinary drugs, thereby ensuring that adverse impacts on environmental quality are minimised</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	Compliant																	
2.3.1	<p>Indicator: Percentage of fish (25) in the feed at point of entry to the farm (26) (calculated following methodology in Appendix 1 of the Salmon standard v.1.3)</p> <p>Requirement: < 1% by weight of the feed</p> <p>Applicability: All farms except: To be measured every quarter or every three months. Samples that are measured shall be chosen randomly. Feed may be sampled immediately prior to delivery to farm for sites with no feed storage where it is not possible to sample on farm. Closed production systems that can demonstrate the collection and responsible disposal of > 70% of lost nutrients and 50% of dissolved nutrients through biofiltration, settling and/or other technologies are exempt.</p>	Compliant																	
2.4.1	<p>Indicator: Evidence of an assessment of the farm's potential impacts on biodiversity and nearby ecosystems that contains at a minimum the components outlined in Appendix 1.3</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	Compliant																	
2.4.2	<p>Indicator: Allowance for the farm to be sited in a protected area (27) or High Conservation Value Areas(28) (HCVAs)</p> <p>Requirement: None (29)</p> <p>Applicability: All. The following exceptions shall be made: * For protected areas classified by the International Union for the Conservation of Nature (IUCN) as Category V or VI (these are areas preserved primarily for their landscapes or for sustainable resource management). * For HCVAs if the farm can demonstrate that its environmental impacts are compatible with the conservation objectives of the HCVAs designation. The burden of proof would be placed on the farm to demonstrate that it is not negatively impacting the core reason an area has been identified as a HCV. * For farms located in a protected area if it was designated as such after the farm was already in operation and provided the farm can demonstrate that its environmental impacts are compatible with the conservation objectives of the protected area and it is in compliance with any relevant conditions or regulations placed on the farm as a result of the farm's designation of the protected area. The burden of proof would be placed on the farm to demonstrate that it is not negatively impacting the core reason an area has been protected.</p>	Compliant																	
2.5.1	<p>Indicator: Number of days in the production cycle when acoustic deterrent devices (ADDs) or acoustic harassment devices (AHDs) were used</p> <p>Requirement: 0</p> <p>Applicability: All</p>	Compliant																	
2.5.2	<p>Indicator: Number of mortalities (31) of endangered or red listed (33) marine mammals or birds on the farm</p> <p>Requirement: 0 (zero)</p> <p>Applicability: All</p>	Compliant																	
2.5.3	<p>Indicator: Evidence that the following steps were taken prior to lethal action (34) against a predator: 1. All other avenues were pursued prior to using lethal action 2. Approval was given from a senior manager above the farm manager 3. Explicit permission was granted to take lethal action against the specific animal from the relevant regulatory authority</p> <p>Requirement: Yes (35)</p> <p>Applicability: All, except cases where human safety is endangered? Exception to these conditions may be made for a rare situation where human safety is endangered. Should this be required, post-incident approval from a senior manager should be made and relevant authorities must be informed.</p>	Compliant																	
2.5.4	<p>Indicator: Evidence that information about any lethal incidents on the farm has been made easily publicly available (36)</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	Compliant																	
2.5.5	<p>Indicator: Maximum number of lethal incidents (37) on the farm over the prior two years</p> <p>Requirements: < 9 lethal incidents, (38) with no more than two of the incidents being marine mammals</p> <p>Applicability: All</p>	Compliant																	
2.5.8	<p>Indicator: In the event of a lethal incident, evidence that an assessment of the risk of lethal incident(s) has been undertaken and demonstration of concrete steps taken by the farm to reduce the risk of future incidences.</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	Compliant																	
3.1.1	<p>Indicator: Participation in an Area-Based Management (ABM) scheme for managing disease and resistance to treatments that includes coordination of stocking, fallowing, therapeutic treatments and information sharing. Detailed requirements are in Appendix 8 of the Salmon standard v.1.3</p> <p>Requirement: Yes</p> <p>Applicability: All except farms that release no water. Farm sites for which there is no release of water that may contain pathogens into the natural (freshwater or marine) environment are exempt from the standards under Criterion 3.1.</p>	Compliant																	
3.1.2	<p>Indicator: A demonstrated commitment (42) to collaborate with NGOs, academics and governments on areas of mutually agreed research to measure possible impacts on wild stocks</p> <p>Requirement: Yes</p> <p>Applicability: All except farms that release no water. Farm sites for which there is no release of water that may contain pathogens into the natural (freshwater or marine) environment are exempt from the standards under Criterion 3.1.</p>	Compliant																	

1.1.3	<p>Indicator: Establishment and annual review of a maximum sea lice load for the entire AEM and for the individual farm as outlined in Appendix II of the Salmon standard v.1.3</p> <p>Requirement: Yes</p> <p>Applicability: All except farms that release no water; Farm sites for which there is no release of water that may contain pathogens into the natural (freshwater or marine) environment are exempt from this standard under Criterion 3.1.</p>	Compliant
1.1.4	<p>Indicator: Frequent (43) on-farm testing for sea lice, with test results made easily publicly available (44) within seven days of testing</p> <p>Requirement: Yes</p> <p>Applicability: All except farms that release no water; Farm sites for which there is no release of water that may contain pathogens into the natural (freshwater or marine) environment are exempt from this standard under Criterion 3.1.</p>	Compliant
1.1.5	<p>Indicator: In areas with wild salmonids, (45) evidence of data (46) and the farm's understanding of that data, around salmonid migration routes, migration timing, and stock productivity in major waterways within 50 kilometers of the farm</p> <p>Requirement: Yes</p> <p>Applicability: All farms operating in areas with wild salmonids except farms that release no water; Farm sites for which there is no release of water that may contain pathogens into the natural (freshwater or marine) environment are exempt from the standard under Criterion 3.1.</p>	Compliant
1.1.6	<p>Indicator: In areas of wild salmonids, monitoring of sea lice levels on wild out-migrating salmon juveniles or on coastal sea trout or herring, with results made publicly available. See requirements in Appendix III of the Salmon standard v.1.3</p> <p>Requirement: Yes</p> <p>Applicability: All farms operating in areas with wild salmonids except farms that release no water; Farm sites for which there is no release of water that may contain pathogens into the natural (freshwater or marine) environment are exempt from the standard under Criterion 3.1.</p>	Compliant
1.1.7	<p>Indicator: In areas of wild salmonids, maximum on-farm lice levels during sensitive periods for wild fish (50). See detailed requirements in Appendix II of the Salmon standard v.1.3</p> <p>Requirement: 0.1 mature female lice per farmed fish</p> <p>Applicability: All farms operating in areas with wild salmonids except farms that release no water; Farm sites for which there is no release of water that may contain pathogens into the natural (freshwater or marine) environment are exempt from the standard under Criterion 3.1.</p>	Compliant
1.2.1	<p>Indicator: If a non-native species is being produced, demonstration that the species was widely commercially produced in the area by the date of publication of the ASC salmon standard</p> <p>Requirement: Yes (49)</p> <p>Applicability: All farms. Exceptions shall be made for production systems that are 100 percent sterile fish or systems that demonstrate separation from the wild by effective physical barriers that are in place and well-maintained to ensure no escapes of reared specimens or biological material that might survive and subsequently reproduce.</p>	Compliant
1.2.2	<p>Indicator: If a non-native species is being produced, evidence of scientific research (50) completed within the past five years that investigates the risk of establishment of the species within the farm's jurisdiction and these results submitted to ASC for review (51)</p> <p>Requirement: Yes (52)</p> <p>Applicability: All</p>	Compliant
1.2.3	<p>Indicator: Use of non-native species for sea lice control for on-farm management purposes</p> <p>Requirement: None</p> <p>Applicability: All</p>	Compliant
3.3	<p>Indicator: Use of transgenic (54) salmon by the farm</p> <p>Requirement: None</p> <p>Applicability: All</p>	Compliant
3.4.1	<p>Indicator: Maximum number of escapes (57) in the most recent production cycle</p> <p>Requirement: 300 (58)</p> <p>Applicability: All farms. A rare exception to this standard may be made for an escape event that is clearly documented as being outside the farm's control. Only one such exceptional episode is allowed in a 10-year period for the purposes of this standard. The 10-year period starts at the beginning of the production cycle for which the farm is applying for certification. The farmer must demonstrate that there was no reasonable way to prevent the events that caused the episode. See auditing guidance for salmonid welfare.</p>	Compliant
3.4.2	<p>Indicator: Accuracy (59) of the counting technology or counting method used for calculating stocking and harvest numbers</p> <p>Requirement: > 99%</p> <p>Applicability: All</p>	Compliant
3.4.3	<p>Indicator: Estimated unaccounted loss (60) of farmed salmon is made publicly available</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	Compliant
3.4.4	<p>Indicator: Evidence of escape prevention planning and related employee training, including: net strength testing, appropriate net mesh size, net fixability, system robustness, predator management, record keeping and reporting of risk events (e.g., holes, infrastructure issues, handling errors, reporting and follow up of escape events), and worker training on escape prevention and counting techniques</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	Compliant
4.1.1	<p>Indicator: Evidence of suitability demonstrated by the feed producer, of feed ingredients that make up more than 1% of the feed (63)</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	Compliant

4.7.1	<p>Indicator: For farms that use copper-treated nets(90), evidence that nets are not cleaned(91) or treated in situ in the marine environment</p> <p>Requirement: Yes</p> <p>Applicability: All Farms, Closed production systems that do not use nets and do not use antifoulants shall be considered exempt from standards under Criterion 4.7</p>	Compliant																	
4.7.2	<p>Indicator: For any farm that cleans nets at on-land sites, evidence that net cleaning sites have effluent treatment (92)</p> <p>Requirement: Yes</p> <p>Applicability: All Farms, Closed production systems that do not use nets and do not use antifoulants shall be considered exempt from standards under Criterion 4.7</p>	Compliant																	
4.7.3	<p>Indicator: For farms that use copper nets or copper-treated nets, evidence of testing for copper level in the sediment outside of the NZ, following methodology in Appendix 1 of the salmon standard 5.1.3</p> <p>Requirement: Yes</p> <p>Applicability: All Farms, Closed production systems that do not use nets and do not use antifoulants shall be considered exempt from standards under Criterion 4.7</p>	Compliant																	
4.7.4	<p>Indicator: Evidence that copper levels(93) are < 34 mg Cu/kg dry sediment weight, or, in instances where the Cu in the sediment exceeds 34 mg Cu/kg dry sediment weight, demonstration that the Cu concentration falls within the range of background concentrations as measured at three reference sites in the water body</p> <p>Requirement: Yes</p> <p>Applicability: All Farms, Closed production systems that do not use nets and do not use antifoulants shall be considered exempt from standards under Criterion 4.7</p>	Compliant																	
4.7.5	<p>Indicator: Evidence that the type of bioicides used in net antifouling are approved according to legislation in the European Union, or the United States, or Australia</p> <p>Requirement: Yes</p> <p>Applicability: All Farms, Closed production systems that do not use nets and do not use antifoulants shall be considered exempt from standards under Criterion 4.7</p>	Compliant																	
5.1.1	<p>Indicator: Evidence of a fish health management plan for site identification and monitoring of fish diseases, parasites and environmental conditions relevant for good fish health, including implementing corrective action when required</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	Compliant																	
5.1.2	<p>Indicator: Site visits by a designated veterinarian(94) at least four times a year, and by a fish health manager(96) at least once a month</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	Compliant																	
5.1.3	<p>Indicator: Percentage of dead fish removed and disposed of in a responsible manner</p> <p>Requirement: 100% (97)</p> <p>Applicability: All</p>	Compliant																	
5.1.4	<p>Indicator: Percentage of mortalities that are recorded, classified and receive a post-mortem analysis</p> <p>Requirement: 100% (98)</p> <p>Applicability: All</p>	Compliant																	
5.1.5	<p>Indicator: Maximum viral disease-related mortality(99) on farm during the most recent production cycle</p> <p>Requirement: < 10%</p> <p>Applicability: All</p>	Compliant																	
5.1.6	<p>Indicator: Maximum unexplained mortality rate from each of the previous two production cycles, for farms with total mortality > 6%</p> <p>Requirement: < 40% of total mortalities</p> <p>Applicability: All Farms with > 6% total mortality in the most recent complete production cycle</p>	Compliant																	
5.1.7	<p>Indicator: A farm-specific mortalities reduction program that includes defined annual targets for reductions in mortalities and reductions in unexplained mortalities</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	Compliant																	
5.2.1	<p>Indicator: On-farm documentation that includes, at a minimum, detailed information on all chemicals(101) and therapeutics used during the most recent production cycle, the amounts used (including grams per ton of fish produced), the dates used, which groups of fish were treated and against which diseases, proof of proper dosing, and all disease and pathogens detected on the site</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	Compliant																	
5.2.2	<p>Indicator: Allowance for use of therapeutic treatments that include antibiotics or chemicals that are banned(102) in any of the primary salmon producing or importing countries (103)</p> <p>Requirement: None</p> <p>Applicability: All</p>	Compliant																	
5.2.3	<p>Indicator: Percentage of medication events that are prescribed by a veterinarian</p> <p>Requirement: 100%</p> <p>Applicability: All</p>	Compliant																	
5.2.4	<p>Indicator: Compliance with all withholding periods after treatments</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	Compliant																	

6.7.2	Indicator: Evidence of a policy to ensure social compliance of its suppliers and contractors Requirement: Yes Applicability: All																			Compliant	
6.8.1	Indicator: Evidence of worker access to effective, fair and confidential grievance procedures Requirement: Yes Applicability: All																				Compliant
6.8.2	Indicator: Percentage of grievances handled that are addressed(140) within a 90-day timeframe Requirement: 100% Applicability: All																				Compliant
6.9.1	Indicator: Incidences of excessive or abusive disciplinary actions Requirement: None Applicability: All																				Compliant
6.9.2	Indicator: Evidence of a functioning disciplinary action policy whose aim is to improve the worker (141) Requirement: Yes Applicability: All																				Compliant
6.10.1	Indicator: Incidences, violations or abuse of working hours(143) and overtime laws Requirement: None Applicability: All																				Compliant
6.10.2	Indicator: Overtime is limited, voluntary(144), paid at a premium rate and restricted to exceptional circumstances Requirement: Yes Applicability: All																				Compliant
6.11.1	Indicator: Evidence that the company regularly performs training of staff in fish husbandry, general farm and fish escape management and health and safety procedures Requirement: Yes Applicability: All																				Compliant
6.12.1	Indicator: Demonstration of company-level(146) policies in line with the standards under 6.1 to 6.11 above Requirement: Yes Applicability: All																				Compliant
7.1.1	Indicator: Evidence of regular and meaningful(147) consultation and engagement with community representatives and organizations. Requirement: Yes Applicability: All																				Compliant
7.1.2	Indicator: Presence and evidence of an effective(148) policy and mechanisms for the presentation, treatment and resolution of complaints by community stakeholders and organizations Requirement: Yes Applicability: All																				Compliant
7.1.3	Indicator: Evidence that the farm has posted visible notice(149) at the farm during times of therapeutic treatments and has, as part of consultation with communities under 7.1.1, communicated about potential health risks from treatments Requirement: Yes Applicability: All																				Compliant
7.2.1	Indicator: Evidence that indigenous groups were consulted as required by relevant local and/or national laws and regulations Requirement: Yes Applicability: All farms that operate in indigenous territories or in proximity to indigenous or aboriginal people																				Compliant
7.2.2	Indicator: Evidence that the farm has undertaken proactive consultation with indigenous communities Requirement: Yes (150) Applicability: All farms that operate in indigenous territories or in proximity to indigenous or aboriginal people																				Compliant
7.2.3	Indicator: Evidence of a protocol agreement, or an active process(151) to establish a protocol agreement, with indigenous communities Requirement: Yes Applicability: All farms that operate in indigenous territories or in proximity to indigenous or aboriginal people																				Compliant
7.3.1	Indicator: Changes undertaken restricting access to vital community resources(152) without community approval Requirement: None Applicability: All																				Compliant
7.3.2	Indicator: Evidence of assessments of company's impact on access to resources Requirement: Yes Applicability: All																				Compliant
8.1	Indicator: Compliance with local and national regulations on water use and discharges, specifically providing permits related to water quality Requirement: Yes Applicability: All Small Producers																				Compliant
8.2	Indicator: Compliance with labour laws and regulations Requirement: Yes Applicability: All Small Producers																				Compliant
8.3	Indicator: Evidence of an assessment of the farm's potential impacts on biodiversity and nearby ecosystems that contains the same components as the assessment for grow-out facilities under 2.4.1 Requirement: Yes Applicability: All Small Producers																				Compliant

8.21	Indicator: Evidence of a policy for the presentation, treatment and resolution of complaints by community stakeholders and organizations. Requirement: Yes Applicability: All Smolt Producers		Compliant																																								
8.22	Indicator: Where relevant, evidence that indigenous groups were consulted as required by relevant local and/or national laws and regulations. Requirement: Yes Applicability: All Smolt Producers		Compliant																																								
8.23	Indicator: Where relevant, evidence that the farm has undertaken proactive consultation with Indigenous communities. Requirement: Yes Applicability: All Smolt Producers		Compliant																																								
8.25	Indicator: Allowance for stocking smolts produced in cage culture. Requirement: Permitted only if supplying farms are 1) operated in a region where Indigenous salmonids are present of the same species being stocked and 2) the farm is certified to the ASC Freshwater Trout Standard Applicability: open fin-fish production of smolt		Compliant																																								
8.26	Indicator: Water quality monitoring matrix completed and submitted to ASC (see Appendix VIII of the Salmon standard v.1.3) Requirement: Yes(171) Applicability: open (net-pen) production of smolt		Compliant																																								
8.27	Indicator: Minimum oxygen saturation in the outflow methodology in Appendix VIII of the Salmon standard v.1.3 Requirement: 60%(172, 173) Applicability: All Smolt Producers Using Semi-Closed or Closed Production Systems		Compliant																																								
8.28	Indicator: Micro-invertebrate surveys downstream from the farm's effluent discharge demonstrate benthic health that is similar or better than surveys upstream from the discharge methodology in Appendix VIII of the Salmon standard v.1.3 Requirement: Yes Applicability: All Smolt Producers Using Semi-Closed or Closed Production Systems		Compliant																																								
8.29	Indicator: Evidence of implementation of biocontrol (Judged Best Management Practices (BMP) Appendix VII of the Salmon standard v.1.3) Requirement: Yes Applicability: All Smolt Producers Using Semi-Closed or Closed Production Systems		Compliant																																								

Summary of Standard Non Conformities (NC)

Standard: Tilapia
Version: 1.2

NC Type	NC Totals
Major	0
Minor	0
Total	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CAB)	Indicator Number	Indicator Text	Audit Evidence	Overall Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Minor NC detected for sites (List site ID's)	Major NC detected for sites (List site ID's)	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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2.4.4	<p>Indicator: Evidence that information about any lethal incident on the farm has been:</p> <ol style="list-style-type: none"> 1. Reported to the appropriate government oversight agency. 2. Made easily publicly accessible. <p>Requirement: Yes</p> <p>Applicability: All</p>	Compliant															
2.4.5	<p>Indicator: Maximum number of lethal incidents (6) on farm over the prior two years</p> <p>Requirement: For birds: 4 lethal incidents. For sharks: 2 lethal incidents. For marine mammals: 3 lethal incident</p> <p>Applicability: All</p>	Compliant															
2.4.6	<p>Indicator: In the event of any lethal incident, evidence that an assessment of the probability of lethal incidents) has been undertaken and demonstration of concrete steps taken by the farm to reduce the risk of future incidences</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	Compliant															
3.1.1	<p>Indicator: Culture of a non-native species.</p> <p>Requirement: None, unless commercial (9) farming of the species already occurs in the region at time of the first publication of the SCAD standards, or a closed land-based production (10) system with minimal (11) risk of escapes and/or pest and pathogen transfer to wild populations is used</p> <p>Applicability: All</p>	Compliant															
3.2.1	<p>Indicator: Culture of transgenic fish by the farm</p> <p>Requirement: None</p> <p>Applicability: All</p>	Compliant															
3.3.1	<p>Indicator: For all fish, the operation must have an established plan related to escape management, and adhere to rigorous maintenance procedures and frequent net inspections</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	Compliant															
3.3.2	<p>Indicator: Operations will undertake and maintain detailed records on fish escapes and counting. This will include records of breaches in nets, estimates on escapes and stocked vs. recovered fish counts. Note: Farms will also include technology and methodology for undertaking fish counts</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	Compliant															
3.3.3	<p>Indicator: For selectively bred stock (12) or for non-selectively bred stock not from local sources (13) or for wild fingerlings not from local sources more than 2 escape events of SOW (cumulative total fish not recovered) over 2 years (14).</p> <p>Requirement: No</p> <p>Applicability: All</p>	Compliant															
3.3.4	<p>Indicator: All escape events of farmed Seriola or Cobia are reported to the pertinent regulatory agency</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	Compliant															
3.4.1	<p>Indicator: Evidence that purchased or collected wild fingerlings are harvested from a source fishery with a public fishery assessment, for example FishSource or is in a credible fishery improvement process (FIP) towards an ISFA, constant fisheries sustainability certification scheme</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	Compliant															
3.4.2	<p>Indicator: Traceability of wild or hatchery purchased or collected fingerlings to their source</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	Compliant															
4.1.1	<p>Indicator: Evidence of traceability, demonstrated by the feed producer, of fishmeal and fish oil ingredients (15)</p> <p>Requirement: Yes</p> <p>Applicability: All</p>	Compliant															
4.2.1	<p>Indicator:</p> <p>a) Fishmeal Forage Fish Dependency Ratio (FFDRm) and Fish Oil Forage Fish Dependency Ratio (FFDRo) for Seriola (calculated using formulae in Appendix of the Seriola and Cobia Standard v.1.1.1), Kampachi (5, Indonesia), S. daneri (16), Hammachi (5, qianqunqardata),</p> <p>b) FFDRm and FFDRo Cobia (calculated using formulae in Appendix 1 of the Seriola and Cobia Standard v.1.1)</p> <p>Requirement:</p> <p>a) Kampachi: FFDRm ≤ 2.9/FFDRo ≤ 2.9 Hammachi: FFDRm ≤ 6.0/FFDRo ≤ 7.0 (now) FFDRm ≤ 4.8/FFDRo ≤ 5.0 (3 years) FFDRm ≤ 2.9/FFDRo ≤ 2.9 (6 years)</p> <p>b) FFDRm ≤ 6.0/FFDRo ≤ 6.0 (now) FFDRm ≤ 4.0/FFDRo ≤ 4.0 (3 years) FFDRm ≤ 2.9/FFDRo ≤ 2.9 (6 years)</p> <p>Applicability: All</p>	Compliant															
4.2.2	<p>Indicator: Use of wet feed and moist pellets</p> <p>Requirement: Must be sourced from the same ecosystem as the farm</p> <p>Applicability: All</p>	Compliant															

Summary of Standard Non Conformities (NC)

Standard: Tilapia
Version: 1.2

NC Type	NC Totals
Major	0
Minor	0
Total	0

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Summary of Standard Non Conformities (NC)

Standard: Tilapia
Version: 1.2

NC Type	NC Totals
Major	0
Minor	0
Total	0

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E.5	<p>Indicator: The baseline study will be updated every 3 years to determine if changes in the genetic composition of the wild salmonid population have occurred, and where detected, if changes are due to introgression of ASC-certified farmed smolts cultured within the same waterbody. This review shall be publicly available as well.</p> <p>Requirement:</p> <p>Availability:</p>	Compliant																
E.5	<p>Indicator: If following periods are applied, they must be timed to occur outside the local wild salmonid outmigration period.</p> <p>Requirement:</p> <p>Availability:</p>	Compliant																

Summary of Standard Non Conformities (NC)

Standard: Tilapia
Version: 1.2

NC Type	NC Totals
Major	0
Minor	0
Total	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CAB)	Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Minor NC detected for sites (List site ID's)	Major NC detected for sites (List site ID's)	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	Q&A submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor Evaluation	Extension justification	New deadline for NC close-out	Notes
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Summary of Standard Non Conformities (NC)

Standard: Tilapia
Version: 1.2

NC Type	NC Totals
Major	0
Minor	0
Total	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CA#)	Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Minor NC detected for sites (List site ID's)	Major NC detected for sites (List site ID's)	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	QBA submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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Summary of Standard Non Conformities (NC)

Standard: Tilapia
Version: 1.2

NC Type	NC Totals
Major	0
Minor	0
Total	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CA#)	Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Minor NC detected for sites (List site ID's)	Major NC detected for sites (List site ID's)	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	QBA submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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Summary of Standard Non Conformities (NC)

Standard: Tilapia
Version: 1.2

NC Type	NC Totals
Major	1
Minor	0
Total	1

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CAB)	Indicator Number	Indicator Test	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Minor NC detected for sites (List site ID's)	Major NC detected for sites (List site ID's)	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	QSA submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes	
NC 475874	7.4.1	Indicator: Percentage of workers trained in health and safety practices/procedures/policies Requirement: 100 % Applicability: All Farms, Farm-Wide	a. The organization doesn't have adequate mitigation to minimize the hazard/risk in the working environment. evidence observed during site tour at Pangambatan site, there are worker who work cutting floating pipe for cages are not using sufficient PPE (i.e. gloves, safety shoes, wearpack) reference procedure: HIRA document dd 24 Jan 2020, doc no 2733, titled "Identifikasi dan pengendalian resiko terkait pekerjaan" b. Organisasi tidak memiliki mitigasi yang memadai untuk meminimalkan bahaya/risiko di lingkungan kerja.	Major	a. The organization doesn't have adequate mitigation to minimize the hazard/risk in the working environment. evidence observed during site tour at Pangambatan site, there are worker who work cutting floating pipe for cages are not using sufficient PPE (i.e. gloves, safety shoes, wearpack) reference procedure: HIRA document dd 24 Jan 2020, doc no 2733, titled "Identifikasi dan pengendalian resiko terkait pekerjaan" location: pangambatan site major NC raised due to repeated "a. Organisasi tidak memiliki mitigasi yang memadai untuk meminimalkan bahaya/risiko di lingkungan kerja"	21-May-21	50001104		20-Ago-21	18-Ago-21	Closed					IM book, Asst. Farm manager, 7 Jul 2021) Safety procedures have been provided and trained to all engineers. Personal protective equipment (PPE) has been provided by the company. The obligation to wear PPE when doing work has also been emphasized in company regulations and has been socialized to all	Correction: 1. Make warning signs for PPE procedures in all engineer workshops. 2. Make a checklist of PPE preventive equipment (PPE) Preventive Actions: 1. Every engineering supervisor runs a PPE observation checklist for the members every Week. 2. Provide warning signs for	Bad (14-Aug-2021) corrective action: 1. conduct retraining for improve worker's awareness (with evidence of training attaches) 2. PPE test have been carried out to ensure workers are fully understand regarding the training. 3. person in charge of	MAP, 18 Aug 2021. Root cause and corrective action accepted, firm has conduct re- training (refreshment training) for pangambatan workers including a post test (after training) to ensure workers' knowledge Akar penyebab dan tindakan korektif diterima, tambah telah melakukan pelatihan ulang (refreshment training) untuk				

NC 475873 for criteria 5.1.4, note: it was not automatically registered in this tabs

<p>6.1.2</p> <p>Indicator: Prevention measures in place to prevent escapes of farmed and during grow-out include: A. Effective covers or barriers of appropriate mesh size for the smallest animals present, double screened when non-indigenous species. B. Perimeter pond banks or dikes are of adequate height and construction to prevent breaches in exceptional flood events (4:1). C. Regular, double inspections are performed and recorded in a permanent register D. Timely reports to the system are initiated E. Truification and management of trapping devices to sample for the existence of escapes; data is reported F. Escape recovery protocols in place</p> <p>Requirement: Yes</p> <p>Applicability: All</p>		Compliant														
<p>6.1.3</p> <p>Indicator: Escapes and actions taken to prevent recurrences</p> <p>Requirement: Records are available for inspection</p> <p>Applicability: All</p>		Compliant														
<p>6.1.1</p> <p>Indicator: In and broodstock have appropriate disease-free status and sources meet regional, national and international importation guidelines (e.g., OIE and ICES)</p> <p>Requirement: Documentation provided demonstrating compliance within two years of transfer of production data for wild broodstock broodstock sourced locally, applicable immediately in other cases</p> <p>Applicability: All</p>		Compliant														
<p>6.2.2</p> <p>Indicator: Record of total pond larvae from closed loop hatchery (i.e., farm-raised broodstock)</p> <p>Requirement: P. variabilis, P. infans, P. styloticus, 2020 P. infans must be increased over time, and reach 100% within six years after the publication of the standard</p> <p>Applicability: All</p>		Compliant														
<p>6.2.3</p> <p>Indicator: Origin of wild caught broodstock</p> <p>Requirement: Sourced from locally fished broodstock only (4:1)</p> <p>Applicability: Farms using seed generated from wild caught R. cuneatus</p>		Compliant														
<p>6.2.4</p> <p>Indicator: Allowance for wild caught PI other than habitat tidal flow into ponds</p> <p>Requirement: None</p> <p>Applicability: All</p>		Compliant														
<p>6.3.1</p> <p>Indicator: Allowance for the culture of transgenic shrimp (including the offspring of genetically engineered shrimp)</p> <p>Requirement: None</p> <p>Applicability: All</p>		Compliant														
<p>7.1.1</p> <p>Indicator: Evidence of basic traceability of feed ingredients, including source, species, country of origin and harvest method determined by the feed producer (4:1)</p> <p>Requirement: List of all ingredients making up more than 0% of the feed available provided on company website</p> <p>Applicability: All</p>		Compliant														
<p>7.1.2</p> <p>Indicator: Demonstration of chain of custody and traceability for fishmeal products in feed through an ISAL member or ISO 22005 compliant certification scheme (See also Responsible the FAC (4:1) Code of Conduct for Responsible Fishmeal)</p> <p>Requirement: Yes</p> <p>Applicability: All</p>		Compliant														
<p>7.1.1a</p> <p>Indicator: Timeframe for 100% (mass balance) fishmeal and fish oil used in feed to come from fisheries (4:1) certified by a third party member (4:1) that has guidelines specifically promoting ecological sustainability of target fisheries</p> <p>Requirement: Within five years following the date of standard's publication</p> <p>Applicability: All after March 2020</p>		Compliant														
<p>7.2.1b</p> <p>Indicator: Fishmeal source (4:1, 5:1) for the fishmeal and fish oil by volume is derived (See Appendix VI, subsection 3rd of the shrimp standard v.1.1 for explanation of FishSource scoring)</p> <p>4. for FishSource Criteria 4 (Fishing biomass assessment)</p> <p>5. for FishSource Criteria 1, 2, 3 and 5</p> <p>Requirement: 4, 5 or compliance with alternative interim proposal 7.2.1a</p> <p>Applicability: All</p>		Compliant														
<p>7.2.1c</p> <p>Indicator: Lacking a FishSource assessment a fishery could be engaged in an Incentives Programme, Management and public Fisheries Improvement Project (4:1) with periodic public reporting (4:1) to Appendix VI of the Shrimp standard v.1.1.</p> <p>Requirement: See Appendix VI of the Shrimp standard v.1.1 for details on compliance</p> <p>Applicability: All</p>		Compliant														
<p>7.2.2</p> <p>Indicator: Percentage of non-marine ingredients from sources certified by an ISAL member's certification scheme that addresses environmental and social sustainability</p> <p>Requirement: 80% for soy and palm oil within five years from the date of the ASC Shrimp Standard's publication</p> <p>Applicability: All after March 2020</p>		Compliant														
<p>7.3.1</p> <p>Indicator: Allowance for feed containing ingredients that are genetically modified (ONLY when information regarding the use of GM ingredients in shrimp feed is made easily available to retailers and end consumers) including:</p> <p>a. Disclosure on the audit reports if GM ingredients were used in the feed fed to shrimp</p> <p>b. Disclosure if GM ingredients were used in the feed fed to ASC-certified shrimp all along the supply chain up to the retailer. Total disclosure on the involved auditor reports are published on an internet database on the ASC web page (when available). This database, when available, should be made available on demand to retailers and consumers.</p> <p>c. Use of the most accessible feed and/or third-party communication tools to inform retailers and consumers on all certified products</p> <p>Requirement: Yes (5:1)</p> <p>Applicability: All</p>		Compliant														

Summary of Standard Non Conformities (NC)

Standard: Tilapia
Version: 1.2

NC Type	NC Totals
Major	0
Minor	0
Total	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CA#)	Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Minor NC detected for sites (List site ID's)	Major NC detected for sites (List site ID's)	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	QBA submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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Summary of Standard Non Conformities (NC)

Standard: Tilapia
Version: 1.2

NC Type	NC Totals
Major	0
Minor	0
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Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CA#)	Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Minor NC detected for sites (List site ID's)	Major NC detected for sites (List site ID's)	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	QBA submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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Summary of Standard Non Conformities (NC)

Standard: Tilapia
Version: 1.2

NC Type	NC Totals
Major	0
Minor	0
Total	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CA#)	Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Minor NC detected for sites (List site ID's)	Major NC detected for sites (List site ID's)	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	QBA submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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Item	Unit	Quantity	Material	Remarks
1	m ²		Plaster	
2	m ²		Plaster	
3	m ²		Plaster	
4	m ²		Plaster	
5	m ²		Plaster	
6	m ²		Plaster	
7	m ²		Plaster	
8	m ²		Plaster	
9	m ²		Plaster	
10	m ²		Plaster	
11	m ²		Plaster	
12	m ²		Plaster	
13	m ²		Plaster	
14	m ²		Plaster	
15	m ²		Plaster	
16	m ²		Plaster	
17	m ²		Plaster	
18	m ²		Plaster	
19	m ²		Plaster	
20	m ²		Plaster	
21	m ²		Plaster	
22	m ²		Plaster	
23	m ²		Plaster	
24	m ²		Plaster	
25	m ²		Plaster	
26	m ²		Plaster	
27	m ²		Plaster	
28	m ²		Plaster	
29	m ²		Plaster	
30	m ²		Plaster	
31	m ²		Plaster	
32	m ²		Plaster	
33	m ²		Plaster	
34	m ²		Plaster	
35	m ²		Plaster	
36	m ²		Plaster	
37	m ²		Plaster	
38	m ²		Plaster	
39	m ²		Plaster	
40	m ²		Plaster	
41	m ²		Plaster	
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68	m ²		Plaster	
69	m ²		Plaster	
70	m ²		Plaster	
71	m ²		Plaster	
72	m ²		Plaster	
73	m ²		Plaster	
74	m ²		Plaster	
75	m ²		Plaster	
76	m ²		Plaster	
77	m ²		Plaster	
78	m ²		Plaster	
79	m ²		Plaster	
80	m ²		Plaster	
81	m ²		Plaster	
82	m ²		Plaster	
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91	m ²		Plaster	
92	m ²		Plaster	
93	m ²		Plaster	
94	m ²		Plaster	
95	m ²		Plaster	
96	m ²		Plaster	
97	m ²		Plaster	
98	m ²		Plaster	
99	m ²		Plaster	
100	m ²		Plaster	

Date	Time	Activity														

Summary of Standard Non Conformities (NC)

Standard: Tilapia
Version: 1.2

NC Type	NC Totals
Major	0
Minor	0
Total	0

Note: Unique NC codes can be entered in column A - All other data fields in this summary worksheet populate automatically

NC Code (CAB)	Indicator Number	Indicator Text	Audit Evidence	Indicator evaluation	Description, justification and conclusion for the evaluation decision	Date of NC detection	Minor NC detected for sites (List site ID's)	Major NC detected for sites (List site ID's)	Deadline for NC close-out	Actual date of close-out	NC Status	VR submitted	Status of submitted VR	VR used	QBA submitted/used	Root cause analysis	NC correction	NC Corrective action	Auditor evaluation	Extension justification	New deadline for NC close-out	Notes
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